Communication

Ir/Ni Photoredox Dual Catalysis with Heterogeneous Base Enabled by an Oscillatory Plug Flow Photoreactor

Wouter Debrouwer, Wim Kimpe, Ruben Dangreau, Kevin Huvaere, Hannes P. L. Gemoets, Milad Mottaghi, Simon Kuhn, and Koen Van Aken

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r/Ni Photoredox Dual Catalysis with Heterogeneous Base Enabled by an Oscillatory Plug Flow Photoreactor

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Date received: xx/xx/2020

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Ir

□ Reactor characterization

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Ni

□ Transfer from milliliter batch to flow procedure

□ MacMillan metallaphotoredox coupling

□ Inorganic base slurry handling in flow

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MeO₂C

TOC Graphic

MeO₂C

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+

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Abstract

Continuous flow reactor technology has a proven track record in enabling photochemical transformations. However, transfer of a photochemical batch process to a flow protocol often remains elusive, especially when solid reagents or catalysts are employed. In this work, application of an oscillatory plug flow photoreactor enabled a heterogeneous MacMillan-type $C(sp^2) - C(sp^3)$ cross-electrophile coupling. Combination of an oscillatory flow regime with static mixing elements imparts exquisite control over mixing intensity and residence time distribution (RTD), pinpointing a mindset shift concerning slurry handling in continuous flow reactors. The $C(sp^2) - C(sp^3)$ cross-electrophile coupling was successfully transferred from batch to flow, resulting in an intensified slurry process with significantly reduced reaction time and increased productivity (0.87 g/h).

Keywords (4-6): HANU reactor, plug flow, oscillatory flow, photoredox catalysis, dual catalysis, slurry handling

Introduction

During the past 15 years, the synthetic organic chemist's toolbox has expanded tremendously by the elaboration, control and design of radical-driven transformations. Indeed, access to transient one-electron species has been facilitated thermally,¹ photochemically²⁻⁴ and electrochemically.⁵⁻⁷ In particular, the amount of reports on photoredox catalysis has grown exponentially since 2010, corroborating widespread adoption in the community.² Insights in the underlying chemistry and the ability to access radicals by tuning catalyst photophysical properties have resulted in a myriad of compelling organic transformations.²⁻⁴

Though empowering those involved in small-scale organic synthesis, photoredox catalysis often proves strenuous when scaling-up. Apart from the usual mass and energy transfer considerations, photon transport to the bulk of the matrix plays a pivotal role.⁸ According to Beer-Lambert's law, light attenuation in an absorbing medium follows an exponential decrease.⁹ Enlarging reactor dimensions is therefore a poor scale-up strategy, which explains exactly why photochemical transformations strongly benefit from continuous flow reactor technology. The short path length for light penetration (mm range), the high surface-to-volume ratio and the excellent process control outcompete the batch approach.¹⁰⁻¹² Preparative manufacture in flow relies on judicious scale-up of reactor dimensions (i.e. "smart dimensioning"), scale-out or numbering-up.¹³⁻¹⁵ Still, continuous flow reactors are often ill-performing when solids handling is concerned, a limiting or even prohibitive factor when envisioning slurry transformations.^{11,16-18}

It is the aim of the presented work to demonstrate that such issues can be countered by an innovative plug flow reactor platform, capable of handling solids and particularly apt for photochemistry. This was exemplified by transfer of a heterogeneous MacMillan-type cross-electrophile $C(sp^2) - C(sp^3)$ coupling from batch to continuous flow.¹⁹

Results and Discussion

1) <u>Reactor design</u>

The result of a proprietary design, a flow reactor system that addresses the hurdles commonly encountered upon scaling photochemical transformations was developed.^{8,10,12} This concept consists of three major pillars combined: 1) Continuous processing in a linear plate reactor, 2) utilizing an Oscillatory flow regime which is 3) combined with multiple STAtic mixing elements in the process channel. These features combined constitute the very essence of the COSTA technology (Figure 1), from which a photochemical reactor, the HANU reactor, was conceived. Characteristics and dimensions of the lab-scale reactor are outlined in Table 1.



Figure 1. Principles of the COSTA technology. The COSTA technology consists of 3 pillars: continuous processing in a linear plate reactor by means of an oscillating flow regime and static mixing elements. The oscillating flow regime is characterized by a net flow onto which a periodic flow is superimposed.²⁰

Table 1. Lab-scale HANU HX 15 reactor characteristics.

Dimensions	530 x 60 x 45 mm
Reactor volume (irradiated)	15 (14) mL
Window	Borosilicate, Quartz (480 x 17 mm)
Temperature range	-20 °C to $+80$ °C
Pressure rating	10 barg
Static mixing elements	Cubic (2 x 2 x 2 mm)
Reactor material	Stainless steel 316L or Hastelloy C276
Other wetted parts	FEP, PTFE
Irradiation sources	Mercury lamps, excimers, LEDs

The reactor is operated in conjunction with a pulsator, a device that superimposes a periodic oscillation on the net flow generated by a metering pump.²⁰⁻²¹ This oscillatory flow regime and repeated split-and-recombine flow path ensure adequate mixing regardless of the net flow rate. In other terms, reactor hydrodynamics are predominantly decoupled from the net flow rate. As a consequence, pulsator amplitude and frequency are introduced as new process parameters affecting hydrodynamics, residence time distribution (RTD), energy dissipation rate and related transport phenomena, all of which may be optimized on a case-by-case basis. This was exemplified by the Kappe group utilizing the same reactor to enable C–N coupling with a heterogeneous catalyst.²² Depending on process requirements, reactor material, volume and static mixing elements can be customized.

Having determined a set of oscillatory flow parameters, the scale-up principle relies on widening the process channel without affecting photon path length, mass and energy transfer, and pressure drop. A "pilot-scale" reactor with 10-fold increased volume was constructed and validated, though transfer of the $C(sp^2) - C(sp^3)$ coupling under investigation here from lab-scale will be the subject of future work.

2) <u>RTD</u>

As a key parameter in characterizing reactor performance, residence time distributions under varying conditions were determined. Absorbance curves were recorded by means of tracer injections (10 mM methylene blue in ethanol) and in-line UV-VIS spectrophotometry at reactor outlet. Data thus obtained was transformed into E-curves and analyzed using open-open vessel boundary conditions, after which sloppy tracer input correction was applied.²³ Effect of pulsation amplitude, frequency and flow rate were on RTD were evaluated (Figure 2). In view of a likely

reaction time reduction from 6 h in the corresponding batch process, 30 minutes was tentatively selected as starting point for the RTD experiments.



Figure 2. E-curves as function of pulsation amplitude (x_0 , % of maximum pulsation amplitude, 100% corresponds to 7 mm center-to-peak amplitude, top left graph), frequency (top right) and flow rate (bottom).

Higher pulsation amplitudes (Q = 0.5 mL/min, f = 3 Hz) lead to broader RTD, the result of more axial dispersion. On the other hand, lowering pulsation frequency causes narrowing distributions, as indeed each additional pulse provokes further axial dispersion. An increased flow rate is favorable too, although this may be the result of reducing the total amount of pulses. In all cases pulsed RTD curves are superior to the non-pulsed system, an observation that was confirmed computationally (see SI). Further optimization by means of statistical methods (including design of experiment, DOE) would benefit RTD outcome, but is beyond the scope of this work. Moreover,

experimental conditions imparting optimal RTD are not necessarily ideal for the specific chemical reactions under investigation. Slurry handling is pivotal in this work and, while best for RTD, very low pulsation frequency is counterproductive for maintaining stable suspensions. In reality, finding the optimal trade-off between RTD and mixing intensity seems best practice.²²

Computational Fluid Dynamic (CFD) simulations support the advantageous effect of pulsation on RTD (see SI). In addition, by computing tracer transport over time, such simulations allow comparison of vertical mixing time in absence and presence of pulsation. A drastic increase in species transport normal to the flow direction is observed with pulsation. The time required for the scalar species to be transported half the channel height is below 1 s when pulsation is applied, whereas the tracer did not reach the center of the flow channel within the 7 s of simulation time (Figure 3).



Figure 3. Concentration field in the reactor t =1.0 s after tracer injection for a flow rate of 1 ml/min. Left: no pulsation, Right: pulsation frequency of 3 Hz and 33% amplitude.

3) <u>Actinometry</u>

In scientific literature on photoredox catalysis, authors often neglect to quantitatively describe kinetic parameters and to unambiguously characterize irradiation sources and temperature control measures. There is growing demand to accurately disseminate on reactor/lamp setup, distance, emission spectrum and operational parameters.²⁴ In particular, actinometric data facilitates reproducibility and quantitative comparison between experimental results.²⁵⁻²⁶

The photoredox catalyst used in MacMillan's work, Ir[dF(CF₃)ppy]₂(dtbbpy)PF₆, displays photon absorption up to ca. 480 nm, with λ_{max} at 380 nm.^{19,27} Use of blue LEDs with multiple emission peaks at 470 nm, 430 nm and 390 nm is reported (34 W Kessil H150b).^{19,28} The choice for 405 nm irradiation, available as standard 75 W LED source to mount on the reactor, is thus well justified (irradiation source details are summarized in SI). In order to accurately measure incident photon flux in the reactor, Loubière's chemical actinometry protocol using potassium ferrioxalate was employed.^{29,30} For simplicity, the monochromatic irradiation model was adopted (LED emission spectrum half width $\Delta \lambda = 15$ nm). From ferrioxalate conversion as function of irradiation time (Figure 4), the corresponding photon flux entering the process channel q_{n,p} was calculated as 2.96 x 10⁻⁵ mol photons/s.



Figure 4. Actinometer conversion as function of irradiation time.

4) <u>Slurry handling</u>

In MacMillan's seminal work, the heterogeneous bases K_2CO_3 , Na_2CO_3 and LiOH were evaluated and finally Na_2CO_3 (2 equiv.) was put forward as most effective for $C(sp^2) - C(sp^3)$ cross-electrophile coupling.¹⁹ It performs best with non-heteroaryl substrates whereas heteroaromatic bromides are best combined with anhydrous LiOH. In case homogeneous conditions are desired, 2,6-lutidine may replace the inorganic base. However, heterocycles require at least 5 equiv. to reach yields similar to LiOH.³¹ Taking into account its higher cost (roughly one order of magnitude per mole) and inevitable post-processing removal issues, 2,6-lutidine's supposed advantages are undone and the choice for Na₂CO₃ becomes evident, especially during process development and scale-up.

Continuous flow reactor technology creates opportunities for many photochemical reactions, but the heterogeneous nature of some creates new challenges.¹⁰ Upon translating MacMillan's batch protocol to flow, the particular difficulty is handling and conveying a Na₂CO₃ suspension in 1,2dimethoxyethane (DME). Typically, plug flow reactors are considered incompatible with solids as they suffer from plugging and poor solids distribution, while conveying solids requires adequate pump selection. The integrated HANU concept, on the contrary, anticipates to these issues and performs exceptionally well in terms of slurry handling. Suspended solids do not sediment because the oscillatory flow generates high local velocities that constantly resuspend particles and prevent reactor fouling. Pulsation parameters may be adapted to optimize suspension stability. Nevertheless, it must be noted that fouling and/or deposition are issues inherently associated with slurry processing depending on the nature and characteristics of the particles and reactor material, both in batch and flow. Control and knowledge of slurry physicochemical properties (e.g. particle size distribution, contact angle, etc.) helps to prevent such issues, and this is the subject of ongoing research.

MacMillan's cross-electrophile coupling thus requires 2 equiv. Na₂CO₃ in DME, corresponding to ca. 2.2 wt%.¹⁹ DME is not viscous ($\mu = 0.46$ mPa.s at 20 °C) with a density of 0.86 kg/L (20 °C), as compared to 2.54 kg/L for Na₂CO₃.³² Stokes' law does not accurately describe particle sedimentation under conditions prevailing in the reactor, but a similar driving force for settling is expected and observed accordingly (low solvent viscosity and $\Delta \rho = 1.68$ kg/L). Preliminary experiments demonstrated that suspension stability inside the reactor remained excellent due to

the applied oscillations, but issues manifested in supply tubing to the pump. Therefore, investigations continued with the 2.2 wt% Na₂CO₃ slurry in DME, now in recirculation mode using a peristaltic pump and 0.8 mm ID FEP tubing at different flow rates (Table 2). High flow rates (corresponding to relatively short irradiation times, entries 1-2) proved effective but lower flow rates led to sedimentation of the larger particle fraction in the pump aspiration line. Reduction of the Na₂CO₃ particle size by wet milling decreased the Sauter mean diameter from 122 μ m to 14 μ m (see SI for details). The resulting suspensions were maintained in the aspiration line even at very low flow rates, ensuring accurate and precise dosing during reaction.

Table 2. Impact of flow rate and Na₂CO₃ particle size on stability of a 2.2 wt% suspension in DME transferred through 0.8 mm inner diameter FEP tubing.

Entry	$d_{32}(\mu m)^{a}$	Q (mL/min) ^b	$ au_{irr} (min)^c$	Observations
1	122	5	2.8	Slurry conveyed
2	122	1	14	Slurry conveyed
3	122	0.4	35	Sedimentation in pump supply line
4	14	0.4	35	Slurry conveyed
5	14	0.2	70	Slurry conveyed
6	14	0.1	140	Slurry conveyed

^a Na₂CO₃ Sauter mean diameter; ^b flow rate; ^c corresponding irradiation time in the reactor

5) <u>Dual Ni/Ir Photoredox cross-electrophile coupling</u>

Having identified conditions that allow conveying the Na₂CO₃ slurry required for the $C(sp^2) - C(sp^3)$ cross-electrophile coupling, the chemical transformation itself was then evaluated. The aim was to present a platform capable of reducing multiple-hour batch reactions to faster and performant flow protocols. A stirred suspension was fed to the integrated photoreactor by means of a peristaltic pump connected to a pulsator in series (Figure 5). The oscillating process stream was exposed to 405 nm irradiation upon entering the reactor channels and left the system through a dome-loaded back-pressure regulator (BPR) after the desired residence time.



Figure 5. Schematic representation of experimental setup used for MacMillan's light-driven cross-electrophile coupling. The Na₂CO₃, base remains suspended in the DME reaction solvent by the oscillatory flow regime. The complementary 405 nm LED source covers the entire reactor surface window, thus creating a homogeneous photon flux inside the reaction matrix.

In literature, temperature was maintained at 25 °C by means of convective cooling and no elevated temperatures were assessed. Since the reactor applied here is equipped with an integrated heat exchanger and process thermostat, influence of reaction temperature was readily investigated. Preliminary experiments demonstrate that reaction temperature plays an important role, suggesting the rate-determining step is not photoredox catalyst excitation, but likely a thermal step in the nickel catalyst cycle or a transport phenomenon. The desired reaction proceeds faster at 50 °C without compromising selectivity towards the desired coupled product. To further optimize the thermal component of the catalytic cycle, Ni-loading was doubled to 1 mol% while 2 mol% dtbbpy facilitated catalyst dissolution prior to reaction.³³⁻³⁴

We then engaged in a relevant reductive cross-coupling example between methyl 4bromobenzoate and 4-bromotetrahydropyran. Aiming to maximize productivity, an ambitious 30 minutes of irradiation resulted in complete conversion of the aryl bromide (Table 3). Yield was determined both via ¹H NMR and isolation of the coupled product, with each method resulting in 76%.¹⁹ As such, yields obtained via ¹H NMR spectroscopy using internal standard were proven accurate. Observed side products include solvent-coupled methyl benzoate and methyl benzoate.

Further reduction to 20 minutes irradiation furnished an almost identical outcome with substantially increased productivity. Shorter irradiation times (< 20 min) did not lead to full

conversion, suggesting the limits of the system were reached under the prevailing reaction conditions (entries 3-4). Interestingly, further temperature increase to 65 °C improved conversion though selectivity eroded. This was perceived by the unproportionally darker reactor effluent.³⁵ Considering 50 °C as optimal reaction temperature, irradiation intensity was next halved (entry 6 vs. entry 2). This resulted in only a slight drop in conversion and yield, corroborating the stronger temperature dependence observed earlier. As a final effort to further boost productivity, reactant concentration was increased from 2.3 to 4.2 wt%. An irradiation time of 20 minutes was insufficient but after 30 minutes conversion was complete, culminating in the production of 0.87 g/h of the desired coupled product.

Table 3. Evaluation of irradiation time, substrate concentration, light intensity, and reaction temperature on conversion and yield of the reductive cross-coupling between methyl 4-bromobenzoate and 4-bromotetrahydropyran, as carried out under continuous flow regime.



Enter	τ^{a}	C ^b	Lamp power	Т	Conversion ^c	Yield ^d	Productivity
Entry	(min)	(wt%)	(%)	(°C)	(%)	(%)	(g/h)
1	30	2.3	100	50	100	76	0.44
2	20	2.3	100	50	100	77	0.71
3	15	2.3	100	50	92	72	0.85
4	10	2.3	100	50	72	61	1.11
5	10	2.3	100	65	80	64	1.12
6	20	2.3	50	50	93	74	0.64
7	20	4.2	100	50	95	79	1.33
8	30	4.2	100	50	100	80	0.87

^a irradiation time; ^b methyl 4-bromobenzoate concentration; ^c conversion of methyl 4-bromobenzoate as determined by GC-FID analyses using dodecane as internal standard; ^d yield of coupled product as determined by ¹H NMR using mesitylene as internal standard.

There are many pitfalls associated with a direct comparison between different photoreactors or setups. In particular, the incident photon flux is often different due to variations in lamp type, manufacturer, emitted wavelength, lamp-reactor distance, reactor and light source design, etc. Table 4 presents an overview of the results obtained for the identical transformation by MacMillan (batch),¹⁹ Jensen (CSTR-cascade)³⁶ and in this work. In MacMillan's seminal methodology paper 0.50 mmol methyl 4-bromobenzoate was converted in 6 hours with 79% yield, producing an average 0.014 g/h of desired product. A 34 W Kessil blue LED was employed. The space-time yield (STY) was 2.9 g/L/h, though it must be noted that the paper's aim was not productivity optimization, but methodology development.¹⁹ Jensen's cascade of 5 CSTRs was irradiated by means of 3 identical 40 W Kessil A160 WE lamps focused onto the reactor window using lenses. With this setup 0.077 g/h output was realized corresponding to a STY of 14.5 g/L/h.³⁶ In this work, finally, 0.87 g/h was produced in an oscillatory plug flow reactor irradiated with quasimonochromatic 405 nm LEDs. The STY thus achieved amounts to 62.1 g/L/h, a marked improvement as compared to the state-of-the-art. The higher incident photon flux per reactor volume $(q_{n,p}/V_r)$ and higher surface-to-volume ratio (a_{irr}/V_r) certainly contribute to this enhancement, highlighting the importance of reactor design, irradiation source power and integration in the setup. Finally, the photocatalytic space-time yield (PSTY) is a metric that takes into account both reactor productivity and irradiation source electrical consumption.³⁷ Though similar to STY, this value also embodies the efficiency with which lamp input power is translated into product.

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Table 4	. Overview	of reaction	conditions	published	for Ir/Ni	i photoredox	C(sp ²) -	C(sp ³)	cross-electrophile	coupling	of
methyl 4	4-bromober	nzoate and 4	-bromotetra	hydropyr	an using i	Na ₂ CO ₃ .					

C	Ca	V _r ^b	τ^{c}	Т	P ^d	Productivity	STY ^e	$q_{n,p}\!/V_r{}^f$	a_{irr}/V_r^g	PSTY ^h
Source	(mM)	(mL)	(h)	(°C)	(W)	(g/h)	(g/L/h)	(mol/s/m ³)	(cm ⁻¹)	(g/L/h/W)
MacMillan ¹⁹	10	5	6	25	34 ⁱ	0.014	2.9	NR	NR	4.21 x 10 ⁻⁰⁴
Jensen ³⁶	40	5.3	0.5	35	120 ^j	0.077	14.5	0.82 ^k	1.3	6.41 x 10 ⁻⁰⁴
this work	20	14	0.5	50	75 ¹	0.870	62.1	2.12	4.4	1.16 x 10 ⁻⁰²

^a methyl 4-bromobenzoate concentration; ^b reaction volume; ^c irradiation time; ^d lamp input power; ^e space-time yield; ^f incident photon flux (mol photons/s) per reactor volume; ^g reactor irradiated surface-to-volume ratio; ^h photocatalytic space-time yield, defined as the ratio between STY and the standardized lamp power, which is the lamp input power scaled to the power that would irradiate a reactor of 1 L³⁷; ⁱ Kessil blue 34 W LED; ^j 3 x Kessil A160WE 40 W LED; ^k 0.27488 mol/s/m³ was reported for one lamp based on chemical actinometry (See SI of the reference).³⁶ This value was multiplied by 3 since 3 lamps were used during reaction.; ¹405 nm LEDs; NR = not reported.

Conclusion

The HANU reactor was demonstrated as a continuous plug flow system that is particularly suitable for slurry processing and facilitates transfer of heterogeneous (photochemical) transformations from batch to continuous flow. Several residence time distribution experiments were recorded, assessing the effect of pulsation parameters and flow rate. Incident photon flux was quantified by means of ferrioxalate actinometry and the influence of Na₂CO₃ particle size on suspension stability was evaluated. The oscillatory flow regime was essential in maintaining stable reactant suspensions. A heterogeneous, milliliter-scale batch Ni/Ir photoredox dual catalyzed transformation was successfully transferred to a plug flow photoreactor. Reaction time was significantly reduced from 6 hours in batch mode to 20 minutes in continuous flow, offering perspective for reconsidering the batch approach of a multitude of other (heterogeneous) (photo)chemical reactions.

Experimental Section

A two-neck 100 mL round bottom flask was equipped with a magnetic stirring bar, photocatalyst Ir[dF(CF₃)ppy]₂(dtbbpy)PF₆ (79 mg, 0.07 mmol, 0.01 equiv.), methyl 4-bromo-benzoate (1.505 g, 7.00 mmol, 1.0 equiv.), neopentyl bromide (1.32 mL, 1.586 g, 10.50 mmol, 1.5 equiv.),

tris(trimethylsilyl)silane (2.16 mL, 1.741 g, 7.00 mmol, 1.0 equiv.), 28.00 mL of a suspension of 6 wt% Na₂CO₃ in DME (24.731 g, 1.484 g Na₂CO₃, 14.00 mmol, 2.0 equiv.) and 28 mL DME (23.894 g). The flask was sealed, purged with N₂ and covered in aluminium foil. A separate 25 mL round bottom flask was charged with NiCl₂·glyme (15 mg, 0.07 mmol, 0.01 equiv.) and 4,4'-di-tert-butyl-2,2'-bypridine (38 mg, 0.14 mmol, 0.02 equiv.). The flask was sealed and purged with N₂. 14.00 mL DME (12.156 g) was added and the precatalyst solution was sonicated for 5 minutes, after which it was quantitatively transferred into the two-neck reactant flask. The solution was degassed by sparging with N₂ while stirring for 5 minutes. The stirred suspension was pumped into the pulsator and reactor using a Vapourtec SF-10 peristaltic pump equipped with blue tube. Thermostat temperature was set to 50 °C and 2 bar back-pressure was applied. A representative sample was collected after 3 residence times and analyzed by GC-FID and ¹H NMR spectroscopy.

Supporting information

The Supporting Information is available free of charge on the ACS Publications website at DOI: xxx.xxx.

The supporting information contains general experimental details, CFD details, irradiation source characteristics, particle size distributions and compound characterization data.

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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Sauter mean diameter [µm]

f frequency [Hz]

ACKNOWLEDGMENT

ABBREVIATIONS

airr

BPR

CFD

CSTR

DME

DOE

 d_{32}

С

Services) for wet milling of Na₂CO₃.

irradiated reactor surface [cm²]

back-pressure regulator

concentration [wt %]

1,2-dimethoxyethane

Design of Experiments

computational fluid dynamic

continuous stirred tank reactor

- FEP fluorinated ethylene propylene
- ID inner diameter [mm]
- LED light-emitting diode
- P lamp power [W]
- ACS Paragon Plus Environment

PTFE	polytetrafluoroethylene
Q	flow rate [mL/min]
q _{n,p}	photon flux [mol photons/s]
RTD	residence time distribution
Т	temperature [°C]
UV-VIS	ultraviolet – visible light
Vr	reactor volume [mL]
X0	center-to-peak amplitude [% of maximum or mm]
μ	dynamic viscosity [mPa.s]
ρ	density [kg/L]
$ au_{ m irr}$	irradiation time [min]
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