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Communication

exo-Selective Construction of Spiro-[butyrolactone-pyrrolidine] *via* 1,3-Dipolar Cycloaddition of Azomethine Ylides with α-Methylene-γbutyrolactone Catalyzed by Cu(I)/DTBM-BIPHEP†

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An expedient access to optically active spiro-[butyrolactonepyrrolidine] was successfully developed *via* an unprecedented Cu(I)-catalyzed *exo*-selective 1,3-DC of azomethine ylides ¹⁰ with α -methylene- γ -butyrolactone, which exhibited high diastereoselectivity (>98:2), excellent enantioselectivity (96->99% ee) and broad substrate scope under mild conditions.

The privileged spirocyclic [butyrolactone-pyrrolidine] skeletons with multiple contiguous stereogenic centers are the core 15 structural elements prevalent in a large number of natural alkaloids and unnatural compounds exhibiting important biological activities and building blocks in organic synthesis,¹ typical examples are shown in Figure 1. Spirocycle A and B are the key intermediates for the synthesis of complex marine 20 alkaloid (-)-sarain A^{2a} and Cephalotaxus alkaloid cephalotaxine,^{2b} and spirocyclic C exhibits anticancer activity.³ Inspired by the varied and significant biological activities, different synthetic approaches have been developed in pursuit of the structurally diversified and stereocontrolled [butyrolactone-pyrrolidine] 25 skeletons in the past decades. The catalytic asymmetric 1,3dipolar cycloaddition^{4,5} of azomethine ylides to electron-deficient alkenes provides a powerful and atom-economical route to synthesize a variety of structurally and stereochemically rich spirocyclic pyrrolidines bearing spiro quaternary stereogenic ³⁰ center.^{6,7} The first catalytic asymmetric synthesis of spiro pyrrolidine-oxindole derivatives was realized by Gong via an elegant organocatalyzed asymmetric 1,3-dipolar cycloaddition reaction between N-Ac 2-oxoindolin-3-ylidenes and in situ formed azomethine ylides.^{6a} Subsequently, Cu(I)-catalyzed 35 asymmetric 1,3-dipolar cycloaddition for constructing such structures was reported by Waldmann and us, respectively.^{6b,6c} Recently, asymmetric approach to spiro pyrrolidine-oxindoles was also fulfilled by Arai and co-workers^{6d} employing Ni(II)complex and Wang^{6e} employing amine-thiourea as the 40 organocatalyst. Despite excellent results achieved for asymmetric synthesis of spirocyclic pyrrolidines, most of dipolarophiles applied in these reactions are 2-oxoindolin-3-ylidene,^{6a-e} cyclopropyliden acetates,^{7a} and 2-alkylidene cycloketones.^{7b} In contrast, α -methylene- γ -butyrolactone have been seldom studied ⁴⁵ in the catalytic asymmetric 1,3-dipolar cycloaddition although the lactone moiety possesses highly biological activity profile.⁸ To the best of our knowledge, only limited racemic examples have been reported involving α -methylene- γ -butyrolactone as the dipolarophile so far.⁹ and we believe this represents a major ⁵⁰ challenge.



Figure 1. Key intermediates containing spiro-[butylactone-pyrrolidine] motifs.

Herein, we reported an unprecedented Cu(I)-catalyzed ss asymmetric 1,3-dipolar cycloaddition of various azomethine ylides with α -methylene- γ -butyrolactone, providing the efficient and facile access to the bioactive *exo*-selective¹⁰ spiro-[butyrolactone-pyrrolidine] with excellent levels of diastereoselectivity and enantioselectivity.



Scheme 1. exo-Selective construction of spiro-[butyrolactone-pyrrolidine] via 1,3-DC of azomethine ylide with α -methylene- γ -butyrolactone.

Initially, we began our investigation by using α -methylene- γ butyrolactone **2** and *N*-(4-chloro-benzylidene)-glycine methyl 65 ester **1a** as the dipolar in the presence of AgOAc/(*S*)-BINAP **L1** (3 mol%) and Et₃N (15 mol%) in DCM at room temperature. Gratifyingly, the 1,3-dipolar addition reaction proceeded smoothly affording *exo*-adduct **3a** as the major diastereomer (*exo/endo* = 66:34) with moderate enantioselectivity (Table 1, 70 entry 1). Encouraged by this result, different metal salts and chiral bisphosphine ligands were subsequently examined to establish optimal reaction conditions, and the representative

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^{*a*} All reactions were carried out with 0.35 mmol of **1a** and 0.23 mmol of **2** in 2 mL solvent for 1-2 h. ^{*b*} Isolated yields of both *exo*-**3a** and *endo*-**3a**. ^{*c*} Ee of *exo*-**3a** was determined by HPLC analysis.

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results were summarized in Table 1. Both AgOAc/(S)-BINAP and Cu(MeCN)₄BF₄/(S)-BINAP complexes exhibited high exoselectivity, but copper complex gave better asymmetric induction and higher catalytic activity, which was chosen as the metal 5 precursor in the further ligand survey (Table 1, entries 1 and 2). The exo-selectivity was improved to 83:17 when another two commercially-available chiral axially bisphosphine ligand (S)-Tol-BINAP (L2) or (S)-MeO-BIPHEP (L3) was employed in this transformation, but the latter exhibited better enantioselective 10 control (entries 3 and 4). Further ligand screening chiral axially biphenyl bisphosphine skeleton revealed that the bulky and electron-donating biphenyl ligands (R)-DTBM-segphos and (R)-DTBM-BIPHEP ((2,2'-bis[di(3,5-di-*t*-butyl-4-methoxyphenyl)) phosphino]-6,6'-dimeth-oxy-1,1'-biphenyl)) not only remarkably 15 improved the diastereoselectivity (>98:2) but also gave almost perfect enantioselectivity (Table 1, entries 5 and 6).

To explore the scope of the reaction, the Cu(I)/(R)-DTBM-BIPHEP catalyzed 1,3-dipolar cycloaddition of various imino esters derived from glycinate with α -methylene butyrolactone ²⁰ were carried out under the optimized reaction conditions. The results are summarized in Table 2, all reactions proceeded smoothly regardless of the substitution pattern on the aromatic **Table 2.** Substrate scope of Cu(I)-catalyzed *exo*-selective 1,3-DC of various imino esters 1 with α -methylene- γ -butyrolactone 2^{*a*}

R N MeO ₂ C 1	+ 0 <u>C</u>	uBF₄/ L5 (3 mol %) Et₃N (15 mol %) DCM, rt		CO ₂ Me
Entry	R	3	Yield $(\%)^b$	$Ee (\%)^{c}$
1	p-Cl-C ₆ H ₄ (1a)) 3a	88	>99
2	$o-Cl-C_{6}H_{4}(1b)$) 3b	80	98
3	m-Cl-C ₆ H ₄ (1c)) 3c	72	99
4	p-Br-C ₆ H ₄ (1d)) 3d	85	99
5	Ph (1e)	3e	83	99
6	<i>p</i> -Me-C ₆ H ₄ (1f) 3f	78	99
7	o-Me-C ₆ H ₄ (1g) 3g	70	99
8	m-Me-C ₆ H ₄ (1h	i) 3h	75	97
9	p-MeO-C ₆ H ₄ (1	i) 3i	80	99
10	2-furyl (1j)	3j	74	98
11	2-thienyl (1k)	3k	84	96
12	1-Napthyl (11)	31	83	97
13	2-Napthyl (1m)) 3m	85	98
14^d	PhCH ₂ CH ₂ (1n) 3n	62	99
15^{d}	Cy (10)	30	65	96

^{*a*} All reactions were carried out with 0.35 mmol of **1** and 0.23 mmol of **2** in 2 mL DCM for 1-2 h. ^{*b*} Isolated yield. ^{*c*} Determined by HPLC analysis. ^{*d*} Inorganic base CsCO₃ was used, 10 h.

ring to give the corresponding exo-selective spiro-[butyrolactonepyrrolidines] 3 in good yields and with excellent enantio-25 selectivities (96->99% ee) as single diastereomers (entries 1-9). It is noteworthy that comparable results were still achieved for the sterically hindered ortho-chloro and ortho-methyl-substituted imino esters 1b and 1g in terms of diastereo-/enantioselectivity (entries 2 and 7). Additionally, the heteroaryl substituted imino 30 esters 1j and 1k were also tolerated in this transformation leading to 98% and 96% ee, respectively (entries 10 and 11). Similarly, high enantioselectivity was obtained in the reaction of imine 11 and 1m, derived from 1-naphthylaldehyde, 2-naphthylaldehyde with 2 to afford the adducts 31 and 3m with 97% and 98% ee, 35 respectively (entries 12 and 13). Remarkably, less reactive alkyl substituted imino esters worked well in this transformation affording the similarly high level of diastereo-/enantioselectivity (entries 14 and 15).

Having successfully achieved the excellent stereoselectivity in ⁴⁰ the 1,3-dipolar cycloaddition of α -methylene- γ -butyrolactone with glycine derived imino esters, we next turned our attention to the more challenging homoserine lactone derived cyclic imines, from which tricyclic skeleton bearing two quaternery stereogenic centers and two butylactones would be generated simultaneously 45 in this transformation. A wide range of cyclic imino esters 4a-4m, derived from various aromatic or aliphatic aldehydes, reacted smoothly with α -methylene- γ -butyrolactone 2 to afford the corresponding tricyclic adducts 5a-5m in good yields and excellent stereoselectivities (Table 3). Both electron-donating and 50 electron-withdrawing substituents at different positions on the aromatic ring were tolerated (entries 1-9). Interestingly, orthochloro and ortho-methyl-substituted imino esters 4b and 4g gave ee values up to 99% without losing both yields and stereoselectivities (entries 2 and 7). Cyclic imino esters 4k derived from 2-55 naphthylaldehyde also worked well in this transformation to provide 5k with satisfactory result (entry 11). It is noteworthy that better result was achieved for heteroaromatic aldehyde derived imino ester 4j (entry 10). Comparable outcomes were

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also achieved for the less reactive imino ester derived from aliphatic cyclohexanecarbaldehyde and 3-phenylpropanaldehyde, respectively (entries 12 and 13). No cycloaddition reaction occurred when trisubstituted (*E*)-3-benzylidenedihydrofurans 2(3*H*)-one was tested as the dipolarophile probably due to the unfavored steric hindrance and the less reactivity.

Table 3. Substrate scope of Cu(I)-catalyzed *exo*-selective 1,3-DC of various cyclic imino esters **4** with α -methylene- γ -butyrolactone **2**^{*a*}

	+ 2 Cu(I)/L5 (3 mol %) Et ₃ N (15 mol %) DCM, rt	() () () () () () () () () () () () () (
Entry	R	5	Yield $(\%)^{b}$	Ee (%)
1^d	$p-Cl-C_{6}H_{4}(4a)$	5a	82	>99
2	o-Cl-C ₆ H ₄ (4b)	5b	80	>99
3	m-Cl-C ₆ H ₄ (4c)	5c	81	99
4	p-Br-C ₆ H ₄ (4d)	5d	84	99
5	Ph (4e)	5e	83	>99
6	$p-Me-C_{6}H_{4}(4f)$	5f	75	>99
7	$o-Me-C_{6}H_{4}(4g)$	5g	68	>99
8	m-Me-C ₆ H ₄ (4h)	5h	75	>99
9	p-MeO-C ₆ H ₄ (4i)	5i	80	>99
10	2-thienyl (4j)	5i	84	>99
11	2-Napthyl (4k)	5k	82	>99
12^{e}	$PhCH_2CH_2$ (41)	51	60	99
13^e	Cy (4m)	5m	67	97
	• • •			

^{*a*} All reactions were carried out with 0.35 mmol of **4** and 0.23 mmol of **2** in 2 mL solvent for 1-2 h. ^{*b*} Isolated yield. ^{*c*} Ee was determined by HPLC analysis. ^{*d*} The absolute configuration of **5a** was determined as (7R,9R,13S) by X-ray diffraction analysis. ^{*e*} Inorganic base CsCO₃ was used, 10 h.

In summary, we have successfully developed a Cu(I)-catalyzed *exo*-selective 1,3-dipolar cycloaddition reaction of azomethine ylides with α -methylene- γ -butyrolactone to provide a series of ¹⁰ spirocyclic-[butyrolactone-pyrrolidine] bearing one to two quaternary stereogenic centers for the first time (See ESI for the proposed transition states for the *exo*-selectivity). The readily available precursors were emlpoyed under mild conditions for the straightforward construction of highly functionalized bicyclic or

- ¹⁵ tricyclic skeletons with excellent levels of stereocontrol, and the great importance of the enantiomerically enriched spiro-[butyrolactone-pyrrolidine] make the current methodology particularly interesting in synthetic chemistry. Efforts are currently underway to elucidate the mechanistic details and the ²⁰ scope and limitations of this reaction, and the results will be
- reported in due course.

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25 Notes and references

^{*} Crystal data for (7*R*,9*R*,13*S*)-**5a**: C₁₆H₁₆ClNO₄, *M*_r= 321.75, *T* = 293 K, Orthorhombic, space group *P*2₁2₁2₁, *a* = 6.4177(9), *b* = 12.2541(18), *c* = 19.540(3) Å, *V* = 1536.7(4) Å³, *Z* = 4, 2619 unique reflections, final *R*₁ = 0.0805 and *wR*₂ = 0.2547 for 3006 observed [*I*>2 σ (*I*)] reflections, Flack χ ³⁰ = 0.2(2). CCDC 935824.

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