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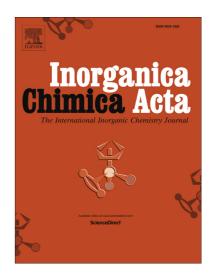
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Synthesis of cobalt(II)- α -diimines complexes and their activity as mediators in organometallic mediated radical polymerization of vinyl acetate

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Abstract

This study describes the synthesis and characterization of three α -diimine-cobalt complexes of the type [CoCl₂(R-DAB)] (R-DAB = R-N=CH-CH=N-R; R =Mes, Dipp and Dipp*) and their application as mediators for the cobalt-mediated radical polymerization (CMRP) of vinyl acetate (VAc) using 2,2'-Azobis(2-methylpropionitrile) (AIBN) as initiator. The complexes were characterised by elemental analyses, FTIR, UV-vis, electron paramagnetic resonance spectroscopy, cyclic voltammetry, and computational methods. The systematic variation of the reaction conditions, such as [initiator]/[Co] and [monomer]/[Co] molar ratios at 65 °C, affected the polymerization rates and the molecular weights, reaching a certain level of control. The VAc polymerization was initiated by AIBN in the presence of DMSO using the α -diimine-cobalt complexes as mediators with [DMSO]/[Co] = 1 at 65 °C. The control over the polymer produced was not improved after the addition of DMSO. Kinetics studies and computational investigations support a tailorable cobalt complex reactivity mainly altered by steric factors of the α -diimine ligands.

Keywords: α-diimine-cobalt complexes, CMRP, vinyl acetate, radical polymerization

1. INTRODUCTION

Since vinyl acetate (VAc) radical polymerization mediated by cobalt (CMRP) was first reported by Jérôme et al.¹, it became more than an answer to those who believed CMRP was efficient only for acrylic monomers; it became the most promising technique to achieve a highly efficient living radical polymerization (LRP) of this monomer.² This methodology belongs to a specific class of controlled polymerization named organometallic-mediated radical polymerization (OMRP).³ Effective control over VAc radical polymerization is desirable due to the fact that poly(vinyl acetate) (PVAc) can be exclusively produced by the radical mechanism⁴ and, as additional motivation, there is a wide range of applications for PVAc, from ordinary applications as additives to paints or adhesive emulsions, to a large variety of conceivable new materials such as amphiphilic structures and nanofibrous material applied to hard tissue engineering.^{2,4-8}

These reasons are sufficient to motivate the exhaustive efforts of many researchers to find an ideal controlling system. It has long been reported by Jérôme and co-workers that [Co(acac)₂] can successfully mediate VAc polymerization, offering great results in terms of narrow molecular weight distribution, high monomer conversion, and linear dependence between molecular weight and conversion. Even before that study, Wayland and co-workers had already reported cobalt porphyrinates that displayed only moderate control over the CMRP of VAc. Recently, Peng et al. have announced the use of [Co(Salen*)] as a suitable controlling agent to promote the radical polymerization of VAc with large monomer conversion and high living character. However, cobalt(II)-α-diimine complexes for controlled radical polymerization of

vinyl acetate have not been reported yet. A general mechanism illustrating the role played by cobalt complexes in CMRP reaction is presented in Scheme 1.

Scheme 1. General mechanism of cobalt-mediated radical polymerization.

$$P_n$$
—[Co^{III}] k_{ac}
 P_n + [Co^{II}]

Preformed or generateded in situ

Monomer

Thereby, to contribute to the advancement of research in CMRP of VAc, we herein report, for the first time, vinyl acetate radical polymerization mediated by cobalt(II)-α-diimines. α-Diimine ligands are molecules that have been extensively studied by Koten and Vrieze. This type of ligand presents a 1,4-diaza-1,3-butadiene skeleton (N=C-C=N) that has aroused much interest owing to its versatile coordination behaviour. When these ligands pertain to the class of 1,4-disubstituted 1,4-diaza-1,3-butadienes (RN=CH-CH=NR), they are frequently represented by the label R-DAB, where R is the functional group attached to the azomethine groups.

These ligands are bidentate, very rigid, and can easily have their backbone changed to modify the electronic and steric effects at the metal centre. Horozoff Moreover, diazabutadiene (or α -diimine) ligands are able to stabilize metal centres in formally low oxidation states (such as cobalt II or III); this is a direct consequence of the presence of a low energy ligand-based π^* orbital able to accept electron density from the metal, establishing a π back-bonding. Fe(II) and Ni(II) complexes bearing α -diimine ligands have already been reported as catalysts for atom transfer radical polymerization of styrene and acrylic monomers, OMRP of VAc, and even α -

diimine-Co(II) complexes were applied as catalysts on polymerizations of other monomers such as ethylene¹⁹ and 1,3-butadiene.²⁰

This study describes the synthesis and characterization of α -diimine Co(II) complexes and their application as controlling agents for CMRP of VAc initiated by AIBN (2,2'-Azobis(2-methylpropionitrile)). The influences of the ligand structure, focusing the steric hindrance at the cobalt centre, were assessed by modification of the substituent on the 1 and 4 ligand positions. Different conditions of reaction time, concentrations of VAc and AIBN as initiator, as well as the use of DMSO as additive were investigated.

2. RESULTS AND DISCUSSION

2.1. Synthesis and characterization

α-Diimines are excellent ligands for four-coordinate cobalt complexes due to their ease of preparation and their versatility to modification. They are readily prepared in high yield from the condensation of 2 equiv of the appropriate amine with 1 equiv of glyoxal. The [Co(R-DAB)] complexes used in this study are shown in Scheme 2 (Mes = 2,4,6-trimethylphenyl; Dipp = 2,6-diisopropylphenyl; Dipp* = 2,6-diiphenylmethyl)-4-methylphenyl). The complexes **2a-c** were prepared in good yields from the metallation of the corresponding R-DAB ligands with CoCl₂ under mild conditions by stirring and heating at 40 °C (Scheme 3). The FTIR and ¹H and ¹³C NMR spectroscopic characteristics of the ligands **1a-c** have been described in previous reports. The complexes **2a-c** were characterized by elemental analyses, cyclic voltammetry, FTIR, UV–Vis, EPR spectroscopy, and computational methods.

The experimental section shows the results of the elemental analyses (C, H, and N); the results agree with those calculated for the suggested formula. Single crystals of the compounds could not be isolated from any organic solution, thus no definite structures can be described. However, the analytical and spectroscopic data enable us to predict possible structures. The FTIR spectra of ligands **1a-c** indicate absence of peaks attributable to carbonyl and amino groups, and the appearance of new peaks between 1624-1612 cm⁻¹ is assigned to $\nu(C=N)$ stretching vibration (Table 1). These peaks confirm the formation of the corresponding azomethine group. The latter bands are also observed in the FTIR spectra of complexes **2a-c** in the range 1630-1590 cm⁻¹.

These bands in the complexes are shifted to lower wavenumbers when compared with the ligands, suggesting the participation of azomethine nitrogen atoms in the coordination. Additional bands between 260 and 290 cm $^{-1}$ are also observed in the spectra of all complexes assigned to $\nu(\text{Co-N})$ stretching vibration, which confirms the coordination of azomethine nitrogen atoms to the Co centre. The bands in the region of 300-345 cm $^{-1}$ are assigned to $\nu(\text{Co-Cl})$ stretching vibrations. This assignment is quite reasonable and in agreement with previous assignments for related tetrahedral complexes.

The electronic spectra of the free ligands and cobalt(II) complexes were recorded in CH₂Cl₂. The absorption spectra of **1a-c** exhibit absorption peaks at the regions of 259 nm and 297-365 nm (Table 1). The bands at higher energy arise from n- π^* transitions and are associated with the azomethine chromophore, whereas the bands at lower energy are attributable to π - π^* transitions within the phenyl rings.³⁵ All compounds display an intense band at approximately 230 nm; this excitation is attributed to the π - π^* transitions arising from the azomethine group.^{16,17} The absorption spectra of **2a-c** exhibit excitations between 260-326 nm, which are assignable to ligand-to-cobalt(II) charge transfer. Two transitions that give rise to broad bands between 502 and 631 nm are also observed: The absorption at higher energy occurs due to cobalt(II)-to-ligand charge transfer and the absorption at lower energy is attributed to metal-ligand bonding-to-antibonding transition, the latter transition is provided by the mixing between the metal d π and R-DAB ligand p π^* orbitals (π backbonding).³⁶

Scheme 2. Structures of cobalt(II)- α -diimines complexes used to mediate vinyl acetate radical polymerization in this work.

Scheme 3. Synthesis of complexes 2a-c.

(1a,2a) R = 2,4,6-trimethylphenyl (Mes)

(1b,2b) R = 2,6-diisopropylphenyl (Dipp)

(1c,2c) R = 2,6-bis(diphenylmethyl)-4-methylphenyl (Dipp*)

Table 1. Infrared and electronic absorption data for R-DAB ligands and [CoCl₂(R-DAB)] complexes.

Ligand/Complex	FT	TIR (cm ⁻¹)	UV-Vis (nm)		
g	Ligand	Complex	Ligand	Complex	
1a/2a	1612	1593 ν(C=N)	236 (π-π*)	234 (π-π*)	
		343 ν(Co-Cl)	259 (n-π*)	326 (LMCT)	
		312 v(Co-Cl)	365 (π-π*)	502 (MLCT)	
		280 ν(Co-N)	5	$592(d\pi-\pi^*)$	
1b/2b	1624	1600 ν(C=N)	231 (π-π*)	231 (π-π*)	
		310 ν(Co-Cl)	256 (n-π*)	323 (LMCT)	
		300 ν(Co-Cl)	357 (π-π*)	406 (MLCT)	
		279 v(Co-N)		631 (dπ-π*)	
1c/2c	1624	1630 ν(C=N)	231 (π-π*)	231 (π-π*)	
		312 v(Co-Cl)	259 (n-π*)	260 (LMCT)	
		297 v(Co-Cl)	297 (π-π*)	521 (MLCT)	
18		279 v(Co-N)		626 (dπ-π*)	

2.2. EPR spectra

EPR spectra of complexes 2a-c were recorded at T = 5 K from powder samples (not shown) and from the frozen CH_2Cl_2 solutions of the samples that are shown in Fig. 1. All samples presented a broad signal characteristic of Co^{II} ions with a high spin S=3/2 configuration in distorted tetrahedral symmetry. Powder and frozen solution spectra differed only in the linewidth values because magnetic dipolar interactions among neighbours

broadened the powder EPR spectra. EasySpin,³⁷ a package of programs working under Matlab,³⁸ was used to simulate the experimental spectra. The spin Hamiltonian describing these systems is

$$\mathbf{H}_0 = \mu_{\mathbf{B}} \mathbf{B} \cdot (\mathbf{g} \cdot \mathbf{S}) + \mathbf{S} \cdot \mathbf{D} \cdot \mathbf{S} , \qquad (1)$$

where μ_B is the Bohr magneton, **B** is the applied magnetic field, **g** is the giromagnetic tensor and **D** is the zero field splitting expressed by the two components *D* and *E* (axial and rhombic terms, respectively). The ratio E/D expresses the distortion of the symmetry and is usually limited to the interval $0 \le E/D \le 1/3$, where 0 indicates perfect axial symmetry and 1/3 indicates the maximum distortion in the z-direction ($|D_{zz}|$ or $|D_{33}|$) and minimum in the x-direction ($|D_{II}|$). The spectra are characteristic of transitions in the lower $|3/2, \pm 1/2\rangle$ doublet populated at low temperatures. Simulations of the frozen solution spectra were first performed considering effective g' values for an S'=1/2 effective spin in order to estimate the E/D values¹⁸ and are shown in Fig. 1. Simulations of the spectra at T = 5 K using Equation 1 for Co^{II} ions with a high spin S=3/2 configuration and distorted tetrahedral symmetry were used to estimate the minimum value of D parameters.

The EPR parameters obtained from these simulations are described in Table 2. The best simulations gave g values and D values in agreement with the references^{18,39-41} despite the uncertainty imposed by the broad linewidths. E/D ratios are similar for the three complexes studied and indicates a rhombic distortion in the range 22%-35% in comparison with the maximum distortion (E/D=1/3).

2.3. Theoretical calculations

The optimized structures of the pseudo-tetrahedral Co(II)- α -diimine complexes are presented in Fig. 2. Relevant bond distances and angles of the studied complexes are

presented in Table 3. The atoms follow the numbering shown in Fig. 4. As expected, all these complexes form distorted tetrahedrals. The Co-Cl distances are longer than Co-N distances, and the solvation of these complexes tends to increase some geometrical parameters, enlarging the distortion of the tetrahedral structure. The data in Table 2 suggests that the dihedral angle (N-C-C-N) of the five-membered ring involving the Co(II) and the nitrogen atoms is strongly influenced by the amount and size of the substituents present in the phenyl groups. The parameters g1, g2, and g3, obtained theoretically, are consistent with what is expected of species submitted to a field of rhombic symmetry 18,40 and compatible with pseudo-tetrahedral Co(II) complexes that display the general formula CoL₂X₂.³⁹ Regarding the parameter E/D, although smaller than the values estimated from experimental parameters, the theoretical values also suggest a high rhombic distortion, similarly to the experimental values. It should be emphasized that, in an environment with maximum rhombicity, the ratio E/D is limited to 0.33. 42 Analysis of the geometric parameters estimated for these compounds, either isolated or solvated (Table 3), shows that they are distorted tetrahedrals in the vicinity of Co (II). It can also be noticed that the two substituent groups anchored to the aromatic structures attached to these rings play no determining role on the distortion and general geometry of these complexes. However, although in a small extension, tension is reduced for the solvated structures especially between cobalt and chlorides.

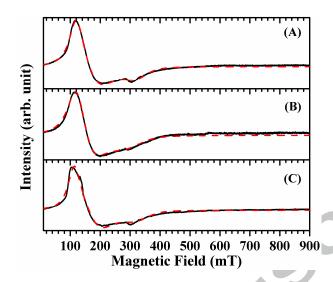


Figure. 1. Frozen CH₂Cl₂ solution EPR spectra (—) and simulations (- - -) of 2a (A), 2b (B) e 2c (C).

Table 2. EPR parameters obtained from EPR spectra and using DFT single-point calculations.

Sample	g1	g2	g3	Linewidtha	H Strain ^b	D ^c	E/D
2a	5.3543 ^e	4.0012 ^e	2.0707 ^e	52	(0, 66, 59)	> 6	0.096
REVPBE ^d	2.0571	2.1119	2.0804			-4.01	0.026
2 b	5.4162 ^e	4.3435 ^e	2.2440 ^e	71	(0, 42, 72)	>6	0.073
REVPBE	2.0569	2.1129	2.0807			-4.07	0.029
2 c	5.6826 ^e	3.9754 ^e	2.0509 ^e	46	(0, 68, 74)	> 6	0.118
REVPBE	2.0819	2.3787	2.0784			-20.74	0.038

^a linewidth in mT; ^b anisotropic broadening in mT for the directions of g1, g2 and g3; ^c D in cm⁻¹; ^dthe GGA functional REVPBE was used to calculate g1, g2, g3, and D and E/D were estimated using the diagonal terms of the ZFS tensor,⁴² calculated using the functional BP86⁴³; ^e effective g values.

It should be emphasized that the angles Cl-Co-Cl shown in Table 3 for the non-solvated complexes, of approximately 137° for the complexes **2a** and **2b**, correspond to a deviation of nearly 23% with respect to the expected for a tetrahedral compound based on Co(II), Fig. 3, consistent with the rhombic distortion in the range of 22%-35% in comparison with the maximum distortion⁴². For complex **2c**, the lowest value found for the Cl-Co-Cl angle (129°) is due to the angular tension caused by the groups diphenylmethyl, Fig. 2, leading to a minor deviation (16%). In contrast, for the angle N-Co-N, the estimated deviations with respect to the expected in a non-distorted tetrahedron (103°) are larger: 32% for **2a** and **2b**, and 29% for **2c**, respectively.

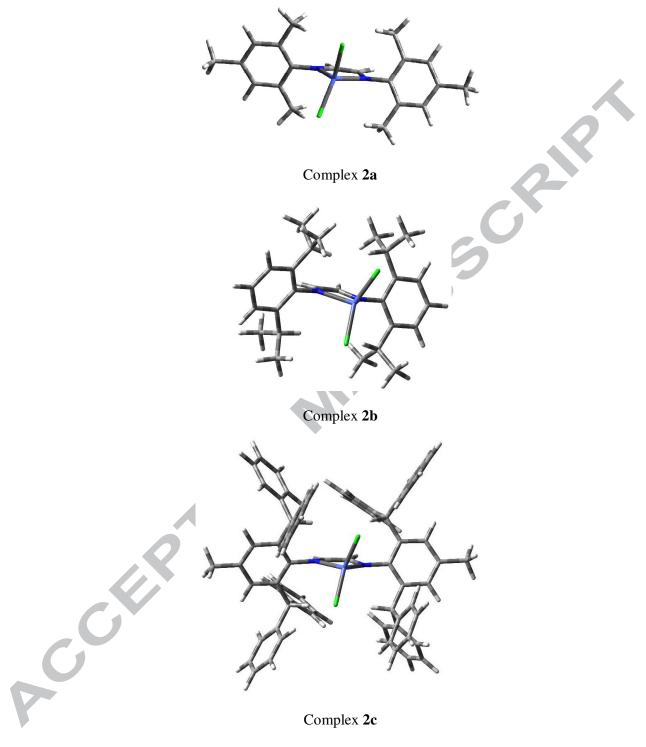


Figure 2. Views of the optimized structures of complexes 2a, 2b, and 2c.



Figure. 3. Representation of the tetrahedral compound Co(NH₂)₂Cl₂: the angles Cl-Co-Cl and N-Co-N are, respectively, 110.96° and 103.31°. This structure was optimized under conditions similar to those used in the structural optimization of the other complexes.

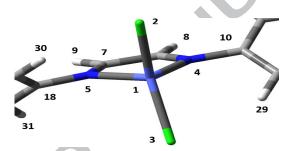


Figure 4. Representation of the central part of the complexes showing the numbered atoms. Colours of the atoms: white for hydrogen, grey for carbon, blue for nitrogen, light blue for cobalt, and green for chlorine.

Table 3. Relevant bond distances and angles related to the studied Co(II) complexes in the gas phase. The values in parenthesis are related to the complex in dichloromethane.

Geometric parameter	Complexes				
Geometric parameter	2a	2b	2c		
d(Co-Cl ₍₃₎), Å	2.2249 (2.2620)	2.2235 (2.2600)	2.2170 (2.2630)		
$d(\text{Co-Cl}_{(2)}),\mathring{A}$	2.2250 (2.2622)	2.2235 (2.2600)	2.2170 (2.2588)		
$d(\text{Co-N}_{(5)}), \mathring{A}$	2.1020 (2.1140)	2.1034 (2.1196)	2.1190 (2.1167)		
$d(\text{Co-N}_{(4)}),\mathring{A}$	2.1015 (2.1143)	2.1036 (2.1197)	2.1191 (2.1080)		
$d(C_{(18)}-N_{(5)}), Å$	1.4243 (1.4192)	1.4272 (1.4212)	1.4264 (1.4175)		
$d(C_{(10)}-N_{(4)}), Å$	1.4244 (1.4189)	1.4271 (1.4212)	1.4264 (1.4204)		
$\Theta(\text{Cl}_{(3)}\text{-Co-Cl}_{(2)}),^{\circ}$	137.252 (119.082)	137.485 (118.466)	128.644 (117.538)		
$\Theta(N_{(5)}$ -Co- $N_{(4)})$, °	78.284 (79.791)	78.290 (79.681)	80.222 (80.353)		
$\Theta(\text{Cl}_{(3)}\text{-Co-N}_{(5)}),^{\text{o}}$	111.853 (120.084)	101.000 (106.142)	102.057 (105.497)		
$\Theta(\text{Cl}_{(2)}\text{-Co-N}_{(4)}),^{\text{o}}$	111.646 (120.240)	100.978 (106.141)	102.049 (107.122)		
$D(N_{(5)}C_{(7)}-C_{(6)}N_{(4)}),^{o}$	-9.222 (-12.251)	8.696 (11.185)	9.817 (8.439)		

2.4. Cyclic Voltammetry

The electrochemical activity of the complexes $2\mathbf{a}$ - \mathbf{c} was studied by cyclic voltammetry in scan rate of 100 mV s⁻¹ in CH₂Cl₂ solution containing 0.1 M n-Bu₄NPF₆ as supporting electrolyte. Under these conditions the redox potential ($E_{1/2}$) for the Fc/Fc⁺ couple occurred at 470 mV, with $\Delta E_p = 208$ mV; results for $2\mathbf{a}$ - \mathbf{c} are collected in Table 4. $E_{1/2}$ values lie in the range from -269 to -630 mV, indicating that the Co(II) centres are readily oxidized and potentially suited to facile organo-cobalt(III) formation (Table 4).⁴⁴ Their peak-to-peak separations (ΔE_p) are lower to the ferrocene-ferrocenium couple, indicating that these

 Co^{II}/Co^{III} couples are facile and reversible. The peak-to-peak separation, $\Delta E_{\rm p}$, is somewhat larger than the canonical value for ideal Nernstian behavior (59 mV), indicating some reorganization of the coordination sphere of the cobalt centre. This may reflect preferred coordination geometries for each redox state, Co^{II} , d^7 and Co^{III} , d^6 . One-electron redox processes in the region between -700 and -250 mV and an irreversible oxidation process above 750 mV were observed for all complexes. The first redox process can be assigned to the Co^{II}/Co^{III} metal centre and the more positive oxidation potential is assigned to the arylimine moieties. Overall, there is a clear shift in the Co^{II}/Co^{III} redox potentials towards more positive values as the electron-withdrawing ability of the aryl substituents is increased (Dipp* > Mes > Dipp).

Table 4. Cyclic voltammetry^a results for complexes 2a-c in the absence/presence of DMSO.

	Absence of	Absence of DMSO		\mathbf{DMSO}^b
	$E_{1/2} (E_{\rm ox})/{\rm mV}$	$\Delta E_{\rm p}/{ m mV}$	$E_{1/2}$ ($E_{\rm ox}$)/mV	$\Delta E_{\rm p}/{ m mV}$
2a	-323 (-279)	87	-313 (-279)	68
2 b	-630 (-585)	91	-658 (-615)	86
2c	-269 (-223)	92	-307 (-268)	77

^a Conditions: CH₂Cl₂, n-Bu₄NPF₆ (supporting electrolyte, 0.1 mol L⁻¹), [Co] = 5 mmol L⁻¹, scan rate = 100 mV s⁻¹), platinum disk and wire (working and auxiliary electrode), Ag/AgCl (reference electrode). $E_{1/2}$ is the half-wave potential for the complex; ΔE_p is the cathodicanodic peak separation. ^b [DMSO]/[Co] = 1.

2.5. CMRP of VAc

The complexes 2a-c were used as mediators for the polymerization of VAc in bulk at 65 °C. To begin this study, a variation of [initiator]/[Co] ratio was performed to find the best reaction condition to control the production of radicals. The effect of the [AIBN]/[Co] molar ratio on the VAc conversion is illustrated in Fig. 5. The conversion values showed favourable dependence on the [AIBN]/[Co] molar ratio in the range from 0.5 to 11.5 at 65 °C for 12 h. The conversions increase exponentially throughout the evaluated concentration range in all cases. Co(II)- α -diimines (2a-c) mediated VAc polymerization seem to be mainly controlled by degenerative transfer (DT) process as well since this polymerization requires an extra equivalent of radicals, once only reach low monomer conversion with [AIBN]/[Co] = 0.5. M_n values for 2a-b show a curve with profile similar to those of conversion, which presents an increase in molecular weights of polyVAc up to [AIBN]/[Co] = 11.5, whereas for 2c the M_n values reach a maximum at 3.25 followed by a drop for higher ratios. For [AIBN]/[Co] ratio ≥ 1.5 , the CMRP mechanism becomes mostly DT, which requires the rapid exchange of the propagating radicals by the controlling agents in order to minimize the termination reactions. For complex 2c the increase of the ratio [AIBN]/[Co] from 3.25 decreases the Mn values, even though an increase in the conversion values is observed. This clearly indicates that the greater steric hindrance in the complex 2c prevents the deactivation of the propagating radicals and favours the chain termination reactions, which consequently results in lower molecular weights.

For [AIBN]/[Co] = 3.25, narrow (M_w/M_n = 1.40-1.42) molecular weight distributions of polyVAc were obtained with 2a and 2b, whereas a broad distribution (M_w/M_n = 2.10) was obtained with 2c. An increase of AIBN concentration resulted in polymers even more disperse, with D values higher than 1.5. Therefore, considering the good results obtained, this AIBN concentration ([AIBN]/[Co] = 3.25) was selected for the upcoming studies.

The effect of the [VAc]/[Co] molar ratio on the conversion of isolated polymer is presented in Table 5. Polymerization appeared to be sensitive to the monomer/mediator ratio, with a decrease in the conversion values of polyVAc with the increase of the [VAc]/[Co] ratio for all complexes. Furthermore, an increase in the [VAc]/[Co] ratio resulted in broader D and molecular weights higher than the predicted ones. This can be explained by the fact that higher [VAc]/[Co] ratio imply in a decrease in the concentration of AIBN, and thus the concentration of radicals. With declined radical concentration, the polymerization rate decreases as well as the VAc conversion. The experimental molecular weights closer to the theoretical values with narrowest D values were obtained with [VAc]/[Co] = 542, suggesting the most satisfactory VAc concentration for the upcoming studies.

CMRP of VAc mediated by $2\mathbf{a}$ - \mathbf{c} as a function of time under established optimal conditions ([VAc]/[AIBN]/[Co] = 542/3.25/1 at 65 °C) showed a similar length of induction period (~270 min) and first order kinetic plots with different slopes, as assessed by the linear dependence of $\ln([M]_0/[M]_t)$ versus time (Fig. 6). The slope in the first-order kinetic plots allowed us to calculate the kinetic constants of reactions mediated by each one of the complexes ($k_{\text{obs}} = 1.96 \times 10^{-5} \text{ s}^{-1}$ for $2\mathbf{a}$, $k_{\text{obs}} = 1.62 \times 10^{-5} \text{ s}^{-1}$ for $2\mathbf{b}$, and $k_{\text{obs}} = 2.55 \times 10^{-5} \text{ s}^{-1}$ for $2\mathbf{c}$). The induction period was rationalized as

the time required to form organo-Co(III) complexes from the Co(II) species and radicals. 45,46 Nevertheless, the theoretical molecular weights predicted were higher than those obtained experimentally. The molecular weight distribution curves were monomodal throughout the polymerization (Fig. S14). A linear increase of molecular weight with conversion (Fig. 7), coupled with Ds < 1.43, illustrate a certain control that 2a-b exert over polymerizations of VAc. In contrast, the molecular weight of polyVAc did not increase linearly as a function of VAc conversion and presented high D values when mediated by 2c. The molecular weights were high from the beginning, much higher than the calculated values, and did not depend linearly on conversion. Shaver and co-workers conducted a systematic variation of ligand substituents in cobalt complexes correlating with their redox potential for a better understanding of the role of the metal-carbon bond strengths towards improved control and tunability of polymerisations.⁴⁷ The studies pointed out that an increase in the electron density around the metal centre (lower redox potential) favours the increase of the conversion, but to a loss of controllability in the polymerization. When evaluating the mediating efficiency of complexes 2a-c, better control levels were achieved with the complexes with lower redox potential. However, it is clear that steric effects also play an important role in determining the reactivity of complexes 2a-c. A smaller discrepancy between observed and calculated molecular weight, and narrower molecular weight distribution were obtained for less sterically hindered complexes. It can be proposed that higher steric hindrance restricts the equilibrium between the mediator and the propagating radical species.

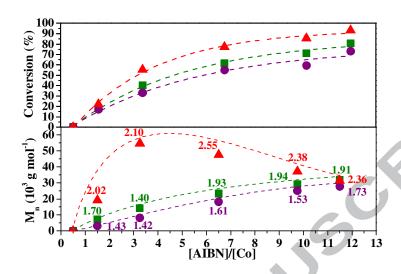


Fig. 5 Dependence of conversion and M_n values on the [AIBN]/[Co] ratio for CMRP of VAc with $\mathbf{2a}$ (\blacksquare), $\mathbf{2b}$ (\bullet) and $\mathbf{2c}$ (\blacktriangle); [VAc]/[Co] = 542 with 40 μ mol of complex in bulk at 65 °C for 12 h. The numbers correspond to the D values for each run.

Table 5. Bulk polymerization of VAc with different amounts of VAc at 65°C in 12 h.

Entry	[Co ^{II}]/[AIBN]/[VAc]	Complex	Conv. %	M _{n,GPC}	M _{n,th} ^a	Ðb
1	1/3.25/271	2a	42	16800	9800	1.60
2		2 b	50	10200	11700	1.73
3		2c	72	67500	16800	2.28
4	1/3.25/542	2a	40	14300	18700	2.12
5		2 b	33	8200	15400	1.42
6		2c	55	54600	25700	2.10
7	1/3.25/1084	2a	12	13800	11200	2.28
8		2 b	19	3200	17700	2.10
9		2c	25	46500	23300	2.29

 $^{^{}a}M_{n,th} = [VAc]_{0}/[Co]_{0} \times M_{W(monomer)} \times conv \ (\%); \ ^{b}D = M_{w,gpc}/M_{n,gpc}.$

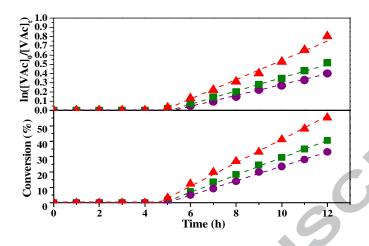


Figure 6. Dependence of $\ln([VAc]_0/[VAc]_t)$ conversion on the reaction time for CMRP of VAc with **2a** (\blacksquare), **2b** (\bullet) and **2c** (\blacktriangle); [Co]/[AIBN]/[VAc] = 1/3.25/542 with 40 μ mol of complex in bulk at 65 °C.

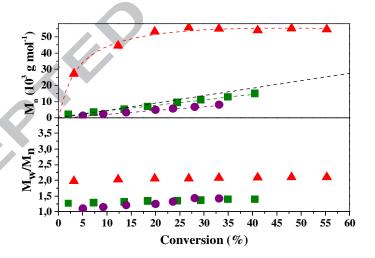


Figure 7. Dependence of M_n and Φ on the conversion for CMRP of VAc with $\mathbf{2a}$ (\blacksquare), $\mathbf{2b}$ (\bullet) and $\mathbf{2c}$ (\blacktriangle); [Co]/[AIBN]/[VAc] = 1/3.25/542 with 40 μ mol of complex in bulk at 65 °C; $M_{n(theor)}$ (black dashed-line).

CMRP of vinyl monomers in the presence of DMSO mediated by organocobalt has already been reported as a strategy to achieve better levels of control in polymerization. 48-52 These studies suggest that the coordination of DMSO to the Co centre exerts a trans effect to facilitate the weakening of the C-Co bond in the axial plan, favoring the exchange of radicals by the reversible termination mechanism. In light of this results, VAc polymerization was initiated by AIBN in the presence of DMSO using 2a-c as mediators with [DMSO]/[Co] = 1 at 65 °C. Using this ratio, a DMSO molecule coordinates to the cobalt centre and the VAc polymerization, previously conducted by the DT pathway, is reverted to a reversible termination (RT) mechanism due to the blocked reaction site. All three Co(II) complexes were able to mediate the radical polymerization of VAc, but with different rates and levels of control, under these conditions. The conversion curves evidence a shorter induction period as compared with CMRP of VAc in the absence of DMSO in all cases (Fig. 8). Ds were actually broader, with more deviation between theoretical and experimental molecular weights indicating that the VAc polymerizations in the presence of DMSO mediated by 2a-c were not preventing termination reactions. The polymerization control has not demonstrated to be improved, as can be verified by the molecular weight deviation and the broader molecular weight distribution (Fig. 9).

In order to further investigate the reason why the polymerization control was not improved, we investigated the complexes 2a-c in the presence of DMSO. The new Co species formed in solution in the presence of DMSO ([DMSO]/[Co] = 1) were identified by cyclic voltammetry. Experimentally determined electrochemical parameters for complexes 2a-c with DMSO are collected in Table 4, which shows the half-wave potentials ($E_{1/2}$) and peak-to-peak separation (ΔE). The ΔE for all complexes with DMSO is something lower than without

DMSO, indicating an increase in the redox reversibility (Table 4). The ΔE (with or without DMSO) are comparable to the ferrocene-ferrocenium couple, indicating that these Co^{II}/Co^{III} couples are facile and reversible. Further, a computational investigation was carried out to investigate the coordination mode and arrangement of the DMSO and VAc radical ligands in the Co center (**2a-c**) (Fig. 10). As can be verified, DMSO, bonded through O, and VAc radical ligands are *cis*-positioned each other around the Co centre according to a distorted octahedron, strongly influenciated by the five-membered ring.

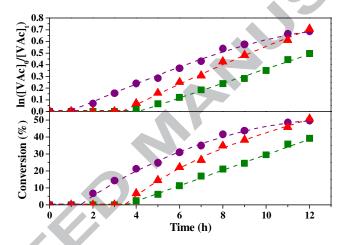


Figure 8. Dependence of $\ln([VAc]_0/[VAc]_t)$ and conversion on the reaction time for CMRP of VAc with 2a (\blacksquare), 2b (\bullet) and 2c (\blacktriangle); [Co]/[AIBN]/[VAc]/[DMSO] = <math>1/3.25/542/1 with 40 µmol of complex in bulk at 65 °C.

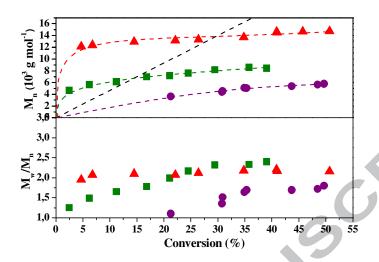


Figure 9. Dependence of M_n and $\mathfrak D$ on the conversion for CMRP of VAc with 2a (\blacksquare), 2b (\bullet) and 2c (\blacktriangle); [Co]/[AIBN]/[VAc]/[DMSO] = 1/3.25/542/1 with 40 μ mol of complex in bulk at 65 °C. $M_{n(theor)}$ (black dashed-line).

Such structures have multiplicity M=3 (triplet) as a result of the incorporation of VAc as a free radical. Different from the expected, results of the calculations involving the VAc radical and DMSO-Co- α -diimine complexes show a geometric arrangement different from that reported by Debuigne et al.⁵² These results help explain why the VAc polymerization in the presence of DMSO was inadequately controlled by complexes **2a-c**. In addition to the lack of *trans*-labilizing effect in the axial plan in these complexes to facilitate the weakening of the C-Co bond, the arrangement involving the VAc radical and DMSO-Co- α -diimine complexes exerts greater steric hindrance in the Co centre, which hinders the trapping of the propagating radical.

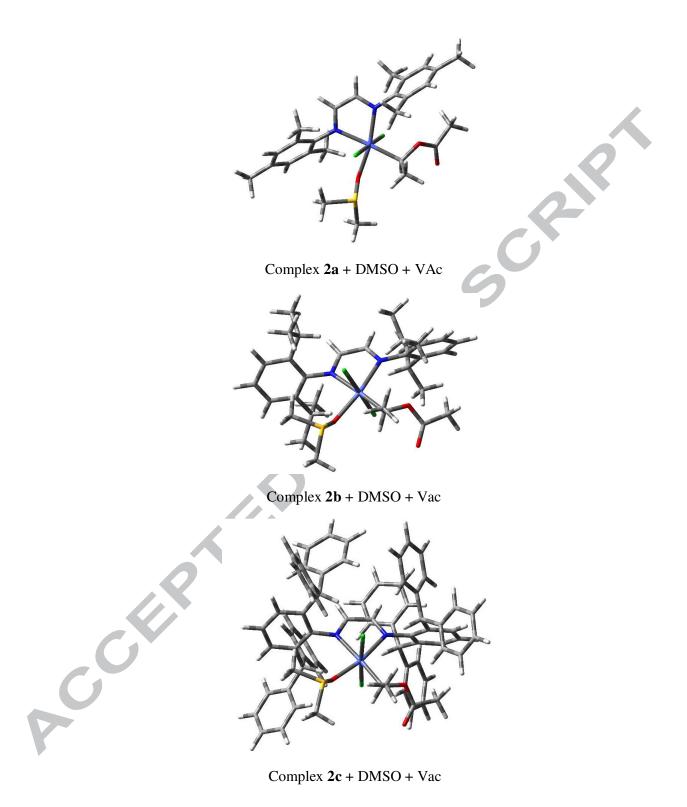


Fig. 10 Representation of DMSO and VAc coordinated to the complexes **2a** (A), **2b** (B), and **2c** (C).

Table 6. Thermodynamic parameters determined to the cobalt complexes [Co-VAc] e [Co-VAc-DMSO].

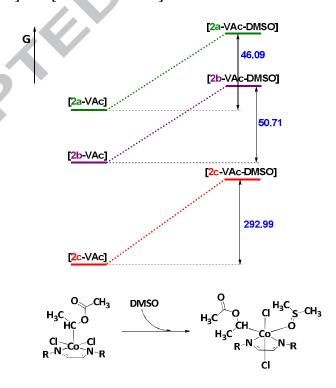
Complexes	ΔG ^a (kJ/mol)	ΔH (kJ/mol)	TΔS (kJ/mol)
[2a-VAc]	49.74	-22.59	-72.33
[2a-VAc-DMSO]	95.83	-24.00	-119.83
[2b-VAc]	37.92	-36.53	-74.45
[2b-VAc-DMSO]	88.63	-38.04	-126.67
[2c-VAc]	-367.33	-228.59	138.34
[2c-VAc-DMSO]	-74.34	-228.64	-154.30

^aThe thermodynamic parameters were calculated from the following chemical equations:

 $Co-\alpha$ -dimines + $VAc \rightarrow [Co-VAc]$

 $Co-\alpha$ -diimines + VAc + DMSO \rightarrow [Co-VAc-DMSO]

Scheme 4. Gibbs free energy diagram relating the differences between the energies of complexes [Co-VAc] and [Co-VAc-DMSO]



These statements can be further confirmed by the comparison between the thermodynamic parameters obtained to VAc bonded to the Co complexes in the presence and absence of DMSO (Table 6, Scheme 4). According to the calculations, the variation of ΔG between the [(2a-c)-VAc] and [(2a-c)-VAc-DMSO] species shows that the alkyl-Co complexes obtained in the presence of DMSO are much less favoured than those in the absence of DMSO. The most important factor contributing to achieve the more thermodynamically favoured species is the entropy required to bring together the initial complex and the alkyl-Co complex.

3. CONCLUSION

Three cobalt(II)- α -diimine complexes (**2a-c**) were prepared and studied by FTIR, UV-Vis, cyclic voltammetry, electron paramagnetic resonance spectroscopy, and computational methods. The DT-CMRP of VAc mediated by **2a-c** as a function of time under established optimal conditions ([VAc]/[AIBN]/[Co] = 542/3.25/1 at 65 °C) were first order in monomer, as assessed by the linear dependence of $\ln([M]_0/[M]_t)$ versus time. The kinetics constant was measured by the slope of the linear graph, $\ln[VAc]_0/[VAc]_t$ versus time ($k_{obs} = 1.96 \times 10^{-5} \text{ s}^{-1}$ for **2a**, $k_{obs} = 1.62 \times 10^{-5} \text{ s}^{-1}$ for **2b**, and $k_{obs} = 2.55 \times 10^{-5} \text{ s}^{-1}$ for **2c**). The data show smaller discrepancy between the observed and calculated molecular weights, and narrower molecular weight distribution were obtained for less sterically hindered complexes. Computational investigations and electrochemical studies associated with polymerization kinetics allowed us to comprehend how steric effects may modulate the catalytic reactivity of **2a-c**. The RT-CMRP of VAc mediated by **2a-c** induced by the presence of DMSO, at proportion

[Co]/[AIBN]/[VAc]/[DMSO] = 1/3.25/542/1, was conducted at 65 °C. However, no improvement was observed in polymerization control, as verified by the molecular weight deviation and the broader molecular weight distribution. DFT results suggest that the Obonded DMSO and VAc radical ligands are *cis*-positioned each other around the Co centre according to a distorted octahedron. Perhaps, this arrangement involving the VAc radical and DMSO-Co-diimine complexes exerts greater steric hindrance in the Co centre, which hinders the trapping of the propagating radical.

4. EXPERIMENTAL SECTION

4.1. General remarks

All reagents were purchased from Aldrich Chemical Co. All reactions and manipulations were performed under nitrogen atmosphere using standard Schlenk techniques. Vinyl acetate (VAc) (>99%) was washed with 5% NaOH solution, dried over anhydrous Mg₂SO₄, degassed by several freeze-thawing cycles before being distilled from CaH₂ and stored at -18 °C under nitrogen. Tetrabutylammonium hexafluorophosphate (*n*-Bu₄NPF₆) and 2,2'-Azobis(2-methylpropionitrile) solution (AIBN) (0.2 M in toluene) were used as acquired.

4.2. Synthesis of ligands (R-DAB)

The α -diimine ligands (Mes-N=CH-CH=N-Mes) (**1a**) and (Dipp-N=CH-CH=N-Dipp) (**1b**) were synthesized using a modification of the preparation described by Arduengo³², involving treatment of the respective solution of amine (28 mmol, 2.15 equivalents) in *n*-propanol with glyoxal 40% (13 mmol, 1 equivalent) in *n*-propanol

(50% in water). The ligand (Dipp*-N=CH-CH=N-Dipp*) (1c) was prepared as described by Mark 6^{33} . All these α -diimines were yielded as yellow powders.

Ligand **1a**: Yield: 83%; (a) UV–Vis: $\lambda_{\text{max}(n)}$ (nm), $\varepsilon_{\text{max}(n)}$ [M⁻¹ cm⁻¹]: $\lambda_{\text{max}(1)}$ (236), $\varepsilon_{\text{max}(1)}$ [99150]; $\lambda_{\text{max}(2)}$ (259), $\varepsilon_{\text{max}(2)}$ [66670]; $\lambda_{\text{max}(3)}$ (365), $\varepsilon_{\text{max}(3)}$ [27532]; (b) IR (KBr): v_x (cm⁻¹): $v_{\text{C=N}}$ (1612); (c) ¹H NMR (CDCl₃, δ) : 8.10 (2H, -C=N), 6.91 (4H, H_{aryl}), 2.30 (6H, -CH₃), 2.16 (12H, -CH₃); (d) ¹³C NMR (CDCl₃, δ) : 163.48 (C=N), 147.44 (C_{Ar}–N), 134.25, 128.98, 126.55, 20.76 (p-CH₃), 18.20 (o,o'-CH₃).

Ligand **1b**: Yield: 70%; (a) UV–Vis: $\lambda_{\text{max}(n)}$ (nm), $\varepsilon_{\text{max}(n)}$ [M⁻¹ cm⁻¹]: $\lambda_{\text{max}(1)}$ (231), $\varepsilon_{\text{max}(1)}$ [90330]; $\lambda_{\text{max}(2)}$ (256), $\varepsilon_{\text{max}(2)}$ [38660]; $\lambda_{\text{max}(3)}$ (357), $\varepsilon_{\text{max}(3)}$ [10435]; (b) IR (KBr): v_x (cm⁻¹): $v_{\text{C=N}}$ (1624); (c) ¹H NMR (CDCl₃, δ) : 8.10 (2H, -C=N), 7.18 (4H, H_{aryl}), 7.17 (2H, H_{aryl}), 2.94 (4H, -CH(CH₃)₂), 1.20 (24H, -CH(CH₃)₂); (d) ¹³C NMR (CDCl₃, δ) : 163.07 (C=N), 147.99 (C_{Ar}–N), 136.69, 125.09, 123.15, 28.03 (-CH(CH₃)₂), 23.36 (-CH(CH₃)₂).

Ligand **1c**: Yield: 85%; (a) UV–Vis: $\lambda_{\text{max}(n)}$ (nm), $\varepsilon_{\text{max}(n)}$ [M⁻¹ cm⁻¹]: $\lambda_{\text{max}(1)}$ (231), $\varepsilon_{\text{max}(1)}$ [89399]; $\lambda_{\text{max}(2)}$ (259), $\varepsilon_{\text{max}(2)}$ [42531]; $\lambda_{\text{max}(3)}$ (297), $\varepsilon_{\text{max}(3)}$ [19924]; (b) IR (KBr): v_x (cm⁻¹): $v_{\text{C=N}}$ (1624); (c) ¹H NMR (CDCl₃, δ) : 7.29–7.21 and 7.10–7.08 (42H = 2H, -C=N and 40H, -CH(Ph)₂), 6.38 (4H, H_{aryl}), 5.45 (4H, -CH(Ph)₂), 2.01 (6H, p-CH₃); (d) ¹³C NMR (CDCl₃, δ) : 143.78–142.76 (C=N and C_{Ar}–N), 129.53 (C_{Ar} and -CH(Ph)₂), 129.51–128,24 (-CH(Ph)₂), 126.57 (-CH(Ph)₂), 52.38 (-CH(Ph)₂), 21.00 (-CH(Ph)₂).

4.3. Synthesis of [CoCl₂(R-DAB)]

Degassed acetone (50 mL) was added to anhydrous CoCl₂ (1 mmol) in a Schlenk vessel. After that, this solution was treated by adding the respective ligand (R-N=CH-CH=N-R) (1 mmol) in a mixture of acetone and dichloromethane 1:1 (25 mL). The reaction mixture was stirred at 40 °C for 5 h. The volume was partially reduced and the precipitate formed was isolated by filtration. The solid was washed with small portions of cold n-pentane (3 x 15 mL) to remove excess ligand. This procedure was similar to those described by Barral¹⁶ and Avilés¹⁸.

Complex **2a**: Yield: 65%; (a) UV–Vis: $\lambda_{\text{max}(n)}$ (nm), $\varepsilon_{\text{max}(n)}$ [M⁻¹ cm⁻¹]: $\lambda_{\text{max}(1)}$ (234), $\varepsilon_{\text{max}(1)}$ [100785]; $\lambda_{\text{max}(2)}$ (326), $\varepsilon_{\text{max}(2)}$ [66900]; $\lambda_{\text{max}(3)}$ (502), $\varepsilon_{\text{max}(3)}$ [9336], $\lambda_{\text{max}(4)}$ (592), $\varepsilon_{\text{max}(4)}$ [4231]; (b) IR (CsI): ν_{x} (cm⁻¹): $\nu_{\text{C=N}}$ (1593), ν_{as} Co–Cl (343), ν_{s} Co–Cl (312), $\nu_{\text{Co-N}}$ (280); (c) Anal. calculated for C₂₀H₂₄CoN₂Cl₂: C, 56.89; H, 5.73; N, 6.63, found: C, 56.79; H, 5.78; N, 6.60.

Complex **2b**: Yield: 84%; (a) UV–Vis: $\lambda_{\text{max}(n)}$ (nm), $\varepsilon_{\text{max}(n)}$ [M⁻¹ cm⁻¹]: $\lambda_{\text{max}(1)}$ (231), $\varepsilon_{\text{max}(1)}$ [100578]; $\lambda_{\text{max}(2)}$ (323), $\varepsilon_{\text{max}(2)}$ [43869]; $\lambda_{\text{max}(3)}$ (406), $\varepsilon_{\text{max}(3)}$ [18212] , $\lambda_{\text{max}(4)}$ (631), $\varepsilon_{\text{max}(4)}$ [3035]; (b) IR (CsI): v_{x} (cm⁻¹): $v_{\text{C=N}}$ (1600), $v_{as\text{Co-Cl}}$ (310), $v_{s\text{Co-Cl}}$ (300), $v_{\text{Co-N}}$ (279); (c) Anal. calculated for C₂₆H₃₆CoN₂Cl₂: C, 61.66; H, 7.17; N, 5.53, found: C, 61.41; H, 7.26; N, 5.62.

Complex **2c**: Yield: 77%; (a) UV–Vis: $\lambda_{\text{max}(n)}$ (nm), $\varepsilon_{\text{max}(n)}$ [M⁻¹ cm⁻¹]: $\lambda_{\text{max}(1)}$ (231), $\varepsilon_{\text{max}(1)}$ [94483]; $\lambda_{\text{max}(2)}$ (260), $\varepsilon_{\text{max}(2)}$ [33597]; $\lambda_{\text{max}(3)}$ (521), $\varepsilon_{\text{max}(3)}$ [3762], $\lambda_{\text{max}(4)}$ (626), $\varepsilon_{\text{max}(4)}$ [3295]; (b) IR (CsI): v_x (cm⁻¹): $v_{\text{C=N}}$ (1630), $v_{as\text{Co-Cl}}$ (312), $v_{s\text{Co-Cl}}$ (297), $v_{\text{Co-N}}$ (279); (c) Anal. calculated for C₆₆H₅₂CoN₂Cl₂: C, 79.04; H, 5.23; N, 2.79, found: C, 78.89; H, 5.21; N, 2.67.

4.4. Analyses

Infrared spectra were obtained with thin sample films on KBr discs on a Shimadzu IRAffinity-1 FT-IR spectrometer. Cyclic voltammetry measurements were conducted using a Metrohm Autolab PGSTAT204 potentiostat/galvanostat with a stationary platinum disk and a wire as working and auxiliary electrodes, respectively, operated by Nova 1.10 software. The reference electrode was Ag/AgCl in 3 mol L⁻¹ KCl. The measurements were performed at 25 °C \pm 0.1, under nitrogen atmosphere, in CH_2Cl_2 with 0.1 mol L^{-1} of n-Bu₄NPF₆ and the complex concentration was 1×10^{-3} mol L⁻¹. The $E_{1/2}$ values were the arithmetic average of the anodic and cathodic potential peaks $(E_{p,a} + E_{p,c})/2$. UV/vis spectra were recorded by a PerkinElmer Lambda 25 UV/Vis spectrophotometer in a range from 700 to 200 nm, using 1 cm path length quartz cells. CH₂Cl₂ solutions of the complexes of 1×10^{-2} mM concentrations were used for these measurements. The NMR (¹H; ¹³C{¹H}) spectra were obtained in CDCl₃ at 25.0 ± 0.1 °C using a Bruker DRX-400 of 9.4 T. The obtained chemical shifts were reported in ppm relative to TMS. The molecular weights and the molecular weight distribution of the polymers were determined by gel permeation chromatography using a Shimadzu Prominence LC system equipped with a LC-20AD pump, a DGU-20A5 degasser, a CBM-20A communication module, a CTO-20A oven at 40 °C, and a RID-10A detector equipped with two PL gel columns (5 m MIXED-C: 30 cm, $\emptyset = 7.5$ mm). Retention time was calibrated with standard monodispersed polystyrene using HPLCgrade THF as eluent at 40 °C with a flow rate of 1.0 mL min⁻¹. D is M_w/M_n. Theoretical molecular weights were calculated without considering the end groups according to the following equation: $M_{n,th} = ([Monomer]_0/[Co]_0) \times Conversion \times$ $M_{Wmonomer}$.

4.5. EPR mesurements

The Co^{II} complexes in powder and frozen CH₂Cl₂ solution forms were placed into quartz tubes and introduced in a rectangular microwave cavity in the Varian X-band equipment (Model E-109) using an Oxford continuous helium flow cryostat to obtain EPR spectra. The measurements were taken at a temperature of 5.0 K. EPR conditions were as follows: 100kHz field modulation with amplitude of 1.0 mT, 5 mW of microwave power, gain of 1000, centre field 260 mT and scan range of 500 mT, time constant of 0.128 s, and scan field at 4 min collecting 4096 points.

4.6. Computational details

As the Co(II) complexes under study are high-spin pseudo-tetrahedral compounds (S = 3/2), 39,58 all calculations were performed considering multiplicity equal 4, except in the situations described in the discussion of the results. The structure of the compounds under study were optimized using the density functional theory (DFT) at the level of the hybrid functional PBE0, 53 implemented in Gaussian 09, 43 using the basis set TZVP. The optimizations and calculation of the vibrational frequencies were conducted without any symmetry constraints. The thermodynamic parameters ΔH , ΔG , and ΔG were estimated for the formation of some of the studied complexes, being calculated from thermochemical data generated from the calculation of the vibrational frequencies. The software package Orca $4.0.0.2^{55}$ was employed to calculate, for the isolated Co(II) complexes, the EPR g-tensors and their corresponding zero-field splitting (ZFS) tensors, 56 expressed in terms of the axial anisotropy parameter (D), and the rhombicity (E/D), using the DFT generalized gradient approximation exchange-correlation functional revPBE⁵⁷. These calculations were performed

using the aforementioned basis set combined with the auxiliary basis set def2/J⁵⁸. The g-tensors, D, and E/D were estimated taking the centre of the electronic charge as reference. In order to reproduce the experimental conditions, some simulations involving these compounds were also done considering dichloromethane as solvent, using the model IEFPCM^{59,60} to build a dielectric continuum with the characteristics of such solvent, in a self-consistent reaction field procedure. ⁴³ To this end, all structures were reoptimized. Additionally, the structures of these solvated complexes coordinated to DMSO, and also coordinated to DMSO and a vinyl monomer, were optimized.

4.7. Procedure for CMRP

The initiator solution (AIBN) was placed in a Schlenk tube containing a magnet bar and capped with a rubber septum. By three vacuum–nitrogen cycles all toluene was dried and air was expelled before the cobalt complex and the monomer were added. All liquids were handled with dried syringes under nitrogen. The tube was capped under N₂ atmosphere using Schlenk techniques and the reaction mixture was then magnetically stirred and heated in a thermostated bath at 65 °C. At appropriate time intervals, the samples were withdrawn and analyzed by SEC-THF to obtain the molecular parameters (using PS calibration) and by GC to determine monomer conversion, after addition of TEMPO to neutralize the radicals.

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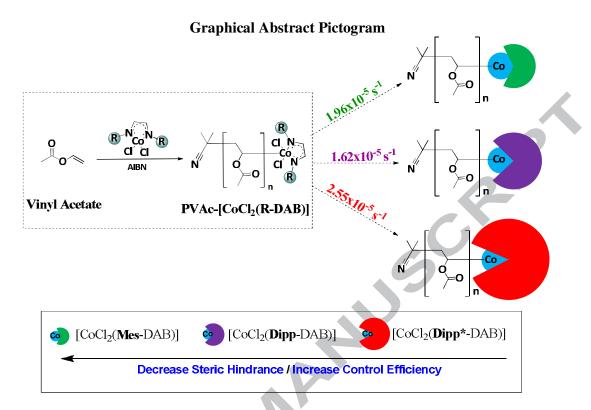
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Highlights

- * Cobalt(II)-α-diimine complexes were synthesized and characterised by elemental analyses, FTIR, UV-vis, electron paramagnetic resonance spectroscopy, cyclic voltammetry, and computational methods.
- * Cobalt(II)-α-diimine complexes were applied in CMRP of vinyl acetate.
- ❖ The CMRP was dependent on the [initiator]/[Co] and [monomer]/[Co] molar ratios, DMSO additive, and reaction time.
- ❖ The cobalt(II) complexes with simple ancillary ligands can tune the reactivity of mediators in CMRP.



Graphical Abstract Synopsis

The synthesis and characterization of three Co(II) complexes bearing α -diimine ligands and their application as mediating agents for vinyl acetate controlled radical polymerization. The overall results of this study suggest that steric effects in the ligand play a significant role in determining organometallic reactivity trends and catalytic properties.