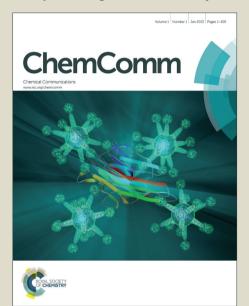


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COMMUNICATION

Rh(III)-catalyzed and alcohol-involved carbenoid C-H insertion of Nphenoxyacetamides by α-diazomalonates

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Here we report a new and mild Rh(III)-catalyzed and C-HNalcohol-involved carbenoid insertion phenoxyacetamides by a-diazomalonates. This reaction provided a straightforward way for installing both a-10 quaternary carbon center and free-OH moiety into the phenyl rings, thus giving access to privileged 2-(2-hydroxyphenyl)-2alkoxymalonates with good substrate/functional group tolerance.

Transition-metal-catalyzed functionalization of inert C-H 15 bonds has emerged as one of the most popular and powerful tools for step- and atom-economical construction of diversified complex molecules, and to date, significant progress has been made in this hot area of research.1 In general, to achieve the efficient C-H functionalization, the use of a combination of 20 directing groups (DGs) and stoichiometric or excess amounts of external oxidants is commonly required. Indeed, they could improve the regioselectivity as well as reaction efficiency of the C-H activation reactions. However, in spite of the success, this strategy also presents two main disadvantages: (1) the 25 introduction of DGs often leaves a chemical trace in the products, limiting their structural diversity; (2) the compulsive use of external oxidants involves relatively harsh reaction conditions and produces stoichiometric amounts of related metal wastes.

To address aforementioned drawbacks, recently one emerging 30 strategy to develop an innovative oxidizing-directing group (ODG) which acts simultaneously as both DG and internal oxidant has attracted much attention.2 As a consequence, remarkable advances has been made and several versatile ODGs such as N-OR,³ N-NR⁴ and O-NHAc⁵ are stood out.

On the other hand, recently diazo compounds have been widely used as powerful cross-coupling partners for transition-metalcatalyzed direct C-H functionalization, of which Rh catalysts plays a particularly prominent role.^{6,7} For example, inspired by the pioneering work of Yu,^{7a} afterwards the groups of Rovis,^{3k}
⁴⁰ Glorius,^{7b} Li,^{7c,d} Cui,^{3l,7e} Yu,^{7f} Wang,^{7g,h} Chang,⁷ⁱ Zhou,^{7j}
Cramer,^{7k} Liu^{7l} and our groups^{7m,n} have displayed the successful exploration of diazo compounds as the cross-coupling partners in Rh(III)-catalyzed C-H functionalization with a DG-assisted strategy.

This works:

$$\begin{array}{c}
O \\
N \\
H
\end{array} + N_2 = \begin{array}{c}
COOR_2 \\
COOR_1
\end{array} \xrightarrow{cat. Rh (III)} RT = \begin{array}{c}
O \\
ROH
\end{array} \xrightarrow{COOR_1} ROH \xrightarrow{O-R (I)} COOR_1$$

A new and mild Rh(III)-catalyzed and alcohol-involved carbenoid insertion C-H functionalization

Taking advantage of above information and in continuation of our interest in the Rh(III)-catalyzed C-H functionalization, we

50 herein describe a new and mild Rh(III)-catalyzed carbenoid C-H insertion (ortho-alkylation) of diverse N-phenoxyacetamides by α-diazomalonates for direct synthesis of 2-(2-hydroxyphenyl)-2alkoxymalonates, in which O-NHAc group was used as the ODG ((eqn (1)). Notably, in this reaction, alcohol also employed as the 55 reagents to mediate the alcoholysis of intermediate F via a similar 1,4-addition pathway, thereby installing both α -quaternary carbon center and free-OH moiety into the phenyl ring, which was very different from the reported reactions of Rh(III)-catalyzed carbenoid insertion.3k,l,7

Table 1 Optimization Studies

$$\begin{array}{c|c}
O \\
H \\
H \\
Ia
\end{array} \begin{array}{c}
COOEt \\
COOEt \\
COOEt \\
COOEt
\end{array} \begin{array}{c}
Cat. [Rh (III)] \\
CH_3OH, RT
\end{array} \begin{array}{c}
OOH \\
COOEt \\
3a COOEt
\end{array}$$

Entry	Catalyst system (mol %)	Solvent (mL)	Yield ^b (%)	
1	$[Cp*Rh^{III}(MeCN)_3](SbF_6)_2(5)$	CH ₃ OH (1.0)	83	
2	$[Cp*Rh^{III}(MeCN)_3](SbF_6)_2(2.5)$	CH ₃ OH (1.0)	81	
3	$[Cp*Rh^{III}(MeCN)_3](SbF_6)_2(1)$	CH ₃ OH (1.0)	45	
4	$[Cp*Rh^{III}(MeCN)_3](SbF_6)_2$ (2.5)	CH ₃ OH (0.5)	70	
5	$[Cp*Rh^{III}(MeCN)_3](SbF_6)_2 (0)$	CH ₃ OH (1.0)	0	
6	$[Cp*RhCl_2]_2$ (2.5)/AgSbF ₆ (100)	CH ₃ OH (1.0)	58	
7	$[Cp*Rh(OAc)_2]_2$	CH ₃ OH (1.0)	0	
8^c	$[Cp*Rh^{III}(MeCN)_3](SbF_6)_2(2.5)$	CH ₃ OH (1.0)	78	

^aReaction conditions: **1a** (0.10 mmol, 1.0 equiv), **2a** (0.12 mmol, 1.2 equiv), Rh catalyst (X mol%), solvent (0.5 or 1.0 mL), 10 h, under air. bIsolated yields. Performed on a 2.0

Given the successful history of [Cp*Rh(MeCN)₃](SbF₆)₂ in the field of C-H activation,8 therefore, at the outset of this study, we chose it as the Rh(III) catalyst for the reaction development with 70 N-phenoxyacetamide **1a** as the model substrate and MeOH as the solvent (Table 1). To our surprise, a preliminary survey of diazo compounds⁹ showed that the reaction of **1a** with diethyl 2diazomalonate 2a at room temperature for 10 h proceeded successfully to deliver the free-OH-substituted alkylation product 75 3a in 83% yield (entry 1), in which O-NHAc group was used as the ODG⁵ and MeOH was used not only as the solvent but also as the reagent in the catalytic reaction, thereby leading to installing a α-quaternary carbon center into the *ortho*-position of hydroxy group. Encouraged by this finding, we next investigated the 80 effects of catalyst loading and concentration for this reaction optimization. Reducing the loading of catalyst from 5 mol% to 2.5 mol% resulted in the isolation of 3a in 81% yield (entry 2). However, further reducing the loading of catalyst to 1 mol% led to a significant decrease in the product yield (45% yield, entry 3). 85 Similarly, decreasing the amount of MeOH also gave lower conversion (entry 4). As predicted, no desired product was

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formed in the absence of catalyst (entry 5). Finally, change of catalyst [Cp*Rh(MeCN)₃](SbF₆)₂ to other well-known Rh(III) catalysts such as [Cp*RhCl₂]₂ and [Cp*Rh(OAc)₂]₂ inhibited the process (entries 6-7). In summary, the optimal conditions were identified as the following: 2.5 mol% [Cp*Rh(MeCN)₃](SbF₆)₂ in 1.0 mL of MeOH at room temperature for 10 h under an atmosphere of air. Finally, the reaction could be performed on a 2.0 mmol scale under the optimized conditions with decent isolated yield (78%, entry 8).

Scheme 1 Scope of *N*-phenoxyacetamides. Reaction conditions: **1** (0.10 mmol) and **2** (0.12 mmol) in MeOH (1.0 mL) at room temperature for 10 h under air. Isolated yields. ^aThe ratio was determined by isolated yields.

With this efficient catalytic system established, we sought to explore the scope of substrates and generality of this reaction. As shown in Scheme 1, diazomalonate 2a efficiently coupled with a variety of substituted N-phenoxyacetamides in MeOH to provide 20 the corresponding 2-(2-hydroxyphenyl)-2-alkoxymalonates in moderate to good yields. Substitutions at the *para*- (**3b-e** and **3l**), meta- (3f-i), or ortho- (3j-k) postion were all well tolerated. Importantly, the reaction also showed good compatibility with a wide range of valuable functional groups such as methyl, 25 methoxy, bromo chloro, fluoro, ester, and trifluoromethyl substituents. Tolerance to the chloro (3j), bromo (3d, 3k and 3p), and ester (3e) functional groups was especially noteworthy since they could be used as versatile building-blocks for further synthetic transformations. The electronic nature of the 30 substituents on the benzene ring of substrates 1 had no obvious influence on the reaction outcome, and in the present cases, Nphenoxyacetamides bearing both electron-donating and withdrawing groups showed excellent reaction efficiency. Interestingly, substrates 1f and 1g bearing methyl and 35 terfluoromethyl groups at meta-position, respectively, provided the corresponding products in moderate yields with exclusive regioselectivity. However, meta-fluoro-substituted derivative 1h afforded the dialkylated product in 61% yield, where an additional substituent (1,3-diethoxy-1,3-dioxopropan-2-yl) was

40 attached at the less-hindered site. Conjunctively, *meta*-methoxylsubstituted N-phenoxyacetamide 1i gave a 3:1 mixture of products 3i (i) and 3i (ii). Taken together, these results revealed that the type of the substituent at the *meta*-position played a key role in determining the reaction process. Moreover, polyaromatic 45 diphenyl substrate could be accommodated in the catalytic system, giving the desired product 31 in reasonably good yield (82%). Notably, the alkylation reaction with 2a also tolerated the alkenyl substrate, which produced the interesting furanone 3n in 55% yield with a stereogenic α-carbon center. In addition, tert-butyl 50 diazomalonates **2b-c** was also investigated in the Rh(III) system. As shown in Scheme 1, 2b-c coupled efficiently with Nphenoxyacetamides to offer the corresponding ortho-alkylation product **3n-p** in synthetically useful yields (78% for **3n**, 72% for 30 and 70% for 3p), where tert-butyl moiety was retained 55 perfectly. The results further illustrated the remarkable robustness of our developed Rh(III) catalysis.

Scheme 2 Scope of alcohols. Reaction conditions: **1** (0.10 mmol) and **2** (0.12 mmol) in the corresponding alcohol (1.0 mL) at room temperature for 10 h under air. Isolated yields. "These reactions ran at 80 °C.

Since methanol has played dual roles as both reactant and reaction medium in this reaction (as shown above), subsequently several alkyl alcohols were evaluated in the current catalytic system (Scheme 2). As expected, the reactions occurred successfully under air to give the corresponding *ortho*-alkylated products **3q-3s** in 64%, 51% and 57% yields, respectively. Of note, the reaction also worked well in CD₃OD to afford the methyl-deuterated **3t** in good isolated yield (76%), which provided hints of the reaction mechanism.

Inspired by the above results and to obtain better insight into the reaction mechanism, a set of additional experiments were carried out (Scheme 3). First, 1a was treated 75 [Cp*Rh(MeCN)₃](SbF₆)₂ in CD₃OD (Scheme 3a) in the absence of diazomalonates. After stirring at room temperature for 3 h, 98% of 1a was recovered and no deuterium incorporation was observed, revealing that the C-H bond activation step was largely irreversible. Next, the isotope-labeling experiment was conducted 80 with a deuterium-labeled N-phenoxyacetamide $[D_5]$ -1a. As demonstrated in Scheme 3b, treatment of 2a with the same amounts of both 1a and [D₅]-1a for 30 min under standard conditions gave a relatively large KIE value ($k_{\rm H}/k_{\rm D}=2.7$). The result suggested that C-H bond-cleavage process might be 85 involved in the rate-limiting step. Subsequently, the competition experiment of equimolar amounts of 1f and 1g under the standrad reaction conditions with 2a was carried out to to delineate the action mode of the reaction (Scheme 3c). The ratio of products showed that electron-deficient 1g was preferentially converted 90 (3f/3g = 1:10), revealing that the C-H activation might be via a concerted-metallation-deprotonation (CMD) mechanism. 3k,10 Moreover, N-methyl-substituted phenoxyacetamide 10 was prepared and was designated as a substrate to evaluate the role of N-H bond (Scheme 3d). As expected, the reaction of 10 and 2a 95 did not proceed, indicating that the N-H bond of O-NHAc was indispensable for this transformation, which is consistent with

previous report by Lu and co-workers.5b Finally, an experiment using 2a as the sole substrate in MeOH was performed under otherwise identical conditions. As demonstrated in Scheme 3e, the diethyl 2-methoxymalonate 4a was not detected, providing 5 clear evidence that MeOH was not involved in the classic metalcarbene insertion into C(sp3)-H bond mechanism.11

Scheme 3 Mechanistic experiments.

Taking the above observations and the mechanism studies of precedent literature into consideration, a plausible reaction mechanism is proposed in Scheme 4. First, the coordination of Nphenoxyacetamide 1a to a [Cp*Rh(III)] species was the key rate-15 determining step for the regioselective C-H bond cleavage to form a five-membered rhodacyclic intermediate A. Further coordination of A with 2a afforded the diazonium intermediate B. Subsequently, Rh(III)-carbene migratory insertion from **B** provided six-membered rhodacycle intermediate C with the 20 emission of N₂. Protonolysis of C delivered the intermediate **D** via the Rh-N bond cleavage. Subsequently, the intramolecular coordination of intermediate \mathbf{D} was occured to form intermediate E, followed by α-H elimination/intramolecular rearrangement to afford intermediate F with extrusion of acetamide. Finally, 25 intermediate **F** underwent a similar 1,4-addition step by using MeOH as reactant to give the desired product **3a** along with the regeneration of the rhodium(III) catalyst.

$$\begin{array}{c} OH \\ OCH_3 \\ 3a \\ COOEt \\ COOET$$

30 Scheme 4 Proposed mechanism.

Importantly, the obtained 2-(2-hydroxyphenyl)-2alkoxymalonates could serve as useful platforms for further

synthetic manipulations. As illustrated in Scheme 5, product 3a 35 could undergo an esterlysis/decarboxylation in the presence of LiOH to give the valuable ethyl 2-hydroxy-α-methoxybenzenacetate 5a. In addition, product 3a also could produce the important **6a** through a standrad intramolecular-transesterification. Further transformation of **6a** via an esterlysis/decarboxylation 40 process yielded the 3-substituted benzofuran-2(3H)-one 7a, a very valuable skeleton in natural products and biologically active

Scheme 5 Derivatization of 3a

compounds.12

In summary, we have developed the first example of Rh(III)catalyzed and alcohol-involved carbenoid C-H insertion (orthoalkylation) of N-phenoxyacetamides by α-diazomalonates for direct and highly efficient synthesis of privileged 2-(2-50 hydroxyphenyl)-2-alkoxymalonates with a α-quaternary carbon center and free-OH moiety, in which O-NHAc group was employed as the versatile ODG. Considering the valuable structures of the products, mild reaction conditions, and good substrate/functional group tolerance, the reaction should have 55 potential of wide synthetic utility.

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60 Notes and references

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Ethyl diazoacetoacetate and methyl 2-diazo-2-phenylacetate were also tested. The results showed that the reaction of ethyl diazoacetoacetate or methyl 2-diazo-2-phenylacetate and MeOH was occurred to give ethyl 2-methoxy-3-oxobutanoate (trace) and methyl 2-methoxy-2-phenylacetate (58% isolated yield), respectively, where substrate 1a was retained perfectly with over 95% recovery rate.

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