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ARTICLE TYPE

Synthesis and catalytic activity of ruthenium indenylidene complexes bearing unsymmetrical NHC containing a heteroaromatic moiety

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Abstract

New robust and air stable ruthenium(II) indenylidene second generation olefin metathesis catalysts with unsymmetrical ¹⁰ *N*-heterocyclic carbene (NHC) ligands were synthesized. Model metathesis reactions were performed in the presence of newlydeveloped complexes in commercial grade toluene under air, leading to high conversions and good selectivities.

Introduction

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- ¹⁵ The search for new stable olefin metathesis catalysts is a permanent challenge due to growing importance of olefin metathesis not only in academia but also in industry.^{1,2} A major breakthrough in the area of the ruthenium based metathesis catalysts was the replacement of a phosphine ligand in a Grubbs ²⁰ 1st generation complex with an *N*-heterocyclic carbene (NHC).³
- An NHC-containing 2nd generation Grubbs catalysts have been extensively studied due to their numerous advantages: improved stability towards air⁴ and moisture as well as increased reactivity towards functionalised alkenes in industrial grade solvents.⁵ As 25 such variation in the steric and electronic characteristics of the

NHC has proven to be fertile ground for the development of the new metathesis active complexes.^{5,6}



Fig. 1 New indenylidene ruthenium catalysts 5a-d and Hoveyda-type
 catalyst 7 with unsymmetrical NHC ligand and selected commercially
 available catalysts.

An example of such a modification is the application of unsymmetrical 2,5-substituted NHC ligands.^{1a,b} Ruthenium alkylidene complexes bearing NHC ligand with one aliphatic and ³⁵ one aromatic substituent⁷ have been shown to be significantly more active in some applications than their symmetrical parent Grubbs 2nd generation catalyst (**Grubbs II**, Fig.1).⁸⁻¹⁰ This effect is attributed to the smaller steric bulk of the aliphatic substituent of these modified NHC ligands rather than changes in their σ-40 electron donating ability.^{1(a),11}

In this manuscript we focused our attention to the ruthenium indenylidene complexes for several reasons (**UmicoreTM M2** Fig. 1); in some cases they have been shown to be more stable than their benzylidene counterparts.^{12,13} Modifications of the NHC ⁴⁵ ligand can induce profound changes in the activity pattern of the resulting indenylidene catalysts, making some of them more active than parent catalyst **UmicoreTM M2**^{14,15} and although numerous ruthenium benzylidene metathesis catalysts containing unsymmetrical NHC ligands have been reported,^{16,17} the NHC-⁵⁰ modified indenylidene complexes were much less explored.¹⁸

Previously we demonstrated that the replacement of one mesityl group (**Mes**, Fig. 1) with a less bulky CH₂-aromatic group results in an increased activity of the corresponding NHC-ligated Ru catalysts.^{18a,18b} We anticipated that the heteroaromatic group¹⁹ can ⁵⁵ further modify the electronic and steric properties of this ligand.

Herein we report the synthesis, properties and X-ray analysis of indenylidene 2nd generation catalysts^{13,20} bearing methyleneheteroaryl substituted unsymmetrical NHC ligands, their stability and performance in olefin metathesis, including selectivity in ⁶⁰ cross-metathesis (CM), in ethenolysis and in the diastereoselective ring-rearrangement metathesis (DRRM) reactions.⁸

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Results and discussion

Imidazolinium salts **3a-d** have been obtained in a linear and short synthetic sequence (Scheme 1).



Scheme 1 Synthesis of indenylidene catalysts 5a-b.

Commercially available thiophene-2-carbaldehyde (1a), furan-2carbaldehyde (1b) along with 1-benzothiophene-2-carbaldehyde 10 (1c) and 1-benzofuran-2-carbaldehyde (1d); were prepared according to literature procedure²¹ and were converted to corresponding 1,2-diamines **2a-d** via condensation with N^1 mesitylethane-1,2-diamine. The crude imines were then reduced in-situ with NaBH₄ to furnish the corresponding diamines in 80% 15 (2a), 78% (2b), 84% (2c) and 84% (2d) isolated yields. Reaction of 2a-d with triethyl orthoformate afforded imidazolinium chloride salts, which readily underwent metathesis with NH₄BF₄ yielding corresponding tetrafluoroborate salts 3a (76%), 3b (68%), **3c** (76%) and **3d** (75%). This step was necessary to aid 20 purification of imidazolinium salts by either filtration through silica gel or crystallization from methylene chloride-toluene mixture. Having the NHC precursors in hand, we attempted to obtain their Ru indenylidene complexes 5a-d. Utilising a conventional metalation route,²² we generated the carbene in ²⁵ solution by deprotonation before reacting with UmicoreTM M1.



Scheme 2 Synthesis of indenylidene catalysts 5c-d.

However, this approach was unsuccessful. Reaction of ³⁰ imidazolinium salt **3b** with potassium *tert*-pentoxide in toluene for 1 hour followed by the addition of commercially available catalyst **UmicoreTM M1** (Fig. 1) afforded corresponding complex **5b** in very low yield (7%). Fortunately chloroform-NHC adducts, which could be synthesised from the imidazolinium salt already ³⁵ in hand presented a viable alternative.²³ Salts **3a-d** were reacted with chloroform in the presence of KOH to form NHC-adducts **4a** (65%), **4b** (33%), **4c** (81%) and **4d** (78%). Subsequent elimination of chloroform from these NHC-adducts by heating in the presence of **UmicoreTM M1** (Fig. 1) generated the ⁴⁰ corresponding carbenes *in-situ* and afforded new catalysts **5a** (55%), **5b** (32%), **5c** (30%) and **5d** (10%) as red crystalline solids. Additionally, we successfully prepared Hoveyda-type catalyst **7** (64 %) by the deprotonation of imidazolinium salt (**3a**) and reaction of corresponding carbene with **Hoveyda I** (Fig. 1) in ⁴⁵ presence of CuCl (Scheme 3).



Scheme 3 Synthesis of Hoveyda-type catalyst 7.

To compare the activity of new indenylidene catalysts **5a-d** in ⁵⁰ olefin metathesis, we selected the ring-closing metathesis (RCM) of diethyl diallylmalonate **8** as a model reaction. RCM of diene **8** was performed under standard conditions, in toluene, with 0.1 M concentration of the substrate, using 1 mol% of the catalyst. The commercially available **UmicoreTM M2** catalyst (**6**) was ⁵⁵ employed as a reference (Scheme 4).



Scheme 4 RCM of diethyl diallylmalonate 8.



 Fig. 2 Reaction profile of RCM of diethyl diallylmalonate 8 (0.1 M) with 1 mol% of catalysts 5a-d and 6 (UmicoreTM M2) in dry and degassed toluene at 30 °C under argon.

The reaction profiles of complexes **5a-d** obtained at 30 °C displayed significant differences in activity (Fig. 2). The catalyst ⁶⁵ **5a** was the most active of the series, affording comparable RCM as **UmicoreTM M2**, **6** after 3 h.



Fig. 3 Reaction profile of RCM of diethyl diallylmalonate 8 (0.1 M) with 1 mol% of catalysts 5a-d and 6 (UmicoreTM M2) in dry and degassed toluene at 70 °C under argon.



Fig. 4 Reaction profile of RCM of diethyl diallylmalonate **8** (0.1 M) with 1 mol% of catalysts **5a-d** and **6** (**Umicore**TM **M2**) in dry and degassed toluene at 90 °C under argon.



Fig. 5 Reaction profile of RCM of diethyl diallylmalonate **8** (0.1 M) with 1 mol% of catalysts **5a-d** and **6** (UmicoreTM **M2**) in dry and degassed 15 toluene at 50 °C under argon.

In contrast, the activity of benzyl derived catalysts **5c** and **5d** was significantly decreased under these conditions with conversions ²⁰ remaining below 50% over the same period. Next, we examined the influence of temperature on the reactivity of complexes **5a-d** (Fig. 3 and Fig. 4). Again, the catalyst **5a** was found to be most active at 70 °C (Fig. 2) and 90 °C (Fig. 3), reaching full conversion after 5 min and almost perfectly duplicating the ²⁵ reaction profile of **6**. The catalysts **5b** and **5c** also exceed 90% conversion after this time (Fig. 4) while catalyst **5d** remained the least active across all temperature ranges.



Fig. 6 Reaction profile of RCM of diethyl diallylmalonate **8** (0.1 M) with ³⁰ 1 mol% of catalysts **5a-d** and **6** (**UmicoreTM M2**) in non-degassed toluene (HPLC grade, Sigma-Aldrich) at 50 °C under air.

The activity of catalysts **5a-d** at 50 °C was visibly different in dry distilled toluene under argon and in HPLC grade solvent exposed ³⁵ to air (Fig. 5 and Fig. 6). In the case of RCM of diene **8** carried out under argon in the dry distilled solvent, similar conversion of about 80% was achieved for complexes **5a**, **5b**. In the case of **5c**,

- 5d lower conversion of 65% was obtained (Fig. 5).
 Reaction profiles of RCM of diene 8 promoted by catalysts 5a-d
 ⁴⁰ were quite different in a HPLC grade toluene under air at 50 °C.
 Under such conditions the activity of complexes 5b, 5c and 5d dropped significantly. In the case of 5b only 74% was achieved while for 5c and 5d it was 71% and 55%, respectively.
- Surprisingly, catalyst **5a** both in non-degassed HPLC grade ⁴⁵ toluene under air and in degassed toluene under argon showed similar activity and stability, allowing to achieve up to 87% of conversion in the model reaction (Fig. 6).



Scheme 5 Diastereoselective ring rearrangment metathesis (DRRM) of cyclopentene (10).

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Table 1 Result of DRRM with different catalysts.							
Entry	Catalyst	Conversion (%) ^a	T (°C)	trans : cis ^a			
1	Gru I, Hov I ^b	95	rt	1:1			
2	Gru II, Hov II ^b	95	rt	2:1			
3	Blechert catalyst ^b	58	rt	9:1			
4	6 (M2)	>99	rt ^c	2.0:1			
5	6 (M2)	>99	50 ^d	3.3:1			
6	5a	95	rt ^c	3.6:1			
7	5a	>99	50 ^d	5.3:1			
8	5b	62	rt ^c	3.0:1			
9	5b	90	50 ^d	4.1:1			
10	5c	57	rt ^c	3.4:1			
11	5c	98	50 ^d	4.5:1			
12	5d	56	rt ^c	3:1:1			
13	5d	98	50 ^d	3.9:1			
^a Determined by gas chromatography.							
^b Results reported by Blechert et al. ^{6b,c}							
^c Time reaction 17 hours							
^d Time reaction 1 hour							

Blechert *et al.* performed diastereoselective ring rearrangement metathesis of cyclopentene **10** (Scheme 5) with first and second generation Grubbs and Hoveyda-Grubbs catalysts. The reaction ¹⁰ does not proceed in a truly diastereoselective manner (Table 1, entries 1-2), but selectivity (*trans/cis* d.r. = 9/1, entry 3) can be

improved with a complex bearing a designer NHC ligand.^{7b,c} We expected that unsymmetrical NHC moiety would increase the diastereoselectivity of the catalysts.¹⁸ Therefore we decided to ¹⁵ carry out a comparative study on performance of complexes **5a-d** and commercially available catalyst **UmicoreTM M2** in this particular reaction.

As one would expect, catalyst **UmicoreTM M2** gave almost the same result as other SIMes-bearing catalysts (*trans/cis* d.r. = 20 2.0/1, entry 4). In addition, better distereoselectivity was obtained at 50 °C. However, application of the new catalyst **5a** resulted in much higher diastereoselectivity: *trans/cis* d.r. = 3.6/1 and high conversion (entry 6). Catalyst **5c**, bearing a benzothiophene fragment, showed the second highest diastereoselectivity 2s *trans/cis* d.r. = 3.4/1 (entry 10). Interestingly, furan and benzofuran complexes **5b** and **5d** gave much lower diastereoselectivity (*trans/cis* d.r. = 3.0/1 and 3.1/1 respectively,

entries 8, 12). All of the new unsymmetrical catalysts exhibited greater ³⁰ diastereoselectivity at higher temperature (50 °C) than at rt: catalyst **5a** (*trans/cis* d.r. = 5.3/1, entry 7), **5b** (*trans/cis* d.r. = 4.1/1, entry 9), **5c** (*trans/cis* d.r. = 4.5/1, entry 11) and **5d** (*trans/cis* d.r. = 3.9/1, entry 13).

Table 2 Application of catalysts 5a-d and 6 in RCM, CM and Ene-Yne reactions.^a

Entry	Substrate	Product	Catalyst (mol%)	Time (min)	Conversion (%) ^b
			5a (1)	30	>99
			5b (1)	30	99
1		0=5=0	5c (1)	120	93
	$\sim N$.N.	5d (1)	120	95
	12	$\langle \rangle$	6 (M2) (1)	180	96
	15	14	7(1)	60	93
			Hoveyda II (1)	60	94
		\downarrow	5 a (1)	30	>00<
			5a (1) 5h (1)	30	>99
2		Ý	5c (1)	30	99
2	Ľ.	o=s=o	5d (1)	30	99
	o=s=o		6 (M2) (1)	60	>99
		$\langle _ \rangle$	7(1)	60	>99
	15	16	Hoveyda II (1)	10	>99
		Ph	5a (2)	30	44
	Ph, Ph	(Yeh	5b (2)	30	>99
3	\sim		5c (2)	60	99
	47	//	5d(2)	180	64
	17	18	6(M2)(2)	30	>99
			7 (2)	240	42
			Hoveyda II (2)	5	99
			5 a (2)	180	$70^{\circ} E/Z 4.0/1^{d}$
4	rii ~ 19 ~	OAc	5b (2)	180	55° E/Z 3.2/1 ^d
	+ Pf		5c (2)	180	$62^{\rm c} E/Z 3.4/1^{\rm d}$
		21	5d (2)	180	54° E/Z 3.2/1 ^d
	20		6 (M2) (2)	180	75° E/Z 9.4/1 ^d
			7 (2)	300	68 ° E/Z 3.7/1 ^d
			Hoveyda II (2)	300	76 ° E/Z 9.3/1 ^d

^a All reactions were performed in non-degassed toluene (HPLC grade, Sigma-Aldrich) at 50 °C under air.

40 ^b Conversion was determined by gas chromatography using durene as internal standard.

^c Isolated yield.

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^d E/Z ratio was determined by gas chromatography.

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Encouraged by the results from the initial catalytic tests (Fig. 2-6) relative to UmicoreTM M2, we decided to investigate the performance of the new catalysts with a small selection of substrates in non-degassed HPLC grade toluene (Table 2). Under 5 these conditions all catalysts tested, including the commercial UmicoreTM M2 showed very high activity in ring closing metathesis (RCM) of standard test substrates (entries 1-2). However, the new unsymmetrical catalysts required shorter reaction times to reach full conversion (Table 2, entry 1-2). In the 10 case of ene-yne metathesis (RCEYM), catalysts 5b, 5c as well as Hoveyda II and UmicoreTM M2, showed very high activity, in contrast to complexes 5a, 5d and 7 which gave lower conversion in reaction of enyne 17, although no clear link to heterocyclic substituent can be drawn. In the cross-metathesis (CM) of 15 allylbenzene (19) and 1,4-diacetoxybut-2-ene (20) all of the new catalysts afforded more Z isomer than UmicoreTM M2. The results presented in Table 2 demonstrate the efficiency of new catalysts 5a-d bearing unsymmetrical NHC ligands for performing metathesis reaction in commercial grade solvent 20 under air.

Then we focused on research of ethenolysis (Scheme 6) which allows to obtain terminal olefins from renewable biomass feedstocks.²⁴ In recent reports there are some examples of olefin metathesis catalysts which demonstrate good activity and 25 selectivity in ethenolysis reactions.²⁵ These results led us to believe that our new catalysts bearing a heteroaromatic moiety would show promising ethenolysis selectivity.



Scheme 6 Ethenolysis of ethyl oleate (22).

|--|

Entry	Catalyst	Conversion	ı Yield (%)	Yield (%)	Selectivity ^d
·	·	(%) ^b	23°	24 °	-
1	5a	14	10	12	79
2	Umicore TM M2	77	34	35	63
3	7	39	23	28	78
4	Hoveyda II	71	20	25	43
^a Reac	tion conditions.	etlyl oleate	(22) = 15	mmol cata	lyst = 0.0075

mmol, (500 ppm), 10 bar of ethylene, 3 hours, 50 °C. ^b Conversion was 40 determined by gas chromatography using tetradecane as internal standard. Conversion = $100 - 100 \times$ (final moles of 22/initial moles of 22). ^c Yield = $100 \times$ (moles of 23 or 24 / initial moles of 22). ^d Selectivity = $100 \times$ (moles of 23 + moles of 24)/[(moles of 23 + moles of 24) + $2 \times$ (moles of 25 + moles of 26)]

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45 We tested catalysts 5a, 7 and compared their activity to commercially available catalysts UmicoreTM M2 and Hoveyda II (Table 3). All catalytic tests were performed at 50 °C using low catalysts loading (500 ppm). The commercially available catalysts UmicoreTM M2 and Hoveyda II achieved conversions 50 of 77% and 71%, respectively. The new catalysts 5a and 7 gave 14% and 39% of conversion. As we expected the catalysts 5a and 7 showed higher selectivity in favour of the major ethenolysis products (23 and 24) compared to catalysts UmicoreTM M2 and Hoveyda II.

X-ray analysis

Red crystals of 5a, 5b, 5c and 5d suitable for single-crystal X-rav diffraction studies were obtained by slow evaporation of 60 concentrated solutions of these compounds in methylene chloride and *n*-pentane. All the relevant experimental details are presented in the Electronic Supporting Information (ESI) (see Tables S3a and S3b). All of these catalysts crystallized in the monoclinic crystal system.

65 Considering crystal structures of 5a and 5b, one can see that they crystallized in the C2/c space group with one molecule in the asymmetric unit. The molecules are placed at general positions and both arrangements are isostructural (see the projection of molecular arrangement in the unit cell presented in Fig. S1 in

70 ESI). This is not surprising, as the corresponding unit cell parameters for crystals of both compounds are very much alike. Moreover, the geometrical parameters such as bond lengths and valence angles are almost identical with the only significant difference being that of geometry of heteroaromatic rings (all 75 geometric parameters are presented in the Tables 4 and 5 in the ESI). In both structures, the cavities between the main molecules are filled in by disordered solvent molecules of dichloromethane. However, also the molecules of catalysts are significantly affected by disorder. Five-membered heterocyclic rings ⁸⁰ (containing sulphur atom in the case of **5a** or oxygen atom in the case of 5b) adopt one of two positions. The difference between both positions is that the heteroatom is on the opposite side of the ring. Additionally, the phenylindene groups due to rotation along Ru1-C17 adopt one of two positions too. The ratio of two ⁸⁵ possible occupancies of disordered group in the case of **5a** and **5b** is similar, ca. 86:14, so it is clear that one of them is significantly preferred. Surprisingly, the preferred orientation of the phenylindene group in the case of 5a is different than in the case of 5b. This is clearly visible when one overlaps both molecules 90 (see Fig. 7). Both positions of the disordered heterocyclic ring are in both cases (catalysts 5a and 5b) on the same side as phenyl ring of phenylindene group. When molecules are superimposed it is noticeable that the phenyl rings occupy opposite sides of the molecular backbone (C1-Ru1-P1). This means that the preferred 95 position of the phenylindene group in 5a is rotated about 180° in regard to the preferred position of the phenylindene group in 5b.

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The crystal structure of **5c** is quite similar to structures **5a** and **5b**. Catalyst **5c** crystallized in the C2/c space group with one molecule in the asymmetric unit as well. However, the problem of disorder affects the main molecule differently. The ⁵ benzothiophene group adopts two positions, the angle between planes on which lie atoms in both positions is 4.3°(4). In a similar way the phenyl ring of phenylindene group is disordered, it adopts two positions, the angle between planes on which lie atoms in both positions is 15°(1). All geometric parameters ¹⁰ describing **5c** are presented in the Table 6 in ESI.



Fig. 7 Overlapping of **5a** (green) and **5b** (red) molecules. Hydrogen atoms have been omitted and heterocyclic ring is shown as ordered for clarity. In the case of disordered phenylindene group, the preferred orientation for 15 each catalyst is chosen.

The structure of **5d** differs from previously described structures. Catalyst **5d** crystallized in C2 space group with two molecules in the asymmetric unit, placed at general positions. The lack of the ²⁰ centre of symmetry (in regard to structures **5a**, **5b** and **5c**) is caused by disorder. One of the molecules contains a significantly disordered phenylindene group (in the same way as in the cases of **5a** and **5c** described above) while the other one does not. As a result these molecules are not equivalent and cannot be simply ²⁵ transformed one into another by the centre of symmetry. All geometric parameters describing **5d** are presented in the Table 7 in ESI. Catalyst **7** crystallized in the triclinic crystal system, space

- in ESI. Catalyst 7 crystallized in the triclinic crystal system, space group P-1. Green crystals of 7 do not contain any solvent molecules. There are eight molecules of 7 in the unit cell (four in ³⁰ the asymmetric unit), which are placed at general positions. The
- five-membered heterocyclic rings (containing sulphur atoms) are disordered. Despite the fact that all four molecules in the asymmetric unit are disordered, only in one molecule disorder is distinct enough to be modelled. In this molecule, heterocyclic
- $_{35}$ ring has two positions (see Fig. 9). The ratio between both positions is *ca.* 3 to 1, which means that the ring adopts one of the position three times more frequent than the other one. The tendency of such heterocyclic rings to be disordered is also observed in the case of **5a** and **5b**, where five-membered rings
- 40 containing sulphur (or oxygen) are also disordered in a similar way.



Fig. 8 ORTEP drawing showing 50% thermal ellipsoids of the 45 investigated compounds. From left to right: upper row 5a and 5b; lower row 5c and 5d. Hydrogen atoms are shown as open circles. For structure 5d one of two molecules from asymmetric unit is presented.

The ruthenium atom is five coordinated in the studied molecules ⁵⁰ (see plots on Fig. 8 and 9). In the group of **5a-d** catalysts the bond lengths between the ruthenium atom and ligands do not differ by more than 3 estimated standard deviations. The only exceptions are the Ru(1)-Cl(1) and Ru(1)-Cl(2) bonds for **5c** and **5d** in respect to the **5a** and **5b** structures. The substitution of the ⁵⁵ heteroaromatic ring results in a small change in geometry of the NHC ligand.



Fig. 9 ORTEP drawing showing 50% thermal ellipsoids of the catalyst 7.
 Hydrogen atoms are shown as open circles. One of four molecules from asymmetric unit is presented.

The Ru(1)C(1)N(2) valence angle is equal to 119.4(4)°, 118.7(3)°, 118.4(4)°, 118.3(9)° and 118.3(8)° for **5a**, **5b**, **5c** and the two asymmetric molecules of **5d**, respectively. CCDC 1453866-1453870 entries contain the supplementary crystallographic data (CIF files) for this paper. They can be obtained free of charge from the Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif or ⁷⁰ from the authors.

Conclusions

In summary, we have disclosed the synthesis and characterization of four new ruthenium-indenylidene complexes and one new Hoveyda-type complex bearing unsymmetrical NHC ligands. The 75 investigation of their catalytic performance in benchmark

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metathesis reactions performed under air in commercial grade solvent has shown that complexes 5a-d are potent catalysts for olefin metathesis reactions in commercial grade solvent under air. In DRRM reaction, catalysts 5a, 5b, 5c and 5d exhibited better

5 diastereoselectivity than standard SIMes-bearing catalysts, Grubbs II, Hovevda II and UmicoreTM M2. In ethenolysis reaction, catalysts 5a and 7 showed much better selectivity in favour of the main ethenolysis products than commercially available catalysts UmicoreTM M2 and Hoveyda II.

10 Notes and references

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New ruthenium(II) indenylidene catalysts were synthesized and used in olefin metathesis reactions in toluene under air, leading to high conversions and good selectivities.

