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COMMUNICATION

Visible-light-induced cascade dearomatization cyclization between alkynes and indole-derived bromides: a facile strategy to synthesize spiroindolenines

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Accepted 00th January 20xxXiaoshuang Gao,^a Yao Yuan,^a Xiaomin Xie,^a Zhaoguo Zhang*^{a,b}

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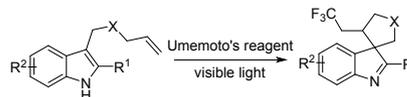
A visible-light-initiated intermolecular dearomatization cyclization cascade reaction between alkynes and indole-derived bromides has been explored. This transformation exhibits a wide substrate scope and significant functional groups tolerance, providing an efficient way to access a variety of spiroindolenines under mild conditions.

Synthesizing spiroindolenines is vital importance in organic and medicinal chemistry since spiroindolenines scaffolds are widely embedded in pharmaceuticals and natural products.¹ Therefore, it is not surprising that many efforts have been devoted to construct such useful skeletons.² However, these protocols often suffer from harsh reaction conditions or a limited substrate scope. Hence, the development of practical and green methods for the straightforward construction of these spiroindolenine frameworks is still an urgent and attractive task.

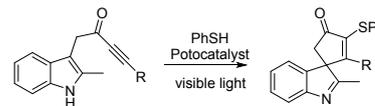
Nowadays, the visible-light-induced catalysis cascade dearomatization cyclization strategy has emerged as a uniquely powerful and straight forward tool for the assembly of novel and complex cyclic molecular architectures from simple precursors under mild reaction conditions.³⁻⁵ However, only a handful of examples on the syntheses of spiroindolenines have been reported via visible-light photoredox catalysis. In 2018, You and coworkers discovered a novel cascade alkene trifluoromethylation and dearomatization of indole derivatives, and mechanistic studies suggested an electron donor-acceptor (EDA) complex formed between indole derivatives and Umemoto's reagent (**Scheme 1a**).^{6a} Later, Unsworth's group reported a radical spirocyclization of indolyl-tethered ynone leading to sulfur-containing spiroindolenines under the air condition (**Scheme 1b**).^{6b} A similar visible-light-promoted selenylative spirocyclization of indolyl-tethered ynone was

subsequently demonstrated by Xu and co-workers (**Scheme 1c**).^{6c}

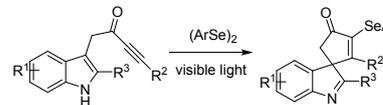
(a) EDA complex-enabled intramolecular alkene trifluoromethylation and dearomatization of indoles



(b) Photoredox-Catalysed C-S bond formation through Spirocyclization of Indolilynone



(c) Visible-Light-Promoted Selenylative Spirocyclization of Indolilynone



Scheme 1 Photoredox-based synthesis of spiroindolenines

Furthermore, another particularly intriguing transformation is the photocatalytic cascade radical functionalization of aryl alkynes followed by intermolecular cyclization reaction which can easily construct many important heterocycles.^{7,8} In 2016, Xiao group has demonstrated a photo-driven intermolecular formal (4+2) cycloaddition for the efficient synthesis of carbazole using alkynes and indole-derived bromides as the substrates (**Scheme 2a**).^{8b}

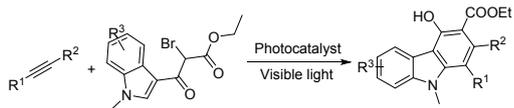
Inspired by these works and our continuous efforts devoted to visible-light-induced dearomatization reactions,⁹ we would like to present a visible-light-mediated intermolecular cascade dearomatization cyclization reaction between alkynes and indole-derived bromides, with this strategy, several series of spiroindolenines frameworks could be obtained under facile conditions (**Scheme 2b**).

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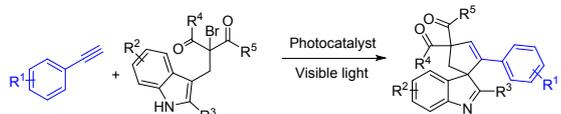
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(a) Photo-driven intermolecular formal (4+2) cycloaddition using alkynes and indole-derived bromides



(b) This work: visible-light-induced dearomatization cyclization of indole-derived bromides and alkynes

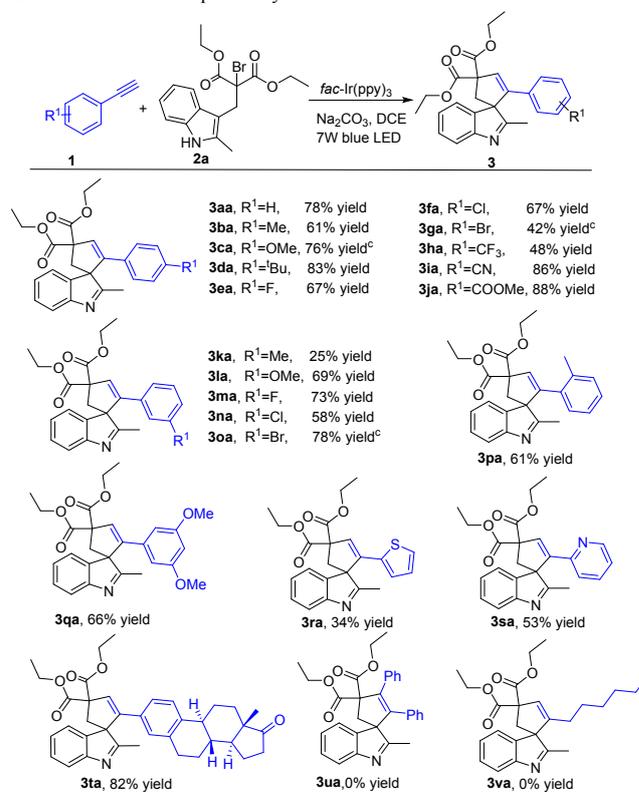
**Scheme 2** Photoredox reaction based alkynes and indole-derived bromides.**Table 1** Optimization of the reaction conditions^a

entry	photocatalyst	base	solvent	yield ^b
1	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	CH ₃ CN	74
2	<i>fac</i> -Ir(ppy) ₃	-	CH ₃ CN	0
3	Ir(ppy) ₂ (dtbpy)PF ₆	Na ₂ CO ₃	CH ₃ CN	8
4	Ru(bpy) ₃ Cl ₂ ·6H ₂ O	Na ₂ CO ₃	CH ₃ CN	0
5	Eosin Y	Na ₂ CO ₃	CH ₃ CN	0
6	<i>fac</i> -Ir(ppy) ₃	Li ₂ CO ₃	CH ₃ CN	8
7	<i>fac</i> -Ir(ppy) ₃	K ₂ CO ₃	CH ₃ CN	73
8	<i>fac</i> -Ir(ppy) ₃	NaHCO ₃	CH ₃ CN	65
9	<i>fac</i> -Ir(ppy) ₃	Na ₂ HPO ₄	CH ₃ CN	4
10	<i>fac</i> -Ir(ppy) ₃	K ₂ HPO ₄	CH ₃ CN	65
11	<i>fac</i> -Ir(ppy) ₃	Et ₃ N	CH ₃ CN	60
12	<i>fac</i> -Ir(ppy) ₃	2,6-Lutidine	CH ₃ CN	51
13	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	DMF	72
14	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	DMA	64
15	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	DMSO	57
16	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	Toluene	52
17	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	MeOH	0
18	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	DCM	76
19	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	DCE	82(78) ^c
20	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	CHCl ₃	74
21	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	THF	63
22	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	EA	54
23	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	1,4-dioxane	72
24	none	Na ₂ CO ₃	DCE	0
25 ^d	<i>fac</i> -Ir(ppy) ₃	Na ₂ CO ₃	DCE	0

^a Reaction conditions: **1a** (30.6 mg, 0.3 mmol), **2a** (229.3 mg, 0.6 mmol), base (0.6 mmol), catalyst (0.006 mmol), solvent (3 mL), rt, 24 h, under N₂ atmosphere. ^b Determined by ¹H NMR analysis with benzyl ether as an internal standard. ^c The value in parentheses was isolated yield. ^d In the dark.

Initially, we investigated this reaction using phenylacetylene **1a** and indole-derived bromide **2a** as the starting materials with Na₂CO₃ as the base and *fac*-Ir(ppy)₃ (2 mol%) as the catalyst. To our delight, the reaction proceeded smoothly after 24 h of irradiation with a 7 W blue LED in CH₃CN at room temperature, affording the desired spiroindolenines **3aa** in 74 % yield (**Table 1**, entry 1). However, no **3aa** was detected when the

reaction was performed in the absence of a base, which indicated that the base played a key role for a successful outcome in this transformation (**Table 1**, entry 2). Encouraged by this result, the reaction conditions were further optimized. Firstly, we screened other photocatalysts such as Ru(bpy)₃Cl₂·6H₂O, Ir(ppy)₂(dtbpy)PF₆ and Eosin Y, which gave inferior results (**Table 1**, entries 3–5). To further improve the reaction efficiency, many inorganic and organic bases were subsequently screened, but none of the other bases gave a higher yield than Na₂CO₃ (**Table 1**, entries 6–12). Considering that the identity of solvents sometimes play a key role in photoredox catalysis, we further screened many commonly solvents (**Table 1**, entries 13–23). To our delight, when DCE replaced CH₃CN as the solvent, the yield of the desired product **3aa** increased to 82%. Control experiments suggested that photocatalyst and visible light irradiation are indispensable to this transformation (**Table 1**, entries 24 and 25).

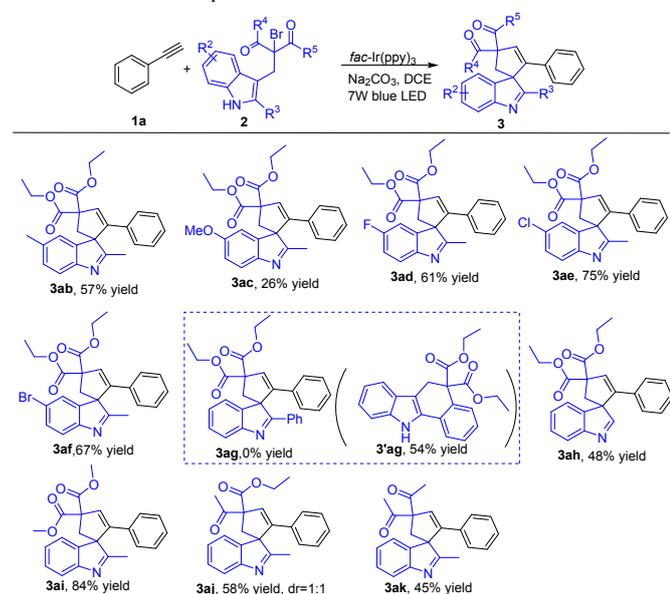
Scheme 3 Substrates scope of alkynes.^{a,b}

^a Reaction conditions: **1** (0.3 mmol), **2a** (229.3 mg, 0.6 mmol), Na₂CO₃ (63.6 mg, 0.6 mmol), *fac*-Ir(ppy)₃ (3.9 mg, 0.006 mmol), DCE (3 mL), irradiation with a 7 W blue LED light, rt, 24 h. ^b Isolated yields. ^c Irradiation with a 7 W blue LED for 48 h.

With the optimized cyclization reaction conditions in hand, the substrate scope of this reaction was investigated (**Scheme 3**). Firstly, different substituent groups on the aryl alkynes were examined. Gratifyingly, both electron-donating group (e.g., Me, OMe, ^tBu) and electron-withdrawing group (e.g., CF₃, CN, COOMe) substituents at the *para* position of aryl alkynes proceeded efficiently to afford the spiroindolenines **3aa–3ja** in moderate to excellent yields. Notably, synthetically attractive

groups (F, Cl, Br) in substrates were also tolerated in this reaction providing the products **3ea-3ga** in moderate to good yields. Subsequently, for a *meta*-substituent aryl alkynes also underwent cyclization smoothly to give spiroindolenines **3ka-3oa**. Nevertheless, the *meta*-Me-substituent aryl alkynes was obtained corresponding product **3ka** in only 25% yield. Other substituents such as *ortho*-Me and 3,5-di-OMe on aryl alkynes could also delivered the desired products **3pa** and **3qa** in satisfactory yields. In addition, heteroaryl thiophene and pyridine-based acetylenes were well tolerated with this mild system affording the spiroindolenines **3ra** and **3sa** in 34% and 53% yield, respectively. Remarkably, the aryl alkyne with a natural product estrone steroid skeleton was also amenable to this reaction under the standard conditions giving the corresponding product **3ta** in 82% yield, which may be applied in the late-stage functionalization of a bioactive molecule. Unfortunately, for the substrate internal alkynes diphenyl acetylene **1u** or aliphatic alkyne 1-octyne **1v** failed to give the target compound, and starting material **1u** and **1v** was recovered.

Scheme 4 Substrates scope of indole-derived bromide.^{a,b}



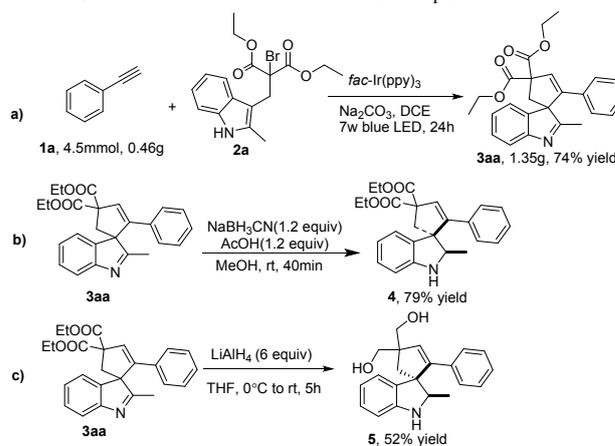
^a Reaction conditions: **1a** (30.6 mg, 0.3 mmol), **2** (0.6 mmol), Na₂CO₃ (63.6 mg, 0.6 mmol), *fac*-Ir(ppy)₃ (3.9 mg, 0.006 mmol), DCE (3 mL), irradiation with a 7W blue LED light, rt, 24 h. ^b Isolated yield.

To further expand the substrate scope of this transformation, we then examined the substituents on the indole-derived bromides (**Scheme 4**). A range of C5-substituted on indoles also showed good applicability for this reaction affording their corresponding dearomatized products in moderate yields (**3ab-3af**). However, somewhat lower yield was obtained with the 5-OMe-indole derived bromide **2c**. When indoles C2 position bearing phenyl group, we only got **2g** direct cyclization byproduct **3ag'** in 54% yield. In addition, when indoles C2 position bearing hydrogen moiety was also achieved desired spiroindolenines **3ah** in 48% yield. Moreover, dimethyl malonate indole-derived bromide **2i** was also applicable to this

system, delivering the desired product **3ai** in 84% yield. Finally, other bromocarbonyl indoles, such as keto esters **2j** or diketones **2k**, were also effective in this transformation affording the spiroindolenines **3aj** and **3ak** in 58% and 45% yield, respectively.

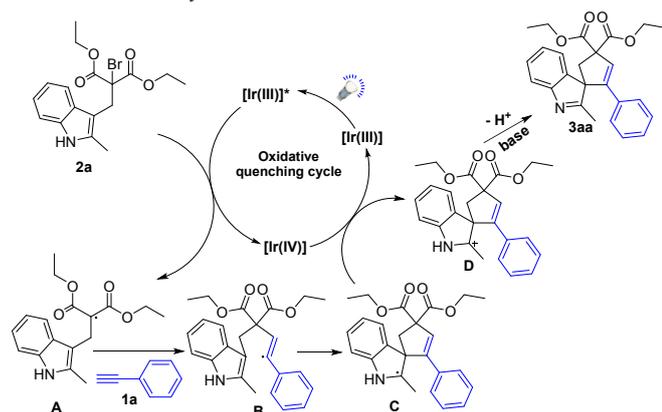
When we scaled the reaction of **1a** in a 4.5 mmol with **2a**, to our delight, the reaction proceeded smoothly delivering product **3aa** in 74% yield, which highlights practicality of this method (**Scheme 5a**). In addition, several transformations based on spiroindolenine **3aa** were carried out. Spirocycle **4** could be obtained in 79% yield as a single diastereoisomer through the reduction of the imine moiety of **3aa** in the presence of NaBH₃CN and AcOH (**Scheme 5b**). Moreover, the product **3aa** could be readily converted to spirocycle diol compound **5** in 54% yield using LiAlH₄ as reducing agent (**Scheme 5c**).

Scheme 5. Gram-scale reaction and transformations of product **3aa**



To gain additional mechanistic insights, 3 equiv. of TEMPO relative to **1a** was added to the reaction system, no desired product **3aa** was observed, indicating that a radical process is probably involved in this reaction. The Stern-Volmer analysis revealed that the photoluminescence of *fac*-Ir(ppy)₃ was quenched by indole-derived bromides **2a** in DCE at room temperature (see SI). Meanwhile, we also ruled out the radical-chain propagation mechanism based on the light on/off experiments (see SI).

On the basis of experimental observations and previous literature reports,⁹ a plausible mechanism was proposed for this transformation (**Scheme 6**). Initially, Ir(III) photocatalyst was excited to generate the excited species Ir(III)* under blue light irradiation, which underwent single electron transfer (SET) process with indole-derived bromide **2a** generate radical **A** and Ir(IV) metal complex. Subsequently, radical **A** underwent a rapid addition with phenylacetylene **1a** afforded radical intermediate **B**, followed by intramolecular cyclization lead to radical intermediate **C**, which was further oxidized through SET process to give key carbocation **D** and regenerated Ir(III) photocatalyst.^{5d-5f} Finally, deprotonation of intermediate **D** under basic condition gave the desired product **3aa**.

Scheme 6 Postulated cyclization reaction mechanism.

In summary, we have disclosed an operationally convenient visible-light photocatalytic intermolecular dearomatization cyclization cascade reaction between indole-derived bromides and alkynes, which cyclization process features a broad substrate scope and high reaction efficiency. This protocol also presents a mild and efficient way to furnish a variety of spiroindolenines.

Conflicts of interest

There are no conflicts to declare.

Notes and references

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