Programing the formation of DNA and PNA quadruplexes by π - π -stacking interactions[†]

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Guanine (G) rich $G_4T_4G_4$ DNA and homologous PNA strands tend to form antiparallel dimeric quadruplexes. In contrast, the same DNA strands carrying planar aromatic 5'-residues preferentially form parallel DNA quadruplex. Conformation and composition of the DNA quadruplexes can be programed by π - π -stacking interaction exerted by the 5'-residues.

The guanine (G) rich telomeric DNA sequence of Oxytricha nova $d(G_4T_4G_4)$ is known to form¹ stable antiparallel hairpin dimeric quadruplexes through Hoogsteen hydrogen bond formation, in the presence of Na⁺ or K⁺ ions. Peptide nucleic acid (PNA) is a DNA analog² that contains oligomeric N-(2-aminoethyl)glycine units bearing nucleobases at the glycine nitrogen through acetyl linkers. More recent effort has been directed to the hybridization^{2,3} of complementary as well as homologous PNA molecules with DNA and RNA for their importance in sequencing and sensing, as antisense agents, and in gene technology. The hybrid DNA-PNA duplexes, triplexes, and quadruplexes display much higher thermal stability over the purely DNA and RNA superstructures.² Enhanced stability of the DNA-PNA hybrid structures is attributed to the neutral peptidic backbones of the PNA strands, which in addition to diminishing the electrostatic repulsion between the phosphate backbones of the DNA strands, provide an optimal spacing between the nucleobases that matches the sugar-phosphate backbone of DNA and RNA. This structural advantage is reflected in the stability of duplexes formed by complementary DNA, PNA, and RNA strands (PNA–PNA > PNA–RNA > PNA–DNA > $RNA-DNA > DNA-DNA)^2$

Armitage *et al.*⁴ established that $G_4T_4G_4$ DNA and PNA form a stable 2 : 2 hybrid quadruplex (Fig. 1c), in which each of the two negatively charged DNA strands is flanked by two neutral PNA strands and the 5'-ends of the two DNAs are aligned with the N-termini of both PNA strands. As part of our interest in controlling the characteristics of quadruplexes,⁵ we set out to investigate whether or not the formation of homo and hybrid quadruplexes can be biased in either direction through chemical modification of the DNA and PNA strands. If the structural integrity of the functionalized DNA and PNA quadruplexes can be controlled, they can be employed to form microarrays of fluorescent biosensors, as well as energy and electron transfer materials.

Herein we report that the stability, conformation, and composition of quadruplexes depend not only on the nucleobase sequence, but also on the properties of the headgroups that are attached to the 5'- and N-termini of the DNA and PNA strands, respectively (Fig. 2). In order to investigate the formation of the hybrid quadruplexes by the $G_4T_4G_4$ DNA and PNA strands in the presence of different head-groups, we functionalized the 5'- and N-termini of both strands with a number of aromatic units, ranging from energy donating and accepting small π -surfaces, like coumarin-2 (1) and coumarin-343 (2), to electron donating and accepting larger π -surfaces, such as porphyrin (3, 4) and perylene (5) units.

5'-C10-Carboxy-modified $d(G_4T_4G_4)$ oligonucleotide (ODN), 5'-C6-amino-modified $d(G_4T_4G_4)$ ODN, and homologous FmocNH-G₄T₄G₄-LysNHBhoc PNA strands were used for 5'-end and N-end functionalization. The coumarin-2 derivative **1**, porphyrin derivatives **3** and **4**, as well as perylene diimide **5** were attached to the modified ODNs *via* solid-state amide coupling reactions,⁴ followed by cleavage of the resin and HPLC purification to obtain three functionalized ODNs. Basic deprotection of the Fmoc group yielded an amineterminated PNA strand, which allowed peptide coupling with



Fig. 1 Graphical representation of $G_4T_4G_4$ DNA and PNA quadruplexes, modified at the 5'- and N-terminals, respectively. (a) An antiparallel hairpin dimeric DNA quadruplex, (b) an antiparallel hairpin dimeric PNA quadruplex, (c) a parallel DNA₂–PNA₂ hybrid quadruplex, (d) a tetramolecular parallel DNA₄ quadruplex, and (e) a dimeric parallel DNA₂ quadruplex.

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Fig. 2 Coumarin-2 derivative (1), coumarin-343 (2), porphyrin derivatives (3 and 4), and perylene diimide (5) used as 5'- and N-head-groups for DNA and PNA, respectively.

coumarin-343 (2). Cleavage of the resin and HPLC purification provided two functionalized PNA strands.⁴ All compounds were characterized by high resolution MALDI-TOF mass spectrometry (MS) and UV-Vis spectroscopy.

Formation of the DNA, PNA, and hybrid quadruplexes is a dynamic process, owing to hydrogen bonding, metal ion coordination, as well as π - π -stacking interactions between the nucleobases. All quadruplexes were formed⁴ by incubating single-stranded (SS) DNA and/or PNA at 20 µM concentrations in pH = 7.0 buffer, containing 10 mM sodium phosphate, 100 mM NaCl, and 0.1 mM EDTA at 90 °C for 15 min, then cooling them slowly to 25 °C and storing them at 4 °C for 16 h. In the presence of Na^+ ions, the circular dichroism (CD) spectrum of unmodified $d(G_4T_4G_4)$ ODN displays⁴ a maximum at 295 nm and a minimum at 265 nm, indicating the formation of an antiparallel dimeric hairpin DNA quadruplex (ESI[†]). CD spectroscopy further confirmed that the dimeric antiparallel conformation was preserved (Fig. 3) for the modified $d(G_4T_4G_4)$ ODNs functionalized at the 5'-end with smaller aromatic units, such as fluorescein⁴ and coumarin-2 (1), that cannot exert strong π - π -stacking interactions. The $d(G_4T_4G_4)$ ODNs modified with porphyrin 3 at the 5'-end, however, showed a CD peak at 265 nm and a trough at 245 nm, signals that are characteristic⁵ of a parallel DNA quadruplex. Two different quadruplex conformations can be explained by the difference in the strength of π - π -stacking interactions between the aromatic head-groups and their influence on the quadruplex formation. In the case of 1-DNA, the coumarin-2 unit is not large enough to provide any significant π - π -stacking interaction, allowing the formation of a dimeric antiparallel quadruplex (Fig. 1a). In 3-DNA, the hydrophobic porphyrin head-group presents a large planar π -surface that templates the formation of a highly stable parallel quadruplex involving four or two DNA strands (Fig. 1d and e), via π - π -stacking interactions in the aqueous environment. Porphyrin units are known⁵ to template G-quartet formation by preorganizing four guanine bases through π -stacking interactions. An almost identical CD spectrum– characteristic of a parallel DNA quadruplex (Fig. 1d and e)-was



Fig. 3 CD spectra demonstrating the formation of DNA, PNA, and hybrid quadruplexes at 20 μ M single-strand concentration of each species.

recorded for 5-DNA, which carries a hydrophobic planar head-group, perylene diimide 5, at the 5'-end.

To destabilize the strong π - π -stacking interactions between the hydrophobic porphyrin units by electrostatic repulsion, we installed tetra(4-carboxyphenyl) porphyrin 4 head-groups via amide bond formation on 5'-C6-amino-modified $d(G_4T_4G_4)$ ODN. The CD spectrum of the annealed sample showed a significant departure from that of 3-DNA. Although CD spectra of 3-DNA, 4-DNA and 5-DNA indicate the formation of respective parallel qudruplexes that show characteristic peaks at 265 nm and troughs at 245 nm, the 4-DNA quadruplex shows only 50% intensity of the 265 nm peak compared to that of the 3-DNA and 5-DNA quadruplexes (Fig. 3) at the same SS concentrations (20 and 40 μ M). Electrostatic repulsion between the negatively charged porphyrin residues in 4-DNA presumably destabilizes the formation of quadruplexes leading to the formation of a parallel hairpin dimer (Fig. 1e), allowing only two negatively charged porphyrins to come in close proximity. In contrast, the 3-DNA and 5-DNA strands predominantly form tetramolecular parallel quadruplexes (Fig. 1d), by virtue of π - π -stacking interaction between neutral aromatic groups.

The quadruplex formed by coumarin-343 (2) appended G₄T₄G₄-Lys PNA strands under identical conditions did not show (Fig. 3) any CD signal since the PNA backbone lacks a stereogenic center other than at the lysine residue.^{4,6} Armitage et al.⁴ demonstrated that akin to $d(G_4T_4G_4)$ ODN, the H-G₄T₄G₄-Lvs PNA strand forms a dimeric antiparallel quadruplex. We employed the 2-PNA strand as a probe to examine the structural integrity (Fig. 3) of the modified DNA quadruplexes carrying different 5'-residues. For this study, an equimolar amount (10 µM of each SS in the final solution mixture) of each of the preformed quadruplexes of 1-DNA and 5-DNA was treated separately with 2-PNA (10 µM SS in the final solution) under the same annealing conditions. In the presence of the 2-PNA probe, the CD spectrum of the dimeric antiparallel quadruplex of 1-DNA changed dramatically, showing complete disappearance of the 295 nm peak and 265 nm trough and emergence of a peak at 265 nm and a trough at 245 nm. These spectroscopic changes indicate that the dimeric antiparallel 1-DNA quadruplex (Fig. 1a) is perturbed by two homologous PNA strands forming a



Fig. 4 CD spectra demonstrating the formation of parallel quadruplexes of 5'-TG_8T_3-3' ODN and 3-DNA at 20 and 40 μ M single-strand concentration.

tetramolecular hybrid parallel quadruplex (Fig. 1c), which is a thermodynamically more stable assembly.⁴ The preformed parallel quadruplex of 5-DNA, however, did not show any change in the CD spectrum after treatment with an equimolar amount of the 2-PNA probe, apart from a reduction in the intensities of the 265 and 245 nm signals by 50%, presumably because of a two-fold dilution of the original solution. Had a (5-DNA)₂(2-PNA)₂ hybrid quadruplex formed, the resulting CD spectrum would have been similar to that recorded for the (1-DNA)₂(2-PNA)₂ quadruplex as a result of having the same helical pitch. These results suggest that the π - π -stacking interactions between the larger aromatic head-groups, which template the formation of parallel homo-quadruplexes,⁵ are strong enough to resist a disruption by the PNA probe. Therefore, such π - π -stacking interactions or lack thereof can be exploited to bias the formation of either an all-DNA homoquadruplex or a hybrid with a homologous PNA.

To confirm the difference in compositions of the 3-DNA and 4-DNA parallel quadruplexes, we studied the CD response of the quadruplex formed from 5'-TG₈T₃-3' ODN at 20 and 40 µM SS concentrations in identical buffer conditions and compared them with those of 3-DNA at the same concentrations (Fig. 4). The intensities of the 265 and 245 nm CD signals of both quadruplexes were virtually the same at respective SS concentrations although the tetramolecular parallel quadruplex of TG_8T_3 presents eight contiguous planes of G-quartets, whereas the 3-DNA quadruplex possesses two sets of four contiguous G-quartet planes separated by a TTTT segment. Therefore, it is unlikely that the TTTT segment, separating two GGGG segments in 3-DNA, interferes with exciton-coupling among the G-quartet planes. This also confirms that while 3-DNA forms a tetramolecular quadruplex with eight G-quartet planes, 4-DNA forms a dimeric quadruplex with only four G-quartet planes, resulting in 50% reduction of the CD intensity for the latter at various concentrations (Fig. 5).

To understand the effects of 5'-residues on 3-DNA and 4-DNA quadruplexes, we investigated their thermal stabilities at two different concentrations (20 μ M and 40 μ M of SS) by CD spectroscopy. As expected, the intensities of the 265 nm peak and 245 trough at 25 °C for both 3-DNA and 4-DNA quadruplexes were twice as high at 40 μ M compared to those at 20 μ M of initial SS concentrations (Fig. 5). This suggests that 3-DNA and 4-DNA SS are completely consumed to form respective parallel quadruplexes at both concentrations. While



Fig. 5 CD spectra demonstrating the formation (RT), thermal dissociation (95 °C), and reformation (25 °C) of 3-DNA and 4-DNA quadruplexes at (a) 40 μ M and (b) 20 μ M SS concentrations of each species.

the CD signals of 4-DNA quadruplex disappeared completely at 95 °C as a result of thermal decomplexation, the intensity of 3-DNA quadruplex signals faded only partially, suggesting a higher thermal stability of the latter. Cooling of each quadruplex solution to 25 °C reproduced the corresponding initial CD spectra recorded at room temperature, confirming the reversibility of the thermal decomplexation–reformation processes. We assert that a neutral porphyrin residue at the 5'-end of 3-DNA leads to the formation of a stable tetramolecular parallel quadruplex, which cannot be completely dissociated thermally in an aqueous buffer, containing Na⁺ ions. In contrast, to minimize the electrostatic repulsion between the charged porphyrin residues, 4-DNA forms a dimeric parallel quadruplex which can be thermally dissociated completely.

In summary, we have demonstrated that the perturbation of a DNA quadruplex by a homologous PNA strand can be programed by equipping the DNA strands with large planar aromatic head-groups. The nature of the 5'-modifications of $d(G_4T_4G_4)$ ODNs determines whether this telomeric sequence would form antiparallel or parallel quadruplexes.⁷ We could also control the stability, geometry, and molecularity of the parallel quadruplexes formed by the modified $d(G_4T_4G_4)$ ODNs by changing the nature of aromatic residues at their 5'-ends.

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