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Journal Name

ARTICLE

Highly Efficient Hydroboration of Carbonyl Compounds Catalyzed by Tris(methylcyclopentadienyl)lanthanide Complexes

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Homoleptic lanthanide complexes coordinated by Me-substituted Cp ligand [(MeCp)₃Ln] demonstrate unprecedentedly high efficiency in catalyzing the hydroboration of aldehydes and ketones with pinacolborane. This protocol is also applicable for the hydroboration of aryl-substituted imines. In addition, broad functional group compatibility and excellent chemoselectivity is also achieved. DFT calculations are employed to shed light on the reaction mechanism.

Introduction

Organolanthanide chemistry has played an important role in organometallic chemistry and is gaining continuous momentum on account of its rich chemical reactivities and wide applications in various disciplines, such as polymer science, pharmaceuticals, material science as well as organic synthesis.¹ A vast number of lanthanide (Ln) complexes have been proven to be efficient in catalyzing organic transformation.² It is of significant importance to further explore and broaden the catalytic scope of Ln complexes. The area of using catalysts for carrying out the hydroboration of aldehydes, ketones and imines is of considerable interest and rapidly expanding. Although main group³ and transition⁴ metal complexes catalyzed hydroborations have been well reported, Ln complexes catalyzed hydroboration remains relatively unexplored. Marks' group first report that La[N(SiMe₃)₂]₃ can be served as a catalyst for the hydroboration of carbonyl-containing compounds.⁵ Analogously, we documented that Ln complexes with Ln-N^{6a,b} and Ln-B^{6c} bonds could be efficient to drive this hydroboration transformation. The latest work published by our team unfolded that the very simple and readily available Cp₃Ln (Cp = cyclopentadienyl) complexes were excellent catalysts for hydroboration of a variety of aldehydes and ketones with low catalyst loading.⁷ In addition, good substrates tolerability as well as chemical selectivity was also achieved. Nevertheless, it is worthy to exploit more highly efficient catalysts which are essential for the mitigation of environmental concern over the use of metal catalysts and developing potential capability for industrial scale up.

Results and discussion

Modification of ligands of Ln complexes by adjusting the steric hindrance has been proven to be a powerful approach to improve catalytic behaviors.⁸ Hence, we commenced our study by examining (MeCp)₃Ln complexes as catalysts for the hydroboration of aldehydes/ketones with pinacolborane (HBpin).

Homoleptic (MeCp)₃Ln complexes were prepared according to the literature reported⁹ and the screening data are listed in the supporting information (see the ESI, Table S1). Subsequently, the substrate scope was investigated by adopting the same reaction conditions in Cp₃La system.⁷

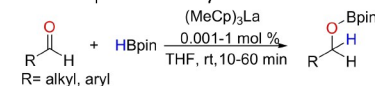
The catalytic performance of the (MeCp)₃La complex was tested for the hydroboration of aldehydes and the representative results are listed in Table 1. Generally, the addition of HBpin to various aldehydes, including aromatic, hetero ring (2-pyridinecarboxaldehyde) as well as aliphatic substrates, in the presence of 0.001-1 mol% of catalyst loading can afford corresponding boronic esters in high yields (84 to 99%). Remarkably, with 0.001 mol% catalyst loading, benzaldehyde achieved quantitative conversion within 60 min, which marks the unprecedented reactivity in comparison with the published literatures.^{7,10} The corresponding TOF of 97 000 hr⁻¹ also represents the most rapid HBpin-based reduction to date.^{5,7,10-12} Analogous to the catalytic performance of Cp₃La, (MeCp)₃La shows good compatibility with different function groups. Substrates bearing electron donating groups (Table 1, entries 2-4) or electron withdrawing groups (Table 1, entries 5-7) also gave the corresponding reduction products in high yields. For halogen substituted benzaldehyde, F- and Cl-substrates show comparable catalytic reactivity with 0.01 mol% catalyst loading, while Br- substrate presents slightly higher activity as only 0.005 mol% loading is required. Interestingly, in contrast to Cp₃La, no evident steric hindrance

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Electronic Supplementary Information (ESI) available: Characterization data, copies of ¹H, ¹³C NMR spectra, kinetic analysis details and DFT calculations See DOI: 10.1039/x0xx00000x

ARTICLE

Journal Name

Table 1 Substrate scope for aldehydes^a


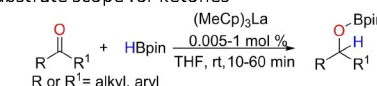
Entry	R	Cat (mol%)	Time (min)	NMR yield (%)	Isolated yield (%)
1	Ph	0.001	60	97	90
2	2-MeC ₆ H ₄	0.005	60	99	95
3	2,4,6-Me ₃ C ₆ H ₂	0.005	60	98	89
4	2-OMeC ₆ H ₄	0.01	10	99	92
5	4-FC ₆ H ₄	0.01	10	99	90
6	4-ClC ₆ H ₄	0.01	10	99	87
7	4-BrC ₆ H ₄	0.005	60	91	81
8	4-CN ₂ C ₆ H ₃	0.01	60	99	85
9	2-C≡CHC ₆ H ₄	0.01	60	99	90
10	C ₆ H ₅ CH=CH	0.1	20	92	80
11	3-cyclohexene	0.01	10	99	84
12	4-NMe ₂ C ₆ H ₄	1	60	99	—
13	2-pyridine	0.01	10	99	85
14	4-OHC ₆ H ₄	0.1	40	84	73

^a Reaction conditions: aldehydes (1 mmol), HBpin (1.2 mmol) and (MeCp)₃La solution of the appropriate concentration, N₂, room temperature.

effect is observed under defined reaction conditions (Table 1, entries 2 and 3). It can be seen that even 2,4,6-trimethylbenzaldehyde could be completely converted to target product. Intramolecular chemoselective hydroboration is also achievable: dedicated reduction towards C=O bond and keep CN, -yne as well as C=C bonds intact (Table 1, entries 8–11). With respect to the hetero cyclic substrate (2-pyridinecarboxaldehyde), although catalyst loading of 0.01 mol% is equivalent as that of Cp₃La,⁷ the reaction time is significantly shortened from 60 min to 10 min (Table 1, entry 13). It is worth noting that the catalyst also shows tolerability with –OH (Table 1, entry 14), demonstrating the unique chemoselectivity of (MeCp)₃La complex, as the alcohol group is readily reliable to B–O coupling with HBpin.¹³

Furthermore, a range of ketone substrates were subjected to hydroboration with HBpin using (MeCp)₃La. Similar to the cases reported previously, the catalytic hydroboration of ketones is relatively sluggish thus a longer reaction time or higher catalyst loading is needed.¹² Nevertheless, the (MeCp)₃La shows superior reactivity over the Cp₃La complex. For instance, 0.005 mol% catalyst loading can lead to 97% hydroboration transformation of acetophenone within 60 min, and quantitative product is obtained in 10 min upon slightly increasing the loading to 0.01 mol%, showing apparently higher reactivity than that of Cp₃La.⁷ This corresponds to a TOF > 59 000 h^{–1}, which is comparable with the highest TOF > 60 000 h^{–1} for the hydroboration of acetophenone.¹⁴ With 1 mol% catalyst loading, cyclododecanone could be fully converted to the desired product within 10 min, and the shortened reaction time implies, again, the superior reactivity of (MeCp)₃La than that of Cp₃La (Table 2, entry 8).⁷

Additional investigation to probe both intra- and inter-molecular chemoselectivities was carried out with (MeCp)₃La

Table 2 Substrate scope for ketones^a


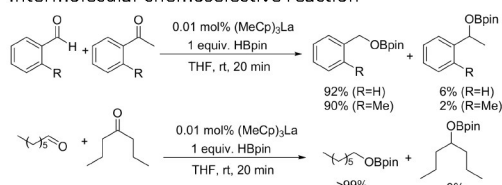
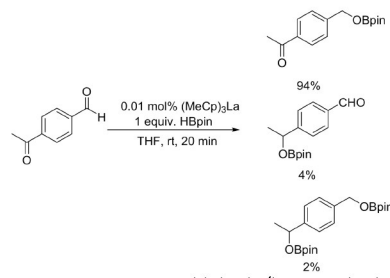
Entry	R	R'	Cat (mol%)	Time (min)	NMR yield (%)	Isolated yield (%)
1	Ph	Me	0.01 0.005	10 60	99 97	94 —
2	2-Me-C ₆ H ₄	Me	0.01	10	99	85
3	4-OMe-C ₆ H ₄	Me	0.01	10	99	81
4	4-NO ₂ -C ₆ H ₄	Me	0.01	60	96	87
5	3-FC ₆ H ₄	Me	0.01	10	94	89
6	2-ClC ₆ H ₄	Me	0.01	10	99	92
7	Ph	Ph	0.1	10	99	83
8	cyclododecanone		1	10	99	89
9	PhCH ₂	PhCH ₂	1	10	99	93
10	C ₄ H ₉ S	Me	0.01	10	97	80

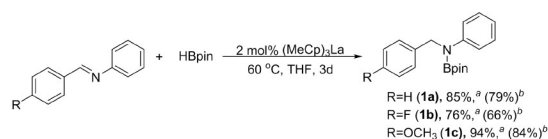
^a Reaction conditions: ketones (1 mmol), HBpin (1.2 mmol) and (MeCp)₃La solution of the appropriate concentration, N₂, room temperature.

(Scheme 1). The results showed evidently hydroboration preference of aldehydes over ketones. The selectivity of benzaldehyde and substituted benzaldehyde presented comparable outcomes in La[N(SiMe₃)₂]₃⁵ and Cp₃La.⁷ In particular, nearly quantitative conversion of heptaldehyde was accomplished in the presence of 4-heptanone.

The kinetics of the reaction was studied via ¹H NMR monitoring, indicating the first order in [ketone]/[aldehyde], [HBpin] and [(MeCp)₃La], respectively (see the Supporting Information for details).

To further broaden the application scope of catalytic hydroboration with other function group substrates. A catalytic amount of (MeCp)₃La was used to test the adaptability with aryl-substituted imines. A preliminary screening was performed and the representative outcomes

A. Intermolecular chemoselective reaction**B. Intramolecular chemoselective reaction****Scheme 1** Competitive aldehyde/ketone hydroboration selectivity study.



Scheme 2 Hydroboration of imine using (MeCp)₃La as a catalyst. ^a Yields were determined by ¹H NMR analysis of the reaction mixture. ^b Yields are isolated yields of secondary amines.

were displayed in Scheme 2. We were delighted that with 2 mol% catalyst loading under 60 °C, all selected substrates including electron withdrawing (N-(4-fluorobenzylidene)aniline) and electron donating (N-(4-methoxybenzylidene)aniline) delivered medium to good yields of target products. In comparison with the single component catalyst serve this transformation reported by Sen's group, not only a lower catalyst loading but also a significant improvement in conversion was achieved.¹⁵

DFT calculations were carried out to investigate the mechanism of the hydroboration of aldehydes catalyzed by the complex Cp₃La. The ΔH for the isodesmic reaction (1) is exothermic by 6.7 kcal/mol, indicating that the binding energy of Cp₃La with PhCHO is 6.7 kcal/mol higher than with HBpin. Therefore, the coordination of PhCHO with the catalyst via O...La interaction is more likely to occur, forming the initial complex **INT1**. Subsequently, the other substrate, HBpin, might undergo a metathesis reaction with PhCHO to afford the desired product in a concerted manner. The corresponding transition state (TS) was located as **TS1**, in which the O...B and C...H distances are shortened to 1.87 and 1.62 Å, respectively, while the B...H and C...O distances are lengthened to 1.26 and 1.29 Å, respectively. The predicted energy barrier is 39.2 kcal/mol. Alternatively, the substrate HBpin could undergo electrophilic attack to the Cp ligand, generating the addition intermediate **INT3**. Next, the formed pin(Cp)BH- group in **INT3** is ready to undergo the hydride transfer step to the positively charged carbon site of the aldehyde group to produce **INT4**. Afterwards, the generated alkyloxy group in **INT4** is very ready to undergo the migratory insertion to the electron deficient B site of pinBCp to afford **INT5**. Then, the desired product could be released from the Cp ligand via the cleavage of the B-C bond and the Cp₃La catalyst is regenerated. The predicted energy barrier of the rate determining step for the stepwise pathway is 36.0 kcal/mol, which is ca. 3 kcal/mol lower in energy than that of the former concerted route. Therefore, the above mentioned stepwise mechanism is more feasible for the hydroboration of aldehydes catalyzed by Cp₃La.¹⁶ Overall, computational studies reveal that the high efficiency for this catalytic reaction could be attributed to the critical role of the Cp₃La catalyst in trapping both substrates effectively, metal center for PhCHO and Cp ligand for HBpin, respectively. Then, the hydride transfer and the alkyloxy migration steps are followed to produce the final product.

The organolanthanide catalyst with MeCp ligand shows superior catalytic performance than the Cp ligand. Based on the stepwise mechanism revealed previously, computational results suggest that HBpin favors to attack C2 position of the methyl substituted cyclopentadienyl group.¹⁷ The predicted

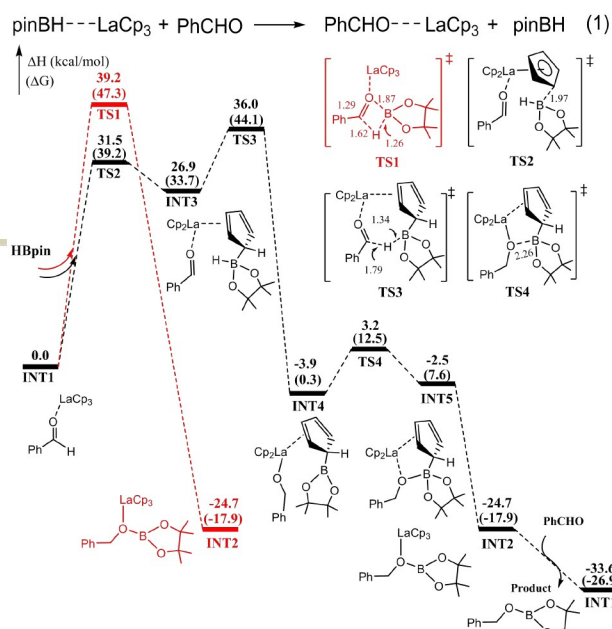


Figure 1 Energy profile (in kcal/mol) for the Cp₃La catalyzed hydroboration benzaldehyde with pinacolborane. Bond lengths are shown in Å.

energy barrier of the rate limiting step of the (MeCp)₃La catalyzed hydroboration is 32.8 kcal/mol, which is ca. 3 kcal/mol lower in energy than the employment of Cp₃La catalyst (Figure S61). Computational studies suggest that (MeCp)₃La could be a better catalyst than Cp₃La, which is consistent with experimental results. The slightly enhanced nucleophilicity of the carbon adjacent to the methyl group substituted carbon in the Me-Cp ligand, could account for, at least partially, the superior catalytic performance of the (MeCp)₃La catalyst. In addition, the methyl group of MeCp ligand could form a C-H...O weak H-bond with O atom of the pinBH substrate in TS2', providing slightly more stabilizing effect (Figure S61).

Conclusions

In conclusion, we have described (MeCp)₃Ln could be employed as excellent catalysts for hydroboration of carbonyl compounds with low catalyst loading under mild conditions. The catalyst (MeCp)₃La demonstrates even higher activity in comparison with Cp₃La. One possible mechanism is presented on the basis of DFT study. Moreover, the (MeCp)₃La complex also shows potent capability to catalyze imine hydroboration.

Experimental

General information

All catalytic reactions were carried out under nitrogen atmosphere using glovebox and Schlenk techniques. All solvents were distilled from Na prior to use. All liquid substrates were dried over CaH₂, freshly distilled, and degassed prior to use. All solid substrates were treated with anhydrous anaerobic treatment. CDCl₃ was purchased from TCI

chemicals and stored over activated 4 Å molecular sieves prior to use. Pinacolborane (HBpin) was purchased from Sigma-AldrichCo. ^1H and ^{13}C NMR spectra were recorded using Bruker Avance 400-MHz NMR spectrometer, with chemical shifts (δ) referenced to the residual solvent signal. High resolution mass spectra (HRMS) were obtained using a Bruker MicroTOF-Q III instrument with an ESI source. Carbon, hydrogen and nitrogen analyses were performed by direct combustion using a CarloErba EA-1110 instrument.

General Procedure for Catalytic Hydroboration of Aldehydes. In the glovebox, aldehyde (1 mmol) and pinacolborane (1.2 mmol) were charged in the vial with a stir bar, and a stock solution containing an appropriate loading of $(\text{MeCp})_3\text{La}$ (0.001 mol% ~ 1 mol%) was added. The reaction mixture was allowed to run at room temperature. The progress of the reaction was monitored by ^1H NMR, which indicated the completion of the reaction by the disappearance of the aldehyde proton and appearance of a new CH_2 peak. The product boryl ester was hydrolyzed by refluxing with silica gel and H_2O overnight. The resulting alcohol was extracted with CHCl_3 . The product was isolated by flash column chromatography with SiO_2 using ethyl acetate/hexane (1:5 or 1:10) mixture as eluent. The solvent of organic phase was removed by rotary evaporation and the alcohol product was characterized by ^1H and ^{13}C NMR.

General Procedure for Catalytic Hydroboration of Ketones. In the glovebox, ketone (1 mmol) and pinacolborane (1.2 mmol) were charged in the vial with a stir bar, and a stock solution containing an appropriate loading of $(\text{MeCp})_3\text{La}$ (0.005 mol% ~ 1 mol%) was added. The reaction mixture was allowed to run at room temperature. The progress of the reaction was monitored by ^1H NMR, which indicated the completion of the reaction by the appearance of a new CH peak. The product boryl ester was hydrolyzed by refluxing with silica gel and H_2O overnight. The resulting alcohol was extracted with CHCl_3 . The product was isolated by flash column chromatography with SiO_2 using ethyl acetate/hexane (1:5 or 1:10) mixture as eluent. The solvent of organic phase was removed by rotary evaporation and the alcohol product was characterized by ^1H and ^{13}C NMR.

Competing Experiment for Intermolecular Hydroboration of Aldehyde vs Ketone. In the glovebox, aldehyde (1 mmol), pinacolborane (1 mmol) and ketone (1 mmol) were charged in the vial and a stock solution containing an appropriate loading of $(\text{MeCp})_3\text{La}$ (0.01 mol%) was added. The reaction mixture was stirred at room temperature for 20 min. Reaction progress was monitored by ^1H NMR (final spectra are provided).

Competing Experiment for Intramolecular Hydroboration of Aldehyde vs Ketone. In the glovebox, 4-acetylbenzaldehyde (1 mmol) and pinacolborane (1 mmol) were charged in the vial and a stock solution containing an appropriate loading of $(\text{MeCp})_3\text{La}$ (0.01 mol%) was added. The reaction mixture was stirred at room temperature for 20 min. Reaction progress was monitored by ^1H NMR (final spectra are provided).

General Procedure for Catalytic Hydroboration of Imines. Aryl-substituted imine (1 mmol), pinacolborane (1.2 mmol) and $(\text{MeCp})_3\text{La}$ (2 mol%) were charged in Schlenk tube inside glove box. The reaction mixture was allowed to run at 60 °C. The progress of the reaction was monitored by ^1H NMR. Upon

completion of the reaction, resulted boronate ester residues were hydrolysed with silica gel and methanol at 65 °C for 4-6 h. The completion of hydrolysis was checked by TLC. The reaction mixture was filtered and washed three times with dichloromethane. The combined organic layers were dried, evaporated and the residue was purified by column chromatography over silica gel (100–200 mesh) with pet ether/ethyl acetate (1:5) mixture as eluent, which provided the pure secondary amines.

Acknowledgements

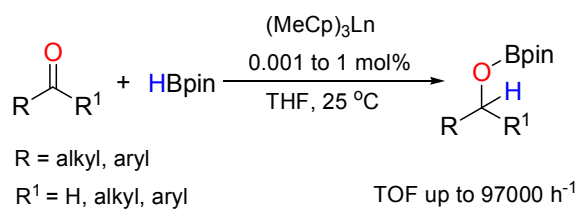
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- 16 Although the formation of the $\text{Cp}_3\text{La} \cdots \text{HBpin}$ complex is less favourable than the formation of **INT1**, one may propose that HBpin might undergo σ -metathesis reaction with the Cp_3La catalyst to afford the $(\text{CpBpin})\text{LaHCp}_2$ intermediate. Computational results show that the ΔH for formation of the $(\text{CpBpin})\text{LaHCp}_2$ intermediate is endothermic by 36.4 kcal/mol relative to **INT1**. Although the TS of this σ -metathesis pathway was not located, the overall barrier much be higher than the proposed pathway.
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- 18 The energy barrier of electrophilic attack of HBpin to C3 position of the MeCp ligand is ca. 1 kcal/mol higher than that of attack C2 position (Figure S60, SI).

TOC



(MeCp)₃Ln complexes are reported as highly efficient catalysts in promoting hydroboration and a plausible stepwise mechanism is proposed.