



Cutting-edge research for a greener sustainable future

# Accepted Manuscript

This article can be cited before page numbers have been issued, to do this please use: M. S. Akhtar, R. S. Thombal, R. J. Inductivo Tamargo, W. Yang, S. H. Kim and Y. R. Lee, Green Chem., 2020, DOI: 10.1039/D0GC01011A.



This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the Information for Authors.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard Terms & Conditions and the Ethical guidelines still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.



rsc.li/greenchem

### ARTICLE

Received 00th January 20xx, Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

## Eco-friendly organocatalyst- and reagent-controlled selective construction of diverse and multifunctionalized 2hydroxybenzophenone frameworks for potent UV-A/B filters by cascade benzannulation

Muhammad Saeed Akhtar,<sup>a</sup> Raju S. Thombal,<sup>a</sup> Ramuel John Inductivo Tamargo,<sup>a</sup> Won-Guen Yang,<sup>b</sup> Sung Hong Kim,<sup>b</sup> and Yong Rok Lee<sup>a\*</sup>

The organocatalyst- and reagent-controlled highly selective synthesis of diversely functionalized novel 2hydroxybenzophenone frameworks, such as 2-hydroxy-3'-formylbenzophenones, 7-(2'-hydroxybenzoyl)-2naphthaldehydes, and 2-hydroxybenzophenones, under green conditions, for the development of potent UV-A/B filters is described. The organocatalyzed benzannulation reactions proceed individually *via* [3+3] cycloaddition for the synthesis of 2-hydroxy-3'-formylbenzophenones and [4+2] cycloaddition for 2-hydroxybenzophenones. With this methodology, an unprecedented double benzannulation allows one-pot construction of diverse 7-(2'-hydroxybenzoyl)-2-naphthaldehydes *via* [3+3+4] cycloaddition. This protocol features a broad substrate scope, high functional-group tolerance, and operational simplicity in an environmentally benign green solvent. The synthesized compounds are successfully utilized for further transformations and well characterized as potent UV-A/B filters.

### Introduction

Published on 16 June 2020. Downloaded by University of Exeter on 6/18/2020 7:48:27 AM.

The 2-hydroxybenzophenone framework is ubiquitous in a wide range of natural products and biologically active molecules.<sup>1</sup> This moiety has been used as a valuable building block in the synthesis of various pharmaceuticals and natural products.<sup>2</sup> Molecules bearing 2-hydroxybenzophenone skeletons display a wide range of biological activities, such as antimicrobial,<sup>3</sup> anti-inflammatory,<sup>4</sup> antioxidant,<sup>5</sup> anticancer,<sup>6</sup> anticoccidial,<sup>7</sup> anti-HIV,<sup>8</sup> and antitubulin activities.<sup>9</sup> In particular, they have widely been used as valuable chemical auxiliaries,<sup>10</sup> sun-protection materials<sup>11</sup> and as important precursors for the synthesis of chiral ligands12 and fluorophores.<sup>13</sup> Owing to their importance and usefulness, several synthetic methods for the synthesis of 2hydroxybenzophenones have been developed. Typical methods include Fries rearrangement of phenyl esters (Scheme 1A)<sup>14</sup> and Friedel–Crafts acylation of phenols (Scheme 1B).<sup>15</sup> These two reactions provided undesired para-isomers, which were generally difficult to separate and purify. Apart from these reactions, transition-metal-catalyzed direct C-H

hydroxylation of benzophenones (Scheme 1C)<sup>16</sup> and oxime ethers (Scheme 1D)<sup>17</sup> have been used for the preparation of 2hydroxybenzophenones. Despite the significant achievements of these methods, there are still drawbacks in the requisite preparation of pre-functionalized starting materials, undesired dihydroxylation, two-step reaction conditions, and high loading of noble metals and strong oxidants. In this context, eco-friendly and atom-economic synthetic methods are still highly desirable.

Recently, organocatalysts have been gradually introduced in organic syntheses owing to their valuable advantages of being less toxic, less polluting, more eco-friendly, and more economically viable than stoichiometric organic reagents and organometallic catalysts.<sup>18</sup> In addition, transformations in green solvents have been widely adopted by synthetic chemists because of the increasing demand and motivation to develop sustainable and environmentally benign protocols with regard to safety, health, pharmaceutical, industrial, and environmental concerns.<sup>19</sup>

<sup>&</sup>lt;sup>a</sup>School of Chemical Engineering, Yeungnam University, Gyeongsan 38541, Republic of Korea. E-mail: yrlee@yu.ac.kr; Fax: +82-53-810-4631; Tel: +82-53-810-2529.

<sup>&</sup>lt;sup>b</sup>Analysis Research Division, Daegu Center, Korea Basic Science Institute, Daegu 41566, Republic of Korea.

Electronic Supplementary Information (ESI) available: [Experimental procedures, characterization data, X-ray crystallographic structure and data for **5** (CCDC 1991823) and **34** (CCDC 1991825). See DOI: 10.1039/x0xx00000x]

#### ARTICLE



Transition-metal-catalyzed C-H hydroxylations

Scheme 1. Reported strategies for 2-hydroxybenzophenone synthesis.



**Scheme 2.** Organocatalyst-controlled direct construction of divergent and nove 2-hydroxybenzophenone frameworks.

We previously reporte n(III)-catalyzed reactions of 3formylchromones with βmino esters for the synthesis of simple 2-hydroxybenzo bearing dicarboalkoxy nones groups.<sup>20</sup> In a continuatio our studies on the development of new green methodolo for potent UV-A/B filters, this paper reports the atom-e omical organocatalyst-controlled selective construction of versely functionalized novel 2hydroxybenzophenone fr eworks, such as 2-hydroxy-3'formylbenzophenones (p a), 7-(2'-hydroxybenzoyl)2-2naphthaldehydes (path and 2-hydroxybenzophenones (path c), by cascade ben ulations via [3+3], [3+3+4], and [4+2] cycloadditions in a g n solvent (Scheme 2).

### **Results and discussion**

We first examined the reaction of 3-formylchromone (1a) with *trans*-2-pentenal (2a) by using different organocatalysts and solvents (Table 1). After the initial attempt in the presence of 20 mol% of L-proline (Cat. I), products **3** and **4** were isolated in 23 and 34% yields, respectively (entry 1). With 20 mol% of (*S*)-diphenyl(pyrrolidin-2-yl)methanol (Cat. II), which bears bulky groups, the yield of **3** was increased to 78%, whereas **4** was isolated in 5% yield (entry 2). If (*S*)-2-(diphenyl((trimethylsilyl)oxy)methyl)pyrrolidine (Cat. III) or (*S*)-2-(bis(3,5-bis(trifluoromethyl)phenyl)((trimethylsilyl)

oxy)methyl)pyrrolidine (Cat. IV), bearing even more bulky groups, were employed, the yield of product **3** was not improved (entries 3 and 4). However, with pyrrolidine (Cat. V), piperidine (Cat. VI), and diethylamine (Cat. VII) as the catalyst,

product 4 was obtained in 68, 76, and 55% yields, respectively, and compound 3 was not isolated (entries: 50-79,397806) filhize the formation of products 3 or 4, various solvents such as DMSO, CH<sub>3</sub>CN, H<sub>2</sub>O, and EtOH were screened in the presence of Cat. II (entries 8-14) or Cat. VI (entries 15-20) under various temperature and catalyst loading conditions. The best result (91%) for 3 was achieved by using 10 mol% of Cat. II in EtOH at 60 °C for 22 h (entry 13) and that (85%) for 4 was obtained with Cat. VI (20 mol%) in EtOH at 80 °C for 15 h. The structures of **3** and **4** were identified by an analysis of their spectral data. The <sup>1</sup>H NMR spectrum of **3** showed a singlet methyl peak at  $\delta$ =2.51 ppm, a singlet peak of the formyl group at  $\delta$ =10.04 ppm, three aromatic singlet peaks at  $\delta$ =7.93, 7.89, and 7.73 ppm, and a phenolic OH peak at  $\delta$ =11.86 ppm. In the case of **4**, the <sup>1</sup>H NMR spectrum showed a methyl peak at  $\delta$ =2.42 ppm, an aromatic singlet peak at  $\delta$  7.47 ppm, and another singlet phenolic proton peak at  $\delta$ =12.03 ppm. The structure of **3** was further confirmed by X-ray analysis of the structurally related compound 5.

Table 1. Optimization of the reaction conditions for synthesis of 3 and 4 <sup>a</sup>									
	la la	OH OH OH OH OH OH OH OH OH OH							
	Entry	Catalyst (mol%)	Solvent	Condition	Yield (%) <sup>b</sup>				
					3	4			
	1	Cat. I (20)	Toluene	80 °C, 15 h	23	34			
	2	Cat. II (20)	Toluene	80 °C, 15 h	78	5			
	3	Cat. III (20)	Toluene	80 °C, 20 h	35	14			
	4	Cat. IV (10)	Toluene	80 °C, 20 h	65	9			
	5	Cat. V (20)	Toluene	80 °C, 20 h	0	68			
	6	Cat. VI (20)	Toluene	80 °C, 20 h	0	76			
	7	Cat. VII (20)	Toluene	80 °C, 20 h	0	55			
	8	Cat. II (20)	DMSO	80 °C, 30 h	25	12			
	9	Cat. II (20)	CH <sub>3</sub> CN	80 °C, 20 h	65	9			
	10	Cat. II (20)	H <sub>2</sub> O	80 °C, 20 h	51	14			
	11	Cat. II (20)	EtOH	80 °C, 12 h	82	6			
	12	Cat. II (10)	EtOH	80 °C, 12 h	85	trace			
	13	Cat. II (10)	EtOH	60 °C, 22 h	91	0			
	14	Cat. II (10)	EtOH	40 °C, 36 h	48	0			
	15	Cat. VI (20)	DMSO	100 °C, 15 h	0	65			
	16	Cat. VI (20)	CH <sub>3</sub> CN	80 °C, 20 h	0	72			
	17	Cat. VI (20)	H <sub>2</sub> O	100 °C. 36 h	0	54			
	18	Cat. VI (20)	EtOH	80 °C. 15 h	0	85			
	19	Cat. VI (10)	EtOH	80 °C. 30 h	0	65			
	20	Cat. VI (30)	EtOH	80 °C, 15 h	0	83			



 $^aReaction$  conditions:  ${\bf 1a}$  (1.0 mmol),  ${\bf 2a}$  (1.2 mmol), catalyst, and solvent (5.0 mL).

<sup>b</sup>Yield of isolated product after column chromatography.

With the optimized conditions in hand, we explored the substrate scope for the formation of 2-hydroxy-3-

r 2	-hy
R <sup>3</sup>	
Ē	
es	~
C E	at. tOl
Ľ	
-na	pht
olle orŀ	d d (s.
-	_
ed	I
er	nar
ph	en
n (	ot
gi	es
FCC	div
rai	me
ba	th
b	),
za	nn
re	en
n	
r	~~

formylbenzophenones by emploving substituted 3formylchromones **1a–1n** and  $\alpha$ , $\beta$ -unsaturated aldehydes **2a–** 2g, and 2j (Table 2) in the presence of Cat. II. Reactions of 1b-1e, bearing electron-donating groups (Me, i-Pr, and OMe) at the 6- or 7-position on the chromone moiety, with 2a proceeded smoothly, and the corresponding products 5-8 were obtained with 78-88% yields. Similarly, treatment of 1f-1h, bearing electron-withdrawing halogen substituents (Cl, Br, and F) at the 6-position of the chromone moiety, with 2a successfully generated products 9-11 with 76-82% yields. Moreover, the strong electron-withdrawing NO<sub>2</sub> group was well tolerated on the 3-formylchromone molecule; corresponding product 12 was obtained with 85% yield. Interestingly, disubstituted 3-formylchromones 1j and 1k, bearing two electron-withdrawing groups (6,8-dichloro or 6,8dibromo), or 1l, with both an electron-donating and an electron-withdrawing substituent (6-Cl and 7-Me) were also well tolerated, and corresponding products 13-15 were produced with 76-83% yields. In addition, treatment of 1a with trans-2-hexenal (2b) or trans-2-heptenal (2c) proceeded smoothly to produce the desired products 16 and 17 with 75 and 84% yields. Reactions of 1a with trans-2-octenal (2d), trans-2-nonenal (2e), or trans-2-decenal (2f), with long chains, afforded corresponding products 18-20 with 81-86% yields. Similarly, the reactions of substituted 3-formylchromone 1e and 1f, bearing substituents on the benzene ring, with 2b or 2c were performed, and corresponding products 21-24 were obtained with 68-79% yields. Moreover, the reaction of 3formylchromone (1a) with (E)-4-phenylbut-2-enal (2j) bearing aromatic substituent afforded the desired product 25 in 72% yield. However, with 3-methyl-2-butenal (2g), desired product 26 was not obtained; instead, the starting materials were recovered. In addition, 4-oxo-4H-benzo[h]chromene-3-1-oxo-1*H*-benzo[*f*]chromene-2carbaldehyde (1m) and carbaldehyde (1n), bearing polyaromatic

 Table
 2.
 Formation
 of
 diverse
 2-hydroxy-3'-formylbenzophen



 $^{a}\text{Reaction conditions:}$  1 (1.0 mmol), 2 (1.2 mmol), Cat. II (10 mol%) and EtOH (5.0 mL).

rings, were successfully combined with **2a**, **2b**, or **2c** to produce the corresponding angular compounds **27–29** (65–75%) and linear compounds **30–32** (72–83%).

To explore the substrate scope of this protocol, further reactions with *trans*-crotonaldehyde (2h) as a substrate were then performed (Table 3). Surprisingly, treatment of 1a with 1.2 equivalents of 2h and Cat. II in ethanol at 60 °C for 22 h did not provide desired product 33'; instead, compound 33 was unexpectedly produced in 48% yield. Importantly, with 2.4 equivalents of 2h, the yield of 33 increased to 75%. A number of reactions were carried out with 3-formylchromones 1a–1c, 1e–1g, and 1n and 2h (2.4 equiv.) under the standard conditions, which resulted in the formation of synthetically useful 2-hydroxynaphthophenones 33–39, bearing a formyl group, with 68–81% yields. The structures of the synthesized compounds were confirmed by analysis of their spectral data and X-ray analysis of 34.

Table 3. Formation of diverse 7-(2'-hydroxybenzoyl)-2-naphthaldehydes33-39 fromsubstituted 3-formylchromones1a-1c, 1e-1g, or 1n and trans-crotonaldehyde (2h)°

ARTICLE





<sup>a</sup>Reaction conditions: **1** (1.0 mmol), **2** (2.4 mmol), Cat. **II** (10 mol%) and EtOH (5.0 mL). <sup>b</sup>Reaction with 1.2 equiv. of **2h**. <sup>c</sup>Reaction with 2.4 equiv. of **2h**.

То provide 7-(2'-hydroxybenzoyl)-2-naphthaldehyde derivatives bearing alkyl substituents on the benzene ring, further experiments between 3-formylchromones 1a or 1f and  $\alpha$ , $\beta$ -unsaturated aldehydes **2a**, **2b**, or **2h** were performed (Scheme 3). Treatment of 1a (1.0 mmol) with 2a (1.0 mmol) and 2h (1.0 mmol) under the standard reaction conditions provided only product 3 (78%), without the formation of the other possible products 33, 40, and 41. This result showed the significant difference in chemoselectivity between the two different  $\alpha,\beta$ -unsaturated aldehydes. Other reactions were attempted to form diverse products by sequential in situ reactions through the combination of two different  $\alpha$ , $\beta$ unsaturated aldehydes. Treatment of 1f (1.0 mmol) with 2h (1.0 mmol) at room temperature for 10 h, followed by addition of 2a (1.0 mmol) or 2b (1.0 mmol) at 60 °C for 15 h provided products 42 or 43 with 68 and 73% yields, respectively.



Scheme 3. (a) Cross reaction of 1a with 2a and 2h. (b) One pot construction of 7-(2'-hydroxybenzoyl)-2-naphthaldehydes 42 and 43.

The scope of the reaction was further extended for the formation of diverse and functionalized 2hydroxybenzophenones 44–73 without any formyl groups by employing the series of 3-formylchromones 1a-1j and 1l-1n with  $\alpha$ ,  $\beta$ -unsaturated aldehydes **2a–2i** in the presence of Cat. VI (Table 4). Treatment of 1a with crotonaldehyde (2h) gave readily available 2-hydroxybenzophenone (44) with 74% yield. Combination of 1a with 2b-2f afforded the desired products 45-49 with 82-89% yields. Importantly, with 3-methyl-2butenal (2g) and (E)-2-phenyl-2-butenal (2i), the desired products 50 and 51 were produced with 91 and 82% yields, respectively. The combination of 1b-1e, bearing electrondonating groups, with 2a, 2h, or 2i proceeded smoothly, and the corresponding products 52-57 were obtained with 70-89% yields. Treatment of 1f-1i, bearing electron-withdrawing groups, with 2a, 2g, or 2i was also successful, and products 58-64 were isolated with 69–90% yields. Reactions of 1j or 1l, bearing two substituents on the benzene ring, with 2a or 2g provided the corresponding products 65-67 with 73-88% vields. Furthermore, **1m** or **1n**, bearing polyaromatic rings, were successfully combined with 2b, 2g, or 2i to afford products 68-73 (75-93%).

To explore the applicability of benzannulation reactions, we carried out the gram-scale reactions under standard reaction conditions (Scheme 4) and successfully isolated the desired products **3** and **4** in 76 and 81% yields, respectively (for details, see ESI).

To investigate the application of this protocol, the conversion of the synthesized compound **55** into biologically interesting molecules via C–H activation was next attempted (Scheme 5). Rh(III)-catalyzed direct C–H alkenylation of **55** with several acrylates, such as methyl, ethyl, phenyl, or benzyl acrylates (**3a–3d**) at 60 °C for 12 h produced the Heck type products **74–77** with 71–85% yields, respectively, and that

Published on 16 June 2020. Downloaded by University of Exeter on 6/18/2020 7:48:27 AM

### Journal Name

# with styrene (**3e**) provided **78** with 92% yield. In addition, with *N*-phenyl maleimide (**3f**), addition product **79** was produced with 58% yield.

 Table 4. Formation of diverse 2-hydroxybenzophenones 44–73 from substituted 3-formylchromones 1a–1j or 1l–1n and  $\alpha$ , $\beta$ -unsaturated aldehydes 2a–2i°



 $^{o}\text{Reaction conditions:}$  1 (1.0 mmol), 2 (1.2 mmol), Cat. VI (20 mol%) and EtOH (5.0 mL).

To elucidate the reaction mechanism via intermediates, control experiments for the formation of products **4**, **9**, **33**, and intermediate **80** were next attempted (Scheme 6). First, reaction of **2a** with Cat. **II** in DMSO- $d_6$  provided intermediate **II**, which could not be isolated by column chromatography. However, this crude intermediate **II** was confirmed by <sup>1</sup>H NMR and HRMS of the crude mixture (See ESI). The reaction of intermediate **II** with **1f** provided corresponding intermediate **80** in 54% yield (Scheme 6a). When **1f** was also treated with **2a** in the presence of Cat. **II** in ethanol at room temperature for 10 h, intermediate **80** was isolated with 91% yield, without any formation of an aromatic ring (Scheme 6b). Intermediate **80** was successfully converted into aromatic compound **9** with 82% yield under the standard conditions (Scheme 6c). These

results showed that compounds II and 80, are the intermediates for the formation of 9 Dof: the0 32/AVCrOXV13A formylbenzophenone series. Furthermore, reaction of 2a with Cat. VI in refluxing benzene for 3 h afforded intermediate VII, which was confirmed by <sup>1</sup>H NMR and HRMS of the crude mixture (see ESI). The reaction of intermediate VII with 1a in ethanol at 80 °C for 2 h provided compound 4 in 80% yield (Scheme 6d). The possibility of the formation of products via [4+2] cycloaddition starting from 2a was also investigated by employing 1,4-naphthoquinone (81). Treatment of 81 with 2a in the presence of Cat. VI in ethanol at 80 °C for 10 h provided 82 with 76% yield through a formal [4+2] cycloaddition and air oxidation (Scheme 6e). In the case of [3+3+4] cycloaddition, the reaction of 33' with 2h in the presence of Cat. II did not provide the desired product 33, which showed that compound 33' was not an intermediate for the formation of 33 (Scheme 6f).





Scheme 5. Conversion of 55 to functionalized molecules 74-79.

To confirm the mechanism of the products, we conducted further reactions with deuterated compound **d-1a** under standard reaction conditions (Schemes 6g and 6h). Treatment of **d-1a** with **2a** in the presence of Cat. **II**, provided the product **d-3** in 90% yield, retaining the two deuteriums derived from a formyl group and 2-position on **d-1a** (Scheme 6g). However, in the case of Cat. **VI**, mono-deuterated product **d-4** was obtained in 86% yield, retaining only one deuterium from 2position (Scheme 6h). These control experiments indicated that Cat. **II** and Cat. **VI** chemoselectively produced the products **d-3** and **d-4** through [3+3] and [4+2] cycloadditions, respectively.

ARTICLE

This journal is C The Royal Society of Chemistry 20xx



Scheme 6. Control experiments.

Published on 16 June 2020. Downloaded by University of Exeter on 6/18/2020 7:48:27 AM.

Based on the control experiments and the observed products, plausible mechanisms for 3, 4, and 33 are depicted in Scheme 7. For the formation of 3, in the presence of Cat. II, 2a first gives enamine intermediate II, via iminium ion I formation followed by deprotonation (Scheme 7A). Nucleophilic addition of intermediate II to 1a gives another iminium ion intermediate III by removal of water. In the formation of enamine intermediate II, [4+2] cycloaddition did not proceed owing to steric hindrance by the bulky group on Cat. II. Instead, intermediate III gives intermediate IV through resonance structure formation; IV is cyclized to afford V by intramolecular cyclization. Ring opening through deprotonation and the subsequent hydrolysis finally give 3 with regeneration of Cat II. In this case, double cyclization products were not produced through further nucleophilic addition of intermediate II to intermediates III or IV because of steric hindrance of the methyl group on intermediates III and IV. In the formation of 4, enamine intermediate VIII formed via VI and VII undergoes formal [4+2] cycloaddition with 1a to give Diels-Alder adduct IX (Scheme 7B). Intermediate IX gives

A) Reaction pathway for the formation of 3



B) Reaction pathway for the formation of 4





The formation of product 33 can be explained as shown in Scheme 7C. The control experiment depicted in Scheme 6f showed that compound 33' is not an intermediate for the formation of 33. Thus, in the presence of Cat. II, 2h gives enamine intermediate XII via XI, which reacts with 1a to give intermediate XIII. Intermediate XIII undergoes further nucleophilic addition furnish intermediate XIV. to Intramolecular cyclization of XIV gives another intermediate XV, which undergoes subsequent regeneration of the catalyst and ring opening to give intermediate XVI. 6π-Electrocyclization of XVI will generate intermediate XVII, which

Page 6 of 9

undergoes aromatization and hydrolysis to produce the final product **33**.

The chemoselectivity of compounds 3 and 4 is probably due to the intermedeates II and VII produced by the reaction of 2a with Cat. II or Cat. VI. To justify chemoselectivity of two products 3 and 4, we used a simple energy-minimization calculation of intermediates II and VII using MM2 of ChemBio3D. The energy minimized structures of II and VII were shown in supplementary information (See ESI, Fig. S5). Based on energy minimized structures, it is assumed that the access of a butadiene moiety to the enone of dienophile 1a to give the Diels-Alder product is difficult due to steric hindrance and blocking by the phenyl rings (See ESI, Fig. S6a). For this reason, the product derived from Diels-Alder reaction did not proceed, instead compound 3 was isolated through condensation of II and 1a followed by intramolecular cyclization and ring opening. In the case of VII, there is no steric hindrance to give compound 4 via Diels-Alder reaction (See ESI, Fig. S6b).

### UV absorption studies

Published on 16 June 2020. Downloaded by University of Exeter on 6/18/2020 7:48:27 AM.

Benzophenones are highly utilized and valued scaffolds as active ingredients in sunscreen, as a result of their optical and chemical properties.<sup>21</sup> However, one of the most popular materials used widely as a sunscreen ingredient, oxybenzone, is known to cause allergic reactions and skin cancer;<sup>21b</sup> this has prompted the development and design of novel derivatives as new UVA/UVB filters that potentially provide better protection. To determine the feasibility and efficiency of the synthesized molecules as UV filters, the UV absorption properties of the synthetic compounds (**3**, **8**, **12**, **14**, **36**, **37**, **44**, **45**, **51**, **54**, **62**, **63** and **64**) were evaluated in comparison with the structurally related oxybenzone (OBZ; Fig. 1).



Fig. 1 Normalized absorbance of representative synthesized compounds from 280–500 nm with OBZ.

The UV protection properties were first evaluated by determining several important parameters of the UVA/UVB ratio and molar extinction coefficient based on  $\lambda_{max}$ , as shown in Table 5. The critical wavelength ( $\lambda_c$ ) calculation and the UVA/UVB ratio are based on Diffey method.<sup>22</sup> The effective UVA and UVB attenuation values become more similar as the

ratio comes closer to 1. In addition, the *in witra*ticeritical wavelength values in excess of 370 nm satisfy the real classification requirements for extensive UVA/UVB protection.<sup>23</sup> We exclusively employed *in vitro* testing of sunscreens by using the known method based on spectrophotometric analysis of dilute solutions (see the ESI). With this information in hand, we have also calculated the *in vitro* sun protection factor (SPF) to further gauge the potential of our synthesized products relative to OBZ (Table 5).

Entry	λmax (nm)	ε(mol -1 cm-1 L)	λc (nm)	Broad spectrum	UVA/UV B Ratio	SPF
3	305 350	3212 4762	376	Y	1.09	6.33 ± 0.05
8	290 321	11912 8000	358	N	0.41	18.25 ± 0.20
12	304 395	10599 4762	386	Y	0.50	18.33 ± 0.19
14	355	4871	382	Y	0.85	6.12 ± 0.04
36	290 333	20263 12068	357	Ν	0.43	25.18 ± 0.22
37	352	5170	367	N	0.59	8.95 ± 0.07
44	340	8000	362	N	0.92	11.61 ± 0.13
45	335	10395	361	Ν	0.81	15.10 ± 0.14
51	330	8000	363	Ν	0.67	13.15 ± 0.15
54	370	5116	389	Y	1.55	4.50 ± 0.03
62	340 400	9959 8762	389	Y	0.60	25.08 ± 0.21
63	345	5224	371	Y	0.92	6.44 ± 0.08
64	302 400	9693 9034	391	Y	0.79	15.20 ± 0.17
OBZ	285 325	14336 9197	353	N	0.40	16.31 ± 0.15

Table 5 Summary of optical properties of the representative synthesized compounds

Based on our results, compounds **12**, **36**, **62**, and **64** exhibit superior photoprotective properties in terms of molar absorptivity, broad spectrum absorption, UVA/UVB ratio, and SPF. The presence of additional  $\pi$ -bonds and functional groups in the benzophenone scaffold increases the UVA protection based on the  $\lambda_c$  value and UVA/UVB ratio. The UV absorption properties of the synthetic compounds are comparable to the standard sunscreen ingredient OBZ and are better in terms of the  $\lambda_c$ , broad-spectrum classification, and SPF values. Product **8**, structurally identical to OBZ, exhibits the same UV filtering capacity, as expected.

The mathematical method used to calculate SPF from the UV/Vis absorption is only treated as an initial screening procedure to determine which synthesized compounds exhibit good potential as UV protection agents. Further tests with more sophisticated equipment and methods are beyond the

**ARTICIF** 

**V Accepted Manus** 

Chemistr

scope of this work and are intended for future specialized works. In addition, these UV absorption parameters can be improved through combinations of ingredients in the sunscreen formulation, which generate possible synergies to improve performance, particularly when evaluated in vivo.

### Conclusions

In conclusion, we have developed an eco-friendly organocatalyst-controlled methodology for the highly selective synthesis of polyfunctionalized 2-hydroxybenzophenone frameworks, such as 2-hydroxy-3'-formylbenzophenones, 7-(2'-hydroxybenzoyl)-2-naphthaldehydes, and 2hydroxybenzophenones, via cascade benzannulation through individual [3+3] cycloadditions, [3+3+4] cycloadditions, and [4+2] cycloadditions, with readily available 3-formylchromones and  $\alpha$ , $\beta$ -unsaturated aldehydes as the starting materials. The novel protocol uses eco-benign, operationally simple, atomeconomic, inexpensive, non-toxic, and readily available organocatalysts. The synthesized compounds were successfully employed for C-H alkenylation and alkylation reactions for the development of new and biologically interesting materials. The synthesized compounds showed superior photoprotective properties relative to the readily available sunscreen ingredient oxybenzone.

### **Conflicts of interest**

There are no conflicts to declare.

### Acknowledgements

This work was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIT) (2018R1A2B2004432). This research was supported by the Nano Material Technology Development Program of the Korean National Research Foundation (NRF) funded by the Korean Ministry of Education, Science, and Technology (2012M3A7B4049675).

### Notes and references

- 1 (a) S.-B. Wu, C. Long and E. J. Kennelly, Nat. Prod. Rep., 2014, 31, 1158; (b) Nilar, L.-H. D. Nguyen, G. Venkatraman, K.-Y. Sim, L. J. Harrison, Phytochemistry, 2005, 66, 1718; (c) U. M. Acuna, N. Jancovski and E. J. Kennelly, Curr. Top. Med. Chem., 2009, 9, 1560; (d) A. P. Bernardi, A. B. Ferraz, D. V. Albring, S. A. Bordignon, J. Schripsema, R. Bridi, C. S. Dutra-Filho, A. T. Henriques and G. L. von Poser, J. Nat. Prod., 2005, 68, 784; (e) D. Janssen-Muller, S. Singha, F. Lied, K. Gottschalk and F. Glorius, Angew. Chem., Int. Ed., 2017, 56, 6276.
- 2 J. M. Grandner, R. A. Cacho, Y. Tang and K. N. Houk, ACS Catal., 2016, 6, 4506.
- (a) S. K. Vooturi, C. M. Cheung, M. J. Rybak and S. M. Firestine, J. Med. Chem., 2009, 52, 5020; (b) S. K. Vooturi, M. B. Dewal and S. M. Firestine, Org. Biomol. Chem., 2011, 9, 6367; (c) L. Sun, J. Wu, M. Luo, X. Wang, M. Pan, Z. Gou and D. Sun, Molecules, 2011, 16, 9739.

- 4 (a) S. A. Khanum, S. Shashikanth and A. V. Deepak, Bioorg. Chem., 2004, 32, 211; (b) S. A. Khanum, 1939 Girish 5015 Suparshwa and N. F. Khanum, Bioorg. Med. Chem. Lett., 2009, 19, 1887; (c) M. C. Cuquerella, V. Lhiaubet-Vallet, J. Cadet and M. A. Miranda, Acc. Chem. Res., 2012, 45, 1558.
- (a) T. Tzanova, M. Gerova, O. Petrov, M. Karaivanova and D. 5 Bagrel, Eur. J. Med. Chem., 2009, 44, 2724; (b) B. P. Bandgar, S. A. Patil, J. V. Totre, B. L. Korbad, R. N. Gacche, B. S. Hote, S. S. Jalde and H. V. Chavan, Bioorg. Med. Chem. Lett., 2010, 20, 2292.
- 6 (a) A. Krick, S. Kehraus, C. Gerhauser, K. Klimo, M. Nieger, A. Maier, H.-H. Fiebig, I. Atodiresei, G. Raabe, J. Fleischhauer and G. M. Konig, J. Nat. Prod., 2007, 70, 353; (b) J. Albert, J. Granell, R. Qadir, J. Quirante, C. Calvis, R. Messeguer, J. Badía, L. Baldomà, M. Font-Bardia and T. Calvet, Organometallics, 2014, 33, 7284; (c) L. Saidi, D. H. A. Rocha, O. Talhi, Y. Bentarzi, B.-Nedjar-Kolli, K. Bachari, F. A. A. Paz, L. A. Helguero, A. M. S. Silva, Chem. Med. Chem., 2019, 14, 1041.
- C. Zhang, J. G. Ondeyka, K. B. Herath, Z. Guan, J. Collado, G. Platas, F. Pelaez, P. S. Leavitt, A. Gurnett, B. Nare, P. Liberator and S. B. Singh, J. Nat. Prod., 2005, 68, 611.
- 8 (a) X. D. Ma, X. Zhang, H. F. Dai, S. Q. Yang, L. M. Yang, S. X. Gu, Y. T. Zheng, Q. Q. He and F. E. Chen, Bioorg. Med. Chem., 2011, **19**, 4601; (*b*) J. H. Chan, G. A. Freeman, J. H. Tidwell, K. R. Romines, L. T. Schaller, J. R. Cowan, S. S. Gonzales, G. S. Lowell, C. W. Andrews III, D. J. Reynolds, M. St Clair, R. J. Hazen, R. G. Ferris, K. L. Creech, G. B. Roberts, S. A. Short, K. Weaver, G. W. Koszalka and L. R. Boone, J. Med. Chem., 2004, 47, 1175.
- 9 (a) Y. Hayashi, H. Takeno, T. Chinen, K. Muguruma, K. Okuyama, A. Taguchi, K. Takayama, F. Yakushiji, M. Miura and T. Usui, ACS Med. Chem. Lett., 2014, 5, 1094; (b) S. Zhang, B. An, J. Yan, L. Huang and X. Li, RSC Adv., 2016, 6, 88453.
- 10 (a) A. Guerrero-Corella, F. Esteban, M. Iniesta, A. Martın-Somer, M. Parra, S. Díaz-Tendero, A. Fraile and J. Alemán, Angew. Chem., Int. Ed., 2018, 57, 5350; (b) H. Choubane, A. F. Garrido-Castro, C. Alvarado, A. Martin-Somer, A. Guerrero-Corella, M. Daaou, S. Díaz-Tendero, M. C. Maestro, A. Fraile and J. Alemán, Chem. Commun., 2018, 54, 3399.
- 11 (a) T. Suzuki, S. Kitamura, R. Khota, K. Sugihara, N. Fujimoto, S. Ohta, Toxicol. Appl. Pharmacol., 2005, 203, 9; (b) M. Zahedi-Tabrizi, S. F. Tayyari, F. Badalkhani-Khamesh, R. Ghomi and F. Afshar-Qahremani, J. Chem. Sci., 2014, 126, 919.
- 12 (a) M.-S. Seo, K. Kim and H. Kim, Chem. Commun., 2013, 49, 11623; (b) A. Obenhuber and K. Ruhland, Organometallics, 2011, **30**, 403; (c) K. Ruhland, A. Obenhuber and S. D. Hoffmann, Organometallics, 2008, 27, 3482; (d) A. Lee and H. Kim, J. Am. Chem. Soc., 2015, 137, 11250.
- 13 (a) E. E. Nekongo, P. Bagchi, C. J. Fahrni and V. V. Popik, Org. Biomol. Chem., 2012, 10, 9214; (b) P. Shieh, M. J. Hangauer and C. R. Bertozzi, J. Am. Chem. Soc., 2012, 134, 17428.
- 14 (a) K. Pitchumani, M. Warrier and V. Ramamurthy, J. Am. Chem. Soc., 1996, 118, 9428; (b) W. Gu, M. Warrier, V. Ramamurthy and R. G. Weiss, J. Am. Chem. Soc., 1999, 121, 9467; (c) R. Martin, Org. Prep. Proced. Int., 1992, 24, 369; (d) J. A. Miller J. Org. Chem. 1987, 52, 323; (e) V. P. Reddy, G. K. S. Prakash, Chemistry of Phenols, 1 (Ed.: Z. Rappoport), John Wiley & Sons, Chichester, 2003, 1, 605; (f) M. Korb and H. Lang, Chem. Soc. Rev., 2019, 48, 2829; (g) J. C. Riggs, K. J. Singh, M. Yun and D. B. Collum, J. Am. Chem. Soc., 2008, 130, 13709.
- 15 (a) C. Friedel and J. M. Crafts, Compt. Rend. 1877, 84, 1392; (b) C. R. Rubidge and N. C. Qua, J. Am. Chem. Soc., 1914, 36, 732; (c) F. Effenberger and G. Epple, Angew. Chem., Int. Ed.,

reen Chemistry Accepted Manuscrip

1972, **1**, 4; (*d*) N. A. Serratore, C. B. Anderson, G. B. Frost, T.-G. Hoang, S. J. Underwood, P. M. Gemmel, M. A. Hardy and C. J. Douglas, *J. Am. Chem. Soc.*, 2018, **140**, 10025; (*e*) J. Hu, E. A. Adogla, Y. Ju, D. Fan and Q. Wang, *Chem. Commun.*, 2012, **48**, 11256.

- 16 (a) F. Mo, L. J. Trzepkowski, and G. Dong, Angew. Chem. Int. Ed., 2012, **51**, 13075; (b) G. Shan, X. Yang, L. Ma, and Y. Rao, Angew. Chem. Int. Ed., 2012, **51**, 13070; (c) P. Y. Choy and F. Y. Kwong, Org. Lett., 2013, **15**, 270.
  17 (a) Y.-F. Liang, X. Wang, Y. Yuan, Y. Liang, X. Li,
- 17 (a) Y.-F. Liang, X. Wang, Y. Yuan, Y. Liang, X. Li, N. Jiao, ACS Catal., 2015, 5, 6148; (b) L. V. Desai, H. A. Malik, and M. S. Sanford, Org. Lett., 2006, 8, 1141.
- (a) I. Delidovich and R. Palkovits, Green Chem., 2016, 18, 590; (b) S. Meninno, ChemSusChem., 2020, 13, 439; (c) L. C. Branco, A. M. F. Phillips, M. M. Marques, S. Gago, P. S. Branco, Recent advances in sustainable organocatalysis. In Recent Advances in Organocatalysis; Karame, I.; Srour, H., Eds.; InTech: Rijeka, Croatia, 2016, pp. 141-182: ISBN: 978-953-51-2673-7; (d) B. S. Vachan, M. Karuppasamy, P. Vinoth, S. V. Kumar, S. Perumal, V. Sridharan and J. C. Menendez, Adv. Synth. Catal., 2020, 362, 87.
- 19 (a) P. G. Jessop, *Green Chem.*, 2011, **13**, 1391; (b) K. Tekin, N. Hao, S. Karagoz and A. J. Ragauskas, *ChemSusChem.*, 2018,

11, 3559; (c) D. Prat, A. Wells, J. Hayler, H. Sneddon, C. R. McElroy, S. Abou-Shehada and P. J. Dunna Grad. Comma 2016, 18, 288; (d) R. K. Henderson, C. Jimenez-Gonzalez, D. J. C. Constable, S. R. Alston, G. G. A. Inglis, G. Fisher, J. Sherwood, S. P. Binksa and A. D. Curzons, *Green Chem.*, 2011, 13, 854.

- 20 H. Cai, L. Xia and Y. R. Lee, *Chem. Commun.*, 2016, **52**, 7661.
- (a) X. Cai, M. Sakamoto, M. Fujitsuka and T. Majima, *Chem. Eur. J.*, 2005, **11**, 6471; (b) N. A. Shaath, *Photochem. Photobiol. Sci.*, 2010, **9**, 464.
- 22 B. L. Diffey, Int. J. Cosmet. Sci., 1994, 16, 47.
- 23 (a) J. S. Azevedo, N. S. Viana Jr., and C. D. Vianna-Soares, *Il Farmaco*, 1999, **54**, 573; (b) F. P. Gasparro, M. Mitchnick and J. F. Nash, *Photochem. Photobiol.*, 1998, **68**, 243.

### **Graphical Abstract**

# Eco-friendly organocatalyst- and reagent-controlled selective construction of diverse and multifunctionalized 2-hydroxybenzophenone frameworks for potent UV-A/B filters by cascade benzannulation

Muhammad Saeed Akhtar, Raju S. Thombal, Ramuel John Inductivo Tamargo, Won-Guen Yang, Sung Hong Kim, and Yong Rok Lee\*



The organocatalyst- and reagent-controlled highly selective synthesis of diversely functionalized novel 2-hydroxybenzophenone frameworks for potent UV-A/B filters is developed