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Tetronics/cyclodextrin-based hydrogels as catalyst-containing media for the hydroformylation of higher olefins

The rhodium-catalyzed hydroformylation of alkenes has been investigated in biphasic conditions using combinations of  $\alpha$ -cyclodextrin ( $\alpha$ -CD) and poloxamines (Tetronics<sup>®</sup>). Thermo-responsive hydrogels containing the Rh-catalyst are formed under well-defined conditions of concentration. Hydrogels consisting in the reverse-sequential Tetronic<sup>®</sup>90R4 prove to be more effective than the conventional sequential Tetronics<sup>®</sup>701. The presence of  $\alpha$ -CD is crucial to provoke the decantation of the multiphasic system once the reaction is complete. Optimized conditions (CO/H<sub>2</sub> pressure, Rh-precursors, phosphanes...) show that the catalytic system is especially applicable to the hydroformylation of terminal alkenes. The catalytic performance remain unchanged upon recycling as the hydrogel matrix prevents the oxidation of the phosphane.

## Introduction

Following the seminal work of O. Roelen,<sup>1</sup> a range of improvements have been made in transition-metal-catalyzed hydroformylation of alkenes (Scheme 1). Various experimental conditions have been studied,<sup>2</sup> including single-phase homogeneous systems,<sup>3</sup> biphasic systems with aqueous<sup>4,5,6,7</sup> fluorous,<sup>8,9</sup> or ionic liquid phases, <sup>10,11,12,13</sup> thermomorphic solvent mixtures and microemulsions,<sup>14,15,16</sup> catalyst immobilized on a solid support,<sup>17,18,19,20</sup> supercritical fluid-ionic liquid biphasic systems,<sup>21,22,23,24,25,26,27,28</sup> amphiphilic nanogels,<sup>29</sup> or continuous liquid-vapor reactors.<sup>30,31</sup>

Concurrently, we have been developing the use of alternative reaction media capable of ensuring high catalytic performance and reusability of the organometallic catalyst.<sup>32,33</sup> We especially focused our attention on cyclodextrins (CDs)based hydrogels which are 3D networks containing large amounts of water.<sup>34</sup> CDs are cyclic oligosaccharides consisting of glucopyranose units (Fig. 1). The most common ones are  $\alpha$ -CD,  $\beta$ -CD and  $\gamma$ -CD. They consist in 6, 7 and 8 glucose units, respectively, linked by  $\alpha$ -D-1,4-glycosidic bonds. Although they are water soluble, their cavity is hydrophobic and can host guest molecules to form inclusion complexes. We recently showed that hydrogels consisting of CDs and polyethylene glycol (PEG) were effective in the hydroformylation of very hydrophobic alkenes (>C12).<sup>35,36</sup> In such catalytic system, CDs are multifunctional entities. They are constitutive building blocks of the hydrogels and participated in the alkene

conversion as molecular receptor, supramolecular host and fluidifier of the aqueous/organic interface.



Scheme 1 Rh-catalyzed hydroformylation of alkenes.



**Fig. 1** Structure of a) cyclodextrins (CDs) and b) conventional sequential poloxamines and c) reverse-sequential poloxamines.

Given the very good results obtained with the CD/hydrogel combination, we have been exploring the catalytic properties of other CD-based hydrogels in biphasic conditions. We especially focused our interest on poloxamines (also known as Tetronics<sup>®</sup> macromolecules). They consist of block copolymers

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based on hydrophilic poly(ethylene oxide) (PEO) and hydrophobic poly(propylene oxide) (PPO) attached on an ethylene diamine spacer. They differ from their linear counterparts (poloxamers also known as Pluronics® macromolecules) by their X-shaped structure. Conventional sequential Tetronics® consist of PEO blocks at the outer sphere and PPO blocks attached to the diaminoethylene core, while reverse-sequential poloxamines show PPO blocks at the periphery and PEO attached to the central diamino moiety (Fig. 1). Their amphiphilic character can be modulated by the number of PEO and PPO units. Depending on the PEO/PPO ratio and the length of the PEO-PPO arms, various phases with specific molecular architecture can be obtained.<sup>37,38</sup> Combined with CDs, Tetronics® form hydrogels through non-covalent interactions.<sup>39,40</sup> CDs slide along the PEO/PPO polymer chains, and selectively accommodate the PEO blocks. Further intermolecular interactions between threaded  $\alpha$ -CDs form stacked nanocylinders that aggregate into nanosized columnar  $\alpha$ -CD domains (Fig. 2).



Fig. 2 Polypseudorotaxane aggregates of  $\alpha$ -CD / reversesequential poloxamines (Tetronic<sup>®</sup>90R4).

The rich phase behavior of the resulting hydrogels prompted researchers to investigate their applications, including enzyme, protein and gene delivery, 41,42,43,44 sustained release of drugs,<sup>45,46</sup> tissue engineering,<sup>47</sup> P-glycoprotein inhibitor,<sup>48</sup> stabilizer of metallic nanoparticles<sup>49,50</sup> and template in the preparation of mesoporous materials.<sup>51,52,53</sup> However, nothing has been described so far on the utilization of Tetronics® in biphasic catalysis in the presence of organometallic catalytic species. Herein we describe how the conventional sequential Tetronics®701 (Mw 3600, 2.1 EO and 14 PO units per arm) and the reverse-sequential Tetronic<sup>®</sup>90R4 (Mw 7200, 16 EO and 18 PO units per arm) (ESI) can retain Rh-catalysts within their 3D networks for the hydroformylation of very hydrophobic alkenes under biphasic conditions. We especially highlight the benefit of using Tetronics® in combination with CDs to form thermo-responsive hydrogels. We show that the latter clearly ensure the reusability of the catalytic system. Other

parameters such as the nature of the rhodium precursor, the reaction temperature or the  $CO/H_2$  pressure are also discussed.

# **Results and discussion**

#### **Conditions for obtaining hydrogels**

The first set of experiments consisted in defining the range of Tetronics® concentrations in water to ensure the existence of a mixture of liquid phases (sol phase + organic phase) at the reaction temperature (80 °C) and a two-phase system (gel phase + organic phase) at room temperature. The goals are twofold: i) favor contacts between the organic substrate and the catalyst at high temperature and ii) recover both the product and the Rh-catalyst in two different phases when cooling the system at room temperature once the reaction is complete. To elaborate such thermo-responsive hydrogels, Tetronic<sup>®</sup>90R4 was first mixed in water in various proportions at 80 °C (temperature of the studied hydroformylation reaction). As expected, no gel could be obtained whatever the concentration. A turbid solution was observed for low concentrated Tetronic®90R4 solutions while a demixing took place for high concentrated Tetronic®90R4 solutions (ESI, Table S2). On the other hand, addition of  $\alpha$ -CD to Tetronic®90R4 /water mixtures gave hydrogels under welldefined concentration and temperature ranges. Tetronic®90R4 was mixed in various proportions in aqueous saturated  $\alpha$ -CD solutions (870 mg  $\alpha$ -CD (0.9 mmol) dissolved in 6 mL water). Upon heating at 80 °C for 30 min,  $\alpha$ -CD threaded onto the PEO-PPO chains of Tetronic<sup>®</sup>90R4 leading to Tetronic<sup>®</sup>90R4/ $\alpha$ -CD polypseudoratoxanes in water (Fig. 2). The mixtures were then cooled at 4 °C overnight and the vials were inverted. Below 10 wt% Tetronic<sup>®</sup>90R4 (600 mg Tetronic<sup>®</sup>90R4 (0.8 mmol) in 6 mL water; tube 10, Table 1), particles flocculated in the aqueous solutions. On the contrary, hydrogels were observed for mixtures containing at least 600 mg Tetronic<sup>®</sup>90R4. As expected, high proportions of Tetronic<sup>®</sup>90R4 were required for the formation of hydrogels.<sup>54</sup> The latter was driven by the micro-crystallization of inclusion complexes of  $\alpha$ -CD and PEO blocks and the micellization of the PPO blocks.<sup>55</sup> Moreover, above 10 wt% Tetronic<sup>®</sup>90R4 in saturated solutions of  $\alpha$ -CDs, the hydrogels showed thermoresponsivity. Below 25 °C, they behaved as gels. Above 25 °C, they were liquids and formed a biphasic system (ESI, Table S4). The gel phases were recovered at room temperature for solutions containing more than 10 wt% Tetronic®90R4 (ESI, Table S5). The gel-to-sol transition of Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water mixtures was observed by inverting the vials and was more accurately monitored using a rheometer. Increasing the temperature led to a sharp decrease in the viscosity. Fig. 3 is illustrative of the drop in viscosity around 25 °C for a mixture containing 1250 mg Tetronic®90R4 (0.17 mmol, 25 %wt), 725 mg  $\alpha$ -CD (0.75 mmol) and 5 mL water. To better define the limits of the system, the amount of  $\alpha$ -CD was also varied from an aqueous solution containing 1250 mg Tetronic®90R4 (0.17 mmol) dissolved in 5 mL water. Below 600 mg  $\alpha$ -CD (0.61

temperature. Above 600 mg  $\alpha\text{-CD}\text{, a gel phase was formed at}$ room temperature and evolved to a sol phase above 25 °C.

mmol), a clear solution was observed whatever the Note that the formation of hydrogels was specific to the native  $\alpha$ -CD.

Table 1 Optical photos of Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water solutions (870 mg  $\alpha$ -CD, 6 mL water) as a function of the Tetronic<sup>®</sup>90R4 weight percentage at 20 °C. P: Precipitate; G: Gel; D: Demixing.





Fig. 3 Variation of the viscosity with the temperature for a mixture containing 1250 mg Tetronic®90R4 (0.17 mmol, 25 wt%), 725 mg  $\alpha$ -CD (0.75 mmol) and 5 mL water.



Fig.4 a) 50 mg Tetronics<sup>®</sup>701 / 725 mg  $\alpha$ -CD / 5 mL water; b) 1250 mg T701 / 725 mg α-CD / 5 mL water.

For example, no gel could be obtained in the presence of the native  $\beta$ -CD whatever the concentration (up to 3 g (2.6 mmol) in 5 mL water), even if  $\beta$ -CD is well-known to selectively accommodate PPO blocks.56

The formation of hydrogels from  $\alpha$ -CD and Tetronics<sup>®</sup>701 followed the same trend. However, higher CD concentrations were required to trigger the formation of hydrogels as exemplified in Fig. 4. As CDs can be easily removed from the external PEO blocks, the Tetronic<sup>®</sup>701/ $\alpha$ -CD/water hydrogel is less stable than the Tetronic®90R4-based hydrogel. More CDs (35 vs 10 wt%) are then necessary to form hydrogel textures (ESI, Table S7).

#### Hydroformylation of 1-dodecene using Tetronic<sup>®</sup>90R4

The performance of the Tetronic®90R4-based hydrogels were assessed in the rhodium-catalyzed hydroformylation of 1dodecene (model substrate). To this effect, Tetronic®90R4 (20 wt% in water) was dissolved in water with or without  $\alpha$ -CD upon stirring for 20 min at 60 °C under inert atmosphere. The solution was allowed to stand for 30 min at room temperature before Rh(CO)<sub>2</sub>(acac) and the sodium salt of the trisulfonated triphenylphosphane (TPPTS) were added under nitrogen. The resulting mixture was stirred at 60 °C for another 30 min. 1dodecene was then added, and the mixture was stirred at 80 °C under 50 bar of CO/H<sub>2</sub> (1:1) pressure for 1 h. Without any phosphane, a moderate 25%-conversion was obtained (Table 2, Entry 1). However, such experiment is irrelevant as the reaction takes place in the organic phase as suggested by the colored upper phase of the recovered biphasic system. This is an indirect proof that the Tetronics®90R4 nitrogens are unable to stabilize the catalytic species within the gel. In the presence of TPPTS, Table 2 clearly shows that the conversions are lower without  $\alpha$ -CD (entries 2-4), indicative of the contribution of the Tetronic<sup>®</sup>90R4/ $\alpha$ -CD assembly at the interfacial layer. These results are in line with our previous results on  $\alpha$ -CD/POE hydrogels. ^{35,36} Moreover, without  $\alpha\text{-CD},$  the multiphasic system remains emulsified once the reaction is complete and no decantation is observed even after 12 h. Recovering the reaction products then requires the use of an organic solvent (diethyl ether).

The conversion regularly increased with the proportion of Tetronic®90R4, indicative of the effect of the structure of Tetronic®90R4 at the interface between the catalystcontaining aqueous phase and the organic substrate. Low conversions were measured for low quantities of Tetronic<sup>®</sup>90R4 (<20%) meaning that the flocculation of polypseudorotaxanes in the aqueous solutions was not appropriate to favor contacts between the Rh-catalyst and the substrates. Conversely, high conversion values were found for high proportions of Tetronic®90R4 (>40 %) for which a liquidliquid two-phase system was identified at 80 °C (Table 1). As shown in Fig. 5, the  $\alpha$ -CD/Tetronic<sup>®</sup>90R4 polypseudorotaxane aggregates partially cover the droplets of organic substrate resulting in a Pickering-like emulsion that favors the contacts between 1-dodecene and the Rh-catalyst at the

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catalytic system gave better conversions than those obtained and PEO under the same catalytic conditions.<sup>36</sup>

aqueous/water interface.<sup>36</sup> The studied Tetronic<sup>®</sup>90R4-based using our previous hydrogel-based system consisting of  $\alpha$ -CD

Entry	Tetronic <sup>®</sup>	Tetronic <sup>®</sup> /water (w/w)	α-CD	Conv. (%) <sup>b</sup>	Aldehyde sel. (%) <sup>b</sup>	l/b⁵	Alkene isomers (%)
1 <sup>c</sup>	90R4	10	no	25	85	2	15
2	90R4	10	no	13	78	3.5	22
3	90R4	25	no	26	74	2.8	26
4	90R4	40	no	64	73	3.4	27
5	90R4	10	yes	36	92	2.5	8
6	90R4	25	yes	67	92	2.4	8
7	90R4	40	yes	84	96	2.4	4
8	90R4	75	yes	92	99	2.5	<1
9	701	40	no	31	48	2.5	52
10	701	40	yes	51	68	2.6	32

Table 2 Hydroformylation of 1-dodecene.<sup>a</sup>

 $^{a}$ Conditions: 1-dodecene (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), TPPTS (33 mg, 0.058 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80°C, 50 bar CO/H<sub>2</sub>, 1 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR spectroscopy of the crude reaction mixture. <sup>c</sup>without TPPTS.



Fig. 5 Pickering-like emulsion sampled at 80 °C and observed at 20 °C. Tetronic®90R4 (1500 mg, 0.21 mmol), α-CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O.

Indeed, the reaction appeared to approach 100% completion using very concentrated Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water solutions within 1 h while only 37% conversion had been obtained with  $\alpha$ -CD/PEO-based hydrogel within the same reaction time. The catalytic activity obtained with the Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water combination compared more favorably with the  $\alpha$ -CD/PEO/water system doped with a fluidifier (RAME-β-CD).<sup>36</sup> Yet, the main advantage of Tetronic®90R4 over the fluidifierbased system lies in the molecular structure of Tetronic®90R4

that already contains the interfacial properties required to overcome the mass transfer limitation at the aqueous/organic interface. Indeed, hydrophilic moieties (such as PEO or  $\alpha$ -CD/PEO assemblies) and PPO blocks are well-known to selfassemble into micelles at the interface.<sup>57,58</sup> Consequently, no fluidifier is required in that case.

The conversion vs. time diagram (Fig. 6) illustrates the dependence of the conversion on the reaction time. The high initial conversion of 1-dodecene regularly decreased with time. Note that the catalyst is not deactivated as this would terminate the conversion and not just cause a decrease in the conversion rate. Moreover, no significant change in the kinetic regime was noted, indicative of the stability of the Rhcatalysts over the course of the reaction. No induction period was observed, suggesting that the formation of the crystallites is fast under catalytic conditions. The regular decrease in the slope of the curve reflects the dependence of the catalytic rate toward the substrate concentration. Note that, throughout the reaction, the aldehyde selectivity and the linear to branched aldehyde ratio remained constant, also indicative of the stability of the Rh-catalyst.



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**Fig. 6** Conversion profile of the Rh-catalyzed hydroformylation of 1-dodecene in time. <sup>a</sup>Conditions: 1-dodecene (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), TPPTS (33 mg, 0.058 mmol), Tetronic®90R4 (25 wt%, 1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80 °C, 50 bar CO/H<sub>2</sub>.

The chemoselectivity of the hydroformylation reaction was also influenced by the Tetronic®90R4 concentration. While only 73% aldehydes were produced using 25 wt% Tetronic®90R4, the percentage of aldehydes reached 99% using 35 wt% Tetronic®90R4 (ESI, Table S3). This results contrasts with what was previously obtained using  $\alpha$ -CD/PEObased hydrogels for which a poor 77% aldehyde selectivity was measured.<sup>36</sup> This suggests a more selective catalytic process at the interface between the Tetronic®90R4-containing aqueous compartment and the organic phase. This is in line with previous results showing that the confined environment of a micelle-like structure in which the Rh-catalyst operates is crucial to finely control the catalytic coordination sphere and orient the catalytic reaction in a given direction. 59,60,61,62 The regioselectivity, however, did not vary upon changing the amount of Tetronic®90R4. The linear to branched aldehydes ratio (l/b) remained constant (2.3 < l/b < 2.5), suggesting that the equilibria between the Rh-species were not perturbed under such catalytic conditions whatever the Tetronic®90R4 concentrations.

Not only does the proportion of Tetronic®90R4 influences the catalytic performances, but it also influences the formation of gel or sol phases. As expected, the presence of  $\alpha$ -CD is crucial in the studied catalytic system as it ensures the formation of a gel upon cooling once the reaction is complete. The separation of the liquid products and the catalyst could then be easily performed.

#### Comparison between Tetronic®90R4 and Tetronic®701

The reverse-sequential Tetronic®90R4 was compared to the conventional sequential Tetronics®701 in saturated aqueous solutions of  $\alpha$ -CD. Using 35 wt% Tetronic<sup>®</sup> in water, both Tetronic<sup>®</sup>/α-CD/water combinations formed hydrogels at room temperature and were in the sol phase at 80 °C. As shown in Table 2 (entries 8 and 9), the nature of the poloxamine significantly affects the catalytic activity and the selectivities. Tetronics®701 is far less effective than Tetronic<sup>®</sup>90R4 in terms of conversion and aldehyde selectivity. The explanation lies in their respective structures. Tetronic<sup>®</sup>90R4 is a reversed poloxamine, meaning that PEO blocks are directly attached to the central diamino core while the PPO blocks are at the periphery and form micelles.<sup>63</sup> Once threaded on the PEO blocks of Tetronic<sup>®</sup>90R4,  $\alpha$ -CDs cannot be easily "de-threatened" from the PEO blocks upon heating because PPO blocks act as stoppers. In that case,  $\alpha$ -CD/Tetronic®90R4 crystallites favorably interact with linear substrate molecules at the interfacial layer to convert alkenes into aldehydes as already described in our previous papers.<sup>64,65</sup> Conversely, the conventional sequential Tetronics®701 consists of PPO blocks attached to the diamino core with PEO blocks at the periphery of the poloxamine structure.

Accordingly,  $\alpha$ -CDs can be easily removed upon heating from the PEO chains to be released in the aqueous compartment. The polypseudorotaxane aggregates were then less effective at the aqueous/organic interface, resulting in lower conversion (51% vs 81% for Tetronic®90R4).

#### Optimization of the catalytic conditions

#### Effect of the CO/H<sub>2</sub> pressure

Once the variation of the conversion with the Tetronic®90R4 concentration was established, we focused our attention on other reaction parameters. To ensure the presence of a liquidliquid biphasic system at 80 °C (temperature of the hydroformylation reaction) and the formation of a hydrogel phase at room temperature (to recover the Rh-catalyst), a 25 wt% Tetronic®90R4 concentration was chosen for further investigations. First, the CO/H<sub>2</sub> pressure was varied from 10 to 90 bar to assess its influence on the catalytic performances. In a saturated aqueous solution of  $\alpha\text{-CD}$  (870 mg in 6 mL), no significant change in the conversion nor in the chemoselectivity was noticed, indicating that the diffusion of CO and H<sub>2</sub> in the biphasic system was not the limiting step of the reaction rate (Table 3). However, a slight decrease in the I/b ratio was observed. This logically correlates with the formation of CO-coordinated Rh-species (such as HRh(CO)<sub>2</sub>(TPPTS)) which are more prone to yield branched aldehydes;<sup>66</sup> however, the extent of the effect was relatively small. Varying the CO and H<sub>2</sub> partial pressures slightly affects the catalytic activity and selectivities. A higher percentage of H<sub>2</sub> logically led to a decrease in aldehyde selectivity (87%) as more hydrogenated products are formed during the course of the reaction (entry 8). Conversely, a higher proportion of CO vields a lower proportion of linear aldehydes (l/b = 2.1)because of the presence of increased amounts of phosphane low-coordinated Rh-species in the medium (entry 9).

**Table 3.** Conversion and selectivities in the Rh-catalyzed hydroformylation of 1-dodecene at various  $CO/H_2$  pressures.<sup>a</sup>

Entry	CO/H <sub>2</sub> pressure (bar)	Conv. (%) <sup>b</sup>	Aldehyde sel. (%) <sup>b</sup>	l/b <sup>b</sup>
1	5/5	81	90	2.5
2	10/10	73	91	2.4
3	15/15	69	93	2.4
4	20/20	76	94	2.3
5	25/25	71	93	2.3
6	35/35	76	93	2.3
7	45/45	86	95	2.2
8	17/33	69	87	2.3
9	33/17	74	93	2.1

<sup>a</sup>Conditions: 1-dodecene (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), TPPTS (33 mg, 0.058 mmol), Tetronic®90R4 (25 wt%, 1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80 °C, 1 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR.

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### Effect of the reaction temperature

While the effect of the CO/H<sub>2</sub> pressure did not change substantially the catalytic performances, the influence of the temperature upon the reaction rate was much more pronounced (Table 4). With an increase in the temperature from 30 to 150 °C, the conversion was found to drastically increase from 1.4 to 87%, in line with both the higher mobility of the polymer chain at the aqueous/organic interface and the enhanced rhodium catalytic activity at high temperature. However, exceedingly increasing the reaction temperature up to 150 °C had a detrimental effect on both the aldehyde selectivity and the linear to branched aldehyde ratio (entry 6) suggesting a partial decomposition of the Rh-catalyst. Indeed, the temperature influences both the distribution of products due to enhanced isomerization of the carbon-carbon double bond of 1-dodecene and the dissociation of ligands from the catalytic complexes.67

Table 4Conversion and selectivities in the Rh-catalyzedhydroformylation of 1-dodecene for different reactiontemperatures using Tetronic®90R4 for 1 h.ª

Entry	т (°С)	Conv. (%) <sup>b</sup>	Aldehyde sel. (%) <sup>b</sup>	l/b⁵	TOF (h <sup>-1</sup> )
1	30	1	73	2.4	1
2	50	14	99	2.4	19
3	70	54	95	2.4	73
4	90	75	90	2.5	95
5	110	81	90	2.4	110
6	150	87	47	2.0	118

<sup>a</sup>Conditions: 1-dodecene (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), TPPTS (33 mg, 0.058 mmol), Tetronic®90R4 (25 wt%, 1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 1 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR.

The proportion of phosphane low-coordinated Rh-species being more important, the linear to branched aldehyde ratio logically dropped at high reaction temperatures. From the initial catalytic activity values (TOF) obtained over the 30-150° C temperature range (Table 4), the activation energy  $E_a$  was calculated from a classical Arrhenius plot. The resulting graph consists of two straight-line segments that intersect at about 60 °C (Fig. 7). The calculated E<sub>a</sub> is significantly higher at low temperature (86.6 kJ mol<sup>-1</sup>) than at high temperature (4.3 kJ mol<sup>-1</sup>). E<sub>a</sub> at low temperatures (below 60 °C) is relatively close to that reported for hydroformylation of 1-dodecene in the presence of homogeneous catalytic systems (57.3 kJ  $\text{mol}^{-1}\text{)}.^{^{68,69,70}}$  The apparent  $E_a$  obtained below 60°C is far too high to represent a mass transfer process, and likely corresponds to a chemical rate determining step (e.g. H<sub>2</sub> oxidative addition). Indeed, similar values have been reported in the literature for rhodium-catalyzed hydroformylation of olefins in homogeneous media (40-75 kJ mol<sup>-1</sup>).<sup>71</sup> Conversely, the low Ea value obtained above 60 °C is typical of a process in which the mass transfer is the limiting step.<sup>72</sup>

## **Rhodium precursors**

[Rh(CO)<sub>2</sub>(acac)] was compared with other Rh-precursors (Table 5) in the catalyzed hydroformylation of 1-dodecene. [Rh(CO)<sub>2</sub>(acac)] was the best rhodium precursor in terms of catalytic activity and aldehyde selectivity (entry 1). Astonishingly, comparable catalytic results were obtained using RhCl<sub>3</sub> as rhodium precursor (entry 2), indicating that the Rh-catalyst was not affected by the HCl released from RhCl<sub>3</sub> under CO/H<sub>2</sub> pressure. In addition to the positive effect of the Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water combination on the interface, this catalytic result underscores another advantage in the use of Tetronics<sup>®</sup> in Rh-catalyzed hydroformylation of alkenes. Indeed, contrary to standard observations showing that halides perturb (or even inhibit) the catalytic activity under hydroformylation conditions,<sup>3,73</sup> Tetronics<sup>®</sup> nitrogen atoms probably act as halide scavengers and help neutralize the released HCl in the form of ammonium chloride. The cationic Rh(COD)<sub>2</sub>BF<sub>4</sub> precursor, for its part, led to a lower 62% conversion (entry 3). Note that, whatever the rhodium precursor, the chemo-/regioselectivities ratio remained rather



constant over time.

Fig. 7 Initial rate dependence on the reaction temperature.

**Table 5.** Effect of the catalytic rhodium precursor in the metalcatalyzed hydroformylation/hydrogenation of 1-dodecene.<sup>a</sup>

Entry	Rhodium precursor	Conv. (%) <sup>b</sup>	Aldehyde sel. (%) <sup>b</sup>	l/b⁵
1	Rh(CO) <sub>2</sub> (acac)	71	93	2.3
2	RhCl₃	71	91	2.4
3	Rh(COD)₂BF₄	62	89	25

<sup>a</sup>Conditions: 1-dodecene (1.63 mmol), rhodium precursor (0.012 mmol), TPPTS (33 mg, 0.058 mmol), Tetronic<sup>®</sup>90R4 (25 wt%, 1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80 °C, 1 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR.

#### Phosphanes

Having established favorable conditions for 1-dodecene hydroformylation using TPPTS as water soluble ligand, hydroformylation reactions were investigated using other phosphanes. A survey of phosphanes depicted in Fig. 8 revealed that the nature of the phosphane significantly catalytic influenced the performance (Table 6). Tris(oOMe)TPPTS led to meager conversions (<10%) and low chemoselectivity because of its poor ability to coordinate the rhodium complex (entry 3). Conversely, DBPPTS and TrisBiph provided high conversions and aldehyde selectivities (entries 5 and 7). Compared to our previously published results on the utilization of Pickering-like emulsions in the presence of RAME- $\beta$ -CD,<sup>36</sup> the chemo- and regio-selectivities were improved under the same experimental conditions suggesting a more discriminating process during the catalytic cycle. For example, while the aldehyde selectivity and I/b ratio were 94% and 1.8, respectively, in the hydroformylation of 1-dodecene using Pickering emulsions, the  $\alpha$ -CD/Tetronic<sup>®</sup>90R4 combination led to an aldehyde selectivity of 98% and I/b ratio of 2.3 in the



Fig. 8 Studied phosphanes in hydroformylation of 1-dodecene.

Table 6 Rh-catalyzed hydroformylation of 1-dodecene using various phosphanes.<sup>a</sup>

Entry	Phosphanes	Conv. (%) <sup>b</sup>	Aldehyde sel. (%) <sup>b</sup>	l/b <sup>ь</sup>
1	-	5	61	1.5
2	TPPTS	65	83	2.3
3	Tris(oOMe)TPPTS	4	66	2.9
4	Tris(pMe)TPPTS	92	91	2.3
5	DBPPTS	99	98	2.3
6	BDPPTS	87	92	2.4
7	TrisBiph	100	96	2.3

<sup>a</sup>Conditions: substrate (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), phosphane (33 mg, 0.058 mmol), Tetronic<sup>®</sup>90R4 (1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80°C, 50 bar CO/H<sub>2</sub>, 1 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR.

By using Tris(pMe)TPPTS and BDPPTS, Rh-catalyzed hydroformylation of 1-dodecene delivered the corresponding products in 92% and 87% conversion, respectively, and similar aldehyde selectivity (entries 4 and 6). Note that the I/b ratio remained rather constant (2.1-2.4) with phosphane-stabilized rhodium catalytic species with high catalytic activity and was significantly improved to 2.9 by using phosphane-stabilized rhodium species with lower catalytic activity.

#### Hydroformylation of other substrates

To broaden the scope of the utilization of Tetronic®90R4 in the biphasic Rh-catalyzed hydroformylation of alkenes, other substrates were considered (Table 7). The catalytic activity of the hydroformylation reaction decreases with increasing the chain length of the substrate because the higher hydrophobic character of 1-octadecene disfavored contacts with the watersoluble catalyst (entry 3). However, the 80% conversion obtained within 4 h reaction time favorably compared with our previous results.<sup>36</sup> More surprisingly, the chemo- and regioselectivity were also affected, indicative of a less discriminating catalytic process for longer alkenyl chains. Styrene and 2vinylnaphtalene were fully converted within 4 h with a high proportion of branched aldehydes attributed to the formation of an  $\eta^3$ -benzyl-Rh complex (entries 4 and 5).<sup>74</sup> Note that the catalytic system proves to be ineffective to hydroformylate internal C=C double bond (entry 6).

#### Table 7 Hydroformylation of hydrophobic substrates.<sup>a</sup>

entry	substrate	conv. (%) <sup>b</sup>	aldehyde sel. (%) <sup>b</sup>	l/b <sup>♭</sup>
L	1-dodecene	100	95	2.2
2	1-tetradecene	100	88	2.2
3	1-octadecene	80	80	1.9
Ļ	styrene	100	99	0.1
5	2-vinylnaphtalene	100	99	0.1
5	methyl oleate	0	-	-

<sup>a</sup>Conditions: substrate (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), TPPTS (33 mg, 0.058 mmol), Tetronic<sup>®</sup>90R4 (1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80 °C, 50 bar CO/H<sub>2</sub>, 4 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR.

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# **Reusability of the Rh-catalyst**

The reusability of the catalytic system was assessed over three consecutive runs. After each run, the organic phase was recovered and the catalyst-containing hydrogel phase was recycled. The main advantage of the process lies in the easy separation of the liquid organic phase and the gel phase once the reaction mixture was cooled down. Very good reusability of the Rh-catalyst was obtained after the second and the third run. Conversions and selectivities remained unchanged after each run (Table 8). The stability of the Rh-complex embedded in the hydrogel matrix was confirmed by <sup>31</sup>P NMR measurements. Samples of HRh(CO)(TPPTS)<sub>3</sub> (synthesized from Rh(CO)<sub>2</sub>(acac) and excess TPPTS, see ESI) in water or in Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water mixtures were left in the air. In water, a regular decrease in the intensity of the doublet at 43 ppm related to the coordination of TPPTS onto Rh ( ${}^{1}J_{Rh-P}$  = 155 Hz) was rapidly observed over a period of 120 h (Fig. 9 and 10a). Conversely, good stability of the Rh-complex was observed at 40 °C in the sol phase of a Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water mixture (Fig. 9 and 10b). Gratifyingly, excellent stability of HRh(CO)(TPPTS)<sub>3</sub> was observed at 25 °C in the gel phase (Fig. 9 and 10c).

Table 8 Reusability of the catalyst-containing Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water gel phase.<sup>a</sup>

entry	conv. (%) <sup>b</sup>	aldehyde sel. (%) <sup>b</sup>	I/b <sup>ь</sup>
1	65	83	2.3
2	64	84	2.2
3	64	82	2.3

<sup>a</sup>Conditions: 1-dodecene (1.63 mmol), Rh(CO)<sub>2</sub>(acac) (3 mg, 0.012 mmol), TPPTS (33 mg, 0.058 mmol), Tetronic®90R4 (1500 mg, 0.21 mmol),  $\alpha$ -CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O, 80°C, 50 bar CO/H<sub>2</sub>, 1 h. <sup>b</sup>Conversions and selectivities were determined by <sup>1</sup>H NMR.



Fig. 9 Change in the intensity of the doublet (Rh-P coupling) over time a) in water at 25 °C (◆), in the sol phase at 40 °C(■), and in the gel phase at 25 °C (<sup>A</sup>). Conditions for •: HRh(CO)(TPPTS)<sub>3</sub> (3 mg, 0.012 mmol), 6 mL H<sub>2</sub>O. Conditions for and A: HRh(CO)(TPPTS)<sub>3</sub> (3 mg, 0.012 mmol), Tetronic<sup>®</sup>90R4 (1500 mg, 0.21 mmol), α-CD (870 mg, 0.90 mmol), 6 mL H<sub>2</sub>O.







Fig. 10 Stacked <sup>31</sup>P NMR spectra of HRh(CO)(TPPTS)<sub>3</sub> in the presence of excess TPPTS over time a) in neat water at 25 °C, b) in the sol phase at 40 °C, and c) in the gel phase at 25°C.

Concurrently, while excess TPPTS was rapidly oxidized in water, the oxidation process was moderate in the sol phase at 40 °C and remarkably low in the gel phase at room temperature (<5%), thus revealing the protecting role of the hydrogel matrix toward the phosphane against oxidation and thus toward the Rh-catalyst. Obviously, the stability of the HRh(CO)(TPPTS)<sub>3</sub> complex is far higher under hydrogen, even in the sol phase (ESI, Figure S1). Also note that no

displacement of the phosphane ligands by the nitrogens of Tetronic<sup>®</sup>90R4 could be detected by <sup>31</sup>P NMR. Accordingly, once embedded in the Tetronic<sup>®</sup>90R4/ $\alpha$ -CD/water gel phase, the Rh-catalyst does not undergo significant alteration over time resulting in unchanged catalytic performances upon recycling. Moreover, only traces of Tetronic<sup>®</sup>90R4 were detected in the organic phase (0.3 mol%) after each run (ESI, Figure S2).

# Conclusions

In our constant effort to develop green chemical processes, the studied Tetronics®-based catalytic systems define an alternative to classical aqueous biphasic hydroformylations. The best result was obtained using the reverse-sequential Tetronic<sup>®</sup>90R4 and DBPPTS under 50 bar CO/H<sub>2</sub> pressure at 80 °C Under such conditions, 1-dodecene was fullv hydroformylated within 1 h with an aldehyde selectivity of 98% and a linear to branched ratio of 2.3. When associated to  $\alpha$ -CD, Tetronics<sup>®</sup> contain within their structure the amphiphilic properties allowing to overcome the mass transfer limitation at the aqueous/organic interface. The presence of  $\alpha\text{-CD}$  is essential to provoke the decantation of the multiphasic system once the reaction is complete. The catalytic performance were significantly influenced by the reaction temperature while attempts to enhance the performance of the Rh-catalyst through variation of CO/H<sub>2</sub> pressure did not avail additional improvement. The main advantage of Tetronic<sup>®</sup>/ $\alpha$ -CD assemblies in biphasic catalysis lies in the easy recovery of the organic product in one phase and the Rh-catalyst in the other phase. While the Rh-catalyst embedded into the Tetronic<sup>®</sup>/ $\alpha$ -CD/water mixture remains in the flask (in the gel sate at room temperature), the liquid aldehydes are recovered by simply inclining the flask containing the reaction mixture. Additionally, the stability of the Rh-catalyst is remarkable as the Tetronic<sup>®</sup>/ $\alpha$ -CD/water gel phase prevents the oxidation of the Rh-coordinated phosphane at room temperature.

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