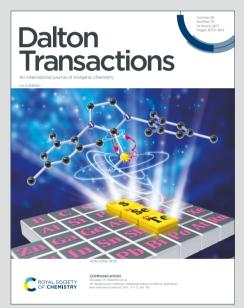
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Structural, kinetics and mechanistic studies of transfer hydrogenation of ketone View Article Online catalyzed by chiral (pyridyl)imine nickel(II) complexes

Robert T. Kumah, Nokwanda Tsaulwayo, Bheki A. Xulu, Stephen O. Ojwach*

School of Chemistry and physics, University of KwaZulu-Natal, Pietermaritzburg Campus, Private Bag X01 Scottsville, 3209, South Africa

ABSTRACT

The chiral synthons (S-)-1-phenyl-N-(pyridine-2-yl)ethylidine) ethanamine (L1), (R-)-1phenyl-N-(pyridine-2-yl)ethylidine) ethanamine (L2)(S)-1-phenyl-N-(pyridine-2-yl methylene) ethanamine (L3), and (R)-1-phenyl-N-(pyridine-2-yl methylene) ethanamine (L4) were synthesized in good yields. Treatments of L1-L4 with NiBr₂(DME) and NiCl₂ precursor afforded dinuclear complexes $[Ni_2(L1)_4-\mu-Br_2]NiBr_4$ (Ni1), $[Ni_2(L2)_4-\mu-Br_2]NiBr_4$ (Ni2), $[Ni_2(L3)_4-\mu Br_2]Br_2$ (Ni3), $[Ni_2(L4)_4-\mu Br_2]NiBr_4$ (Ni4) and $[Ni(L4)_2Cl_2]$ (Ni5). The identities of the compounds were established using NMR, FT-IR and EPR spectroscopies, mass spectrometry, magnetic moments, elemental analysis and single crystal X-ray crystallography. The dinuclear dibromide nickel complexes dissociate into mononuclear species in the presence of strongly coordinating solvents. Compounds Ni1-Ni5 displayed moderate catalytic activities in the asymmetric transfer hydrogenation (ATH) of ketones, but with low enantiomeric excess (ee%). Both mercury and substoichiometric poisoning tests pointed to homogeneous nature of the active with partial formation of catalytically active Ni(0) nanoparticles. Low resolution mass spectrometry analyses of the intermediates supported a dihydride mechanistic pathway for the transfer hydrogenation reactions.

*Corresponding author: E-mail: ojwach@ukzn.ac.za (S. O. Ojwach)

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1. Introduction

Asymmetric catalysis is one of the emerging disciplines in the chemical industry due to the versatility and importance of chiral intermediates in the production of a wide range of fine chemicals such as pharmaceuticals, perfumes, pesticides among others.¹⁻² A classic example is the asymmetric transfer of hydrogenation (ATH) of ketones to produce chiral alcohols, which form useful intermediates for the syntheses of a number of domestically and industrial relevant products.^{1, 3-5} To date, a number of transition metal catalysts derived from chiral ruthenium(II), rhodium(III), iridium(II), and osmium(II) metal complexes have been found to show high catalytic activities and enantioselectivities in asymmetric transfer hydrogenation of ketones.⁶⁻¹² Despite the efficiency of these catalytic systems in these reactions, their industrial application have been limited by their high costs and instability under normal conditions.¹³⁻¹⁴

This has led to the design and development of alternative catalysts which are less expensive but can parallel the catalytic activities of the well-established ruthenium(II) and iridium(II) analogues. On this trajectory, nickel(II) complexes have emerged as good candidates due to their relative cheaper costs and stability.¹⁵⁻¹⁶ It is thus not surprising that in recent years, a number of nickel(II) catalysts containing nitrogen-donor ligands have been reported as catalysts⁸ in the asymmetric transfer hydrogenation of ketones ¹⁷⁻¹⁸ The results have not been disappointing as high catalytic activities with excellent enantioselectivities have been reported in some cases. A notable example is the work of Gao and co-workers, which demonstrated that Ni(PPh₃)₂Cl₂ in combination with P^N^O-type ligands could catalyse ATH of simple ketones achieving 10-84 *ee*%.¹⁹ We recently investigated the application of some (pyrazolylmethyl)pyridine nickel(II) complexes as catalysts in the transfer hydrogenation of ketones of chiral

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(pyridyl)imine complexes and their application as ATH catalysts for a range of selected red of a romatic ketones. Structural elucidation of the complexes has been extensively performed using a combination of techniques such as mass spectrometry, electron paramagnetic resonance, single crystal X-ray crystallography and elemental analyses. Detailed studies on the true identity of the active species using substoichiometric and mercury poisoning tests and investigation of the mechanistic pathways of ATH reactions have also been carried out and are herein discussed.

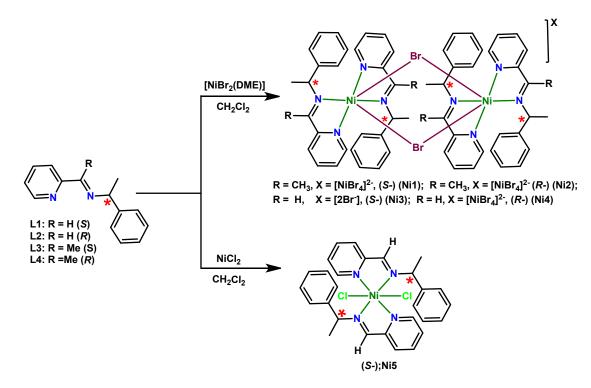
2. Results and discussion

2.1. Synthesis and characterization Ni(II) complexes Ni1-Ni5

The reactions of synthons L1-L4 with NiBr₂(DME) and NiCl₂ in CH₂Cl₂ afforded the corresponding complexes Ni1-Ni5 as green solids (Scheme 1) in moderate yields (50-70%). All the complexes were characterized by IR spectroscopy, mass spectrometry, magnetic moment measurements, elemental analyses and single crystal X-ray analyses in some cases. Comparison of FT-IR signal shifts of the ligands in L1-L4 to their corresponding Ni(II) complexes Ni1-Ni5 allowed us to establish the successful formation of the complexes. For instance, ($v_{C=N}$)_{imine} signals were observed at 1618 cm⁻¹ for L3 and 1637cm⁻¹ for the corresponding complex Ni3 respectively (Figs. S18 and S21). The ESI-MS spectral data were also used to establish the identity of the complexes Ni1-Ni5 (Figs. S11-S15). In general, ESI MS (low resolution) data of complexes Ni1-Ni4 showed m/z signals corresponding to the dinuclear complexes hint to their instability, hence ease of dissociation to form the mononuclear compounds (*vide infra*). For instance, the positive and negative mode mass spectrum of complex Ni4 showed signals at m/z = 559 amu and m/z = 373 amu, corresponding to the fragment (M⁺-Br) of the mononuclear species and the counter ion

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[NiBr₄]²⁻ respectively (**Fig. S21**). In all cases, the experimental and simulated isotopic new Article Online distributions showed correlations (**Figs. S18-S22**).



Scheme 1. Synthesis of chiral (pyridyl)imine Ni(II) complexes Ni1-Ni5.

The effective magnetic moments of complexes **Ni1-Ni5** were recorded in the range 3.52 BM - 3.97 BM and were generally higher than the expected spin-only magnetic moment of 2.83 BM for a nickel(II) ion.²² This large variation could be attributed to the spin-orbital magnetic moment contribution from the (pyridyl)imine and halide ligands.²³ However, all the values were comparable, signifying similar ligand-field splitting of **L1-L4**. Elemental analyses data of complexes **Ni1-Ni5** were in good agreement with two ligand units per metal atom and established the purity of the bulk materials.

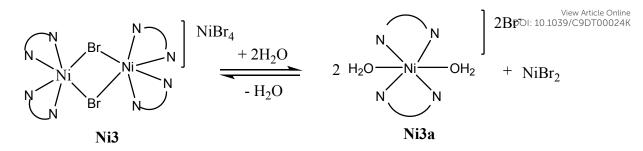
2.2. Molecular structure of compound Ni3 and Ni 4.

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Single crystals suitable for X-ray crystallography analyses of complexes Ni3a (a monomic district Online form of Ni3) and Ni4 were grown by slow evaporation of the CH₂Cl₂/hexane solutions at room temperature, while crystals of compound Ni5 were obtained by slow diffusion of diethyl ether into a solution of Ni5 in acetonitrile. Table 1 shows the data collection and structural refinement parameters for complexes Ni3a, Ni4 and Ni5, while Figs. 1-3 show the respective molecular structures and bond parameters. The solid state of complex Ni4 is dinuclear containing two bidentate ligand units on each nickel atom, two bridging bromide ligands and a $[NiBr_4]^{2-}$ counter anion, in good agreement with the mass spectral and elemental analyses data (Scheme 2). On the other hand, the molecular structure of Ni3a (derivative of Ni3), is cationic, containing two ligand L3 units per nickel atom (Fig. 1) and two coordinated water molecules. It is therefore evident that in the presence of strongly coordinating solvents such as H₂O, the dinuclear Ni3 complex dissociates via nucleophilic substitution of the bridging bromides to form the mononuclear species (Ni3a) as shown in Scheme 3. The transformation is not unique and has been reported by Schlemper²⁴ and may be useful in generation of the activation species (creation of vacant coordination sites). Interestingly, the mononuclear dichloride complex Ni5, is neutral and contains two ligand (L3) units and two chloride ligands in the coordination sphere. The monomeric nature of **Ni5** thus indicate, unfavourable bridging by the chloride ligand, while the lack of substitution of the chlorides by the water molecules can be attributed to the poor nucleophilic displacement of the chloride ligands in comparison to the bromide ligand.²⁵

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Scheme 3. Transformation of the dinuclear complex Ni3 to the mononuclear species Ni3a in the presence of H_2O molecules via nucleophilic attack.

The average Ni-O, Ni-Br and Ni-Cl bond lengths of 2.068 Å, 2.595 Å and 2.417 Å for Ni3a, Ni4 and Ni5 are within the bond distances of 2.07 ± 0.12 Å, 2.58 ± 0.08 Å and 2.42 ± 0.06 Å respectively reported in 98-172 structures when subjected to online check CID routine data.²⁶⁻²⁷ Similarly, the average Ni-N_{imine} bond lengths for Ni3a, Ni4 and Ni5 of 2.089 Å 2.079 Å, and 2.147 Å respectively, agree well with the average Ni-N_{imine} bond length of 2.123 Å reported in literature.²⁷ Furthermore, the Ni-N_{py} bond lengths of Ni3a, Ni4 and Ni5 of 2.066 Å, 2.088 Å and 2.033Å respectively are within the maximum range of 2.065 Å reported in literature.²⁷ The slight differences in for example, the average Ni-N_{imine} bond distances for Ni3a, Ni4 and Ni5 of 2.094(4) Å, 2.079 Å, and 2.150 (14) Å respectively may be attributed to the varied *trans* influence of the H₂O, bromide and chloride ligands. Interestingly, the bond distances of the Ni(1)-Nimine in the structure of Ni3a are quite different, despite having the same H₂O ligand in the trans-position. The reason for this variation is not clear, though steric factors may be implicated.

Parameter	Ni 3a	Ni 4	Ni5
Empirical	2(C ₂₈ H ₃₂ N ₄ NiO ₂),4(Br),H ₂ C	O C56 H56 Br6 N8	C28H28N4NiCl2
formula		Ni3	
Formula weight	1368.22	1496.67	550.15
Temperature(K)	100	100(2)	100
Wavelength (Å)	0.71073	0.71073 Å	0.71073
Crystal system	Monoclinic	Orthorhombic	Monoclinic
Space group	P 21	P 21 21 21	P1 21 1
a(Å)	9.4714(5)	13.3668(9)	8.4920(7)
b(Å)	15.4585(7)	24.1182(16)	18.0236(14)
c(Å)	19.8142(10)	24.2402(16)	8.5613(7)
$\Box(\circ)$	90	90	90
β(°)	91.8 (3)	90	99.564
γ (°)	90	90	90
Volume	2899.6(2)	7814.6(9)	1292.15(18)
Ζ	2	4	2
Dcalcd (mg/m3	1.567	1.272	1.414
)			
Absorption	3.459	3.816	3.448
coefficient			
(mm ⁻¹)			
F(000)	1388.0	2968	572
Number	0.0254(10895)	56652	6204
reflections			
Goodness-of-fit	1.001	1.060	1.002
on			
F2			
R indices (all	R1=0.0254, wR2=0.0555	R1=0.0394,wR2=	R1=0.0173,
data)		0.0707	wR2=0.044
Largest diff.	0.660 and -0.265	1.403 and -0.773	0.1433 and -
peak and hole		······	0.254
$(e Å^{-3})$			0.201

Table 1: Crystal data and structure refinement	narameters for comp	lexes Ni3a and Ni4	View Article Online
Fuble 1 . Crystal data and structure refinement	purumeters for comp		039/C9DT00024K

Ni(1)-O(1), O(1)-Ni-O(1) respectively for Ni3a deviate slightly from the expected 90° and

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thus reveal a distorted octahedral geometry. Similar distortion is observed in complex. NSArticle Online with most bond angles recorded within the range 78°-98°. In general, the presence of two ligand units around each nickel(II) atom in complex Ni4 is not common for dinuclear nickel(II) complexes and may largely be attributed to the less steric demands of ligands L1-L4.

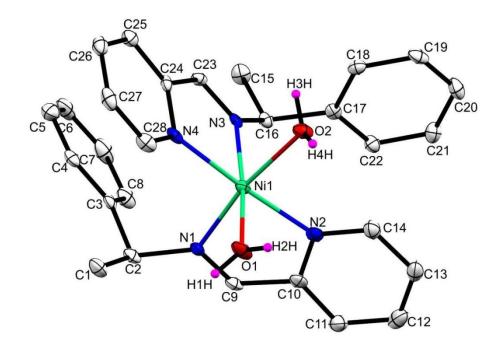


Fig. 1: Symmetric unit of compound **Ni3a** with thermal ellipsoids drawn at 50% probability level. H, Br and H₂O atoms were omitted for clarity. Bond lengths (Å); Ni(1)-N(1), 2.121(4); Ni(1)-N(2), 2.064; Ni(1)-N(3), 2.067(4); Ni(1)-N(4), 2.073(4); Ni(1)-O(1), 2.055(4); Ni(1)-O(2), 2.092(3); Ni(1)-N(4), 2.055(4); Bond angle (°); N(2)Ni(1)-N(3), 99.4 (14); N(2)-Ni(1)-O(2), 89.9(14); N(3)-Ni(1)-O(2), 88.4(13); N(4)-Ni(1)-O(2), 86.0(13); N(3)-Ni(1)-N(4), 80.3(14); N(2)-Ni(1)-N(4), 175.9(14).

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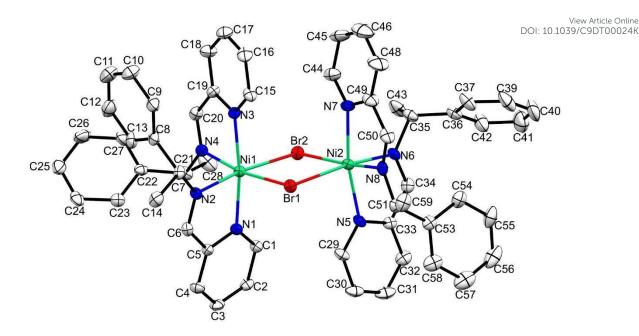


Fig. 2. Thermal ellipsoids (40% probability) plot of complex **Ni4.** H atoms and [NiBr₄]²⁻ were omitted for clarity. Bond lengths (Å); Ni(1)–N(1), 2.089(4); Ni(1)–N(2), 2.079(4); Ni(1)–N(3), 2.084(4); Ni(1)–N(4), 2.081(4); Ni(1)–Br(1), 2.568(7); Br(3)–Ni(2), 2.546(7); Br(6)–Ni(3), 2.379(9). Bond angle (°): Ni(1)–Br(1)-Ni(2), 91.4(2); Ni(2)-Br(2)-Ni(1), 92.4(2); N(1)-Ni(1)N(2), 79.9(14; N(1)-Ni(1)-Br(1), 94.9(10), N(3)-Ni(2)-Br(2), 90.2(10); N(5)-Ni(2)-N(7), 79.5(17).

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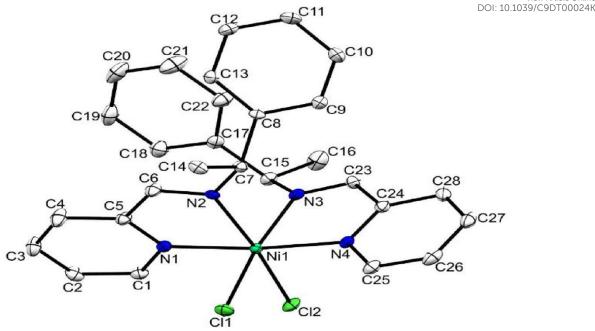


Fig. 3. Molecular structure of complex **Ni5** draw with thermal ellipsoids (50% probability). Hydrogen atoms were omitted for clarity. Bond lengths (Å); Ni(1)– N(1), 2.033(15); Ni(1)– N(2), 2.147 (14); Ni(1)–N(3), 2.153 (13); Ni(1)–N(4), 2.0361(16); Ni(1)–Cl(1), 2.396(5); Cl(2)–Ni(1), 2.438 (5). Bond angle (°): N(1)– Ni(1)-Cl(1), 90.41(4); N(1)-Ni(1)-N(3), 98.05(6); N(1)-Ni(1)-N(2), 78.67(6); N(1)-Ni(1)-Cl(2), 169.42(42), N(4)-Ni(1)-N(3), 78.76(10); N(4)-Ni(1)-N(2), 95.40(6).

2.3. Electron paramagnetic resonance (EPR)

The X-band EPR spectra of nickel(II) complexes, Ni3, Ni4 and Ni5 were recorded at room temperature and 77 K. Both powder and frozen solutions of Ni3, Ni4 and Ni5 exhibited broad isotropic signals (Fig. 4(a) and (b)). The g_{iso} values measured for these complexes (2.20-2.32) are much higher than those expected for nickel(II) species and organic radicals of $g \approx$ 2.00.²⁸ However, the g_{iso} values in the range 2.20-2.32 are typical for paramagnetic nickel(II) centers.²⁹ Surprisingly, the ESR spectrum of Ni4 in DMSO (a strong coordinating solvent) at room temperature is similar to that of ⁱPrOH (a weakly coordinating solvent) suggesting noncoordination (Fig. 4(a)). The use of CH₃CN, a moderately strong coordinating solvent only

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resulted in broader line widths and no significant change in the g value recorded <u>comparysed of TO0024K</u> weakly coordinating iPrOH solvent (**Fig. 4**). The simulated epr spectra in the solid state of complexes **Ni4** and **Ni5** compare well with the experimental spectra (**Fig. 4**(c) and (d)).

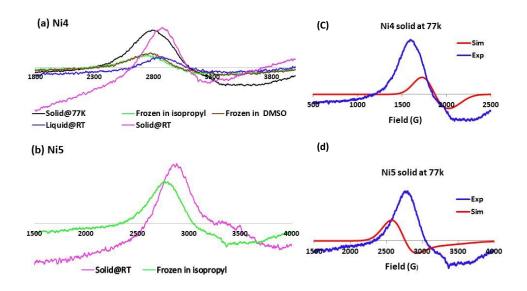


Fig 4. EPR spectra data of (a) Ni4; solid at room temperature (g=2.24), frozen in iPrOH (g

= 2.21), Frozen in DMSO (g=2.28), frozen at 77 (g=2.25) and (b) Ni5; solid at room temperature (g=2.24), Frozen in iPrOH (g =2.22) (g =2.20). Simulated and experimental epr spectra of complexes Ni4 (c) and Ni5 (d) respectively.

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2.4.1. Screening of Ni(II) complexes Ni1-Ni5 in asymmetric transfer hydrogenation of acetophenone.

The Ni(II) complexes Ni1-Ni5 were evaluated in catalytic transfer hydrogenation of ketones using acetophenone, KOH and ⁱPrOH as the model substrate, base and hydrogen donor respectively. Percentage conversions and enantiomeric excess of the substrate to the respective *R/S*-alcohols were determined by ¹H NMR spectroscopy (Fig. S23) and chiral GC analyses (Fig. S24). All the complexes showed modest conversions between 54% and 98% (TOF = 7.50 and 13.61 h⁻¹) within 24 h (Fig. S25 and S26) though with very low *ee* of between11% to 16% (Table 2). Based on these findings, we thus carried out detailed investigations of the kinetics, insights into the mechanistic pathways, deactivation profiles and nature of the active species of these ATH reactions.

2.4.2 .Effects of complex/ligand structure on ATH of acetophenone

To understand the effects of ligand/complex structure on the kinetics of the ATH of acetophenone catalyzed by complexes Ni1-Ni5, plots of In[Acetoph.]_o/[Acetoph.]_t versus time (Fig S26) were used to deduce the initial rate constants, k_{ob} for each complex and the order of reaction with respect to acetophenone substrate. A *pseudo*-first order kinetics with respect to acetophenone substrate was deduced from the linear section of the plots (Fig. S26 inset) as shown in equation 1.³⁰ The rate constants (k_{ob}) and the corresponding TOFs for complexes Ni1-Ni5 are given in Table 2 and the initial rate constants were in the order Ni4>Ni5>Ni1>Ni2.

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$$Rate = k_{ob} [acetoph.]^{1} \dots (Eq. 1)$$

From the catalytic activity trends obtained with respect to the ligand architecture, complexes bearing methyl substituent on the imine carbon showed lower catalytic activities compared to the unsubstituted counterparts. For example, complex **Ni1** bearing a methyl substituent recorded a lower k_{ob} of 4.0 x 10⁻⁵s⁻¹ compared to the unsubstituted analogous complex **Ni3**, which achieved k_{ob} of 4.7 x 10⁻⁵s⁻¹ (**Table 2**, entries 1 and 3). While it is much easier to assign this trend to steric effects (CH₃ vs H), a closer examination of the structures reveals that this groups are remotely located away from the metal atoms hence unlikely to confer any significant steric control. Thus, we believe that the lower reactivity of complex **Ni1**, bearing the methyl substituents may be due to reduced electophilicity of the Ni(II) atom (since CH₃ is more electron donating than H group). This has the net effect of limiting substrate coordination to the active metal centre. These results are in agreement with literature findings of Chirik *et al*,³¹ where diimine Fe(II) complexes bearing methyl substituent at the imine carbon in the ligand backbone showed lower catalytic than unsubstituted diimine Fe(II) complexes in asymmetric hydrosilylation of acetophenone.

Table 2. Kinetic	data of catalytic	asymmetric	transfer	hydrogenation	of acetophenone
catalyzed by comp	plexes Ni1-Ni5				

Entry	Catalyst	Conversion	Initial rate constan	t R2	TOF/h ⁻¹	Enantiomeric
		[%] ^b	$k_{\rm ob} \ge 10^{-5}/{\rm s}^{-1}$			excess (ee %)
1	Ni1	54	4.00±0.04	0.98	7.86	11(S-)
2	Ni2	72	5.52±0.06	0.98	10.00	16(<i>R</i> -)
3	Ni3	84	4.73±0.03	0.98	11.66	6(<i>S</i> -)
4	Ni4	98	7.55±0.11	0.99	13.60	11(<i>R</i> -)
5	Ni5	88	$7.02{\pm}0.08$	0.97	11.79	14 (<i>R</i> -)

^a Conditions: acetophenone, 2.00 mmol; catalyst; 0.057 mmol (0.3 mmol%); base, (100 mmol%) KOH in 2-propanol (5.0 ml); time, 24 h, temperature, 82 °C. ^b Determined by ¹H NMR spectroscopy. ^c Turn over frequency (TOF) = (mmol of substrate)/(mmol of catalyst)/(h).

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The stereochemistry of the complexes was also observed to influence the readdress of contract of the resultant catalysts. For example, complex Ni4 (*R*-) showed high k_{ob} of 7.55 x 10⁻⁵/s⁻¹ compared to the corresponding complex Ni3 (*S*-) of 4.73 x 10⁻⁵/s⁻¹ (Table 2, entries 34). Thus for the (*S*-) isomer (Ni3), the close proximity of the bulkier phenyl groups to the Ni atom is likely to hinder coordination of acetophenone substrate, accounting for the lower catalytic activity observed. This observation is consistent with those of Morris *et al* where (*R*,*R*)-*trans*-[Fe(CO)Me(CN)-CyP₂N₂)[BF₄] catalysts were observed to show high catalytic activity than (*S*,*S*)-*trans*-[Fe(CO)Me(CN)-CyP₂N₂)[BF₄] (*R*,*R*).¹³ While the remote location of the chiral centre outside metal coordination may be implicated in the low ee% recorded for these catalysts, it is also possible that dissociation of the complexes into ligandless nickel(0) salts, may play a major role in their poor enantiaoselectiviy.

In comparison to the previously reported nickel-based catalysts in the transfer hydrogenation of ketones, these present catalysts display moderate catalysts. For example, the k_{ob} observed for the nickel complexes Ni1-Ni4 of between 4.00 to 7.02 x 10⁵ s⁻¹ are slightly higher than the values of 2.5 to 5.0 x 10⁵ S⁻¹ reported for the (pyrazolylmethyl)pyridine nickel complexes.²⁰ In addition, the complexes Ni1-N4 showed better catalytic activities compared to the template Ni(0) systems of Ni(COD)₂/dppe which gave conversions of 96% only after 48 h at elevated temperatures of 130 °C.³² In terms of enantioselectivity, the complexes Ni1-N4 (ee% < 11%) fared poorly compared to Ni(PPh₃)₂Cl₂/P^N^O- systems that could catalyse ATH of simple ketones to achieve 10-84 *ee*%.¹⁹

2.4.3. Optimization of reaction conditions on transfer hydrogenation

Upon establishing the catalytic efficacy of the nickel complexes **Ni1-Ni5** in the transfer hydrogenation of acetophenone, we then optimized the reaction conditions by investigating the effect of base and catalyst loading using the most active complex **Ni4**. Generally, an increase in catalyst loading was observed to result in diminished catalytic activities. For example, an

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increase in catalyst loading from 0.30 mmol% to 1.14 mmol%, was followed by a disstructed of the other base of the pre-catalysts loading from 13.47 to 2.19 h⁻¹ (**Table 3**, entries 1 and 4 and **Fig. S27**). Decrease in catalytic activity with increase in catalyst loading may be associated with the aggregation of the pre-catalysts^{20, 33-34} which have the net effect of reducing the number of active species. This agrees with the literature reports by Rupesh *et al* where an increase in catalyst/substrate ratio from 1:400 to 1:1600 resulted in drop in catalytic activities from 90% to 46% within 3 h for Ru(II) carbonyl benzoylhydrazone complex.³⁵ On the other hand, lower catalytic activities observed at lower catalyst loadings of 0.14 mmol% pointed to possible insufficient amount of the active species, thus rendering 0.30 mmol% as the optimum catalyst loading (**Fig. S27**). The impact of the nature of the base on the ATH of acetophenone was also studied by using 'BuOK, KOH, NaOH, and Na₂CO₃ (**Table 3**, entries 5-8). The highest catalytic activity was observed in 'BuOK, while the lowest catalytic activity was recorded in Na₂CO₃. This is consistent with the order of pK_b values (base strength) and stability of the bases in good agreement with literature findings.³⁶

Table 3. Effect of catalyst loading and nature of base in ATH of acetophenone using complex Ni4

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Entry		Catalyst		View Article Onlin DOI: 10.1039/C9DT00024
	Base	loading/mmol %	Conversion[%]	TOF/h ⁻¹
1	КОН	0.30	97	13.47
2	КОН	0.14	53	15.80
3	КОН	0.43	72	6.98
4	КОН	0.86	64	3.10
5	КОН	1.14	60	2.19
6	NaOH	0.30	96	13.33
7	^t BuOK	0.30	99	13.75
8	Na ₂ CO ₃	0.30	66	9.16

^aConditions: acetophenone, 2.00 mmol; catalyst, 0.0057 mmol (0.30 mmol%); 100 mmol% of base in 2-propanol (5.0 mL), time, 24 h; temperature, 82 °C. ^bDetermined by ¹H NMR spectroscopy; ^cTurn over frequency (TOF) = (mmol of substrate)/(mmol of catalyst)/time.

2.4.4. Variation of ketones substrates

Having established the optimum reactions conditions for the TH reactions, we turned our attention to the scope of ketone substrates that can be reduced by nickel complex **Ni4** (**Table 4**). In general, acetophenone derivatives bearing electron donating or withdrawing groups appeared to exhibit lower reactivities (**Tables 4**, entries 1-4). For example, 2-methylacetophenone and 2-chloroacetophenone displayed conversions of 87% and 91% compared to 98% respectively reported for acetophenone (**Table 4**, entries 1-3). This trend contradicts previous literature findings for Ni-N^P^O and Fe(II) (NH)₂P₂ catalysts where higher reactivities (>95%) were observed for acetophenone (81%).^{19, 37-38} The observed trend could be attributed to steric effects, since the substituents are at the *ortho* position, which is close to the carbonyl centre. The argument is supported by the lower reactivities observed for the more sterically demanding 2-aminophenyl acetophenone and cyclohexyl acetophenone of 80% and 86% respectively (**Table 4**, entries 1, 5 and 6). Indeed, the less sterically hindered 1-

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(naththalen-2-yl) ethan-1-one recorded relatively higher percentage conversion 1003939700024k conversions (Table 4, entry 8). These results compare well with those reported by Ikariya *et al.* using oxo-tethered Ru(II) complexes where sterically hindered benzophenone, gave lower catalytic activities >59 % compared to conversions of >99% for the unsubstituted benzophenones. We also studied *para*-substituted acetophenones using 4-methylacetophenone and 4-chloroacetophenone substrates (Table 4, entries 4 and 5). Interestingly, lower reactivities of 57% and 87% were recorded for 4-methylacetophenone and 4-chloroacetophenone respectively, a phenomenon that can be largely attributed to electronic effects. Heterocyclic aromatic ketones also exhibited lower conversions. For example, conversions of 56% and 49% were recorded for the pyrazine and pyrrole derivatives respectively (**Table 4**, entries 1 vs 11-13) in line with irreversible coordination of the heteroatoms to the metal center.³⁹ ¹⁴ Interestingly, there was no significant drop in catalytic activity for the aliphatic 1-cylcohexyl ethanone ketone (93%) (**Table 4**, entry 10).

Table 4. Effect of substrate scope variation on the TH reactions catalyzed by complex Ni4

Enter	Substrate	Conversion[%]	TOF/h ⁻¹

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1		98	13.68 View Article Online DOI: 10.1039/C9DT00024K
2		87	12.08
3		91	12.63
4		57	7.97
5	, L , J , Q	87	12.08
5		07	12.00
6	CI O	92	12.77
7	ОН	86	11.94
8	NH ₂	93	12.91
0		75	12.71
9		80	11.10
10	Ļ_	63	8.74
11	, L	89	12.35
10		- 1	
12		56	7.77
13	N N	49	6.80

^aConditions: acetophenone,2.00 mmol;0.0057 mmol (0.3 mmol%); base, ^{*t*}ButOK(100 mmol%) in 2-propanol (5.0 ml); time, 24 h, temperature, 82 °C. ^b Determined by ¹H NMR spectroscopy. ^c Turn over frequency (TOF) = (mmol of substrate)/(mmol of catalyst)/(h).

2.5 Determination of the nature of the active species

In modern catalytic practises, determination of the true nature of the active species is a ubiquitous exercise and regarded as a bare minimum. In distinguishing between homogeneous

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and heterogeneous catalysts, a number of experiments such a catalyst poisoning tests and to come identification of nanoparticle formation are employed.⁴⁰⁻⁴³ We thus employed substoichiometric and mercury poisoning experiments to determine the true active intermediate.^{44-⁴⁶ Using 20% (mol. equivalent to **Ni4** complex) of tricyclohexyl phosphine (PCy₃), triphenylphosphine (PPh₃), and carbon disulfide (CS₂), we observed appreciable reduction in conversions of complex **Ni4** from 85% to about 65%, 60% and 45% respectively (**Fig. 5**). This diminished catalytic activity upon addition of 20% of the poisoning ligands provided points to a possible existence of catalytic active Ni(0) nanoparticles, i.e heterogeneity of the system. However, the significant percentage conversions retained in the presence of the poisons of 45% - 65% (representing about 50% to 75% of the original catalytic activity) is a strong indicator of largely homogeneous species.⁴¹ Indeed, the observation of catalytic activities (conversions of 55%) even upon addition of 100% mol equivalent of PPh₃ (**Fig. S29**) corroborates this assertion.}

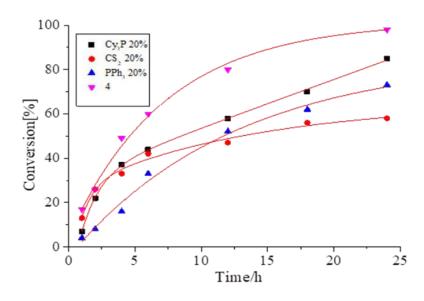


Fig. 5. Kinetics of the deactivation profiles of catalyst **Ni4** using 20% (mol equivalent to the **Ni4** catalyst) phosphine ligands PCy₃, PPh₃ and CS₂.

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To gain further insight in the nature of the active species of complex Ni4₆ we consider concerned out mercury poisoning tests using 3, 5 and 10 drops at the beginning of the reaction (after pregeneration of the active species) and at about 50% conversion (Fig. 6 and Fig. S29). Both experiments were run for 24 h and compared to the control experiments without mercury (Fig. 6).^{40, 47-49} Lower conversions of about 60% (initial addition) and 66% (50% addition) were observed in comparison to conversions of 98% reported in the absence of mercury. The partial reduction in catalytic activity of complex Ni4 points to the presence of both homogeneous and Ni(0) nanoparticles as the active species, in good agreement with the sub-stoichiometric data.^{41, 50} From the the poisoning data and smooth kinetics curves (Figs. S25 and S26), we can conclude that active species are largely homogenous in nature but accompanied by the formation of catalytic active Ni(0) nanoparticles during the course of the reaction.⁴¹

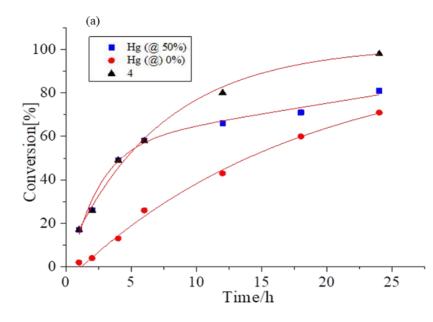


Fig. 6. Kinetic plots showing deactivation profiles of complex **Ni4** with excess mercury. The deactivation experiment was carried out using 5 drops of Hg at the beginning and at 50% percentage conversions and compared with the standard kinetic profiles.

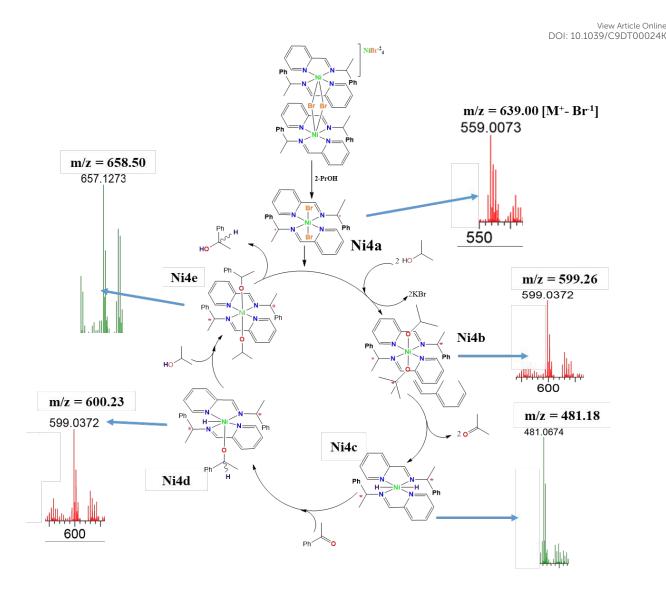
2.6 Proposed mechanism of asymmetric transfer hydrogenation of ketones catalyzed by complex **Ni4**

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Having established the homogeneity of the active species of complexes Nil Nil William Article Online therefore employed low resolution mass spectrometry to support the plausible mechanism for the ATH reactions of complex Ni4 (Fig. S28) as given in Scheme 4 as previously reported in literature.⁵¹⁻⁵² This was accomplished by withdrawing aliquots from the reaction mixture at regular time intervals and subjecting the sample ESI-MS analyses. From the data obtained, the ATH mechanism is proposed to begin with the homolytic cleavage of the dinuclear complex Ni4 in iPrOH to give the mononuclear species (4a) as detected from mass spectrum (m/z =559.06 amu). This structural rearrangement may explain the relatively longer induction profiles observed in the kinetic plots (Fig. S28) and absence of molecular mass of the dinuclear complex Ni4 in the spectrum (Fig. S28). The formation of the mononuclear agua Ni3a complex also supports this transformation. Coordination of iPrOK to 4a via substitution of the bromides to afford the Ni(L4)₂-(iPrO)₂ adduct (4b) was deduced from the m/z signal at 599 amu. Generation of the dihydride intermediate (4c), believed to be the active species followed by elimination of the acetone molecule could be hypothesized from the presence of the signal at m/z = 481 amu. Subsequent coordination of acetophenone substrate to form adduct (4d) prior to hydride migration to give the expected 1-phenyl ethanol product is evident from the signal at m/z =657.14 amu corresponding to (4e). Elimination of the product from the metal coordination sphere is believed to complete the catalytic cycles leading to re-generation of the solvated complex (4b).

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Scheme 4. Proposed mechanism of asymmetric transfer hydrogenation of ketones catalyzed by complex Ni4 based on intermediates as identified from low resolution mass spectrometry.

Conclusions

In summary, chiral (pyridyl)imine Ni(II) complexes have been synthesized and structurally characterized using IR spectroscopy, mass spectrometry, single crystal X-ray crystallography and electron paramagnetic resonance. The complexes demonstrate some unprecedented diverse coordination chemistry controlled largely by the lability of the coordinated halides. The presence of two ligand units per Ni(II) atom in the dinuclear core

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is unusual and highlight the less steric demands of the ligands. All the complexes showed watted contine moderate catalytic activities in the asymmetric transfer hydrogenation of ketones though with low enantioselectivity. The catalytic activities of the complexes were influenced by the ligand motif and nature of the base employed. The reactivities of the ketone substrates are controlled by both steric parameters and electronic factors. Kinetics data, substoichiometric and mercury poisoning tests point to the homogeneity of the complexes with the formation of Ni(0) nanocluster particles. From low resolution mass spectrometry analyses of the reaction intermediates, a dihydride mechanism can be proposed.

3. Experimental sections

3.1 Materials and instrumentation

All synthetic manipulations especially reactions involving air and moisture sensitive materials were performed under nitrogen atmosphere using standard Schlenk techniques in a vacuum line. All solvents were of analytical grade. Toluene, hexane, dichloromethane (CH₂Cl₂), isopropanol (iPrOH), diethyl ether, ethyl acetate and ethanol were purified by distillation and dried over sodium/ benzophenone, CaCl₂ and molecular sieves prior to use. Starting materials: Nickel(II) bromide ethylene glycol dimethyl ether [NiBr₂(DME)] (\geq : 99.99%); Nickel Chloride (NiCl₂) (\geq 99.99%), The ligands (*S*-)-1-phenyl-N-(pyridine-2-yl) ethylidene) ethanamine (**L1**), (*R*-)-1-phenyl-*N*-(pyridine-2-yl) ethylidine) ethanamine (**L2**), (S)-1-phenyl-N-(pyridine-2-yl methylene) ethanamine (**L4**) were synthesised by following literature procedure.⁵³ 2acetylpyridine (\geq 99.99%), (\geq 99.9%)2-propanol (iPrOH), acetophenone (\geq 99.99%), 2-methyl acetophenone (\geq 99.99%), 2-methyl acetophenone (\geq 99.99%), 2-methyl ethanomic (\geq 99.99%), 2-methyl acetophenone (\geq 99.99%), 1-cyclohexyl-2-enyl) ethanom (\geq 99.99%), cyclohexyl(phenyl)

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methanone (\geq 99.99%), 1-naphthalen-3-yl) ethanone, (2- and aminophenyl) methanone, and (S-) 1-phenyl ethanol (\geq 99.99%), tricyclohexylphosphine (\geq 99.99%), triphenylphosphine (PPh₃) (\geq 99.99%), mercury (Hg), and Carbon disulfide (CS₂) (\geq 99.99%) were purchased from Sigma-Aldrich., and NMR solvents (deuterated chloroform, CDCl₃) were purchased from Sigma-Aldrich and used as received without further purification.

¹H and ¹³C NMR spectra were recorded on a Bruker Ultrashield 400 (¹H NMR 400MHz, ¹³C NMR 100 MHz) spectrometer in CDCl₃ solution at room temperature and chemical shifts (δ) were determined relative to internal TMS and recorded in ppm relative to CHCl₃ δ^{1}_{H} : 7.26 ppm and δ^{13} C: 77.6 ppm and DMSO-d₆ δ^{1} H; 2.50 ppm. Coupling constants (J) are reported in Hertz (Hz) and splitting patterns are indicated as s (singlet), d (doublet), dd (doublet of doublet), t (triplet), and m (multiplet). Elemental analyses were performed on Thermal Scientific Flash 2000, and ESI mass spectra were recorded on an LC premier micromass spectrometer. The infra-red spectra were recorded on a PerkinElmer spectrum 100 in the 4000 - 650 cm⁻¹ range. EPR measurements were performed in the optical ERP cavity (ER 41040R, Bruker, Germany) employing a customly designed flat EPR cell equipped with a laminated Pt-mesh working electrode, Pt-wire as a counter electrode, and Agwire as a pseudoreference electrode. The measurements were performed at X-band using a Bruker EPR spectrometer. The asymmetric transfer hydrogenation reaction of ketone was carried out in a two-necked round bottom flask fitted with a reflux condenser under nitrogen gas. GC analyses for enantiomeric excess were performed under the following chromatography conditions: Varian CP-3800, ga 265/ 51nm/ pst2, 5µl capillary 30m x 0.250 x 0.25 µm. Nitrogen carrier column gas 5psi, injector temperature 250 °C, oven programmed at isotherm 120 °C, 150:1 split ratio, flow rate = 1.5, velocity = 38 cm/sec, 1uL sample and mobile phase (N₂) gas, internal standard: decane.

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3.2. Synthesis and characterization of Ni(II) complexes

3.2.1. $[Ni_2(L1)_4 - \mu - Br_2] [NiBr_4] (Ni1)$

To a solution of [NiBr₂(DME)] (0.20 g, 0.65 mmol) in CH₂Cl₂ (15 mL), was added to solution of ligand (L1) (0.30 g, 1.30 mmol) in CH₂Cl₂ (15 mL) and the reaction was stirred at room temperature for 24 h to give a pink solution. After the specified reaction period, the resulting solution was concentrated under reduced pressure followed by addition hexane (20 ml) to precipitate crude product. The crude product was washed then with hexane (15 mL) and dried under vacuum to afford complex 1 as a green powder. Yield = 0.41 g, (50%). ESI-MS: m/z (%) 587 [1/2M – Br, 45%]⁺, 362 [1/2M – (L1+Br), 100%]⁺. FT-IR (cm⁻¹): ($v_{C=N}$)_{imine} = 1649, μ_{eff} = 3.97 BM. Anal. Calc. for C₆₀H₆₄N₈Ni₃Br₆: C, 46.28; H, 4.15; N, 7.22 Found: C, 46.29; H, 4.18; N, 7.26.

Complexes Ni2- Ni5 were synthesised following the protocol described for Ni1.

3.2.2. $Ni_2(L2)_4 - \mu - Br_2$ [NiBr₄] (Ni2)

[NiBr₂(DME)] (0.20 g, 0.65 mmol) in CH₂Cl₂ (15 mL) and L2 (0.30 g, 1.30 mmol) in CH₂Cl₂ (15 mL) to afford green powder. Yield = 0.48 g (58%). ESI-MS: m/z (%) 587 [1/2M – Br, 100%]⁺, 362 [1/2M – (L1+Br), 60%]⁺. FT-IR (cm⁻¹): (v_{C=N})_{imine} = 1639, μ_{eff} = 3.76 BM. Anal. Calc. for C₆₀H₆₄N₈Ni₃Br₆. C, 46.41; H, 4.15; N, 7.22 Found: C, 46.46; H, 4.20; N, 7.24.

3.2.2. $[Ni_2(L3)_4 - \mu - Br_2] [Br_2] (Ni3)$

[NiBr₂(DME)] (0.10 g, 0.33 mmol) in CH₂Cl₂ (15 mL) and L3 (0.14 g, 0.65 mmol) in CH₂Cl₂ (15 mL) to afford lemon green powder. Yield = 0.43g (52%). ESI-MS: m/z (%) 559 [1/2M – Br, 100%]⁺, FT-IR (cm⁻¹): (v_{C=N})_{imine} = 1650, (v_{C=N})_{py} = 3291. EPR (CH₂Cl₂, liquid N₂

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temperature): g = 2.2836. μ_{eff} = 3.52 BM. Recrystallization from a mixture of CH₂C₁. here the continue of CH₂C₁ is the statistic continue of the continue of the

3.2.4. $[Ni_2(L4)_4 - \mu - Br_2] [NiBr_4]$ (Ni4)

[NiBr₂(DME)] (0.20 g, 0.65 mmol) in CH₂Cl₂ (10 mL) and L4 (0.28 g, 1.30 mmol) in CH₂Cl₂ (10 mL) to afford lemon green powder. Yield = 0.51g (56 %). ESI-MS: *m/z* (%) 559 [1/2M – Br, 100%]⁺. FT-IR (cm⁻¹): $(v_{C=N})_{imine} = 1641$, $(v_{C=N})_{py} = 3388$. EPR (CH₂Cl₂, liquid N₂ temperature): g = 2.28. $\mu_{eff} = 3.66$ BM. Recrystallization from a mixture of CH₂Cl₂: hexane solution afforded single crystals suitable for single crystal x-ray crystallography. Anal. Calc. for Anal. Calc. for C₅₆H₅₆N₈Ni₃Br₆: C, 44.94; H, 3.77; N, 7.49. Found: C, 44.81; H, 3.91; N, 7.65.

3.2.5. [Ni(L3)₂Cl₂] (Ni5)

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NiCl2 (0.20 g, 1.5 mmol) in CH₂Cl₂ (10 mL) and L4 (0.63 g, 3.00 mmol) in CH₂Cl₂ (10 mL) to afford a lemon green powder. Yield = 0.51g (58 %). ESI-MS: m/z (%) 483 [M –2Cl, 100%]⁺. FT-IR (cm⁻¹): (v_{C=N})_{imine} = 1631, EPR (CH₃N, liquid N₂ temperature): g = 2.2. μ_{eff} = 3.62 BM. Recrystallization from a mixture of CH₃CN: (CH₃CH₃)₂O solution afforded single crystals suitable for single crystal x-ray crystallography. Anal. Calc. for Anal. Calc. for

C₂₈H₂₈N₄NiCl₂: C, 61.13; H, 5.13; N, 10.18. Found: C, 61.14; H, 5.21; N, 10.15.

3.3. Single crystal X-ray crystallography

Single crystal X-ray diffraction data collection and structure refinement for Ni3, Ni4 and Ni5 were recorded on a Bruker Apex Duo equipped with an Oxford Instrument Cryo jet operating at 100(2) K and an uncoated micro source operating at 30 W power. The data were collected

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with Mo K α ($\lambda = 0.71073$ (Å)) radiation at a crystal-to-detector distance of 50 mm $_{co}$ The detector of the data collection: omega and phi scans with exposures taken at 30 W X-ray power and 0.50 frame widths using APEX2.⁵⁴ The data were reduced with the programme SAINT⁵⁵ using outlier rejection, scan speed scaling, as well as standard Lorentz and polarisation correction factors. A SADABS semi-empirical multi-scan absorption correction was applied to the data. Direct methods, SHELXS-2014 and WinGX were used to solve both structures. All non-hydrogen atoms were located in the difference density map and refined anisotropically with SHELX-2014.⁵⁶ All hydrogen atoms were included as idealized contributors in the least squares process. Their positions were calculated using a standard riding model with C-H_{aromatic} distances of 0.93 Å and U_{iso} = 1.2Ueq and CH_{methylene} distances of 0.99 Å and U_{iso} =1.2 U_{eq} and C-H_{methyl} distances of 0.98 Å and U_{iso} =1.5 U_{eq}.

3.4. General procedure for asymmetric transfer hydrogenation of ketones

For catalytic asymmetric transfer hydrogenation of ketones, a typical procedure was as followed. A solution of 5 mL of KOH (100 mmol %) in iPrOH and catalysts **Ni1-Ni5** (0.3.0 mmol %) were mixed and stirred at 82 °C for 30 min, 2.0 mmol of ketone was introduced in drop wise into the reaction mixture. During the process of reaction, about 0.5 mL of the mixture was sampled at regular time intervals, cooled, and percentage conversion of acetophenone to 1-phenyl ethanol was analysed using ¹H NMR spectroscopy by comparing the intensity of methyl signals of acetophenone (s, δ 2.59 ppm) and 1-phenyl ethanol (d, δ 1.49 ppm) of the crude products. In addition, GC was used as a standard to validate the results and also to determine the enantiomeric excess (*ee* %). Kinetic data were analysed using 64-bit Origin Pro 9.1. A standard nonlinear first order monomolecular exponential growth model, $y = e^{a(1 - e(k(x-xc)))}$, where a = amplitude, x = time, $x_c =$ centre, and k = rate, was used to fit the kinetic data for **Ni1–Ni5**.

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3.5. Mercury poisoning tests

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In a typical experiment, to a solution of the pre-activated catalyst system (complex Ni4, 0.3% mmol, in 5 mL of KOH,100 mmol%; in iPrOH 5 mL), acetophenone (2.0 mmol) and the respective amounts of Hg (3, 5 and 10 drops) were added and allowed to reflux at 82 °C for 24 h. During the reaction, about 0.5 mL of the reacting mixture was sampled at regular time intervals, cooled and analysed for percentage conversion. In the second test, 3 drops of Hg were added at 50% conversion (time, t = 4.5 h and 8 h respectively) and allowed to proceed for 24 h during which samples were withdrawn at regular time intervals and analysed for percentage conversion.

3.6. Sub-stoichiometric deactivation studies

To a solution of pre-activated catalyst system (complex Ni4, 0.3% mmol, in 5 mL of KOH,100 mmol%; in iPrOH 5 mL), acetophenone (2.0 mmol) and 20% mol equivalent of the appropriate poisoning agent, carbon disulphide (CS₂), triphenylphosphine (PPh₃), and tricyclohexyl phosphine (PCy)₃ was added and allowed to reflux at 82 °C for 24 h. During thi period, samples were withdrawn at regular time intervals, cooled and analysed as discussed in section 3.4.

3.7. Mechanistic studies of ATH of ketones

Mechanistic studies of the transfer hydrogenation of acetophenone catalyzed by nickel complexes were performed using low resolution ESI-MS technique. Generally, a solution of the nickel complex (0.3 mol% Ni1-Ni5) in iPrOH were mixed and stirred at 82 °C for 30 min followed by drop-wise addition of acetophenone (2.0 mmol) in iPrOH (5 mL) into the reaction mixture and stirred for 24 h. During the course the reaction, about 0.1 mL of the mixture was sampled at regular time intervals, cooled to about 0 °C and analysed using ESI-MS technique to identify the respective mass fragments of the intermediates in the catalytic cycle.

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