

PII: S0957-4166(97)00430-8

Kinetic resolution of racemic halohydrins, precursors of optically active di- and trialkyl-substituted epoxides, with lipase from *Pseudomonas* sp.

Waldemar Adam,* Lluís Blancafort and Chantu R. Saha-Möller Institute of Organic Chemistry, University of Würzburg, Am Hubland, D-97074 Würzburg, Germany

Abstract: Asymmetric acetylation of racemic halohydrins with vinyl acetate catalyzed by lipase from *Pseudomonas* sp. afforded the optically active β -halo alcohols 1 and acetates 2 in high enantiomeric excess (68 to >98%). The enzymatic kinetic resolution was performed on the preparative scale and the halo alcohols 1 and acetates 2 led to the optically active epoxides 3 after base treatment. © 1997 Elsevier Science Ltd

The synthesis of optically active halohydrins is of importance since such functionalities are convenient precursors to epoxides, which are common building blocks in the asymmetric synthesis of natural products.¹ Optically active halohydrins are accessible by two enzymatic methods, *e.g.* the reduction of α -halo ketones² and the acetylation of halohydrins.³ Of the latter, only the lipase-mediated resolution of indene bromohydrin⁴ and of 1-halo-2-hydroxy-substituted substrates³ have been reported, which lead specifically to monosubstituted optically active epoxides. It was, therefore, our goal to apply the latter enzymatic kinetic resolution on halohydrins derived from unfunctionalized diand trisubstituted alkenes, which should lead to the respective optically active epoxides (Scheme 1). Furthermore, it was of interest to compare the reactivity of chloro- and bromohydrins, as it was expected that the latter should be more enantioselective in view of steric considerations.



Scheme 1.

The enzyme-catalyzed kinetic resolution of the bromohydrins 1a-c and the chlorohydrins 1d,e, which were prepared by standard methods (hydrobromination of the olefins with NBS/water⁵ or opening of the epoxides with halogen acid⁶), was achieved with the lipase from *Pseudomonas* sp. (CHIRAZYME[®] L-6, Boehringer Mannheim) and vinyl acetate as acylating agent. Except for chlorohydrin 1e, the kinetic resolutions were carried out on the preparative scale (0.5–6.0 mmol) to isolate the optically active halohydrins 1 and acetates 2. The results are presented in Table 1. For full characterization (¹H and ¹³C NMR and IR spectral data and elemental analyses), the racemic acetates **2a–d** were prepared by standard acetylation of the alcohols with acetic anhydride and triethylamine in dichloromethane with *p*-dimethylaminopyridine (DMAP) as catalyst.

To determine the absolute configuration of the compounds, the optically active products were treated with potassium *tert*-butoxide in *n*-octanol^{3a,7} and the mixture distilled to obtain the optically active

^{*} Corresponding author. Email: Adam@chemie.uni-wverzburg.de

	$HO = \begin{pmatrix} R^1 \\ R^2 \\ R \\ (\pm)1 \end{pmatrix} = \begin{pmatrix} R^2 \\ R^2 \\ R \\ (\pm)1 \end{pmatrix} = \begin{pmatrix} R^1 \\ R^2 \\ R^2 \\ R \\ (\pm)1 \end{pmatrix} = \begin{pmatrix} R^1 \\ R^2 \\ $	lipa vinyl a MeOtI	ise, <u>acetate</u> Bu, RT	\rightarrow HO HO HO HO R R 1	$\mathbf{k}^{\mathbf{R}^{1}}$	A † I	cO I R 2	$\mathbf{x}^{\mathbf{R}^{1}}_{\mathbf{x}}$			
substrate	cond [mg/mmol]	litions ^a [equiv.]	t [h]	conv. [%] ^b	yield 1	1 [%] 2	e.e. 1	[%] ^c 2	Eq	[0 1	¹]D ^e 2
$\begin{array}{c} HO \\ Me \\ H \\ H \\ 1a \end{array} \begin{array}{c} HO \\ H \\ H \\ 1a \end{array}$	27	6	30	47	46	30	84	94	98	+27.7	+6.3
$ \begin{array}{c} HO \\ HO \\ H \\ H \\ H \\ Ib \end{array} \begin{array}{c} H \\ Br \\ Br \\ Br \end{array} $	74	23	123	50	34	37	86	86	37	+16.4	+1.7
HO Me Me Me H Br 1 c	51	19	128	51	21	32	>98	95	180	f	+1.4
HO Me iPr H Cl 1d	68	8	66	48	35	34	66	72	12	-16.5	-16.8
HO Me Me Me H Cl 1e	33	3	40	26	1	g	33	94	44	h	1

Table 1. Reaction conditions and product data for the kinetic resolution of halohydrins 1 with lipase from Pseudomonas sp.

*Reaction conditions: mg lipase/mmol substrate, equivalents of vinyl acetate and reaction time [h].*Calculated from the e.e. values of substrate and product. Determined by GC analysis on a chiral β-cyclodextrin column. dSee Ref. 10. Values for the enantiomerically pure compound. Exact value not determined due to decomposition of the sample, see Ref. 8b for $[\alpha]_D$ value. *Carried out on the analytical scale (0.15 mmol substrate) to compare its enantioselectivity with that of bromohydrin 1c. hSee Ref. 8b for $[\alpha]_D$ value.

epoxides 3 (Table 2). The absolute configurations were assigned by comparison of the sign of the specific rotation with those described for the authentic or structurally related compounds.^{2,8}

As has been previously observed,⁹ the enzymatic acetylation occurs preferentially for the enantiomers of 1a-c with the R configuration at the alcohol site, while for chlorohydrin 1d the S enantiomer is preferentially acylated. This apparent contradiction may be rationalized if one accepts that the chloroethyl substituent is smaller than the isopropyl group and, consequently, the preferentially acylated S enantiomer of 1d corresponds sterically to the R enantiomer of the substrates 1a-c (Figure 1). With this steric control of the reactivity, the introduction of a bromo substituent in place of a chloro one substantially improves the enantioselectivity of the kinetic resolution, as can be seen by comparison of the E values (Table 1) for 1c with 1e. These data demonstrate that the bromohydrins are advantageous for the enzymatic kinetic resolution of such precursors of optically active, unfunctionalized epoxides.

	$\begin{array}{c} YO R^1 \\ H \xrightarrow{*} R^2 \\ R X \end{array}$	n-Octanol, 60 °C	$\rightarrow \qquad \overset{H}{\underset{R}{\overset{O}{}{}{}{}{}{}{\overset$	
1	(Y=H) or 2 (Y=Ac)	config.ª	epoxide 3 ^b	config.
HO H $\frac{1}{2}$ R	(+) 1a (R= <i>n</i> Pr)	2 <i>S</i> ,3 <i>R</i> ^c	Me H (+)3a	25,35d
Me ⁻⁷ /3\ H Br	(+) 1b (R = <i>n</i> C ₅ H ₁₁)	2S,3R¢	$H^{2} 2^{3} R (+)3b$	2 <i>S</i> ,3 <i>S</i> ¹
AcO R $\sqrt{2}$ L H	(+)2a (R= <i>n</i> Pr)	2R,3Sg	H R (-)3a	2 R, 3 R 4
H 3	(+)2b (R=nC ₅ H ₁₁)	2 R ,3S ^h	Me ² ³ H (-)3b	2 R, 3R ^f
$\frac{Me}{HO} = \frac{Br}{Me}$ $\frac{2}{3} = \frac{Me}{3}$ $\frac{1}{3} = \frac{Br}{Br}$	(+) 1c	2 <i>S</i> ⁱ	$\frac{Me}{H} \xrightarrow{0}_{3} \xrightarrow{0} \frac{Me}{Me} $ (-)3c	3 <i>S</i> i
AcO Me 2^{1} Me H 3 Me	(+)2c	2 <i>R</i> ^k	$\frac{H}{Me} \xrightarrow{0}_{3-2} \frac{Me}{Me} (+)3c$	3 R i
HO H $3 \frac{1}{2}$ Me H ⁻ 2	(-) 1d	2R, 3 <i>R</i> ¹	$\frac{H}{iPr} \xrightarrow{O}_{3-2} \xrightarrow{H}_{Me} (-)3d$	2 <i>S</i> ,3 <i>R</i> ^m
AcO Me ³ H ¹ Pr 2 H Cl	(-) 2d	28, 3 <i>S</i> ⁱ	H^{iPr} H^{O} H^{Me} $H^{(+)3d}$	2 <i>R</i> ,3 <i>S</i> ⁿ

Table 2. Absolute configurations of the optically active halohydrins 1, halo acetates 2 and epoxides 3

^aConfiguration at the oxy-substituted carbon atom given in bold. ^bYields 30 - 50%. ^cInferred by analogy with 1b. ^dInferred by analogy with 3b, $[\alpha]_D = +7.6$. ^cSee Ref. 2a. ^fSee Refs. 2a,8a. ^gInferred from (-)3a. ^hInferred from (-)3b. ⁱSee Ref. 8b. ^kInferred from (+)3c. ⁱInferred from 3d. ^m[α]_D = -6.3; configuration determined after reduction to (-)-3S-2-methylpentan-3-ol with NaBH₄/BF₃ in THF (yield 68%, $[\alpha]_D = -13.0$, see. Ref. 8d). ⁿ[α]_D = +6.3.



Figure 1. Preferentially acylated enantiomers.

General procedure for the lipase-catalyzed transesterification

Vinyl acetate (3 to 23 equiv.) and lipase powder (27 to 74 mg/mmol of substrate) from *Pseudomonas* sp. (CHIRAZYME[®] L-6 from Boehringer Mannheim) were added to the solution (approx. 0.1 M) of the racemic halohydrin 1 in *tert*-butyl methyl ether. The mixture was vigorously stirred at room temperature (ca. 20°C) and the conversion was monitored by GC analysis on a chiral β -cyclodextrin column. After the appropriate time (approx. 50% conversion, 30–128 h), the enzyme was removed by filtration and the solvent evaporated under reduced pressure (35°C, 100 Torr). Silica-gel chromatography afforded the optically active alcohol and acetate in 53–76% yield.

Acknowledgements

This work was supported by the Deutsche Forschungsgemeinschaft (SFB 347), the Bayerische Forschungsstiftung (Bayerischer Forschungsverband Katalyse-FORKAT) and the Fonds der Chemischen Industrie. We are grateful for generous gift samples of the enzyme from Boehringer Mannheim.

References

- 1. Jacobsen, E. N. in *Catalytic Asymmetric Synthesis*; Ojima, I. (Ed.): VCH: New York, 1993, 159-203.
- 2. (a) Besse, P.; Veschambre, H. Tetrahedron: Asymmetry 1993, 4, 1271-1285. (b) Tsuboi, S.; Yamafuji, N.; Utaka, M. Tetrahedron: Asymmetry 1997, 8, 375-379.
- 3. (a) Chadha, A.; Goergens, U.; Schneider, M. P. Tetrahedron: Asymmetry 1993, 4, 1449-1450. (b) Rotticci, D.; Orrenius, C.; Hult, K.; Norin, T. Tetrahedron: Asymmetry 1997, 8, 359-362.
- 4. Igarishi, Y.; Otsutomo, S.; Harada, M.; Nakano, S.; Watanabe, S. Synthesis 1997, 549-552.
- Franzen, V.; Kropf, H. in Methoden der Organischen Chemie (Houben-Weyl), Vol. 6/1a/1, Kropf. E. (Ed.): Georg Thieme Verlag, Stuttgart, 1979, 582.
- 6. (a) Roedig, A. in Methoden der Organischen Chemie (Houben-Weyl), Vol. 5/4, Müller, E. (Ed.): Georg Thieme Verlag, Stuttgart, 1979, 400. (b) Bodot, H.; Braun, J.-A.; Fedière, J. Bull. Soc. Chim. France 1968, 3253-3259.
- (a) Ellis, M. E.; Golding, B. T. Org. Synth. 1985, 63, 140. (b) Goergens, U.; Schneider, M. P. J. Chem. Soc., Chem. Commun. 1991, 1064–1066.
- (a) Curci, R.; D'Accolti, L.; Fiorentino, M.; Rosa, A. Tetrahedron Letters 1995, 36, 5831-5834.
 (b) Gerdil, R.; Barchietto, R. Tetrahedron Letters 1989, 30, 6677-6678. (c) Gedanken, A.; Schurig, V. J. Phys. Chem. 1987, 91, 1324-1327. (d) Seebach, D.; Beck, A. K.; Schmidt, B.; Wang, Y. M. Tetrahedron 1994, 50, 4363-4384.
- Cygler, M.; Grochulski, P.; Kazlauskas, R. J.; Schrag, J. D.; Bouthillier, F.; Rubin, B.; Serrequi, A. N.; Gupta, A. K. J. Am. Chem. Soc. 1994, 116, 380-3186.
- 10. Chen, C. S.; Fujimoto, Y.; Girdaukas, G.; Sih, C. J. J. Am. Chem. Soc. 1982, 104, 7294-7299.

(Received in UK 31 July 1997; accepted 10 September 1997)