Inorganic Chemistry

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Enantioenriched Ruthenium-Tris-Bipyridine Complexes Bearing One Helical Bipyridine Ligand: Access to Fused Multihelicenic Systems and Chiroptical Redox Switches

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Cite This: https://doi.org/10.1021/acs.inorgchem.1c01379



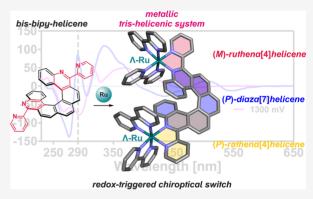
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ABSTRACT: The synthesis and photophysical and chiroptical properties of novel aza[n]helicenes (6a-d, 10a,b, n=4-7) substituted with one or two 2-pyridyl groups are described. The preparation was performed via an adapted Mallory reaction using aromatic imines as precursors. The obtained novel class of helical 2,2'-bipyridine ligands was then coordinated to Ru(bipy) $_2^{2+}$ units, thus affording the first diastereomerically and enantiomerically pure $[RuL(bipy)_2]^{2+}$ (11a,c, L=6a,c) or $[Ru_2L'(bipy)_4]^{4+}$ (12, L'=10b) complexes. The topology and stereochemistry of these novel metal-based helical architectures were studied in detail, notably using X-ray crystallography. Interestingly, the coordination to ruthenium(II) enabled the preparation of fused multihelical systems incorporating aza- and ruthena-helicenes within the same scaffold. The photophysical, chiroptical, and redox properties of



these complexes were examined in detail, and efficient redox-triggered chiroptical switching activity was evidenced.

■ INTRODUCTION

Helicenes are a unique class of polyaromatic compounds formed by *ortho*-fused aromatic rings that adopt an inherently chiral helical structure. Generally, the enantiomers are conformationally stable and separable by chiral HPLC, if the number of aromatic rings is ≥ 6 or if the "bay positions" are substituted with bulky substituents in [5]- or [4]helicenes. The extended conjugation over the aromatic rings, together with helical chirality, gives rise to unique chiroptical properties such as high molar rotation, strong electronic circular dichroism (ECD), and circularly polarized luminescence (CPL).

The introduction of heteroatoms such as nitrogen within the helical scaffold gives access to azahelicene derivatives with tuned electronic and optical properties. This simple modification is sufficient to trigger exceptional properties such as improved charge transport and enhanced photophysical and chiroptical properties. Azahelicenes are thus appealing in diverse applications such as chiral dopants in organic light-emitting diodes (OLEDs),⁴ chiral charge transporters in organic field-effect transistors (OFETs),⁵ and spin filters for spintronics.⁶ Moreover, azahelicenes can be applied as efficient chiral ligands in coordination chemistry.^{3b,7} In the past decade, we and others have focused on the development of new helical architectures bearing bidentate or tridentate coordinating moieties that, upon coordination to a variety of metal ions, lead to the formation of unique structures with

remarkable optical and electronic properties. ^{1,3,7} Interestingly, appropriate engineering of the helicene ligand framework can generate multihelicenic systems through metal ion coordination, making this strategy an alternative to tedious, more conventional organic syntheses. ^{7c} This approach represents an effective way to obtain materials that display circularly polarized phosphorescence for application as chiroptical switches ⁸ or circularly polarized OLEDs (CP-OLEDs). ⁹ In this context, the ubiquity and versatility of bidentate 2,2′-bipyridine (bipy) in coordination chemistry ¹⁰ renders helicenes that incorporate this unit as a particularly appealing target.

A number of synthetic strategies have been reported for the preparation of helicene-bipy ligands, leading to products summarized in Figure 1. The main routes are the Stille-Kelly reaction of a dibromo derivative (to give A), 11 [2+2+2] cycloaddition of triynes (leading to B), 12 C-H activation of an N-oxide (to generate C), 13 and Mallory photocyclization of stilbenes (in the synthesis of D, E, and F). 8,14,15 The

Received: May 9, 2021



Figure 1. Examples of helicenes containing 2,2'-bipyridine units that have been reported in the literature.

coordination chemistry of mono- and bis-helicenic bipyridine ligands (**D** and **E**, respectively) and of helicene-bis-bipyridine (**F**) to Pt(II), 8 Zn(II), 14 Re(I), 15 Ru(II), 16 and Ln(III) has been studied, giving access to efficient CPL-active chiral materials, chiroptical switches, and chiral single molecular magnets (SMMs).

Among the many metal complexes of bipyridines, those of ruthenium(II) have attracted remarkable attention over the last five decades. [Ru(bipy)₃]²⁺ and its derivatives have an almost unique combination of properties that include high chemical stability, reversible oxidation and reduction, lowest-energy singlet and triplet excited states of metal-to-ligand chargetransfer (1,3MLCT) character, excited-state reactivity, and room-temperature phosphorescence with triplet excited-state lifetimes of hundreds of nanoseconds. 18 They have found applications in various branches of chemistry such as photocatalysis, electrochemistry, and electrochemiluminescence. 18,19 Nevertheless, despite the vast development of chiral 2,2'-bipyridine ligands in homogeneous catalysis, 20 there are only limited reports on [Ru(bipy)₃]²⁺ derivatives that bear centrally chiral ligands and no report of such a complex incorporating a ligand with helical chirality.

Some of the authors of the present work recently reported a novel method²¹ to prepare new [n]helicenes with different numbers of aromatic units (n = 4, 5), on the basis of a photocyclization similar to Mallory's reaction but with imines instead of olefins. Until recently, this method was still very rare,²² and the preparation of helicenes by this methodology was limited to a few examples formed in poor yields (2-10%).²³ Superior reaction efficiency has recently been achieved on corannulene-based imines.²⁴

Here, we report the synthesis of a novel family of helical ligands (L) bearing either one (6a-d) or two (10a,b) bipy units via oxidative photocyclization of aromatic imines (Figure 2). In these ligands, the bipy moiety is grafted in a position that is different from previously reported bipyridine helicenes^{8,11–15} with a 2-pyridyl pendant at position 6 of the outer groove of a 5-azahelix. This feature offers enough room for further coordination of bulky metallic units such as Ru(bipy)₂²⁺. Enantioenriched complexes of the general formula $[RuL(bipy)_2]^{2+}$ (11a,c, $\hat{L} = 6a$,c) and $[Ru_2L'(bipy)_4]^{4+}$ (12, L' = 10b) with defined stereochemistry have thereby been prepared in this work. We show that, upon coordination, unprecedented multihelicenic fused systems²⁵ incorporating Ru ions are produced. In most cases, their topology and stereochemistry are dictated by the ruthenium center itself. Furthermore, taking advantage of their redox properties and strong ECD response, these complexes were characterized as redox-triggered chiroptical switches, promising materials for possible application in molecular electronic or display technologies.2

■ RESULTS AND DISCUSSION

Synthesis of Helical Bipyridine Ligands. The efficient preparation of phenanthridines/aza[n]helicenes (n = 4, 5) bearing a pendant phenyl group *ortho* to the nitrogen atom was recently described by some of the authors through a photocyclization reaction of aromatic imines. In the current study, we extend the method to the preparation of 6-(2-pyridyl)-phenanthridine 2 (Scheme 1) and to helical polyaromatic hydrocarbons containing a bipy moiety (Figure

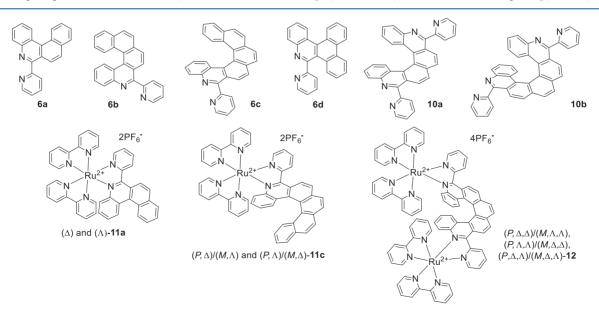


Figure 2. Targeted helical ligands incorporating bipyridine-like units (top) and their corresponding heteroleptic Ru(II) complexes with the other coordination sites occupied by two 2,2'-bipyridines.

Scheme 1. Optimized Conditions for the Exclusive Synthesis of Model Compound 2

2 and Scheme 2). The photochemical cyclization of imine 1 in the presence of ${\rm HBF_4 \cdot Et_2O}$ and TEMPO proceeded nearly exclusively at the unsubstituted phenyl ring and gave 2 in acceptable yield (Scheme 1) with almost no formation of the alternative isomer 2'. The high selectivity of the photocyclization process is due to the electron-withdrawing nature of the pyridine, enhanced by protonation under acidic conditions. The use of TEMPO protected the starting imines from an undesired reduction, while molecular sieves prevented their hydrolysis.

The synthesis of more extended aromatic systems through the photocyclization of 5b was then examined on the milligram scale and monitored by GC-MS analysis (Table 1). Using the conditions optimized for the preparation of phenanthridines (Table 1, Entry 1²¹), most of the starting material was hydrolyzed to ketone 4b, showing the instability of 5b in the acidic environment under irradiation. In the absence of acid (Table 1, Entry 2), hydrolysis of the imine was suppressed but only traces of helicene 6b were detected in the reaction mixture. Using quartz instead of Pyrex glassware led to an increased conversion of 5b to 6b, but hydrolysis to ketone 4b still prevailed (Table 1, Entry 3). The addition of $Ti(OiPr)_4$ as a water scavenger was finally found to avoid hydrolysis while promoting almost quantitative conversion of 5b to 6b (Table 1, Entry 4). The reaction on a preparative scale under the same conditions resulted in the isolation of 6b in 65% yield (Scheme

These optimized conditions were utilized for the preparation of three other helicenes incorporating a bipyridyl unit: **6a**, **6c**,

and 6d (Figure 2 and Scheme 2a). Lithiation of aryl bromides 3a-d and the reaction with 2-cyanopyridine led to ketones 4a-d in high yields. Their reaction with aniline gave imines 5a-d as mixtures of the E/Z-isomers, which were subsequently irradiated in the presence of Ti(OiPr)4 and TEMPO under an inert atmosphere. Compounds 6a,b,d with five ortho-fused benzene rings were isolated in up to 73% yield while aza[6]helicene 6c was obtained in 48% yield. To the best of our knowledge, this is the first preparation of [n] helicene-bipy by oxidative photocyclization of imines. The product structures were unambiguously confirmed by HRMS and ¹H and ¹³C NMR spectroscopy and, for 6b and 6c, X-ray crystallography (Figures 3a and S44, S45 for ORTEP drawings). Both racemic **6b** and **6c** crystallized in the $\overline{P1}$ centrosymmetric space group. 6-(2-Pyridyl)-5-aza[6]helicene 6c exhibits a helical shape with a helicity (dihedral angle between the terminal rings) of 47°. There is a mutually anti arrangement of the two N-pyridyl rings that is typical of 2,2'-bipyridines with a dihedral angle of 51.20°.

The same approach was further examined in the photocyclization of analogous diimines giving rise to 10a,b.²⁷ The requisite diketones 8a,b were obtained from dibromoarenes 7a,b by lithiation using t-BuLi and subsequent reaction with 2-cyanopyridine (Scheme 2b). Reactions of 8a,b with aniline gave diimines 9a,b. The irradiation of crude 9a,b in the presence of Ti(OiPr)4 and TEMPO led to diazahelicenes 10a,b in fair yields. Their C_2 symmetry is reflected in simpler NMR spectra (full characterization is given in the Supporting Information). The structure of 10b was also confirmed by Xray diffraction analysis of a single crystal grown by slow diffusion of acetonitrile into a chloroform solution (Figures 3b and S46). Racemic 6,13-bis(2-pyridyl)-5,14-diaza[7]helicene 10b crystallizes in the $P\overline{1}$ space group: it exhibits a helicity of 35.06° and dihedral angles of the two trans-bipy units of 43.01-43.87°.

[n]Helicenes with $n \ge 6$ possess a racemization barrier >150 kJ mol⁻¹, which enables the (M)- and (P)-enantiomers to be isolated at room temperature. Ligands **6c**, **10a**, and

Scheme 2. Synthesis of (a) Bipyridine Helicenes 6a-d and (b) Diazahelicene-bis-Bipyridines 10a,ba

^a(i) n-BuLi, THF, -78° C, then 2-cyanopyridine and then H₂O; (ii) PhNH₂ (1.5 equiv), toluene, M.S.; (iii) Ti(OiPr)₄ (5 or 10 equiv), TEMPO (2 or 4 equiv), hν, CH₂Cl₂, quartz glassware.

Table 1. Screening of Reaction Conditions for the Synthesis of 6b

		composition of the reaction mixture after photolysis $\left[\%\right]^a$			
entry	additive, glassware	4b	5b	6b	
1	HBF ₄ ·Et ₂ O (4 equiv), Pyrex	81	0	19	
2	Pyrex	2	96	2	
3	quartz	71	0	29	
4	Ti(OiPr) ₄ (5 equiv), quartz	1	3	96	

^a2 mg of starting material; 60 min of irradiation.

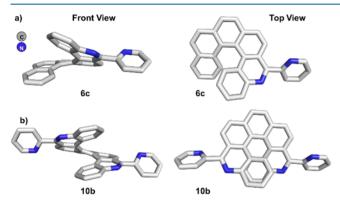


Figure 3. Single crystal X-ray diffraction structures of (a) 6c and (b) 10b (H atoms have been omitted for clarity).

10b, each formed as racemic mixtures, were separated into their constituent enantiomers by semipreparative HPLC over a Chiralpak IE stationary phase (for details, see the Supporting Information). All enantioenriched samples were obtained with enantiomeric excesses (ee's) between 95% and 99.5% (see the Supporting Information).

Photophysical and Chiroptical Properties of Ligands 6c and 10a,b. The helicene ligands 6c and 10a,b display broad and intense UV-visible (UV-vis) absorption spectra in the 200-400 nm range (Figure 4a, bottom). They are fluorescent in solution at room temperature, as observed for previously described azahelicenes.^{8,15} They display slightly structured emission bands in the blue region of the spectrum (Figure 4b, bottom). As expected, the emission maxima increasingly red shift with increasing conjugation with 10b displaying the lowest energy emission. In CH₂Cl₂ solution, the structure is more pronounced with the 0,0 vibrational component being the most intense (Figures S51-S53). Under these conditions, the fluorescence quantum yields are of the order of 2–7% (10% for 6a) and corresponding lifetimes are of the order of a few nanoseconds (Table S1), values that are quite typical of aza-aromatics. In a frozen glass at 77 K, the fluorescence (which is marginally blue-shifted compared to room temperature) is accompanied by structured phosphorescence bands at lower energy (Figures S51-S53). This spinforbidden emission from the triplet state is characterized by very long lifetimes of around 1 s (Table S1).

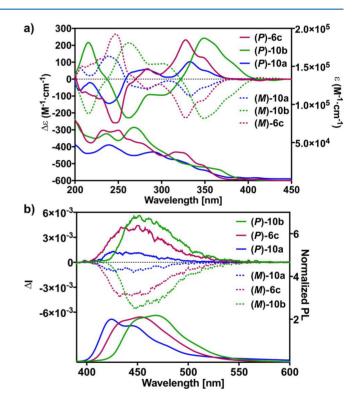


Figure 4. (a) ECD (up) and UV–vis spectra (bottom) of (P)/(M)-6c, -10a, and -10b in MeCN (\sim 10⁻⁴ M) at r.t. (b) Emission (bottom) and CPL (up) spectra of 6c, 10a, and 10b in MeCN (\sim 10⁻⁵ M, $\lambda_{\rm ex}$ = 360–365 nm) at r.t.

The ECD spectra of the three pairs of enantiomers are displayed in Figure 4a (top part). Ligand (P)-6c shows a strong negative band at 246 nm ($\Delta\varepsilon=-260~{\rm M}^{-1}~{\rm cm}^{-1}$) and a strong positive one at 330 nm ($\Delta\varepsilon=+230~{\rm M}^{-1}~{\rm cm}^{-1}$) accompanied by a weaker positive band at 284 nm ($\Delta\varepsilon=+60~{\rm M}^{-1}~{\rm cm}^{-1}$). Ligand 10a follows a similar pattern with much weaker signals ($\Delta\varepsilon_{\rm max}\sim140~{\rm M}^{-1}~{\rm cm}^{-1}$). Qualitatively, the helical shape of the molecule is disturbed by the presence of the additional pyridyl group, which may explain such a decrease, as a result of the modifications of the magnetic and electric dipolar moments. The main bands in the ECD spectrum of 10b are red-shifted by around 20 nm compared to 6c and 10a and of overall higher intensity. This can be

Scheme 3. Synthesis of (a) Racemic and Enantioenriched 11a and (b) Diastereomeric Mixture $(P,\Delta)/(M,\Lambda)$ - and $(P,\Lambda)/(M,\Delta)$ -11c and of Diastereo- and Enantioenriched 11c^a

^aThe colors indicated correspond to those used in Figure 7.

classically explained by the more extended π -conjugation and different helical pitch in heptahelicenes as compared to hexahelicenes. Thus, (P)-10b displays a strong negative band at 263 nm ($\Delta \varepsilon = -220~\text{M}^{-1}~\text{cm}^{-1}$) accompanied by a weaker negative band at 302 nm ($\Delta \varepsilon = -90~\text{M}^{-1}~\text{cm}^{-1}$) and a strong positive one at 349 nm ($\Delta \varepsilon = +320~\text{M}^{-1}~\text{cm}^{-1}$). The polarization of the emitted light was studied by CPL spectroscopy (Figure 4b, top). The (P)- and (M)-enantiomeric pairs of 6c and 10a,b display mirror-image CPL spectra with equal but opposite-sign g_{lum} values recorded around the emission maxima [(P)/(M)-6c: $+4.0/-3.7 \times 10^{-3}$; (P)/(M)-10a: $+1.1/-1.2 \times 10^{-3}$; (P)/(M)-10b: $+5.4/-5.4 \times 10^{-3}$], in line with CPL data of previously investigated bipyridine helicenes $(g_{\text{lum}} \sim 10^{-3})$. 8,15

Synthesis and Characterization of Ruthenium Complexes. The preparation of Ru(II) complexes of the $[RuL(bipy)_2]^{2+}$ and $[Ru_2L'(bipy)_4]^{4+}]$ form (11a,c and 12) was achieved by directly reacting the helicene-bipy ligands L with Ru(bipy)₂Cl₂ in a polar solvent.²⁹ Such a reaction of achiral [4]helicene 6a with racemic Ru(bipy), Cl₂, followed by metathesis of the chloride anion to hexafluorophosphate, yielded a racemic mixture of (Δ) - and (Λ) -[Ru(bipy)₂6a]-(PF₆)₂, henceforth referred to as 11a (Scheme 3a). Enantioenriched (Δ)-11a and (Λ)-11a were obtained by using chirally resolved precursors (Δ)-[Ru(bipy)₂(py)₂]((S,S)dbt) and (Λ) - $[Ru(bipy)_2(py)_2]((R,R)$ -dbt) (dbt = O,O'dibenzoyl-tartrate)^{30a,b} in place of racemic Ru(bipy)₂Cl₂, followed by anion exchange with KPF₆. Due to the presence of the asymmetric bipyridine 6a, complex 11a has C_1 symmetry. As a result, all the signals of the atoms are

chemically inequivalent in the ¹H and ¹³C NMR spectra (see the Supporting Information).

The structure of 11a was further confirmed by X-ray crystallography of a single crystal grown by slow diffusion of Et_2O into a MeCN solution of (rac)-11a. It crystallized in the $P\overline{1}$ centrosymmetric space group in which both enantiomers Δ and Λ are present (Figures 5a and S47). Remarkably, upon

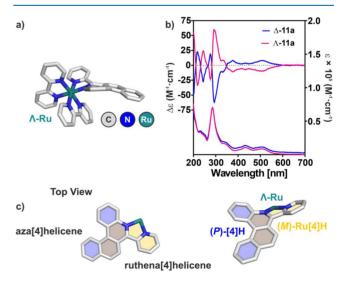


Figure 5. Single crystal X-ray diffraction structures of (a) 11a and (c) selected views of the helicenic parts; PF_6^- anions and H atoms were omitted for the sake of clarity. (b) UV–vis (bottom) and ECD (up) spectra of $(\Delta)/(\Lambda)$ -11a in MeCN ($\sim 10^{-4}$ M) at r.t.

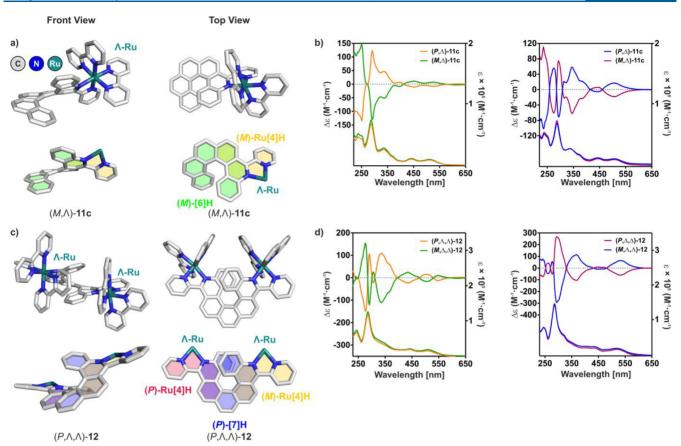


Figure 6. Single crystal X-ray diffraction structures and selected views of (a) (M,Λ) -11c and (c) (P,Λ,Λ) -12. PF₆⁻ anions and H atoms were omitted for the sake of clarity. UV-vis (bottom) and ECD (up) spectra of (b) $(P,\Lambda)/(M,\Delta)/(P,\Delta)/(M,\Lambda)$ -11c and (d) $(P,\Lambda,\Lambda)/(M,\Delta)/(P,\Delta)/(M,\Lambda,\Lambda)$ -12 in MeCN (\sim 10⁻⁴ M) at r.t.

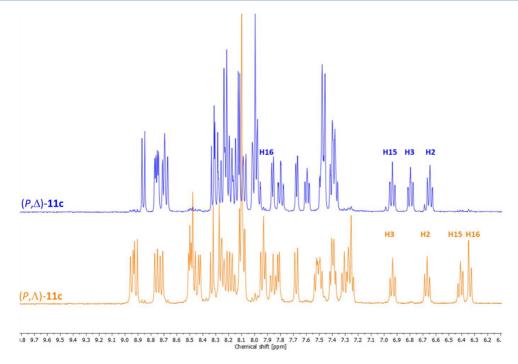


Figure 7. Comparison of ¹H NMR spectra of $(P_1\Delta)$ - and $(P_1\Lambda)$ -11c at 400 MHz in CD₃CN at r.t. See numbering in Scheme 3b.

coordination with Ru(II), the bipy helicene **6a** generates a fused metallic bis-helicenic system, one aza[4]helicene (displaying a helicity of 26.35°) and one ruthena[4]helicene

(Ru[4]H in Figure 5c) with a helicity of 38.62°. This approach thus represents a simple yet powerful procedure to generate metal-based multihelicenic systems. Additionally, the fixed Λ

Scheme 4. Synthesis of Diastereo- and Enantioenriched Complexes 12, Either as Mixtures or as Pure Stereoisomers^a

^aThe colors indicated correspond to those used in Figure 6.

configuration at the ruthenium center induces the M helix in the generated ruthena[4]helicene and the P-handed one in the fused aza[4]helicene (and vice versa), thus demonstrating control of the helical stereochemistry by the ruthenium in the solid. However, these [4]helicenic architectures are most probably fluxional in solution.

The reaction of (rac)-Ru(bipy)₂Cl₂ with (rac)-6c in place of achiral 6a, followed by Cl⁻ to PF₆⁻ metathesis, should give a diastereomeric mixture of the forms of 11c due to the presence of two stereogenic elements: helically chiral M/P helix and the Δ/Λ chirality at the metal (Scheme 3b). Indeed, the complicated ¹H NMR spectrum of 11c after purification by column chromatography was attributed to two sets of signals (see Figure S29). To our delight, a single crystal of the enantiomeric pair $(M,\Lambda)/(P,\Delta)$ -11c suitable for X-ray analysis was obtained by slow vapor diffusion of Et₂O into a MeCN solution (Figures 6a and S48). A comparison of the NMR spectra of crystals and mother liquor revealed an almost perfect separation of the diastereomers and also enabled the assignment of some signals to the corresponding diastereomers (see Figures 7 and S29). By this comparison, it was found that $(M,\Lambda)/(P,\Delta)$ -11c was present in the originally formed mixture in a small excess of 59:41. It is notable that the coordination to Ru(II) provokes the formation of a ruthena[4]helicene (with a helicity of 44.35°) fused to the aza[6]helicene unit (of 54.82° helicity) in which the (M,Λ) unit imposes the (M)-Ru[4]H helix, and vice versa, in the solid state. However, no conclusion can be drawn in the solution state where the ruthena[4]helicene unit is expected to be fluxional.

To obtain diastereo- and enantioenriched **11c**, the reaction between (M)/(P)-**6c** and resolved (Δ) -[Ru(bipy)₂(Py)₂]-((S,S)-dbt)/ (Λ) -[Ru(bipy)₂(Py)₂]((R,R)-dbt) precursors was conducted (see Scheme 3b). In all cases, the minor diastereomer was present in approximately 10% as revealed by ¹H NMR analysis (see Figure S34), suggesting that the

reaction did not proceed with complete stereoselectivity in the sense of Δ/Λ isomerism.

Finally, the complexation of bis-bipyridine [7]helicene 10b to the Ru(II) precursor was studied (Scheme 4). The reaction of (rac)-10b with 2 equiv of (rac)-Ru(bipy)₂Cl₂ provided two major products, which were separated by column chromatography. Both compounds were unambiguously identified by mass spectrometry as bis-ruthenium complex 12 and monoruthenium complex 13. In the case of 12, a single crystal of the $(P,\Lambda,\Lambda)/(M,\Delta,\Delta)$ -enantiomeric pair was obtained by slow vapor diffusion of CHCl₃ into a MeCN solution of 12 (Figure 6c). Here again, in complex (P,Δ,Δ) -12, two fused Ru[4]H helicenes are formed. They display helicities of 26.60° and 33.62° but different (P) and (M) configurations within the same complex, thus showing no control of their chirality in the solid. In addition to (M,Δ,Δ) - and (P,Λ,Λ) -isomers, the diastereomeric mixture also contained the mixed C_1 symmetrical (Δ, Λ) -isomer (see Figure S35). For 13, a single crystal containing the $(P,\Lambda)/(M,\Delta)$ -enantiomeric pair was grown by slow vapor diffusion of Et₂O into a MeCN solution of 13 (see Figure S50). Upon coordination, a fused Ru[4]H helicene displaying a 35.55° helicity is also formed with its (M)configuration controlled by the (P)-heptahelicene and vice versa, thus showing induction of chirality in the solid state.

Diastereo- and enantioenriched complexes 12 were synthesized by the reaction of (M)- or (P)-10b with either (Δ) - $[Ru(bipy)_2(Py)_2]((S,S)$ -dbt) or (Λ) - $[Ru(bipy)_2(Py)_2]((R,R)$ -dbt) precursors (Scheme 4). Even though an excess of the ruthenium precursor was employed, the synthesis of the dinuclear complex 12 was always accompanied by the formation of a small amount of mono-Ru intermediate 13 (for details, see the Supporting Information). Both ruthenium ions in the enantioenriched forms of 12 have (Δ,Δ) or (Λ,Λ) configuration. As a result, all complexes possess an overall C_2 symmetry. In $(P,\Lambda,\Lambda)/(M,\Delta,\Delta)$ -12, part of a terminal ring of

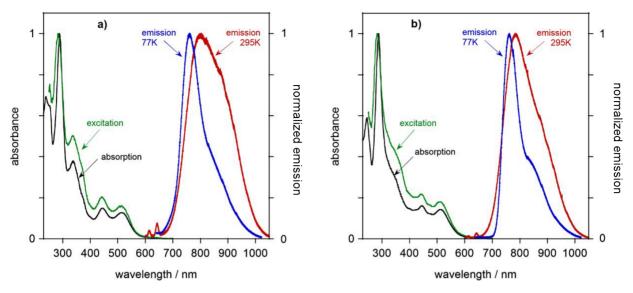


Figure 8. Absorption spectra (black), emission spectra (red), and excitation spectra (green) in MeCN at 295 K and emission in PrCN at 77 K (blue) of (a) (M,Δ) -11c and (b) (P,Λ,Λ) -12. The corresponding spectra of other complexes are shown in Figures S54–S56.

Table 2. Luminescence Properties of the Ruthenium(II) Complexes

								emission at 77 K	
complex	absorption $\lambda_{\rm max}/{\rm nm}~(\varepsilon/{\rm M}^{-1}~{\rm cm}^{-1})$	emission $\lambda_{\max}/{ m nm}$	$\begin{array}{c} \Phi_{lum}/\\ 10^{-2} \end{array}$	$ au/\mathrm{ns}^b$	$\frac{k_{\rm r}/10^3}{\rm s^{-1}}$	$\begin{array}{c} \Sigma k_{\rm nr}/\\ 10^6~{\rm s}^{-1\epsilon} \end{array}$	$k_{\rm Q}^{\rm O2}/10^8 \ { m M}^{-1} \ { m s}^{-1d}$	$\lambda_{ m max}/{ m nm}$	τ/ns
(rac)-11a	289 (66 700), 337 (19 250), 405 (10 800), 437 (13 400), 510 (11 400)	788	0.03	46 [40]	6.5	22	17	742	1800
(P,Δ)-11c	239 (44 600), 289 (65 300), 339 (23 600), 446 (7835), 510 (6590)	779	0.10	290 [180]	3.4	3.4	11	728	1300
(M,Δ) -11c	239 (49 830), 289 (60 930), 337 (26 580), 444 (9020), 515 (7470)	799	0.09	260 [180]	3.5	3.8	9.0	761	1400
(M,Λ,Λ) -12	246 (77 300), 288 (139 810), 435sh (21 820), 522 (16 810)	788	0.10	130 [110]	7.7	7.7	7.4	758, 840sh	1000
(P,Λ,Λ)- 12	246 (65 500), 287 (115 850), 344sh (33 200),	786	0.25	510 [340]	4.9	2.0	5.2	761, 837sh	1800

"In deoxygenated acetonitrile at 295 \pm 1 K and in butyronitrile at 77 K. ^bValues in brackets are for an air-equilibrated solution. ^cValues estimated assuming that the emitting triplet state is formed with unit efficiency upon excitation into the singlet bands, such that $k_r = \Phi/\tau$ and $\Sigma k_{\rm nr} = (1-\Phi)/\tau$. ^dBimolecular rate constants for quenching by O₂, estimated on the basis of the lifetimes in a deoxygenated and air-equilibrated solution.

the helical scaffold is strongly shielded by 2,2'-bipyridine placed in its vicinity. This is reflected in a significant change in the chemical shifts of 2-H (5.27 ppm) and 3-H (6.16 ppm), which are up to 1 ppm lower in comparison to the other enantiomeric pair (see Figure S35).

Absorption and Emission Properties of Complexes 11a, 11c, and 12. Complexes 11a, 11c, and 12 display broad and intense UV-vis absorption spectra (Figures 5 and 6, bottom parts, and Figure 8) with very similar features, notably a strong absorption band around 290 nm and moderately intense ones around 250 and 350 nm, likely corresponding to π - π * transitions of the ligands. These bands are almost 1 order of magnitude stronger in the hexa- and heptahelicenic complexes 11c and 12 than in 11a. 18 They are accompanied by two bands of weaker intensities around 450 and 520 nm that are commonly assigned to MLCT-type transitions. Much of the intense interest in [Ru(bipy)₃]²⁺ and its derivatives over the past 40 years has been driven by their attractive emission properties. [Ru(bipy)₃]²⁺ is the archetypal ³MLCT emitter. 18,31 The coordination as opposed to the organometallic nature of the bonding and the relative energies of the orbitals are such that the HOMO is primarily localized on the metal,

and the LUMO on the ligand. The $^{1,3}\text{MLCT}$ energies can thus be rationalized and controlled according to the nature of the ligands, often showing good correlation with the oxidation and reduction potentials. 18a,32 In heteroleptic analogs, the LUMO is typically localized largely on the ligand(s) having the lowest-energy π^* orbitals associated with it. Thus, where one bipy ligand is replaced by a more conjugated analogue, a reduction in the $^3\text{MLCT}$ and $^1\text{MLCT}$ energies can be anticipated; indeed, numerous such examples have shown the expected redshift in the emission and lowest-energy absorption bands, respectively, as a consequence. 18,31,33

In the present series of heteroleptic complexes, such an effect is to be expected, since the extended conjugation of the helicene bipyridine ligand will serve to lower its π^* orbitals. The effect is immediately clear in the absorption spectra (Figures 5b, 6b,d, and 8 and Table 2), where it can be seen that the lowest-energy absorption bands are centered around 510–520 nm in each case. These values contrast with that of around 455 nm for $[Ru(bipy)_3]^{2+}$ under the same conditions, indicating a stabilization of the 1 MLCT state of around 2500 cm $^{-1}$ as a result of the more extended conjugation associated with the aza-aromatic. There is, however, little difference

between 11c/12 and 11a, indicating that the additional aromatic rings incorporated within the helicene ligands of 11c/12, remote from the quinoline moiety, have little influence in further stabilizing the LUMO compared to the parent 11a.

All of the new complexes are found to be luminescent in solution at room temperature (Table 2). The emission and excitation spectra of (M,Δ) -11c and (P,Λ,Λ) -12 in MeCN at 295 K are shown in Figure 8, together with the emission spectra in PrCN at 77 K. Corresponding spectra of the other diastereomers of 11c and 12, and of the parent (rac)-11a, are given in Figures S54-S56. The onset of the emission is around 700 nm in each case, such that most of the luminescence falls in the NIR region of the spectrum with a maxima of around 790 nm (Table 2). This is a challenging part of the spectrum for detection, being at the edge of the range for conventional visible photomultiplier tubes yet somewhat too short for the wavelength of the NIR detectors. Optimal results were obtained using a back-illuminated deep-depletion CCD detector (the details of the instrumentation are given in the Supporting Information). As in absorption, there is a large redshift in the emission maxima relative to $[Ru(bipy)_3]^{2+}$, reflecting the stabilization of the ³MLCT associated with the more extended conjugation on the ligand. Again, there is little difference between the complexes 11c/12 and 11a. The emission maxima of around 780 nm compare with values of 700 and 742 nm for quinoline-containing complexes [Ru-(bipy)₂(pq)]²⁺ and [Ru(bipy)₂(biq)]²⁺, which are perhaps the closest literature models (pq = 2-pyridylquinoline; biq = 2,2'biquinoline).34a A complex featuring an azabenzannulated perylene diimide could be construed as a related example featuring the benzannulated phenanthridine moiety of the present complexes for which $\lambda_{\text{max}}^{\text{em}} = 780 \text{ nm}$, though the nature of the excited state is quite different in that case. 34b

The luminescence quantum yields are low, of the order of 0.1% (Table 2). Low values are to be anticipated, given the low energy of the excited state and the fact that the energy gap law typically applies well to metal bipyridine-based complexes.^{34c} However, the luminescence lifetimes of the helicene complexes remain quite long, of the order of a few hundred nanoseconds (Table 2), suggesting that the low quantum yields might be due in part to reduction in the radiative rate rather than to particularly severe nonradiative decay. Indeed, the estimation of the radiative and nonradiative rate constants, k_r and $\sum k_{nr}$ indicates that the former is around an order of magnitude lower than for [Ru(bipy)₃]²⁺. Such an effect could be attributed to reduced metal character in the excited state with increasingly conjugated ligands^{35a} and/or to changes in the relative energies of higher singlet and triplet states that couple through spin-orbit coupling.35b The emission is modestly quenched by dissolved molecular O2 (as expected for lifetimes of this order of magnitude) with bimolecular rate constants of around 10⁹ M⁻¹ s⁻¹.

Although there is little difference between the spectra of the different diastereoisomers, it is intriguing to note a small but significant difference between the quantum yields and lifetimes of the diastereoisomers of 12. The emission of the (P,Λ,Λ) -isomer is brighter and longer-lived than that of the (M,Λ,Λ) , apparently due largely to an almost 4-fold difference in $\Sigma k_{\rm nr}$. The difference most likely arises from the different conformation of the two complex units relative to one another, influencing the exposure to the solvent and hence the excited-state deactivation pathways. ³⁶

ECD Spectra of Complexes 11a, 11c, and 12. The ECD spectra of the enantioenriched forms of **11a** in MeCN are mirror images of one another (Figure 5b). Enantiomer (Λ)-**11a** shows a positive band at 292 nm ($\Delta \varepsilon = +60~\text{M}^{-1}~\text{cm}^{-1}$), a weak positive one at 245 nm ($\Delta \varepsilon = +24~\text{M}^{-1}~\text{cm}^{-1}$), and two weak negative bands at 275 nm ($\Delta \varepsilon = -23~\text{M}^{-1}~\text{cm}^{-1}$) and at 219 nm ($\Delta \varepsilon = -33~\text{M}^{-1}~\text{cm}^{-1}$). Additionally, two negative ECD bands of very low intensities ($\Delta \varepsilon = -2~\text{M}^{-1}~\text{cm}^{-1}$) are found at 375 and 510 nm, i.e., in the MLCT region. The absolute stereochemistry can be easily deduced from the starting enantioenriched Ru precursors and confirmed by comparison of the ECD fingerprints at 292 nm with known chiral complexes.³⁰

The ECD spectra of diastereo- and enantioenriched 11c in MeCN are depicted and compared in Figure 6b. Both enantiomeric pairs $(P,\Lambda)/(M,\Delta)$ - and $(P,\Delta)/(M,\Lambda)$ -11c display mirror-image spectra. (P,Λ) -11c exhibits more intense ECD signals with a strong positive band at 291 nm ($\Delta \varepsilon$ = +120 M⁻¹ cm⁻¹) accompanied by a weaker positive band at 335 nm ($\Delta \varepsilon = +45 \text{ M}^{-1} \text{ cm}^{-1}$), a strong negative band at 251 nm ($\Delta \varepsilon = -135 \text{ M}^{-1} \text{ cm}^{-1}$), and weak bands at 523 nm ($\Delta \varepsilon =$ +8 M⁻¹ cm⁻¹), 451 nm ($\Delta \varepsilon = -11 \text{ M}^{-1} \text{ cm}^{-1}$), and 394 nm $(\Delta \varepsilon = +8 \text{ M}^{-1} \text{ cm}^{-1})$. (P,Δ) -11c exhibits two strong positive bands at 344 nm ($\Delta \varepsilon = +60 \text{ M}^{-1} \text{ cm}^{-1}$) and 275 nm ($\Delta \varepsilon =$ +70 M⁻¹ cm⁻¹), two strong negative bands at 294 nm ($\Delta \varepsilon$ = $-70~\mathrm{M}^{-1}~\mathrm{cm}^{-1}$) and 236 nm ($\Delta\varepsilon=-100~\mathrm{M}^{-1}~\mathrm{cm}^{-1}$) and weak bands at 507 nm ($\Delta \varepsilon$ = +17 M⁻¹ cm⁻¹), 443 nm ($\Delta \varepsilon$ = -6 M⁻¹ cm⁻¹), and 314 nm ($\Delta \varepsilon$ = +22 M⁻¹ cm⁻¹). Overall, thanks to the presence of the hexahelix, the enantioenriched 11c derivatives display more intense ECD responses than the 11a ones.

The ECD spectra of diastereo- and enantioenriched 12 in MeCN are depicted and compared in Figure 6d. Both enantiomeric pairs $(P,\Lambda,\Lambda)/(M,\Delta,\Delta)$ - and $(P,\Delta,\Delta)/(M,\Delta,\Delta)$ (M,Λ,Λ) -12 display mirror-image spectra. Overall, the (P,Λ,Λ) -diastereomer shows less intense ECD signals with more crossing points than the (P,Δ,Δ) one. (P,Λ,Λ) -12 exhibits three strong bands at 277 nm ($\Delta \varepsilon = -150 \text{ M}^{-1} \text{ cm}^{-1}$), 291 nm ($\Delta \varepsilon = +105 \text{ M}^{-1} \text{ cm}^{-1}$), and 337 nm ($\Delta \varepsilon = +110 \text{ M}^{-1}$ cm⁻¹), accompanied by several weak bands at 306 nm ($\Delta \varepsilon$ = $-23~{\rm M}^{-1}~{\rm cm}^{-1}$), 435 nm ($\Delta\varepsilon = -24~{\rm M}^{-1}~{\rm cm}^{-1}$), 507 nm ($\Delta\varepsilon = +16~{\rm M}^{-1}~{\rm cm}^{-1}$), and 547 nm ($\Delta\varepsilon = -12~{\rm M}^{-1}~{\rm cm}^{-1}$). (P,Δ,Δ) -12 displays one strong negative band at 294 nm $(\Delta \varepsilon = -295 \text{ M}^{-1} \text{ cm}^{-1})$, one moderate positive band at 367 nm $(\Delta \varepsilon = +115 \text{ M}^{-1} \text{ cm}^{-1})$, three weaker bands at 532 nm $(\Delta \varepsilon = +65 \text{ M}^{-1} \text{ cm}^{-1})$, 277 nm $(\Delta \varepsilon = +55 \text{ M}^{-1} \text{ cm}^{-1})$, and 266 nm ($\Delta \varepsilon = -50 \text{ M}^{-1} \text{ cm}^{-1}$), and one weak band at 446 nm $(\Delta \varepsilon = -10 \text{ M}^{-1} \text{ cm}^{-1})$. The heptahelicenic structure thus leads to a strong chiroptical response, which is further increased through a synergistic contribution of the two Ru centers. It is worth noting that mirror-imaged ECD spectra were systematically obtained for each enantiomeric pair of 11a, 11c, and 12, which confirms that the reactions proceeded without a loss of enantiopurity.

Spectroelectrochemical Properties of Complexes 11a, 11c, and 12. The electrochemical properties of complexes 11a, 11c, and (M,Δ,Δ) - and (M,Λ,Λ) -12 were studied by cyclic voltammetry recorded in MeCN under an inert atmosphere with 0.1 M Bu₄NPF₆ as the supporting electrolyte. The half-wave redox potentials were determined from the average of the anodic and cathodic peak potentials for the reversible waves. The cyclic voltammograms depicted in Figure 9 reveal one reversible Ru(II)/Ru(III) oxidation wave

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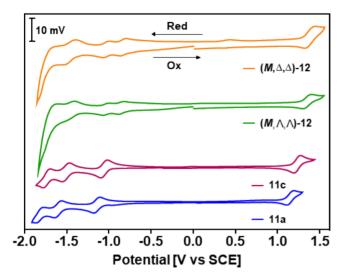


Figure 9. Cyclic voltammograms of **11a**, **11c**, and (M,Δ,Δ) - and (M,Λ,Λ) -**12** in degassed MeCN (0.1 M Bu₄NPF₆) plotted versus the saturated calomel electrode (SCE), measured using ferrocene as an internal standard.

at +1.14 and +1.23 vs SCE for complexes 11a and 11c, respectively. These values are very similar to those found in the literature for $[Ru(bipy)_3]^{2+}$, 19a,37a while for the bis-Ru complex diastereomers (M,Δ,Δ) - and (M,Λ,Λ) -12, higher values were obtained (+1.31 and +1.26 V). Monoruthenium complexes 11a and 11c also display three reversible waves in reduction (-1.10, -1.60, and -1.86 V for 11a; -1.05, -1.51, and -1.75 V for 11c). These reduction potentials correspond to Ru(II)/Ru(I), Ru(I)/Ru(0), and Ru(0)/Ru(-1) one electron-reduction steps and are found to be similar to the reduction potentials of the $[Ru(bipy)_3]^{2+}$ systems. ^{19,23} The bisruthenium complexes (M,Δ,Δ) - and (M,Λ,Λ) -12 appear easier to reduce and exhibit the first set of two reversible waves

(-1.11 and -1.08 V) corresponding to the two Ru(II)/Ru(I) processes of the Ru atoms that do not reduce at the same potential, thus evidencing an electronic interaction between the two metal centers via the π -helicene bridge. Another two-electron reduction wave corresponding to the Ru(I)/Ru(0) reduction of the two Ru centers is also found (-1.50 to -1.48 V).

Redox-Triggered Chiroptical Switching Activity. On the basis of these results, it appeared interesting to take advantage of the reversible oxidation and reduction processes and to study the electrochromic and chiroptical switching activity of such complexes. For this purpose, enantioenriched complexes (Λ) -11a, (P,Δ) -11c, and (P,Δ,Δ) - and (P,Λ,Λ) -12 were examined. The one-electron oxidation process was first studied by UV-vis and ECD spectroscopies in an optically transparent thin-layer electrochemical (OTTLE) cell (MeCN/ 0.2 M Bu₄NPF₆) upon applying a potential up to ca. 1.3 V (Figure 10; see the electrochemical conditions in the Supporting Information). In all cases, several isosbestic points were observed in both UV-vis and ECD. Overall, the UV-vis spectra underwent a strong decrease of intensity of the high energy band around 290 nm. Oxidation was accompanied by a 15 nm red shift. In the higher energy domain, a disappearance or a decrease of the broad absorption bands located between 440 and 520 nm was observed. In the ECD spectra, the strong positive band around 300 nm in (Λ) -11a and (P,Δ) -11c underwent a significant decrease accompanied by a ca. 15 nm red shift, while the low-energy bands exhibited intensity decreases (see Figure 10a,b). Diastereomeric complexes (P,Δ,Δ) - and (P,Λ,Λ) -12 also evidenced similar changes (see Figure 10c,d). In contrast to the UV-vis spectra, the effects in ECD were different for the two diastereomers. The oxidation of (P,Λ,Λ) -12 resulted in an inversion of the positive band at 291 nm ($\Delta \varepsilon$ value moved from +105 to -70 M⁻¹ cm⁻¹); the broad positive band at 337 nm was split into two less intense bands, and the two weak bands between 480 and

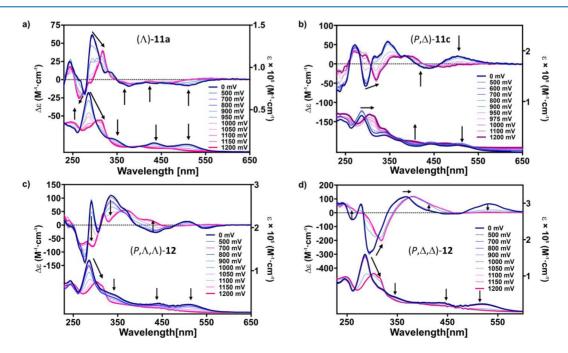
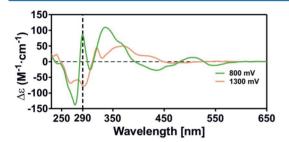


Figure 10. Spectroelectrochemical studies UV–vis (bottom) and ECD (top) studies of the oxidation processes of (a) (Λ) -11a, (b) (P,Δ) -11c, (c) (P,Λ,Λ) -12, and (d) (P,Δ,Δ) -12 (OTTLE cell, MeCN, 0.2 M Bu₄NPF₆).

600 nm vanished. Upon the oxidation of (P,Δ,Δ) -12, the bands at 294 and 367 nm were red-shifted around 24 and 10 nm, respectively, and bands at 266, 277, and 532 nm disappeared.

Since the comparison between the ECD spectra of (P,Λ,Λ) -12 in the Ru(II) and Ru(III) oxidation states shows dramatic changes in the chiroptical properties, the possibility of using such a system as a chiroptical switch was explored. For (P,Λ,Λ) -12, the difference between $\Delta\varepsilon$ values at 290 nm is not only significant (\sim 170 M⁻¹ cm⁻¹) but also accompanied by a change in sign. Therefore, it may be considered as a \pm chiroptical switch. The reversibility of switching was further proved by several cycles of oxidation and reduction of (P,Λ,Λ) -12 in MeCN, which were accompanied by a modulation of the ECD signal upon applying potential steps between 0.8 and 1.3 V (Figure 11). The helicene-bipy-Ru



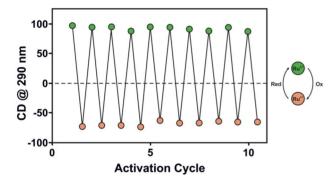


Figure 11. Redox-triggered chiroptical switching process of (P,Λ,Λ) -12 following the ECD value at 290 nm ($\sim 10^{-3}$ M, Bu₄NPF₆).

complexes described here thus constitute a new class of helicene-based redox-triggered chiroptical switches. Due to the presence of several simultaneous redox steps, the multiple electron reduction processes of (Λ) -11a, (P,Δ) -11c, and (P,Δ,Δ) - and (P,Λ,Λ) -12 resulted in less significant and less clear changes, as compared to the one-electron oxidation. The spectroelectrochemical reduction processes are thus more difficult to interpret (for selected spectra, see Figures SS7 and SS8).

CONCLUSIONS

In summary, we have shown that the photocyclization of aromatic imines is a suitable method for the preparation of helicenes decorated with one or two bipy-moieties. The formation of aza[6]- and aza[7]helicenes displaying one or two complexing bipy units was accessed for the first time by this methodology. These ligands were used for the preparation of chiral ruthenium(II) complexes in diastereo- and enantioenriched forms, which were subsequently characterized. The coordination of bipy-helicenes to the ruthenium ion generates

fused metallic bis- or tris-helicenic systems. The stereochemistry of these compounds has been examined in detail through their X-ray crystallographic structures. Spectroelectrochemical UV—vis and ECD studies of the prepared complexes revealed their extensive redox-modulated chiroptical properties. Due to the strong reversible changes in the ECD spectra upon oxidation of the bis-ruthenium complex, the system may be considered as a redox-triggered chiroptical switch. The above-described complexes open up new possibilities in the search for other helicenes with switchable redox and optical properties and will be further studied in this regard.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.inorgchem.1c01379.

General Information on the materials and methods used, synthetic procedures, chiral separations, and characterization data (NMR, X-ray, optical rotation values, spectroelectrochemical data, and additional spectral information) (PDF)

Accession Codes

CCDC 2056689–2056691 and 2080927–2080930 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Author Contributions

M.K. performed the synthesis of the ligands and complexes. R.R. performed CD, CPL, and spectroelectochemical studies. N.V. performed HPLC separation of the ligands. I.C., M.C., E.C., and J. Sýkora performed structural analysis (X-ray and NMR). J.A.G.W. performed nonpolarized luminescence studies. V.C., J. Storch, and J.Z. designed the synthesis of helicenes and were involved in the supervision and revision and editing of the manuscript. J.C. designed the synthesis of the complexes and wrote/revised together with other authors the manuscript.

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

We thank the Centre National de la Recherche Scientifique (CNRS) and the University of Rennes. This work was supported by the Czech Science Foundation (grant no. 20-19353S). M.K. is grateful to the Ministry of Education, Youth and Sports of the Czech Republic and EU–European Structural and Investment Funds—Operational Programme Research, Development, and Education for the project ÚCHP Mobilita II (CZ.02.2.69/0.0/0.0/18_053/0016920). R.R. thanks Xunta de Galicia for a Postdoctoral fellowship. The authors thank Sitthichok Kasemthaveechok from the University of Rennes 1 for help with the electrochemical measurements. NMR and ECD experiments were performed on the PRISM core Facility (Biogenouest, UMS Biosit, Université de Rennes 1).

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