Tetrahedron: Asymmetry Vol. 4, No. 9, pp. 2069-2084, 1993 Printed in Great Britain 0957-4166/93 \$6.00+.00 Pergamon Press Ltd

# Microbial Synthesis of (2R,3S)-(-)-N-benzoyi-3phenyl isoserine ethyl ester-a taxol side-chain synthon

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(Received in UK 5 July 1993)

**ABSTRACT.** The chiral intermediate (2R,3S)-(-)-N-benzoyl-3-phenyl isoserine ethyl ester <u>2a</u>, a potential taxol <u>5</u> side-chain synthon, was prepared by microbial and enzymatic processes. Taxol <u>5</u>, is an anticancer compound recently approved by FDA for the treatment of ovarian cancer. The stereoselective reduction of racemic 2-keto-3-(N-benzoylamino)-3-phenylpropionic acid ethyl ester <u>1</u> to the corresponding alcohol <u>2</u> was carried out using microbial cultures. Among microorganisms evaluated, <u>Hansenula polymorpha</u> SC 13865 and <u>Hansenula fabianii</u> SC 13894 effectively reduced compound <u>1</u> to the desired <u>syn</u> diastereomer <u>2a</u>. Reaction yields of >80% and enantiomeric excesses of >98% were observed for these bioreduction process. About 10-20% of <u>anti</u> diastereomers <u>(2c,2d)</u> were produced during bioreduction.

#### INTRODUCTION

Among the antimitotic agents, taxol (paclitaxel)  $5^{1,2}$ , a complex, polycyclic diterpene<sup>3</sup>, exhibits a unique mode of action on microtubule proteins responsible for the formation of the spindle during cell division. In contrast to other "spindle formation inhibitors" such as vinblastine or colchicine, both of which prevent the assembly of tubuline<sup>4,5</sup>, taxol is the only compound known to inhibit the depolymerization process of microtubulin<sup>6</sup>. Because of its biological activity and unusual chemical structure, taxol may represent the prototype of a new series of chemotherapeutic agents. Various types of cancers have been treated with taxol and the results in treatment of ovarian cancer are very promising<sup>2</sup>. In collaboration with the National Cancer Institute, Bristol-Myers Squibb is developing taxol for treat-

ment of various cancers. Taxol was originally isolated from the bark of the yew,

<u>Taxus brevifolia</u><sup>1</sup> and has also been found in other Taxus species<sup>7,8</sup> in relatively low yield. Alternative methods for production of taxol by cell suspension cultures and by semi-synthetic processes are being evaluated<sup>9-13</sup>. In this report, we describe the enzymatic synthesis of a taxol side-chain intermediate. The stereoselective microbial/enzymatic reduction of <u>1</u> [2-keto-3-(N-benzoylamino)-3-phenyl propionic acid ethyl ester] to yield <u>2a</u> [(2R,3S)-(-)-N-benzoyl-3-phenyl isoserine ethyl ester] is demonstrated. The reduction of <u>1</u> could result in the formation of four possible alcohol diastereomers (2a-2d) [scheme 1]. Remarkably, conditions were found under which predominantly only the single isomer <u>2a</u> was obtained in the microbial reduction.



Scheme 1

# **RESULTS AND DISCUSSION**

Various microorganisms were screened for the stereoselective microbial reduction of ketone <u>1</u> to the alcohol <u>2</u>. As shown in the table 1, the reaction yield and stereoselectivity were dependent upon the microorganism used during the reduction of <u>1</u> to <u>2a</u>. Organisms from genus <u>Nocarida</u>. <u>Candida</u>. <u>Rhodococcus</u>. <u>Mortierella</u>. <u>Saccharomyces</u>. and <u>Hansenula</u> predominantly converted ketone <u>1</u> to the desired alcohol <u>2a</u> in high optical purity (>91%), while organisms from genus <u>Pullularia</u> and <u>Trichoderma</u> gave lower optical purity (75-88%) of the desired alcohol <u>2a</u>. <u>Pichia methanolica</u> ATCC 16623 reduced <u>1</u> to <u>2a</u> in the lowest optical purity ( the undesired enantiomer <u>2b</u> is produced). <u>H. polymorpha</u> SC 13865 and <u>H. fabianii</u>. SC 13894 effectively reduced compound <u>1</u> to <u>2a</u>. Reaction yields of >80% and optical purities of >95% were observed for these biotransformations. TABLE 1: Microbial Reduction of 1 to 2a

Microorganisms	Reaction yield compound 2a(%)	Optical purity compound <b>2 a</b> ( % )	
Candida guilliermondi ATCC 20318		95	
Rhodococcus erythropolis ATCC 4277	39	96	
Saccharomyces cerevisiae ATCC 24702	35	94	
Hansenula polymorpha SC 13865	80	99	
Pseudomonas putida ATCC 11172	32	94	
Nocardia globerula ATCC 21505	36	92	
Mortierella rammanianna ATCC 38191	35	97	
Hansenula fabianii SC 13894	85	95	
Pichia methanolica ATCC 58403	80	26	
Pullularia pullulans ATCC 16623	73	75	
Trichoderma polysporium SC 14962	86	77	
Rhodococcus sp. ATCC 29675	53	91	
Nocardia salmonicolor SC 6310	45	99	
Nocardia restricta SC 13107	75	88	

Reactions were carried out as described in the Methods. The reaction yield and the optical purity were determined by HPLC.

Cells of <u>H. polymorpha</u> SC 13865 and <u>H. fabianii</u> SC 13894 were grown in a 25L fermentor containing 15L of medium containing glucose as carbon source. During growth in a fermentor, broth samples (1L) were taken at 24, 32, 40 and 48 hour after the inoculating fermentor. Cells were collected by centrifugation, suspended in buffer and reduction of 1 was carried out as described in the experimental section. Cells harvested after 40 hours gave 90% and 94% reaction yield for <u>H. poly-morpha</u> SC 13865 and <u>H. fabianii</u> SC 13894, respectively (table 2). Lower optical purity (95%) of <u>2a</u> was obtained with <u>H. fabianii</u> SC 13894 compared with <u>H. poly-morpha</u> SC 13865 (99% optical purity).

Microorganism	Sample time (hour)	anti diastereomers (2c, 2d) (%)	syn diastereomers (2a, 2b) (%)	Optical purity of <b>2a</b> (%)
Hansenula polymorph SC 13865	a 24	28	72	99.6
	32	25	75	98.9
	40	20	80	99.3
	48	20	80	99.3
Hansenula fabianii SC 12804	24	12.7	87.3	93
50 13554	32	9.8	90.2	93.3
	40	10.8	89.2	93.6
	48	8.9	91.1	94

TABLE 2: Growth of H. polymorpha and H. fabianii in a 15-L fermentor:
Reduction of compound 1

Cultures were grown in a 15-L fermentor as described in the Materials and Methods.

Broth samples were taken at designated time and reduction of 1 was carried out by cell-suspensions (20 % w/v,wet cells) of organisms. After 48 hours reaction time, the reaction yield of anti and syn diastereomers and the optical purity of **2a** were determined as described in the Materials and Methods

Preparative-scale reductions of ketone <u>1</u> to desired alcohol <u>2a</u> was carried out in a 5L fermentor using cell suspensions of <u>H. polymorpha</u> SC 13865 and <u>H. fabianii</u> SC 13894 in independent experiments as described in the experimental section. In both batches, a reaction yield of 85-90% and an optical purity of >95% were obtained for compound <u>2a</u> (table 3). From one batch (<u>H. polymorpha</u> SC 13865), 5.2 gram of <u>2a</u> was isolated in 65% overall yield. The isolated compound gave 99.6% optical purity (figure 1b) and 99.8% GC area % purity. The specific rotation [ $\alpha$ ]<sub>D</sub><sup>25</sup> of -21.7 was

obtained for 2a in chloroform.

A single-stage fermentation/bioreduction process was developed for conversion of compound <u>1</u> to compound <u>2a</u> with cells of <u>H. fabianii</u> SC 13894. Cells were grown in a 5-L fermentor and after 48 hour growth cycle, the bioreduction process was initiated by addition of substrate and glucose and continued for a 48 hour period. A reaction yield of 88% and an optical purity of 95% was obtained for the desired alcohol <u>2a</u> (table 4). From the 2-L fermentation broth after bioreduction, 2.4 grams of compound <u>2a</u> was isolated. The isolated compound gave 99.5% optical purity and 99.5% GC area% purity.

Microorganisms	Reaction time (hours)	Anti diastereomers (2c, 2d) (%)	Syn diastereomers (2a, 2b) (%)	Optical purity of 2a (%)
Hansenula polymorph SC 13865	na 72	20	80	99
Hansenula fabianii SC 13894	48	10	90	94

TABLE 3: Preparative-scale reduction of 1 by cell-suspensions of Hansenula strains

Cells were suspended in 4-L of 0.1 M phosphate buffer (pH 6) at 20% (w/v) concentration.

Cell-suspensions were supplemented with 2 gl<sup>-1</sup> of substrate 1 and 35 gl<sup>-1</sup> of glucose. The bioreduction of 1 was carried out at 28 C, 250 RPM in a 5-L NB Bioflo fermentor.

Cell extracts each of <u>H. polymorpha</u> SC 13865 and <u>H. fabianii</u> SC 13894 were prepared and examined for the reduction of ketone <u>1</u>. Reaction mixtures in 50 ml of extract contained 0.5 mM NADH and 100 mg of substrate <u>1</u>. After a 48-hour reaction time, a reaction yield of 80% and an optical purity of 98% were obtained for compound <u>2a</u> in both batches.

The control of absolute stereochemistry is a key requirement for the synthesis of many pharmaceutical products<sup>17</sup>. For the production of optically active alcohols, the microbial reduction of the inexpensive prochiral ketones is a very promising method. The use of whole cells (yeasts) is of limited success due to the low enantioselectivity of these system<sup>18-21</sup>. Three commercially available alcohol dehydrogenases have been investigated including yeast<sup>22</sup>, horse liver<sup>23,24</sup> and <u>Thermoanaerobium brockii</u><sup>24</sup>. Alcohol dehydrogenase from <u>T. brockii</u> is heat stable and has broad substrate specificity toward aliphatic ketones. Substrates with

bulky side chains (such as acetophenone) are poor substrates. Secondary alcohol dehyrogenase from methylotrophic organisms reduced aliphatic ketones stereose-

lectively to the corresponding alcohols  $^{25}$ . Alcohol dehydrogenases from yeast, horse liver, <u>I. brockii</u>, and methylotrophs transfer the pro-R hydride to the carbon-

yl group to give (S) alcohol, a process described by Prelog's rule  $^{22-25}$ . Recently, alcohol dehydrogenases from <u>Pseudomonas</u> sp. Strain PED and <u>Lactobacillus kefir</u> were described which catalyze the enantioselective reduction of aromatic, cyclic,

and aliphatic ketones to the corresponding chiral alcohols <sup>26,27</sup>. Both enzymes exhibit anti-prelog specificity, transferring the pro-R hydride to form (R) alcohols.

Reaction time (hours)	Anti diastereomers (2c, 2d) gl <sup>- 1</sup>	Syn diastereomers (2 <b>a, 2b</b> ) gl <sup>-1</sup>	Optical purity of (2a) (%)
12	0.04	0.3	ND
24	0.09	0.63	ND
36	0.15	0.92	ND
46	0.18	1.18	93
60	0.2	1.5	94
72	0.21	1.7	94.5

TABLE 4: Reduction of 1 by H. fabianii culture: Single-stage process

Cells were grown in a 25-L fermentor containing 15 L of medium at 28 C, 600 RPM agitation. After 48 hour of growth period, substrate 1 (2 gl<sup>-1</sup>) and glucose (35 gl<sup>-1</sup>) were added to the fermentation broth and the bioreduction process was continued.

Holland <u>et al.</u> <sup>28</sup> demonstrated the conversion of ethylbenzene and a number of para-substituted derivatives by <u>Mortierella isobellina</u> to the corresponding optically active 1-phenylethanol with enantiomeric excesses between 5 and 40%. In most cases, the R absolute configuration of product predominated. Recently, we have demonstrated the stereoselective microbial reduction of 1-(4-fluorophenyl)-4-[4-(5-fluoro-2-pyrimidinyl)-1-piperazinyl]-1-butanone to either R-(+) and S-(-) alcohols in enantiomeric excesses of >98% <sup>29</sup>.

Recently preparation of taxol side-chain precursors by the lipase-catalyzed resolution of racemic cis-1-benzoyl-3-acetoxy-4-phenylazitidin-2-one  $^{30}$  by enantioselective hydrolysis and esterification of methyl trans- $\beta$ -phenylglycidate has been demonstrated  $^{31}$ . However, the resolution method gives maximum theoretical yield of 50%.

In this report, we have described the stereoselective reduction of ketone <u>1</u> to desired alcohol <u>2a</u>, which is a key chiral intermediate for the semi-synthesis of taxol. This method gave greater than 80% yield. Coupling of alcohol <u>2a</u> to baccatin III (<u>4</u>), produces taxol [scheme 2]. Taxol <u>5</u> is one of the most important anticancer compounds to emerge from the screening of natural products in recent years. Initial progress on developing taxol was slow, because of the difficulty of isolating it from bark of the yew, Taxus brevifolia. It is a most promising antitumor agent recently approved for treatment of ovarian cancer. It also has shown promise for

treatment of breast, lung and colon cancer <sup>32,33</sup>. The partial synthesis of taxol from the simpler diterpenoid baccatin III which is derived from the renewable re-

source of yew leaves, is an attractive alternative <sup>11, 33.</sup>



Taxol Side-chain<u>2a</u>



Baccatin III 4.



Taxol <u>5</u>

Scheme 2

### **EXPERIMENTAL SECTION**

#### Materials:

Starting substrate <u>1</u> and reference compounds <u>2a-2d</u> were synthesized in the Department of Chemical Process Research, Bristol-Myers Squibb Pharmaceutical Research Institute. The physico-chemical properties including spectral characteristics (<sup>1</sup>H NMR, <sup>13</sup>C NMR, Mass spectra) were in full accord for all these compounds. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on Brucker AM-300 MHZ or Brucker AM-500 MHz spectrometers using tetramethylsilane as internal standard. Optical rotations were recorded on a Perkin Elmer 241 polarimeter. Column chromatography was performed using silica gel (grade 60, Merck, 230-400 mesh)

<u>Preparation of the 2-keto-3-(N-benzoylamino)-3-phenylpropionic acid. ethyl ester</u> <u>1.-</u> To (+) Phenylglycine (9 g, 60 mmol) in aqueous NaOH (1N, 180 ml) at 0°C, benzoyl chloride (7.73 ml, 66 mmol) was added dropwise and stirred for 1 hr. The resulting solution was washed with ethyl acetate (20 ml x 2), then neutralized with 6 N HCl and again extracted with ethyl acetate (60 ml x 2). The combined ethyl acetate layer was washed with brine, dried over anhydrous MgSO<sub>A</sub> and con-

centrated. Benzoyl phenylglycine was crystallized from ethyl acetate/hexane to produce a white solid (10.65 g, 70 % yield). To a stirred solution of benzoyl phenylglycine (6.12 g, 24 mmole) in anhydrous THF, 4-dimethyl aminopyridine (100 mg, 0.82 mmole) was added. To the above solution, ethyl oxalyl chloride (5.35 ml, 48 mmole) was added dropwise to initiate gentle refluxing. The mixture was refluxed for 3.5 hr. To the cooled reaction mixture, water (48 ml) was added and stirred for 0.5 hr. The resulting organic layer was separated and the aqueous layer was extracted with ethyl acetate (36 ml x 2). The combined organic layer was washed with brine (30 ml x 1), dried over anhydrous Na $_2SO_4$ , filtered, concentrated

and crystallized from ethyl acetate/hexane mixture to give 4.68 g of enol ester (63% yield). To the suspensions of enol ester (3 g, 7.3 mmole) in 10 ml of ethanol, anhydrous sodium bicarbonate (0.4 g, 4.75 mmole) was added, then refluxed for 0.5 hr. The reaction mixture was then filtered and the filtrate was concentrated and purified by flash column chromatography to give 2.5 g of  $\underline{1}$  (95% yield) : mp 80-

83°C ;<sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz ) δ1.22 (t, 3H), 4.22 (m, 2H), 6.45 (d, J= 4 Hz, 1H),

7.25-7.51 (m, 8H), 7.76-7.79 (d, J= 9 Hz, 2H);  ${}^{13}$ C NMR (CDCl<sub>3</sub>, 75.46 MHz)  $\delta$  188.8, 159.4, 133.2, 133.0, 131.8, 129.2, 129.0, 128.8, 128.5, 127.0, 62.8, 60.2, 13.7; mass spectrum, m/z 312 (M+H), 226, 210; Anal. Calcd. for C<sub>18</sub> H<sub>17</sub> NO<sub>4</sub> : C, 67.60; H 5.65, N, 4.38; Found: C, 67.59; H, 5.41; N, 4.38.



Scheme 3

## Syn-(2R.3S)-(-)-N-Benzoyl-3-phenylisoserine ethyl ester 2a-

Prepared as described earlier for methyl ester <sup>14</sup>. mp 164-165°C;  $[\alpha]_D^{25} = -21.7 (C1, CHCl_3); ^1H NMR (CDCl_3, 300 MHz) \delta 1.26 (t, 3H), 3.26 (brs, 1H), 4.25 (m, 2H), 4.26 (d, J= 2 Hz, 1 H), 5.74 (dd, J= 2 HZ, 9 Hz, 1 H), 6.98 (d, J= 9 Hz, 1 H), 7.22-7.6 (m, 10 H), 7.7-7.82 (d, J= 9 Hz, 2 H); 13C NMR (CDCl_3, 75.4 MHz) \delta 172.7, 138.5, 131.5, 129.2, 128.9, 128.6, 127.5, 126.9, 73.1, 62.4, 54.6, 113.9; Mass spectrum, m/z 314.2 (M+H); Anal. calcd. C, 69.0; H, 6.11, N, 4.47; Found C,$ 

68.83; H, 6.11; N, 4.4.

## Syn-(2S, 3R)-(+)-N-Benzoyl-3-phenylisoserine ethyl ester 2b-

Prepared from cis-3S-Hydroxy-4-phenylazetidine-2-one as described earlier  ${}^{(15)}$ . mp 162-165°C,  $[\alpha]_D^{25} = +22.1$  (C1, CHCl<sub>3</sub>); <sup>1</sup>H NMR and <sup>13</sup>C NMR are identical to compound 2a; Mass spectrum, m/z 314 (M+H); Anal Calcd. C, 69.0; H, 6.11; N, 4.47; Found C, 69.01; H, 6.05; N, 4.51.

## Anti-(2S.3S)-(-)-N-Benzoyl-3-phenylisoserine ethyl ester 2c-

To a solution of <u>2a (</u>2 g, 6.38 mmole) in pyridine at 0°C, methane sulfonyl chloride (0.52 ml, 6.7 mmole) was added dropwise and stirred at 0°C for 1.5 hr and then at 65-70°C for 18 hr. The reaction mixture was cooled to room temperature, diluted with ethyl acetate (80 ml) and 33.3% saturated CuSO<sub>4</sub> solution (80 ml). The aque-

ous layer was extracted with ethyl acetate (40 ml x 1). The combined organic extract was washed with brine (80 ml x 1), dried over anhydrous  $Na_2SO_4$ , filtered

and azetroped with heptane (20 ml x 2) to give crude <u>cis</u> oxazoline as a solid (1.88 g). The crude compound was crystallized from a ethyl acetate/hexane mixture to give 1.34 g of <u>cis</u> oxazoline. To a solution of <u>cis</u> oxazoline (0.79 g, 2.67 mmole) in methanol (57 ml), 1N HCI (57 ml) was added while stirring. After 10 min, THF (57 ml) was added and stirred for 2.5 hr. The pH of the solution was then adjusted to 9.0 with NaHCO<sub>2</sub> (120 ml) and the mixture was allowed to stir at room temperature

for 18 hr. To the reaction mixture, ethyl acetate (200 ml) was added and the aqueous phase was separated and extracted with ethyl acetate (100 ml x 1). The combined organic phase was then washed with brine (150 ml x 1), dried over anhydrous  $Na_2SO_4$ , filtered and concentrated. The crude solid was dissolved in hot methanol (15 ml), then cooled to 4°C. The precipitated solid was filtered and washed with methanol to give 0.67 g of <u>2c.</u> mp 160-161°C;  $[\alpha]_D^{25} = -40.3 (C1, CHCl_3)$ ; <sup>1</sup>HNMR (CDCl<sub>3</sub>, 300 MHz)  $\delta$  1.26 (t, 3H), 3.26 (bs, 1H), 4.06 (m, 2H), 4.62 (brs, 1H), 5.62 (dd, J=2Hz, 1H), 7.15-7.60 (m, 10H), 7.8-7.84 (d, J= 9 Hz, 2 H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125.7 MHz), 174.2, 165.1, 137.5, 132.1, 130.5, 128.6, 128.4, 127.6, 125.8, 73.1, 62.8, 54.8, 13.6; Mass spectrum, m/z 314 (M+H); Anal. Calcd. C, 69.0; H, 6.11; N, 4.47; Found C, 68.91; H, 6.07; N, 4.60.

## Anti-(2R.3R-(+)-N-Benzoyl-3-phenyl isoserine ethyl ester 2d-

Prepared by the enzymatic reduction of compound <u>1</u> by  $\beta$ -hydroxy butyrate dehydrogenase (Sigma Chemicals St. Louis, MO). The reaction mixture in 250 ml of 50 mM phosphate buffer contained 1 gram of compound <u>1</u>, 200 units of enzyme, and 250 mg of NADH. The reaction was carried out at 25°C and 200 RPM on a rotary shaker for 24 hours. At the end of bioreduction, 250 ml of reaction mixture containing product 2d was extracted with 500 ml of ethyl acetate. The ethyl acetate layer was separated by centrifugation, washed with brine, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and con-

centrated under reduced pressure to obtain 0.9 grams of crude <u>2d</u>. Product was further purified by flash column chromatography and recrystallized from acetonitrile to obtain 0.7 g (70% yield) of <u>2d</u>. mp 163-165°C,  $[\alpha]_D^{25} = +41.0$  (C1, CHCl<sub>3</sub>) <sup>1</sup>HNMR

and <sup>13</sup>C NMR are identical to compound 2c.

# <u>Microorganisms</u>

Microorganisms (Table 1) were obtained from the culture collection of the Bristol-Myers Squibb Pharmaceutical Research Institute and from the American Type Culture Collection, Rockville, MD. Microbial cultures were stored at -90°C in vials.

### Growth of Microorganisms

For screening purposes, one vial of each organism was used to inoculate 100 ml of medium A containing 1% yeast extract, 1% malt extracts, 2% glucose, and 0.3% peptone. The medium was adjusted to pH 6.8 before sterilization. Cultures were grown at 28°C and 280 RPM for 48 hours on a rotary shaker. Cultures were harvested by centrifugation at 18,000 x g for 15 minutes, washed with 100 mM potassium phosphate buffer (pH 6.0) and then used for reduction studies.

## Reduction of 1 by Cell Suspensions

Cells of various cultures were suspended separately in 100 mM potassium phosphate buffer (pH 6.0) at 20% (w/v, wet cells) cell concentration and supplemented with 2.0 mg/ml of <u>1</u> and 35 mg/ml of glucose. Reduction was conducted at 28°C and 280 RPM. Periodically, samples of 1 ml were taken and extracted with four volumes of ethyl acetate. After centrifugation, the separated ethyl acetate phase was collected and dried with a nitrogen stream. The oily residue obtained was dissolved in 2 ml of mobile phase (hexane: isopropanol, 5:95,v/v), filtered through a 0.2  $\mu$ m LID/X filter and analyzed by HPLC.

## Two-stage Process:

## Growth of Hansenula strains in a Fermentor

H. polymorpha SC13865 and H. fabianii SC 13894 were grown in 25-L fermentors containing 15 L of medium A. Growth consisted of two inoculum development stages and fermentation. Inoculum development consisted of F1 and F2 stages. In the F1 stage, a frozen vial of each culture was inoculated independently in to 100 ml of medium A. The growth was carried out in 500-ml flasks at 28°C and 280 RPM for 48 hours. In the F2 stage, 100 ml of F1 stage culture of each organism was inoculated independently into 1.5 L of medium A in a 4-L flask and incubated at 28°C and 180 RPM for 24 hours. Fermentors containing 15 L of medium A were inoculated with 1.5 L of F2 stage inoculum and grown at 28°C and 500 RPM with 10 LPM (liter per minute) aeration. During fermentation, cells were periodically harvested by centrifugation from 200 ml of culture broth. Cell suspensions (20% w/v, wet cells) were prepared in 100 mM potassium phosphate buffer (pH 6.0) and supplemented with 2.0 mg /ml of compound 1 and 35 mg/ml of glucose. The reaction was conducted at 28°C and 280 RPM on a shaker. Periodically samples were removed, extracted with four volumes of ethyl acetate and separated organic phase was evaporated under gentle stream of nitrogen. The oily residue was dissoled in mobile phase, filtered through a 0.2 µm LID/X filter and analyzed for the reduction of 1 to 2 by HPLC for the reaction yield and the optical purity of 2a. Cells were harvested at an optimum activity period with the aid of a Cepa centrifuge and the wet cell pastes were collected and stored at 60°C until further use.

# Reduction of Compound 1 in a Fermentor

Cells (<u>H. polymorpha</u> SC 13865 and <u>H. fabianii</u> SC 13894) from the above batches were used to conduct the reduction of compound <u>1</u> in a 5-L fermentor in an inde-

pendent experiment. Cell suspensions (20 % w/v, wet cells) in 4 L of potassium phosphate buffer (pH 6.0) were supplemented with 8 grams of compound <u>1</u> and 140 grams of glucose. The reduction was conducted at 28°C and 250 RPM. Periodically samples were removed and extracted with four volumes of ethylacetate. After centrifugation, the organic layer was collected and dried with a nitrogen stream. The oily residue was dissolved in mobile phase, filtered through a 0.2  $\mu$ m LID/X filter and analyzed by HPLC to determine the % conversion of <u>1</u> to <u>2a</u>. The optical purity of <u>2a</u> was determined by chiral HPLC. At the end of bioreduction, 4 L of broth (<u>H</u> <u>polymorpha</u> SC 13865) containing product <u>2a</u> (7.2 grams) was extracted with 8 L of ethyl acetate. The ethyl acetate layer was separated by centrifugation and washed with 4 L of 7% sodium chloride solution. The separated organic phase was dried with anhydrous sodium sulfate and evaporated under reduced pressure to obtain 6.4 grams of pale yellow solid. Product <u>2a</u> (5.2 grams) was crystallized from acetonitrile with >99% chemical purity and >99.8% optical purity.

# Single-stage Fermentation and Bioreduction

Growth of <u>H. fabianii</u> SC 13894 was conducted in a 5-L fermentor (contained 4 L of medium) at 28°C and 300 RPM with 3 LPM aeration for 48 hour as described earliar. At the end of the fermentation cycle (48 hour), the bioreduction process was initi-

ated by the addition of substrate  $(2 \text{ gl}^{-1})$  and glucose  $(35 \text{ gl}^{-1})$ . The bioreduction process was completed in 48 hours. At the end of the bioreduction, 2 liter of broth containing product <u>2a</u> (3.4 gram) was extracted with 4 liter of ethyl acetate. The ethyl acetate layer was separated by centrifugation and washed with 2 liter of 7% sodium chloride solution. The organic phase was separated, dried with anhydrous sodium sulfate and evaporated under reduced pressure to obtain 3.2 grams of pale yellow solid. Product <u>2a</u> (2.4 grams) was crystallized from acetonitrile to >98% chemical purity and >99.5% optical purity.

# Preparation of Cell Extracts

Cultures of <u>H</u>, <u>polymorpha</u> SC 13865 and <u>H</u> <u>fabianii</u> SC 13894 were grown in a 25liter fermentor as described earlier. Cell suspensions (10% w/v, wet cells) in 0.1 M potassium phosphate buffer (pH 6.5) containing 1 mM dithiothreitol and 10 % glycerol (buffer A) were disintegrated by Microfluidizer M-110F (Microfluidics, Inc. Newton, Mass) at 12,000 psi pressure at 4°C. The lysates were centrifuged at 20,000 x g for 30 minutes at 4°C, and the clear supernatant collected was referred to as cell extracts.

## Reduction of compound 1 by cell extracts

Cell extracts from <u>H. polymorpha</u> SC 13865 and <u>H. fabianii</u> SC 13894 were evaluated for the reduction of <u>1</u>. The reaction mixture contained 0.5 mM NADH, and 100 mg of <u>1</u> in 50 ml of cell extracts. The reactions were conducted at 28°C, 250 RPM on a shaker. Control experiment was carried out under similar conditions except, NADH was not added to the reaction mixture. Protein was determined by Bradford's method <sup>16</sup>.

### Analytical Methods

Samples (5 ml) from the reaction mixture were extracted with two volumes of ethyl acetate and the separated organic phase was filtered through a 0.2  $\mu$ m LID/X filter. Collected samples were analyzed for substrate and product concentration with a Hewlett Packard 1070 HPLC. A Phenomenex Cyanopropyl column (150 x 4.6 mm, 5  $\mu$ ) was used. The mobile phase was consisted of 5% isopropanol in hexane. The flow rate was 230 nm. The retention times for substrate, <u>syn</u> diastereomer (2a, 2b) and <u>anti</u> diastereomer (2c,2d) of product were 26.8 min, 20.4 min, and 22.2 min, respectively.

The separation of the two enantiomers of the <u>syn</u> and <u>anti</u> diastereomers was achieved by HPLC using dual columns connected in a series. The first column was a Pirkle column (DNBPG, dinitrophenylglycine, 250 x 4.6 mm, 5  $\mu$ ) and the second column was chiralcel OB (250 x 4.6 mm, 5  $\mu$ ). The mobile phase was consisted of 25: 2.5: 2.5: 70 of isopropanol: n-butanol, methanol:hexane. The flow rate was 0.5 ml/min and the detector wavelength was 230 nm. The retention times for the two enantiomers of the <u>syn</u> diastereomer of <u>2</u> were 20.4 min and 23.4 min, respectively. The retention times for the two enantiomers of the <u>anti</u> diastereomer of <u>2</u> were 21.3 min and 27.2 min, respectively (figure 1 a).





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