

# Enantiotopic-Group Differentiation. Asymmetric Monoesterification of Malonic Acids Using Cinchona Alkaloid Derivatives

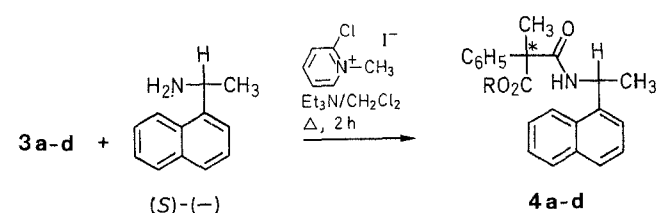
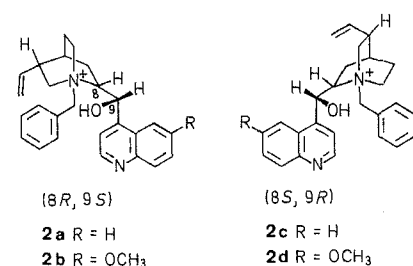
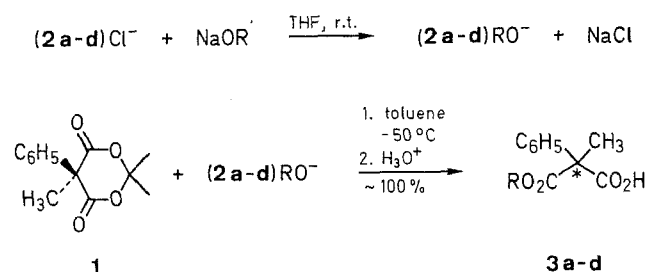
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2,2-Dimethyl-5-methyl-5-phenyl-4,6-dioxo-1,3-dioxane (**1**) was cleaved asymmetrically, by nucleophilic attack of primary alkoxide ions, paired with *N*-benzylquaternary ammonium cations, derived from cinchona alkaloids, to give optically active monoalkyl malonates **3** with moderate stereoselectivity. The ester group of **3** was selectively reduced to afford optically active  $\alpha$ -methyltropic acid (**5**) in good yield. The relationship between the structure of ammonium cations and the direction of stereoselectivity is described.

Enantioselective monoesterification of prochiral 2,2-disubstituted malonic acid is not only one of the prominent methods of providing versatile chiral building blocks for a number of optically and biologically active compounds,<sup>1-3</sup> but also an attractive method for construction of a quaternary asymmetric carbon center. Chiral monoesters of disubstituted malonic acids have been obtained by utilizing hydrolases such as porcine liver esterase<sup>2,4,5</sup> or microbial lipases.<sup>6,7</sup> Very recently, unsymmetrical propane-1,3-diols were prepared from mono-substituted malonic acids by the use of chiral alcohols.<sup>8</sup> Nevertheless, little has been reported on the construction of quaternary asymmetric carbon center from malonic acids by non-enzymatic method.<sup>9</sup>

We wish to present our results on the differentiation of the enantiotopic carbonyl groups of 2,2-dimethyl-5-methyl-5-phenyl-4,6-dioxo-1,3-dioxane (**1**)<sup>10</sup> by the use of alkoxide anions



3, 4	R
a	CH <sub>3</sub>
b	C <sub>2</sub> H <sub>5</sub>
c	<i>n</i> -C <sub>3</sub> H <sub>7</sub>
d	<i>n</i> -C <sub>4</sub> H <sub>9</sub>

paired with chiral quaternary ammonium cations derived from cinchona alkaloids. As described in the previous papers,<sup>11,12</sup> one of the principal advantages of this reaction, utilizing cyclic substrate, is that they give only monoester without over-reaction leading to achiral diester. At first, we chose the addition of methoxide anion to **1** as a standard reaction to see the dependence of enantiomeric excess (e.e.) on the reaction conditions and on the structure of chiral ammonium cations. *N*-Benzylquininium methoxide [(**2d**)CH<sub>3</sub>O<sup>-</sup>] was prepared *in situ* by mixing *N*-benzylquininium chloride [(**2d**)Cl<sup>-</sup>] and an equimolar amount of sodium methoxide in dry tetrahydrofuran. The resulting mixture, a slightly cloudy solution, was added as

**Table 1.** Asymmetric Monoesterification of **1** with Alkoxide Anions Paired with Various Ammonium Cations **2**<sup>a</sup>

Entry	Alkoxide	Reaction Conditions		Yield <sup>b</sup> (%)	e.e. <sup>c</sup> (%)	Selectivity <sup>d</sup>
		Temp (°C)	Time (h)			
1	( <b>2a</b> ) CH <sub>3</sub> O <sup>-</sup>	-50	0.25	88	4	pro- <i>S</i>
2	( <b>2b</b> ) CH <sub>3</sub> O <sup>-</sup>	-50	0.25	89	27	pro- <i>S</i>
3	( <b>2c</b> ) CH <sub>3</sub> O <sup>-</sup>	-50	0.25	92	8	pro- <i>R</i>
4	( <b>2d</b> ) CH <sub>3</sub> O <sup>-</sup>	-50	0.25	73	34	pro- <i>R</i>
5	( <b>2d</b> ) CH <sub>3</sub> O <sup>-</sup>	-78	0.5 <sup>e</sup>	100 <sup>f</sup>	37	pro- <i>R</i>
6	( <b>2d</b> ) CH <sub>3</sub> O <sup>-</sup>	20	5 min	65	23	pro- <i>R</i>
7	( <b>2d</b> ) CH <sub>3</sub> O <sup>-</sup>	-82	0.25	81	34	pro- <i>R</i>
8	( <b>2a</b> ) C <sub>2</sub> H <sub>5</sub> O <sup>-</sup>	-50	2	81	8	pro- <i>S</i>
9	( <b>2b</b> ) C <sub>2</sub> H <sub>5</sub> O <sup>-</sup>	-50	2	82	45	pro- <i>S</i>
10	( <b>2b</b> ) C <sub>2</sub> H <sub>5</sub> O <sup>-</sup>	-78	2.5 <sup>e</sup>	90 <sup>f</sup>	45	pro- <i>S</i>
11	( <b>2c</b> ) C <sub>2</sub> H <sub>5</sub> O <sup>-</sup>	-50	2	44	20	pro- <i>R</i>
12	( <b>2d</b> ) C <sub>2</sub> H <sub>5</sub> O <sup>-</sup>	-50	2	65	43	pro- <i>R</i>
13	( <b>2b</b> ) <i>n</i> -C <sub>3</sub> H <sub>7</sub> O <sup>-</sup>	-78	3.5 <sup>e</sup>	91 <sup>f</sup>	51	pro- <i>S</i>
14	( <b>2d</b> ) <i>n</i> -C <sub>3</sub> H <sub>7</sub> O <sup>-</sup>	-50	2	57	39	pro- <i>R</i>
15	( <b>2d</b> ) <i>i</i> -C <sub>3</sub> H <sub>7</sub> O <sup>-</sup>	-50	1	— <sup>g</sup>	—	—
16	( <b>2b</b> ) <i>n</i> -C <sub>4</sub> H <sub>9</sub> O <sup>-</sup>	-50	2	69	45	pro- <i>S</i>
17	( <b>2d</b> ) <i>n</i> -C <sub>4</sub> H <sub>9</sub> O <sup>-</sup>	-78	3.5 <sup>e</sup>	77 <sup>f</sup>	40	pro- <i>R</i>

<sup>a</sup> Acylal **1** (0.3 mmol), alkoxide (0.36 mmol), dry toluene (13 mL), dry THF (2 mL).

<sup>b</sup> Overall yield of **4** based on **1**.

<sup>c</sup> Calculated from diastereoisomeric ratios of **4**.

<sup>d</sup> Preferentially attacked carbonyl group of **1**.

<sup>e</sup> Preparative scale reaction (**1**: 1.2–2.1 mmol).

<sup>f</sup> Yield of **3**.

<sup>g</sup> No reaction was observed.

**Table 2.** <sup>1</sup>H-NMR Data of Monoesters **3**

Mono- ester	<sup>1</sup> H-NMR (CDCl <sub>3</sub> /TMS) δ, J (Hz)
<b>3a</b>	1.88 (s, 3H, CH <sub>3</sub> ); 3.71 (s, 3H, OCH <sub>3</sub> ); 7.20 (s, 5H, C <sub>6</sub> H <sub>5</sub> ); 9.5 (br s, 1H, CO <sub>2</sub> H)
<b>3b</b>	1.25 (t, 3H, J = 7.2, CH <sub>3</sub> CH <sub>2</sub> ); 1.87 (s, 3H); 4.20 (q, 2H, J = 7.2, CH <sub>3</sub> CH <sub>2</sub> ); 7.23 (s, 5H); 8.3 (br s, 1H, CO <sub>2</sub> H)
<b>3c</b>	0.9 (t, 3H, J = 7.0, CH <sub>3</sub> CH <sub>2</sub> ); 1.5–1.9 (m, 2H, CH <sub>3</sub> CH <sub>2</sub> ); 1.88 (s, 3H); 4.1 (t, 2H, J = 7.0, CO <sub>2</sub> CH <sub>2</sub> ); 7.23 (s, 5H); 8.2 (br s, 1H, CO <sub>2</sub> H)
<b>3d</b>	0.8–1.8 (m, 7H, CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> ); 1.90 (s, 3H); 4.2 (t, 2H, J = 6.4, CO <sub>2</sub> CH <sub>2</sub> ); 7.30 (s, 5H); 10.7 (br s, 1H, CO <sub>2</sub> H)

such to a cold solution of the acylal **1** under argon atmosphere. The ring-opening reaction proceeded rapidly and quenching the reaction mixture gave the monomethylester **3a** quantitatively (Tables 1, 2). E.e. of **3a** was determined by <sup>1</sup>H-NMR or HPLC analysis of the corresponding diastereoisomeric amide-ester **4a** (Table 3), which was prepared from **3a** and (S)-1-(1-naphthyl)ethylamine.<sup>7</sup> Racemic **3a** showed 2.4 % e.e. by this method, so the e.e. can be determined within an error of ca. ± 2 %.

**Table 3.** Spectral Data of Diastereomeric Amide-esters **4**

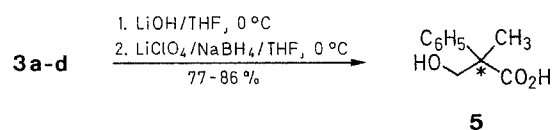
Amide-ester	MS (70 eV) <i>m/z</i> (%)	<sup>1</sup> H-NMR (200 MHz, CDCl <sub>3</sub> /TMS) $\delta$ , <i>J</i> (Hz)
<b>4a</b>	361 (M <sup>+</sup> , 12); 164 (70); 155 (100)	1.6 (2d, 3H, <i>J</i> = 6.8, CHCH <sub>3</sub> ); 1.85 (s, 3H, CH <sub>3</sub> ); 3.58, 3.79 (2s, 3H, CO <sub>2</sub> CH <sub>3</sub> ); 5.94 (m, 1H, CH); 6.8 and 6.9 (2d, br, 1H, NH); 7.2–8.1 (m, 12H <sub>arom</sub> )
<b>4b</b>	375 (M <sup>+</sup> , 14); 178 (85); 155 (100)	1.0, 1.3 (2t, 3H, <i>J</i> = 7.2, CH <sub>2</sub> CH <sub>3</sub> ); 1.6 (2d, 3H, <i>J</i> = 6.5, CHCH <sub>3</sub> ); 1.8 (2s, 3H, CH <sub>3</sub> ); 4.03 (m), 4.26 (q, 2H, <i>J</i> = 7.2, CH <sub>2</sub> CH <sub>3</sub> ); 5.9 (m, 1H, CH); 6.85 (br m, 1H, NH); 7.2–8.1 (m, 12H <sub>arom</sub> )
<b>4c</b>	389 (M <sup>+</sup> , 13); 192 (77); 155 (100)	0.7, 0.9 (2t, 3H, <i>J</i> = 7.4, CH <sub>3</sub> CH <sub>2</sub> ); 1.4 (m, 2H, CH <sub>3</sub> CH <sub>2</sub> ); 1.6 (2d, 3H, <i>J</i> = 6.5, CHCH <sub>3</sub> ); 1.8 (2s, 3H, CH <sub>3</sub> ); 3.93 (m), 4.16 (t, 2H, <i>J</i> = 6.6, CO <sub>2</sub> CH <sub>2</sub> ); 5.9 (m, 1H, CH); 6.9 (br m, 1H, NH); 7.2–8.1 (m, 12H <sub>arom</sub> )
<b>4d</b>	403 (M <sup>+</sup> , 10); 206 (62); 155 (100)	0.8–1.4 (m, 5H, CH <sub>2</sub> CH <sub>3</sub> ); 1.55–1.7 (m, 5H, OCH <sub>2</sub> CH <sub>2</sub> , CHCH <sub>3</sub> ); 1.80 (2s, 3H, CH <sub>3</sub> ); 3.96 (m), 4.20 (t, 2H, <i>J</i> = 6.6, CO <sub>2</sub> CH <sub>2</sub> ); 5.9 (m, 1H, CH); 6.93 (br m, 1H, NH); 7.2–8.1 (m, 12H <sub>arom</sub> )

The yield and the extent of stereoselectivity of the reaction were highly affected by the nature of the reaction medium, polarity of the solvent and the solubility of the nucleophile [(**2 a–d**) RO<sup>−</sup>]. Preliminary experiments revealed that relatively non-polar and aprotic solvent such as toluene, dimethoxyethane, and tetrahydrofuran were found to be preferable solvents from both chemical and optical yields. Therefore the reaction was carried out in a mixture of dry tetrahydrofuran and dry toluene throughout the experiments.

The ring-opening reaction was completed within a few minutes and the conversion was 100 % (GC) for all the experiments using methoxide anion as nucleophile. The yield was given as an isolated yield of diastereoisomeric **4a**. The selectivity of the reaction was determined from the absolute configuration of  $\alpha$ -methyltropic acid (**5**) prepared from **3a** by selective reduction of the ester group (*vide infra*). When the reaction was conducted at room temperature, decarboxylation accompanied to some extent, resulting in low chemical yield. However, it could completely be avoided by lowering the reaction temperature to  $-50^{\circ}\text{C}$ . Ammonium cations derived from cinchonine and cinchonidine (entry 1 and 3) gave much lower e.e.'s than the case with quininium and quinidinium cations (entry 2 and 4). The observed low selectivity was attributed mainly to the fact that *N*-benzylcinchoninium methoxide [**(2a)** $\text{CH}_3\text{O}^-$ ] and *N*-benzylcinchonidininium methoxide [**(2c)** $\text{CH}_3\text{O}^-$ ] are much less soluble in tetrahydrofuran when they were prepared *in situ*, resulting in preferential ion-pairing of methoxide anion with  $\text{Na}^+$  instead of chiral ammonium cations, **2a** or **2c**. It is worth noting that the cations **2a** and **2b**, having the same C-8(R)–C-9(S) configuration, promoted the preferential attack of  $\text{CH}_3\text{O}^-$

on the pro-*S*-carbonyl group of **1** (entry 1 and 2), whereas the cations **2c** and **2d** having the C-8(*S*)–C-9(*R*)- configuration showed pro-*R*-selectivity (entry 3,4). This type of stereocontrol is observed generally in cinchona alkaloid-mediated asymmetric induction such as 1,4-addition of nucleophile to  $\alpha,\beta$ -unsaturated carbonyl compounds<sup>13,14</sup> and asymmetric methanolysis of cyclic acid anhydrides.<sup>10,11</sup> Primary alkoxide,  $\text{C}_2\text{H}_5\text{O}^-$ ,  $n\text{-C}_3\text{H}_7\text{O}^-$ , and  $n\text{-C}_4\text{H}_9\text{O}^-$  were also found to react with **1** to give the corresponding monoester in high yields. The reaction for these alkoxides was much slower than for  $\text{CH}_3\text{O}^-$ , and they required 1.5-2 hours for completion at  $-50^\circ\text{C}$ . But the optical yields were generally improved to 40–50 % e.e. Secondary alkoxide  $i\text{-C}_3\text{H}_7\text{O}^-$  was unreactive, and **1** was recovered quantitatively.<sup>10</sup> As for the direction of stereoselectivity, the cations **2a** and **2b** promoted pro-*S*-attack and **2c** and **2d**, pro-*R*-attack of alkoxides examined, showing the same stereochemical preferences as found with  $\text{CH}_3\text{O}^-$ .

A preparative scale reaction was effected and selective reduction of the ester group with lithium borohydride<sup>12</sup> afforded optically active 3-hydroxy-2-methyl-2-phenylpropanoic acid [ $\alpha$ -methyltropic acid (**5**)] (Table 4). The treatment of **1** with methoxide [ $(2d)CH_3O^-$ ] gave (*S*)-**5** with  $[\alpha]_D^{20} - 10.9^\circ$  ( $c = 1.0$ ,  $C_2H_5OH$ ) in an overall yield of 82 % from **1**. The optical purity of **5** was calculated to be 38 % e.e. based on the reported maximum rotation,  $[\alpha]_D^{20} + 28.7^\circ$  ( $C_2H_5OH$ ) for optically pure (*R*)-**5**.<sup>1</sup> The optical purity (38 % e.e.) agreed with that of the monoester **3a** (37 % e.e.) which was calculated from the diastereoisomeric excess of the corresponding amide-ester **4a**. The same procedure was applied to the reactions of **1** with alkoxides [ $(2b)C_2H_5O^-$ ], [ $(2b)n-C_3H_7O^-$ ], and [ $(2d)n-C_4H_9O^-$ ]. In all cases selective reduction of the ester group was well achieved and optically active **5** was obtained in 77–86 % yield from **1**. The absolute configuration of **5** thus obtained clarified the direction of asymmetric induction, i.e. pro-*R*- or pro-*S*-attack, for each monoester **3** studied.



**Table 4.** Preparation of  $\alpha$ -Methyltropic Acid (**5**) from **1**<sup>a</sup>

Entry	Alkoxide Used	Yield <sup>b</sup> (%)	$[\alpha]_D^{20}$ ( <i>c</i> = 1, EtOH)	Optical Purity <sup>c</sup> (%)	Confi- guration of <b>5</b>
1	<b>(2d)</b> CH <sub>3</sub> O <sup>−</sup>	82	−10.9°	38	<i>S</i>
2	<b>(2b)</b> C <sub>2</sub> H <sub>5</sub> O <sup>−</sup>	86	+11.3°	39	<i>R</i>
3	<b>(2b)</b> <i>n</i> -C <sub>3</sub> H <sub>7</sub> O <sup>−</sup>	86	+12.3°	43	<i>R</i>
4	<b>(2d)</b> <i>n</i> -C <sub>4</sub> H <sub>9</sub> O <sup>−</sup>	77	−11.0°	38	<i>S</i>

<sup>a</sup> Reaction conditions of ring-opening: **1** (1.2–2.1 mmol), alkoxide (1.2 equiv of **1**), dry toluene/THF (40–90 mL), –78 °C, 2–3 h.

<sup>b</sup> Overall yield of **5** based on **1**. The structure and the purity of **5** were ascertained by <sup>1</sup>H-NMR, MS, and microanalyses.

<sup>c</sup> Calculated from the reported value  $[\alpha]_D^{20} + 28.7^\circ$  ( $C_2H_5OH$ ).<sup>1</sup>

Finally, although the stereoselectivity still remains to be improved, the present study opens a new route to chiral malonic acid derivatives via enantioselective ring-opening of cyclic acylal **1** with alkoxide anion by the use of cinchona alkaloids.

THF was dried by distillation from sodium wire immediately before use. Toluene, EtOH, MeOH,  $\text{CH}_2\text{Cl}_2$ , and 1,2-dimethoxyethane were distilled over  $\text{CaH}_2$  and stored over molecular sieves 4 Å. Quaternary ammonium salts of cinchona alkaloids were prepared by a modified procedure reported in refs. 15 and 16.  $^1\text{H}$ -NMR spectra were measured on a Varian EM 360 (60 MHz) and Varian VXR 200 (200 MHz) instruments. Microanalyses were performed by a Yanaco MT-3. Mass spectra were recorded on a JEOL JMS-DX-300. Optical rotations were measured on a Perkin-Elmer 241 polarimeter. GC-analyses were carried out on Shimadzu GC-4B instrument equipped with a flame ionization detector. HPLC-analyses were performed on a Jasco BIP-1 chromatograph system (column, silica gel NUCLEOSIL 50-5, 25 cm  $\times$  4 mm; eluent, hexane/2-propanol; detection, 280 nm). Melting points were determined on a hot plate apparatus and are uncorrected.

**Asymmetric Monoesterification of 2,2-Dimethyl-5-methyl-5-phenyl-4,6-dioxo-1,3-dioxane (1); Typical Procedure:**

*N*-Benzylquininium chloride [(2d) $\text{Cl}^-$ , 162 mg, 0.36 mmol] is suspended in dry THF (2 mL) and a methanol solution of NaOMe (3.0 mmol/mL, 120  $\mu\text{L}$ , 0.36 mmol) is added to the mixture at room temperature. The suspension immediately becomes opaque. The mixture is stirred for 10 min at room temperature, and then is added dropwise to a solution of **1** (70.3 mg, 0.3 mmol) in dry toluene (13 mL) at  $-50^\circ\text{C}$ . The reaction is monitored by GC [5% XE-60, 1 m,  $160^\circ\text{C}$ , carrier gas flow rate 40 mL/min,  $R_t$ : 0.52 min (product, detected as methyl 2-phenylpropionate), 6.1 min (**1**)]. The substrate **1** is completely consumed within 5 min. After the mixture is stirred at  $-50^\circ\text{C}$  for 15 min, it is quenched by adding 3% citric acid solution (30 mL). The organic layer is separated and the aqueous layer is extracted with ether (3  $\times$  20 mL). The combined organic layer is washed with sat. brine and dried ( $\text{Na}_2\text{SO}_4$ ). Evaporation below  $50^\circ\text{C}$  gives quantitatively methyl hydrogen methylphenylmalonate (**3a**) as a colorless oil. In order to determine the e.e. of **3a**, it is reacted with (*S*)-1-(1-naphthyl)ethylamine according to the procedure given below.

**Determination of Enantiomeric Excess; Typical Procedure for 4a:**

A mixture of (*S*)-1-(1-naphthyl)ethylamine (61.6 mg, 0.36 mmol), 2-chloro-1-methylpyridinium iodide (92.0 mg, 0.36 mmol),  $\text{Et}_3\text{N}$  (72.9 mg, 0.72 mmol), and **3a** (0.3 mmol) in dry  $\text{CH}_2\text{Cl}_2$  (5 mL) is heated under reflux for 2 h. The mixture is evaporated and the residual oil is passed through a short-pass silica gel column using EtOAc eluent. Diastereomeric mixture of the amide-ester **4a** is obtained as colorless oil; yield: 88.2 mg (81% based on **1**). The e.e. is determined by the integration of the diastereomeric proton peaks ( $\delta = 3.58$  and  $3.79$ ) or by HPLC (hexane/propan-2-ol, 100:3, flow rate 0.7 mL/min,  $R_t$ : 9.7 and 10.5 min).

**Selective Reduction of Ester-group; Typical Procedure Starting from 3a:**

Powdered anhydrous LiOH (47.7 mg, 1.99 mmol) is dissolved in dry THF (60 mL), and then methyl hydrogen methylphenylmalonate [**3a**; 415 mg, 1.99 mmol, prepared from **1** and (2d) $\text{CH}_3\text{O}^-$ , 37% e.e.] is added to the solution and the mixture is stirred at  $0^\circ\text{C}$  until homogeneous. The temperature should be kept below  $0^\circ\text{C}$  until reducing reagent is added in order to avoid decarboxylation of **3a**. To this slightly cloudy solution are added anhydrous  $\text{LiClO}_4$  (848 mg, 7.97 mmol) and  $\text{NaBH}_4$  (302 mg, 7.97 mmol) successively under argon

atmosphere at  $0^\circ\text{C}$ . The mixture is stirred for 3 h at  $0^\circ\text{C}$ , then at room temperature for 2.5 h. The solvent is evaporated and the residue is quenched with ice-cold 2N HCl (40 mL). The aqueous solution is extracted with ether (4  $\times$  30 mL) and the combined extract is washed with sat. brine and dried ( $\text{Na}_2\text{SO}_4$ ). Evaporation and distillation gives 3-hydroxy-2-methyl-2-phenylpropanoic acid ( $\alpha$ -methyltropic acid, **5**) as colorless syrup which crystallizes upon standing; yield: 294 mg (82% based on **1**); bp  $156\text{--}159^\circ\text{C}$  (bath)/0.7 mbar; mp  $79\text{--}81^\circ\text{C}$  (Lit.<sup>1</sup> mp  $77\text{--}79^\circ\text{C}$ );  $[\alpha]_D^{20} -10.9^\circ$  ( $c = 1.0$ ,  $\text{C}_2\text{H}_5\text{OH}$ ) [Lit.<sup>1</sup>  $[\alpha]_D^{20} +28.7^\circ$  ( $\text{C}_2\text{H}_5\text{OH}$ ) for optically pure (*R*)-**5**].

$^1\text{H}$ -NMR ( $\text{CHCl}_3$ , 200 MHz):  $\delta = 1.68$  (s, 3 H,  $\text{CH}_3$ ); 3.66, 4.10 (2 d, 1 H each,  $J = 11.4$  Hz, 2 H,  $\text{CH}_2\text{O}$ ) 6.9 (br s, 2 H, OH,  $\text{CO}_2\text{H}$ ); 7.3 (m, 5 H,  $\text{C}_6\text{H}_5$ ).

$\text{C}_{10}\text{H}_{12}\text{O}_3$  calc. C 66.65 H 6.71  
(180.2) found 66.90 6.76

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