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The Effect of Aromatic Ring Size in Electron Deficient Semiconducting Polymers for n-type Organic Thermoelectrics

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Abstract

N-type semiconducting polymers have been recently utilized in thermoelectric devices, however they have typically exhibited low electrical conductivities and poor device stability, in contrast to p-type semiconductors, which have been much higher performing. This is due in particular to the n-type semiconductor's low doping efficiency, and poor charge carrier mobility. Strategies to enhance the thermoelectric performance of n-type materials include optimizing the electron affinity (EA) with respect to the dopant to improve the doping process and increasing the charge carrier mobility through enhanced molecular packing. Here, we report the design, synthesis and characterization of fused electron-deficient n-type copolymers incorporating the electron withdrawing lactone unit along the backbone. The polymers were synthesized using metal-free aldol condensation conditions to explore the effect of enlarging the central phenyl ring to a naphthalene ring, on the electrical conductivity. When n-doped with N-DMBI, electrical conductivities of up to 0.28 S cm^{-1} , Seebeck coefficients of $-75 \text{ } \mu\text{V K}^{-1}$ and maximum Power factors of $0.16 \text{ } \mu\text{W m}^{-1} \text{ K}^{-2}$ were observed from the polymer with the largest electron affinity of -4.68 eV . Extending the aromatic ring reduced the electron affinity, due to reducing the density of electron withdrawing groups and subsequently the electrical conductivity reduced by almost two orders of magnitude.



Introduction

Conjugated polymers play an essential role in the development of next-generation organic electronics.¹⁻³ Recently, they have been heavily exploited in the development of cost-competitive organic thermoelectric devices (OTE) that could be employed to convert waste thermal energy collected from mechanical, chemical, and electrical processes, into electricity⁴. Although developing OTE materials is still a work in progress especially for n-type OTE, the low cost, ease of fabrication, and high degree of flexibility offer π -conjugated polymers a potential advantage over other type of thermoelectric materials such as inorganic alloys (i.e., lead telluride and bismuth chalcogenide).⁵ The figure of merit, ZT , expresses the efficiency of heat conversion to electricity by thermoelectric materials, which is determined by electrical conductivity (σ), Seebeck coefficient (S), and thermal conductivity (k), ($ZT = S^2\sigma T/k$). However, due to the low thermal conductivity that conjugated polymers possess,⁶ their thermoelectric performance is usually evaluated by the power factor (PF) where $PF = S^2\sigma$. Since the power factor is proportional to the electrical conductivity, which is dependent on carrier concentration n (cm^{-3}), (maximized upon doping), and carrier mobility μ ($\text{cm}^2 \text{V}^{-1}\text{s}^{-1}$), a polymer with high electron mobility and an efficient doping process would lead to a boost in thermoelectric performance.

Hole conducting polymers have demonstrated high electrical conductivities of over 1000 S cm^{-1} . As a result, their thermoelectric figures of merit (ZT) exhibited high values in the range of 0.2-0.4,⁷⁻¹¹ comparable to the state-of-the-art inorganic (TE) materials. However, the conductivity of n-type electron-conducting polymers have been trailing behind their p-type counterparts, with few examples having achieved conductivities of over 1 S cm^{-1} ,¹²⁻¹⁵ This is predominantly arising from not exhibiting a large enough electron affinity (too shallow LUMO energy level) to facilitate electron transfer from n-dopant. A deep LUMO level is required to achieve an energy offset that



allows electron transfer from the HOMO level of the dopant to the LUMO level of the polymer, thus facilitating the doping mechanism.^{16, 17} Additionally, a low LUMO level is beneficial towards preventing the common redox reactions of water and oxygen with the doped polymer radical anion which could quench the doped state and lead to a rapid decay in electrical conductivities and irreversible chemical degradation.¹⁸⁻²⁰ A consequence of the synthetic schemes for transition metal mediated aromatic coupling polymerization reactions, is the requirement of an electron rich monomer, which is incorporated along the backbone. This hinders the design of very deep LUMO polymers, with large electron affinities, as it dilutes the positive effect on EA of electron deficient co-repeat units. Therefore, it is desirable to introduce new synthetic strategies to couple acceptor-acceptor (A-A) repeat units to afford unipolar n-type semiconducting polymers with large electron affinities and stable ambient operation.^{21, 22}

The strategy most adopted to improve the conductivity of semiconducting polymers is electronic doping. To achieve an effective electron doping process, the LUMO energy level of the semiconductor must be deeper than the HOMO energy level of the dopant.^{17, 23} This thermodynamic requirement in effect narrows the choice of dopant to extremely electron rich molecules, which often suffer from ambient oxidation, thus presenting an additional problem. To help alleviate this constraint, it is desirable therefore to design polymers with as large electron affinities (deep LUMO energy levels) as possible. The charge carrier mobility of semiconducting polymers has been shown to increase with decreasing energetic disorder,²⁴ which in turn decreases with increasing backbone rigidity. The conformationally locked molecular design of a series of previously reported electron deficient aldol condensation polymers, can therefore be exploited to facilitate both electron doping and high electron mobility.^{17, 20, 25, 26} By selecting the appropriate



alkyl side chain size,^{27, 28} or by extending the aryl repeat unit size,^{29, 30} intermolecular close contacts can be modified to explore the effect on charge carrier mobility and energy levels.

Herein, replacement of the bis-oxindole monomer with a more electron withdrawing bis-lactone, to synthesize an alternating lactam-lactone copolymer was expected to further deepen the polymer LUMO energy level relative to all previously reported lactams, of which the deepest LUMO was (-4.2 eV). Within the lactam-lactone backbone, sterically accessible sites are promoted by the aryl rings, which will promote intermolecular contacts and thus potentially enhance charge hopping between chains, to ultimately improve the thermoelectric performance.

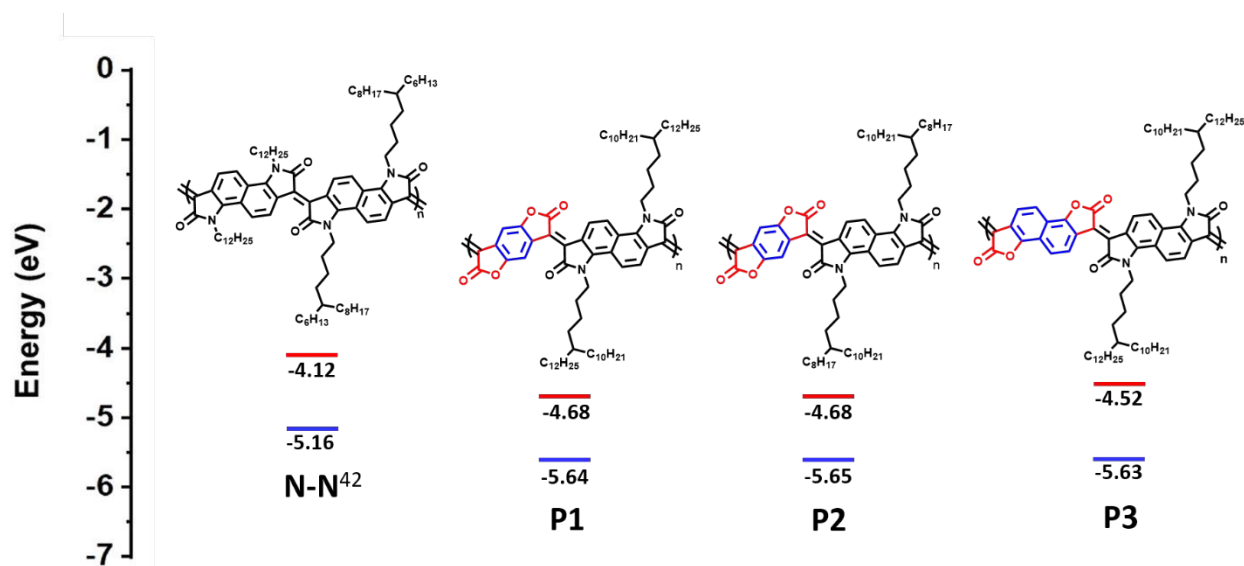


Figure 1. Chemical structures and calculated HOMO/LUMO of previous studied polymer (N-N) and new polymers, illustrating the effect of introducing bis-lactone units. HOMO is measured by photoelectron spectroscopy in air (PESA). LUMO is calculated from $E_{\text{opt, gap}}$ and IP.



Results and discussion

Polymers design, synthesis, and characterization

Monomer synthesis: The synthesis of bis-isatin and bis-lactone monomers were executed as reported in literature,³¹⁻³³ As shown in (Figure.2), bis-isatin monomers M1 and M2 were synthesized starting with the Martinet dioxindole condensation of 1,5-diaminonaphthalene to form the bis-oxindole intermediate, which was then oxidized to form the bis-isatin (compound 1). The n-alkylation of the bis-isatin compound was then performed using an alkyl bromide and sodium bicarbonate in dimethylformamide to form monomers M1 and M2 in 11% and 25% yield respectively. The phenyl-bis-lactone monomer was synthesized starting with the nucleophilic addition of ethyl cyanoacetate to 1,4-benzoquinone followed by a hydrolysis step using water and hydrochloric acid to generate the diacid intermediate, which was then subjected to a dehydration reaction using acetic anhydride to yield 26% of the phenyl-bis-lactone monomer (M3). Finally, naphthalene-bis-lactone monomer was obtained via the addition of 1,1,2-trichloroethylene to the 1,5-dihydroxynaphthalene using sodium hydroxide to generate compound 4. This was followed by an elimination step using n-BuLi to generate the dialkyne (Compound 5), which was subjected to an oxidative cyclization reaction to provide (M4) in 5% yield. Detailed synthetic protocols with monomer identifications are reported in the supporting information (section 2).



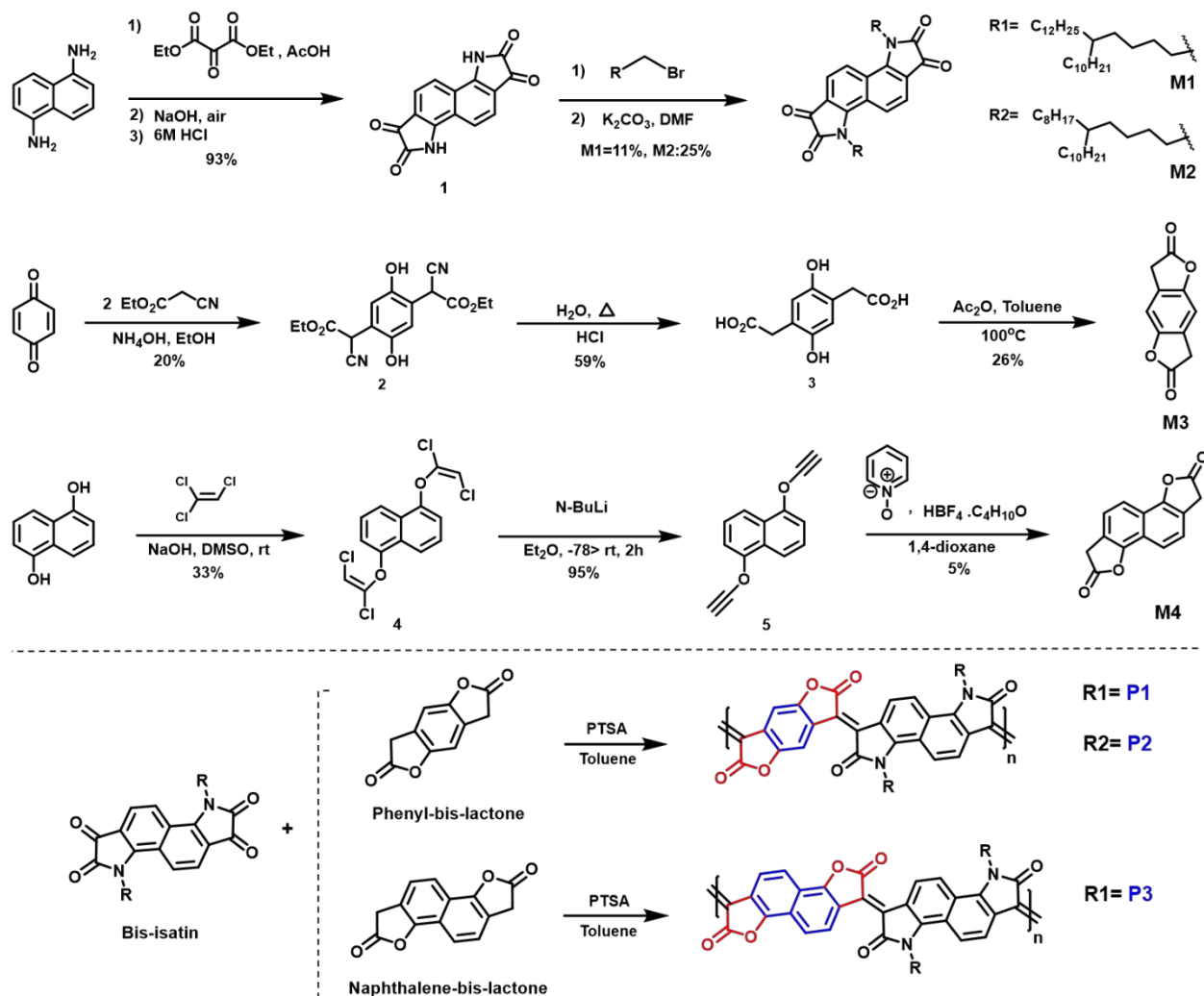


Figure 2. Synthetic approach to monomers (M1, M2, M3, and M4) and polymers (P1, P2, and P3).

Polymer design: The design and synthesis of conjugated polymers with low LUMO energy levels has been extremely challenging.¹⁸ A previously reported aldol condensation polymerization³⁴ was adapted by replacing the bis-oxindole monomer with bis-lactone, for copolymerization with a bis-isatin monomer to form the extremely electron deficient alternating lactam-lactone copolymers P1, P2, and P3. Unlike transition-metal mediated reactions (i.e. Stille, Suzuki-Miyaura or Kumada



coupling) where metal reagents could be highly toxic and require strenuous purification processes, this metal-free polymerization has water as the only by-product.

Optimizing the doping process depends not only on the electron affinity but also the steric accessibility of the dopant to be effectively accommodated within the polymer microstructure. For polymers P1 and P2, the selection of side chains R1 and R2 involves consideration of polymer solubility, as well the facilitation of intermolecular short contacts by locating the branch points away from the backbone.²⁷ For polymer P3, the phenyl-bis-lactone was replaced with a naphthalene-bis-lactone, anticipating that the enlarged ring size would further promote intermolecular short contacts.³⁰ Through extending the unsubstituted aryl size, it was also expected that the solubility of the resultant polymer would be potentially compromised, hence a longer side chain (R1) was selected.

Polymers synthesis and characterization: Having successfully synthesized the four monomers, the polymerization was carried out using an acid catalyzed, metal free, aldol condensation between the bis-isatin unit and the enolisable bis-lactone. Both monomers were refluxed in a toluene solution in the presence of *p*-toluene sulphonic acid to produce the acceptor-acceptor (A-A) copolymers, P1, P2, and P3 with yields of 86%, 43% and 45%, respectively. Detailed polymerization conditions are reported in the supporting information (section 2.3). The polymers were subsequently purified by Soxhlet extraction, and their chemical structures were verified using ¹H NMR spectra. Supporting information (section 3). P1 and P2 have been extracted as dark blue solid, while P3 exhibited a dark green colour. Polymers dissolve very well in both chloroform and chlorobenzene, and have similar molecular weights (M_n) of around 14 kDa, which were evaluated by high-temperature gel permeation chromatography (GPC) at 150 °C using 1,2,4-trichlorobenzene (TCB) as eluent (Table 1).



The thermal properties of the polymers were investigated through thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC). All polymers showed excellent

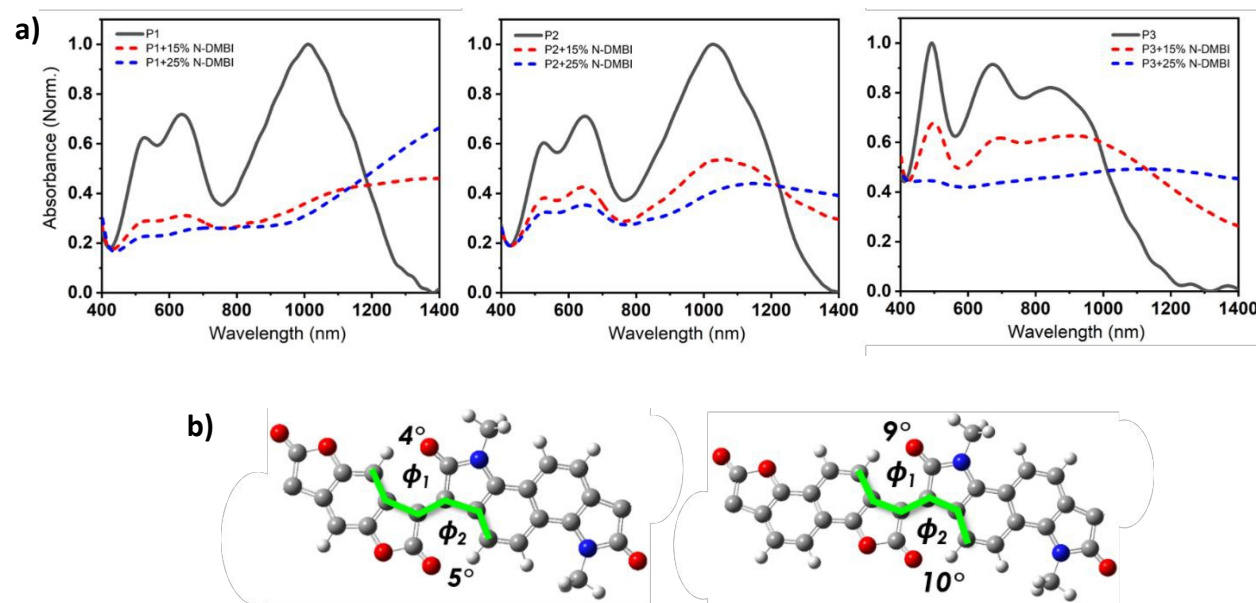


Figure 3(a) UV-Vis-NIR absorption spectra of the pristine and doped (at 15 and 25 mol% N-DMBI doping ratio) thin films of P1, P2, and P3. (b) DFT-optimized molecular model of the phenyl-bis-lactone and naphthalene-bis-lactone fragments (ω B97XD/6-31G**).

thermal stability with a decomposition temperature of over 350 °C, and no phase transitions in the range from room temperature to 300 °C were observed. Supporting information (section 6-7).

Density functional theory (DFT) calculations show that both P1 and P2 polymers exhibited a dihedral angle between the adjacent lactone and lactam cores of ($\phi = 4^\circ$), while for P3, the dihedral angle is ($\phi = 9^\circ$). (Figure.3b), as shown in the supporting information (section 11). As can be seen from the DFT modelling, the replacement of the phenyl ring with naphthalene resulted in an increased backbone twist.

A combination of photo electron spectroscopy in air (PESA) and thin-film absorption spectra (UV-VIS-NIR) were used to determine the energy levels of the polymers. As shown in (Figure.3a), all pristine polymers exhibit three absorption peaks, two of which are high energy



bands in the visible, as well as a broad NIR absorption band. P1 and P2 exhibit similar absorption features, with two absorption peaks in the visible region at 506, 505 nm and 609, 617 nm respectively. Both polymers show a broad NIR with a maximum long-wavelength absorption peaks of 930 and 947 nm respectively. P3, on the other hand featured different absorption characteristics, with two absorption peaks in the visible region of 469 and 647 nm at a shorter wavelength of that of P1 and P2. It also has the shortest wavelength absorption maximum of 820 nm, which could be attributed to backbone twisting that would reduce the pi orbital overlap along the backbone. Upon doping with N-DMBI, the neutral absorption features in the spectrum region at 400-700 nm sharply decrease in intensity, regardless of the polymer. At the same time, the n-doping is accompanied by the rising of new absorption bands at 1100-1200 nm with a tail extending also in the IR region at longer wavelength, which we ascribed to the formation of negative polarons. Supporting information (Section 4).

The ionization potential (IP) and the electron affinity (EA) of the polymers are summarized in (Table.1). As indicated, P1 and P2 show similar energy levels with ionization potentials (IP) of -5.65 eV and -5.64 eV, respectively, and an extremely large EA of -4.68 eV, one of the largest reported among all semiconducting polymers. The naphthalene derivative (P3) exhibits an ionization potential (IP) of -5.63 eV, which is slightly lower than that of P1 and P2 (-5.65 eV). However, the electron affinity (EA) of P3 (-4.52 eV) is considerably smaller than that of P1 and P2. This is mainly attributed to the dilution of the density of electron withdrawing lactone units along the conjugated backbone, by increasing the size of the aryl ring. Additionally, having an increased twist in the backbone of P3 will reduce the pi orbital overlap along the backbone and leads to a widening of the bandgap to 1.13 eV, calculated from the absorption spectra, with



deepening of the HOMO and a shallowing of the LUMO, and subsequently larger IP and smaller EA. These results are consistent with the absorption spectrum behavior of P3.

Table 1. Polymer physical and electrical properties.

Polymer	M_n/M_w [kDa]	PDI	IP ^a [eV]	EA ^b [eV]	λ (thin film/solution) ^c [nm]	$E_{opt.gap}$ ^d [eV]	μ_e ^e [cm ² V ⁻¹ s ⁻¹]	σ_{max} [S cm ⁻¹]	Seebeck [μ V K ⁻¹]	PF _{max} [μ W m ⁻¹ K ⁻²]
P1	14/29	2.1	-5.64	-4.68	930/940	0.97	1×10^{-2}	0.20 ± 0.05	-22 ± 4	0.12 ± 0.04
P2	16/27	1.7	-5.65	-4.68	932/962	0.97	7×10^{-3}	0.28 ± 0.01	-21 ± 5	0.16 ± 0.02
P3	14/20	1.5	-5.63	-4.52	820/817	1.13	1×10^{-3}	0.008 ± 0.001	-210 ± 14	0.034 ± 0.008

^a Measured by photoelectron spectroscopy in air (PESA)

^b Calculated from $E_{opt.gap}$ and IP.

^c Thin film and solution absorption onset.

^d Estimated optical gap calculated using onset of absorption spectra ($E_{opt.gap} = 1240/\lambda_{onset}$)

^e Mobilities measured using a field-effect transistor with a top-gate bottom contact configuration (see supporting information, section 8).

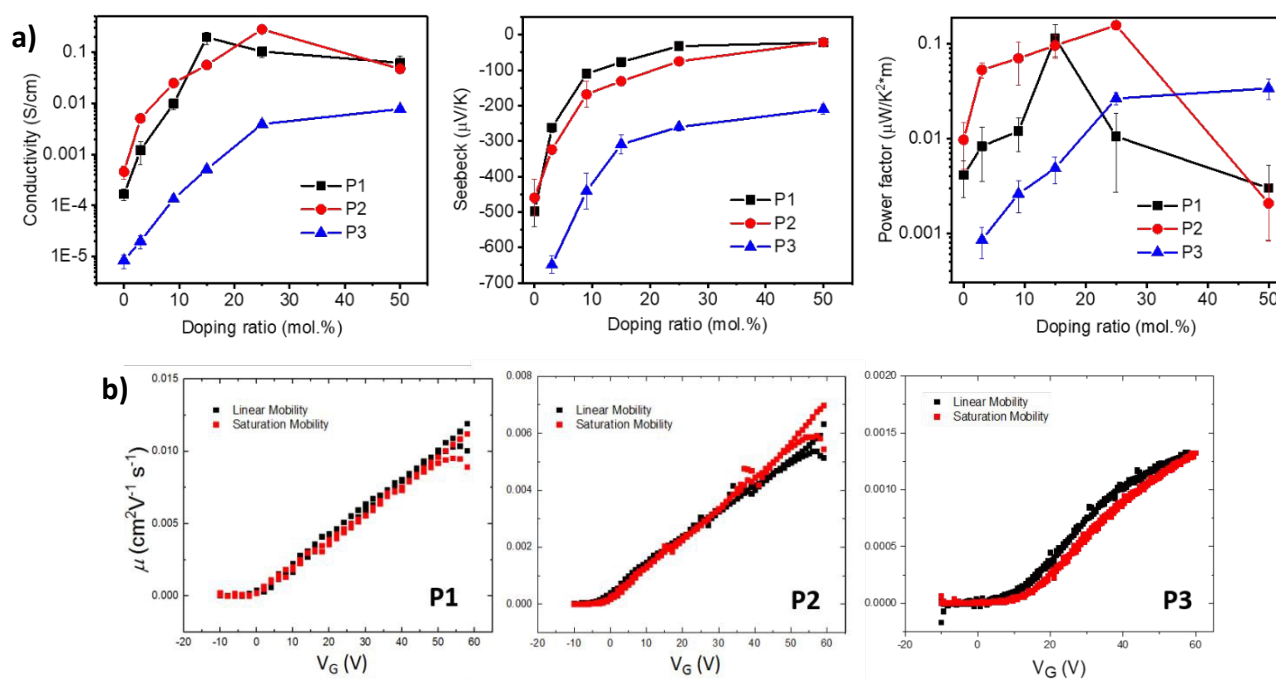


Figure 4. (a) Thermoelectric properties of doped polymers at different doping concentrations including electrical conductivities, Seebeck coefficients and power factors. (b) Transistor properties of pristine P1, P2 and P3.



Thermoelectric and Charge Transport Measurements: 1,3-Dimethyl-2-phenyl-2,3-dihydro-1H-benzimidazole (DMBI) derivatives have imparted effective electrochemical reductions of various organic transformations,³⁵⁻³⁷ presenting an ideal class as n-type dopants. More particularly, N-DMBI, (4-(1, 3dimethyl2, 3-dihydro-1H-benzimidazol-2-yl)phenyl)dimethylamine was chosen to dope all the polymers due to its strong n-doping ability for various n-type semiconductors such as PCBM,³⁵ and P(NDIOD-2T)³⁸. Furthermore, its shallow HOMO energy level of (- 4.4 eV) offers an effective energy offset with our polymers,^{23,16} in that the HOMO level of N-DMBI is closer to vacuum energy than the LUMO levels of our polymers, which is a requirement for electron transfer from the donor (N-DMBI) to the host polymers. The electrical conductivity and Seebeck coefficient were evaluated to quantify the TE properties of the polymers. As demonstrated in (Figure 4), the electrical conductivities dramatically rise with increasing dopant ratio reaching a maximum value when the mass percentage of N-DMBI is 15% for P1, 25% for P2, and 50% for P3. As noted from (Figure.4a), unlike P1 and P2, P3 does not feature an electrical conductivity maximum in the same dopant concentration range. For P3, the electrical conductivity increases about three orders of magnitude from 0 to 25% N-DMBI ratio, but only by a factor of two at dopant concentration of 50%. We attributed this to the aggregation of N-DMBI, which is known to occur at high load.³⁹ As the dopant concentration increases, the conductivity of P1 and P2 starts to decrease, possibly due to the disruption of the thin film microstructure by a large number of dopants leading to a drop in carrier mobility. The polymers P1, P2, and P3 exhibited maximum conductivities of 0.20 ± 0.05 , 0.28 ± 0.01 , and 0.008 ± 0.001 S/cm, respectively. These results suggest that dominant factor in conductivity optimization is energy level offset, which drives electron transfer. The Seebeck coefficients of all three polymers are negative,



confirming a predominant n-type character.⁴⁰ The Seebeck coefficient of P1 and P2 decreases continuously with increasing the dopant concentration, going from $-498 \pm 43 \mu\text{V/K}$ and $-460 \pm 52 \mu\text{V/K}$ for pristine films to $-22 \pm 4 \mu\text{V/K}$ and $-21 \pm 5 \mu\text{V/K}$ at 50% doping. For P3, the Seebeck coefficient decreases only to $-210 \pm 14 \mu\text{V/K}$ at 50% N-DMBI mass percentage, a value which agrees well with the lower conductivity of P3 as compared to P1 and P2. The maximum power factors obtained were 0.12 ± 0.04 , 0.16 ± 0.02 , and $0.034 \pm 0.008 \mu\text{Wm}^{-1} \text{K}^{-2}$ for P1, P2, and P3, respectively. P2 showed a higher conductivity than P1, despite the lower charge carrier mobility, suggesting that the longer alkyl chains of P1 play a role in reducing the doping effectiveness, perhaps due to a less optimal affinity between the dopant and the polymer. However, both P1 and P2 showed a higher performance than P3, corresponding to the lower electron affinity.



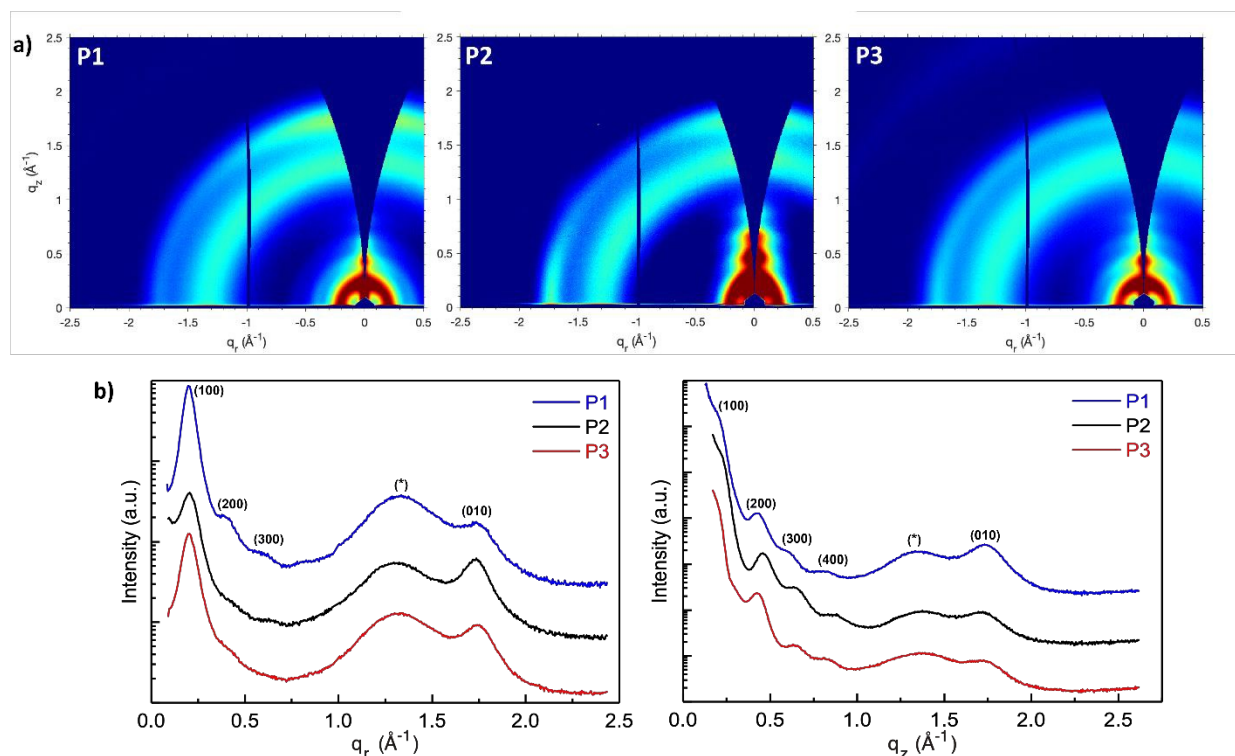


Figure 5. GIWAXS: Two-dimensional grazing incidence X-ray q_r - q_z scattering map of (a) P1, (b) P2, and (c) P3. Note the π -stack (010) scattering ($q \sim 1.75 \text{ \AA}^{-1}$) is strong out of plane for P1, strong both in- and out-of-plane for P2, and completely isotropic for P3. (d) In-plane (q_r) and (e) out-of-plane (q_z) scattering line cuts from P1, P2, and P3 (offset in intensity for clarity) highlighting the lamellar (h00), π -stack (010), and isotropic amorphous (*) scattering.

Grazing-incidence wide-angle X-ray scattering (GIWAXS) were performed to evaluate the molecular packing and the morphologies of the polymer films, (Figure 5a-c). The lamellar stack (100), π - π stack (010) and amorphous scattering d-spacing were calculated based on the fits of respective peaks from the in-plane (Figure 5d) and out-of-plane (Figure 5e) GIWAXS line cuts and listed in Table S1. In general, P1 showed a strong out-of-plane π -scattering with a π - π stacking distances around 3.61 \AA while the in-plane π -scattering was relatively weak. The ring-shape scattering pattern observed in the 2D GIWAXS figure represented isotropic amorphous ordering with a characteristic d-spacing of $\sim 4.7 \text{ \AA}$. In addition, multiple orders of lamellar (h00) scattering were present both in and out-of-plane. The lamellar stack d-spacing was calculated to be 31.3 \AA from the in-plane (100) peak. The anisotropic nature of the π -scattering of the P1 indicate a



predominate crystallite population with a face-on orientation while a minority population with edge-on orientation.

Compared with P1, P2 showed stronger in-plane π -scattering, with a π - π stacking distances around 3.63 Å and noticeable out-of-plane π -scattering. Similar to P1, P2 also exhibited as isotropic amorphous scattering ring representing a d-spacing around ~4.8 Å. In terms of lamellar stacking, four orders of out-of-plane lamellar (h00) scattering were present while only one clear in-plane lamellar (100) scattering was observed that. The decreased lamellar d-spacing (30.7 Å), compared with P1, is consistent with the shorter branched alkyl side chain on the naphthalene-bis-isatin unit of P2. Considering the strong in-plane π -stack scattering, noticeable out-of-plane π -stack scattering and multiple out-of-plane lamellar scattering, P2 is speculated to possess a predominant population of edge-on orientated crystallites and a significant minority population of face-on orientated crystallites. The structure of P1 and P2 was nominally similar to that reported for the all phenyl analogue,⁴¹ with pronounced out-of-plane π -stack scattering and an isotropic amorphous scattering ring. However, while increasing the alkyl branch length in the all phenyl analogue diminished ordering and decreased scattering intensity (with an accompanying diminishment in charge transport), here increasing the alkyl branch length did not significantly decrease ordering or scattering intensity, but instead induced increased edge-on texture with minimal change in charge transport.

Comparing P3 with P1, the aryl expansion most dramatically affected film texture. The isotropic (ring-like) π -scattering pattern indicated that crystallite orientation was no longer predominately either edge-on or face-on, as with P1 and P2, but instead randomly oriented. This also contrasted with the all bis-oxindole analogue which lacked a fully isotropic amorphous scattering ring.³⁴ The P3 lamellar scattering was not fully isotropic, indicating more extensive



lamellar ordering out-of-plane than in-plane. P3 displayed a similar lamellar d-spacing (31.1 Å) as P1, consistent with their identical side chain architecture. In addition, both the π – π stacking (3.60 Å) and isotropic amorphous scattering (\sim 4.75 Å) d-spacings of P3 were similar to P1. Beyond the isotropic nature of π -scattering, the intensity of P3 π -scattering intensity was also diminished relative to the amorphous scattering both in- and out-of-plane. This was contrasted with π -scattering that was stronger than amorphous scattering in-plane or out-of-plane, for P1 and P2 respectively.

The weaker and isotropic π – π stacking of the aryl ring extended P3 were coincident with decreased electron mobility and electrical conductivity compared with P1 and P2. The moderate differences in field effect mobility and peak conductivity between P1 and P2 mirrors the similarity in P1 and P2 microstructure, with essentially differ only in ratio edge-on and face-on crystallites. The higher P1 OFET mobility may be in part due to the more uniform orientation of crystallites which could be of benefit in the case of two dimensional charge transport. Likewise, the stronger mix of edge-on and face-one orientated crystallites in P2 may have contributed to the improved peak electrical conductivity as charge transport in doped films follows three dimensional percolated pathways.

Conclusion

New conformationally locked polymers were designed and synthesized, incorporating highly electron deficient bis aryl lactone groups, alternating with alkylated bis-aryl lactam co-repeat units along a conjugated backbone. Derivatives, P1, P2, and P3 that have the deepest LUMO level in all reported lactam rigid-rod building blocks. The partial substitution of the lactam groups with more electron deficient lactone groups along the backbone of conformationally locked aldol condensation polymers, results in extremely electron deficient semiconductors. The large electron affinities facilitated electron transfer from the dopant N-DMBI, achieving conductivities of up to



almost 0.3 S/cm. The polymer conductivity was observed to decrease with decreasing electron affinity, suggesting the doping density was the dominant factor in optimizing conductivity and subsequently the power factor in thermoelectric devices.

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