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Catalysis by Gold: Isolated Surface Au³⁺ Ions are Active Sites for Selective Hydrogenation of 1,3-Butadiene over Au/ZrO₂ Catalysts^{*,**}

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In recent years, there has been an increasing interest in catalysis by nanosized gold catalysts,^[1–5] which are active in reactions such as CO oxidation,^[1–10] propylene epoxidation,^[1–3] hydrogenation of unsaturated compounds,^[3,12–15] vinyl chloride synthesis,^[5] and others.^[2,3,5,11] Despite such a diverse spectrum of reactions, efforts have been mainly devoted to elucidating the catalytically active sites in the oxidation reactions.^[1–10] Little attention has been paid to the nature of active gold, especially cationic gold species,^[5,11] in the other reactions such as hydrogenation of unsaturated compounds.^[12–17] This is surprising, since catalytic hydrogenation is one category of the best studied and industrially most important reactions on conventional transition metal catalysts,^[18,19] and Au³⁺ ions in solution can activate carbon–carbon double and triple bonds and are finding applications as efficient homogeneous catalysts in many organic reactions.^[20,21] Hydrogenation of 1,3-butadiene has long been a model reaction for the study of active sites on conventional metal catalysts.^[18,19] A few preliminary investigations^[12,13] on the hydrogenation of 1,3-butadiene with supported gold catalysts showed that small gold nanoparticles were active in the reaction, although theoretical calculations seem to suggest that the surface of metallic gold is chemically inert for hydrogen activation.^[22,23]

By using Au/ZrO₂ catalysts with very low loadings of Au (<0.1%), we have now discovered that isolated surface-type Au³⁺ ions are actually the active sites for the selective hydrogenation of 1,3-butadiene. The activity and selectivity of these Au³⁺ ions are comparable to those of conventional precious transition metals such as Pd and Pt. This finding that low loadings of Au³⁺ ions are more active for the hydrogenation reaction than high loadings of Au nanoparticles could raise intensive interest in exploring the potential of surface-type Au³⁺ ions in heterogeneous catalytic reactions.

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The use of isolated and heterogenized Au³⁺ ions in catalysis and related materials may be the key to using the noble gold in areas usually reserved for conventional precious metal catalysts, for example, to significantly improve the economics and reduce the use of other precious metals.

Figure 1 shows the effect of gold loading on 1,3-butadiene conversion over Au/ZrO₂ catalysts calcined at 200 °C. No butane (i.e., the product of complete hydrogenation) but only butenes were detected as the hydrogenation products over all

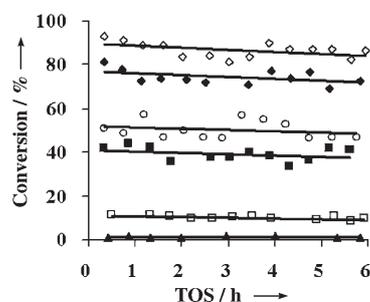


Figure 1. Conversion of 1,3-butadiene at 120 °C over Au/ZrO₂ catalysts (calcined at 200 °C) containing 0.76 (◆), 0.23 (■), 0.08 (◇), 0.05 (○), and 0.01% Au (□). The (▲) data show the conversion over bare ZrO₂ support. TOS = reaction time on stream.

catalysts used. The conversion was less than 1% in our blank test with bare ZrO₂ support as catalyst. The activity was enhanced greatly on loading with gold, and only a slight decrease in catalyst activity was observed up to 6 h of reaction time on stream (TOS). Surprisingly, higher conversion (ca. 50%) is obtained over 0.05% Au/ZrO₂ than over 0.23% Au/ZrO₂ catalyst (ca. 40%). The 1,3-butadiene conversions are converted to show the specific mass activity of gold in the catalysts in Table 1. The catalytic turnover frequencies (TOFs) based on surface gold atoms or nonmetallic Au “atoms” are also given in the table. These catalytic rates decreased sharply when the Au loading was increased from 0.05 to 0.23% and higher. It is noteworthy that the TOFs are very similar (ca. 0.40 s⁻¹) for the catalysts containing 0.05 and 0.01% Au, that is, the gold “atoms” in these two samples are equally active for the selective hydrogenation reaction.

The same high TOFs of the Au/ZrO₂ catalysts containing no more than 0.05% Au would mean that the active gold species are atomically dispersed and isolated from each other on the surface of the zirconia support. It was shown previously^[11,25] that treatment of supported gold catalysts with an aqueous solution of NaCN can dissolve the gold particles and create isolated gold cations on the support surface. Using a similar procedure (Supporting Information), we treated the 0.76% Au/ZrO₂ sample with an aqueous solution of 2% KCN. According to measurements of the Au content by inductively coupled plasma atomic emission spectrometry (ICP-AES), such treatment removed about 90% of the gold from the solid catalyst and gave a 0.08% Au/ZrO₂ catalyst. The ICP-AES analysis detected no contamination by K⁺ or Na⁺ of the sample after treatment. The catalytic activity data of this 0.08% Au/ZrO₂ catalyst in 1,3-butadiene conversion are included in Figure 1 for comparison

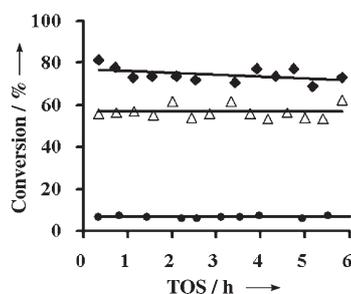
Table 1: Average Au particle size (TEM), hydrogen consumption (TPR), and 1,3-butadiene hydrogenation rates at 120°C over Au/ZrO₂ catalysts.

Au loading [wt %]	$d_{\text{Au}}^{[d]}$ [nm]	H ₂ consumed [mol(H ₂)/mol(Au)]	Au ³⁺ /Au ⁰ ratio ^[e]	Au ³⁺ [μmol g(cat.) ⁻¹]	Reaction rate [mmol s ⁻¹ g(Au) ⁻¹]	TOF [s ⁻¹] ^[d]
0.01	nd ^[e]	1.57	–	0.5	2.08	0.41
0.05	nd	1.49	–	2.5	2.01	0.40
0.08 ^[a]	nd	1.50	–	4.1	2.15	0.42
0.23	2.9	0.68	0.83	5.3	0.34	0.17
0.76	4.1	0.37	0.33	9.6	0.20	0.14
0.76 ^[b]	6.7	0.25	0.20	6.4	0.14	0.13
0.76 ^[c]	11.7	0	0	0	0.02	0.03

[a] Sample obtained by treatment of the 0.76% Au/ZrO₂ catalyst with 2% KCN solution. [b] Sample calcined at 300°C. [c] Sample calcined at 500°C. [d] The average particle size of Au was determined according to the equation $d = \sum n_i d_i / n_i$ by randomly measuring for each sample ca. 200 particles by TEM; the TOF data were calculated on the basis of Au atoms exposed on the surface, which were obtained from the average particle sizes by assuming closed-shell Au particles of spherical shape. All of the nonmetallic Au “atoms” in the catalyst containing less than 0.1% Au were assumed to be the catalytic sites in calculating the TOF data. [e] nd: no metallic Au particle was found in the high-resolution TEM image.

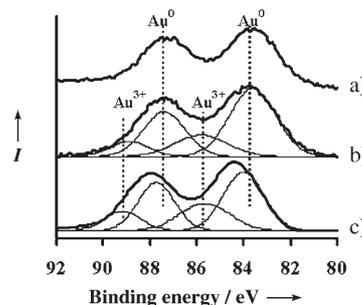
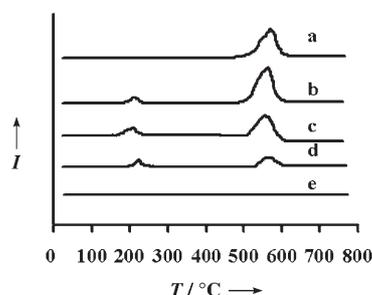
with the other catalysts of different Au loadings. To our surprise, this 0.08% Au/ZrO₂ catalyst effected the highest 1,3-butadiene conversion (ca. 85%). Assuming that every gold “atom” in this 0.08% Au/ZrO₂ catalyst was active for the reaction, we obtained a TOF of 0.42 s⁻¹, which is basically the same as those of the 0.05% Au/ZrO₂ and 0.01% Au/ZrO₂ catalysts (Table 1). This is remarkable, since these rates reveal that the loaded gold “atoms” were 100% accessible for the reaction at these low loadings. According to references [11,25], the 0.08% Au/ZrO₂ sample obtained by treatment of 0.76% Au/ZrO₂ with aqueous 2% KCN solution should contain only surface-type gold cations. The unchanged TOFs of gold at such low loadings could hint that the catalytic active sites are gold cations rather than gold nanoparticles.

We then studied the effect of calcination temperature of the 0.76% Au/ZrO₂ catalyst on the selective hydrogenation reaction, since the calcination temperature appears to affect the ratio of Au³⁺/Au⁰ in oxide-supported gold catalysts.^[25,26] We found that the conversion of 1,3-butadiene clearly increased with decreasing catalyst calcination temperature in the range of 200–500°C (Figure 2). We used a combination of temperature-programmed reduction (TPR) and X-ray photoelectron spectroscopy (XPS) to quantitatively characterize the oxidation states of gold. The XPS data (Figure 3) showed that the sample calcined at 500°C contained only


Figure 2. Effect of the catalyst (0.76% Au/ZrO₂) calcination temperature on 1,3-butadiene conversion: (●) 200, (△) 300, and (●) 500°C.

metallic Au⁰ showing 4f_{7/2} and 4f_{5/2} signals at 83.7 and 87.6 eV, respectively.^[26] In addition to metallic Au⁰, XPS spectra of samples calcined at 300 and 200°C also contained the 4f_{7/2} (86.1 eV) and 4f_{5/2} (89.4 eV) signals from Au³⁺ ions. Thus the Au³⁺/Au⁰ ratio increases with decreasing catalyst calcination temperature.

Figure 4 shows the temperature-programmed reduction (TPR) profiles of the bare ZrO₂ support as well as 0.08% and 0.76% Au/ZrO₂ catalysts. Bare ZrO₂ showed no consumption of hydrogen up to 800°C. The TPR profiles of the samples calcined at 200 and 300°C feature two hydro-


Figure 3. XPS spectra (Au 4f) of 0.76% Au/ZrO₂ catalysts calcined at different temperatures: a) 500, b) 300, and c) 200°C.

Figure 4. TPR profiles of 0.76% Au/ZrO₂ catalysts calcined at different temperatures: a) 500, b) 300, c) 200°C. d) TPR profile for the 0.08% Au/ZrO₂ catalyst obtained by treatment of sample (c) with 2% KCN solution. e) TPR profile for bare ZrO₂ support. Intensity *I* was measured with a thermal conductivity detector.

gen consumption peaks, one at low (210–230°C) and the other at high temperature (550–580°C). However, only the high-temperature peak appeared for the sample calcined at 500°C. With reference to the XPS characterization data, the low-temperature peak should be due to reduction of Au³⁺ ions, while the high-temperature peak can be assigned to partial (< 3%) reduction of surface Zr⁴⁺ ions of the zirconia support to Zr³⁺.^[14]

The samples with very low Au loadings ($<0.1\%$) were also characterized by the TPR technique. The TPR profile of the 0.08% Au/ZrO₂ sample is presented as the profile (d) in Figure 4. Calibration of the hydrogen-consumption peak showed that the number of hydrogen molecules (H₂) consumed under the low-temperature peak was just 1.5 times the molar number of gold in the 0.08% Au/ZrO₂ sample. Since this sample should contain only gold cations after treatment with 2% KCN solution,^[11,25] such stoichiometry (H₂/Au = 1.5 or H/Au = 3.0) of the reduction proves that the gold cations in the 0.08% Au/ZrO₂ sample are actually Au³⁺. The TPR profiles of the samples containing 0.01 and 0.05% Au were very similar to that of 0.08% Au/ZrO₂ (not shown in Figure 2). Their reduction stoichiometries (Table 1) also prove that these two samples contain only Au³⁺ ions.

We then measured the Au³⁺/Au⁰ ratios in the 0.76% Au/ZrO₂ catalysts by calibrating the reduction peaks of their Au³⁺ ions; the ratios were 0.33 and 0.20 for samples calcined at 200 and 300 °C, respectively. For 0.23% Au/ZrO₂, the measured Au³⁺/Au⁰ ratio was 0.83.

The effects of catalyst calcination temperature and Au loading on the catalyst activity are also compared in Table 1. The 0.76% Au/ZrO₂ catalyst calcined at 500 °C, which contains only metallic Au particles but no Au³⁺ ions, exhibited a TOF of 0.03 s^{-1} , which is comparable to those of $0.01\text{--}0.02\text{ s}^{-1}$ at 150 °C of the most active metallic Au nanoparticles supported on TiO₂ and Al₂O₃ in reference [13]. However, this activity of the metallic gold (0.03 s^{-1}) in the 0.76% Au/ZrO₂ catalyst is one order of magnitude lower than that of catalysts containing only small amounts of Au³⁺ ions (0.4 s^{-1}). The specific mass activity of the supported metallic Au particles in the 0.76% Au/ZrO₂ catalyst, as well as in the 1% Au/TiO₂ and 1% Au/Al₂O₃ catalysts in ref. [13], is even two orders of magnitude lower. These remarkable differences demonstrate that the surface-type Au³⁺ ions are far more active than the Au⁰ atoms at the surface of metal nanoparticles. Earlier, Guzman and Gates^[15,16] reported that mononuclear organo-Au³⁺ complexes supported on MgO were active in the catalytic hydrogenation of ethene, while metallic Au⁰ particles were inactive. Cationic gold was also found to be responsible for the activity of Au/CeO₂ catalysts in the water-gas shift reaction involving oxygen-containing reactants (CO + H₂O).^[11] It is noteworthy in the present study that the Au³⁺ ions “fixed” at the ZrO₂ surface are stable and active even under a completely reducing atmosphere (H₂).

The catalytic activity in terms of conversion of 1,3-butadiene (Figures 1 and 2) or mass of gold (Table 1) is apparently not in proportion with the number of Au³⁺ ions in the catalysts containing 0.23 and 0.76% Au. The numbers of Au³⁺ ions in the 0.23% Au/ZrO₂ ($5.3\text{ }\mu\text{mol g}^{-1}$) and 0.76% Au/ZrO₂ (e.g., $9.6\text{ }\mu\text{mol g}^{-1}$ for the sample calcined at 200 °C) catalysts were much higher than that in the 0.08% Au/ZrO₂ ($4.1\text{ }\mu\text{mol g}^{-1}$) catalyst, but their activities were significantly lower. This indicates that the highly active Au³⁺ ions are not those with interaction to the metallic gold particles but those independently “fixed” at specific locations of the zirconia surface. The surface density of Au³⁺ ions in the most active 0.08% Au/ZrO₂ catalyst is 0.02 per square nanometer. This surface density of Au³⁺ ions is sufficiently low to ensure that

the most active sites for the hydrogenation reaction are isolated surface-type Au³⁺ ions.

Structure sensitivity is an important issue in gold catalysis even in the case of selective hydrogenation.^[14,17,27] Since the present work is intended to show the important catalytic implications of Au³⁺ cations at the zirconia surface, it is not clear whether the selective hydrogenation of 1,3-butadiene is structure sensitive or not with respect to the ZrO₂-supported Au particles. We note, however, that in work by Haruta et al.^[13] the same reaction was almost insensitive to the size of the Au particles and nature of the support, while an earlier paper by Buchanan and Webb^[28] suggested a strong influence of the support materials. Claus et al. found that the same reaction over metallic Au is also sensitive to the nature of the support oxide,^[17] but the hydrogenation of acrolein is mainly affected by the percentage of edge/corner atoms relative to the total surface atoms.^[14,27]

Due to their ability to activate carbon–carbon double and triple bonds (e.g., alkynes and allenes), Au³⁺ ions (i.e., gold salts) in solution are finding applications as efficient homogeneous catalysts in many organic reactions.^[20,21] If the Au³⁺ ions can be properly heterogenized onto a solid surface while still maintaining their catalytic efficiency in the homogeneous systems, the “golden gate”^[21] of catalysis by homogeneous gold would be even more useful for advanced heterogeneous catalysis. By reducing the loading of gold to very low levels ($<0.1\%$ Au), the present work is the first to demonstrate that high loadings of Au nanoparticles are actually not required for the hydrogenation reaction; the very low concentration of Au³⁺ ions ($0.02\text{ Au}^{3+}/\text{nm}^2$) heterogenized on zirconia surface from a cheap inorganic gold source (HAuCl₄) is enough to grant much higher catalytic activity. Moreover, the present heterogenized Au³⁺ ions were simply prepared from an inorganic gold salt in aqueous solution and were stable in ambient air, which can be very important in practical applications.

The TOF of the isolated Au³⁺ ions (0.4 s^{-1}) is comparable to that of conventional supported precious metals (e.g., ca. $0.2\text{--}1.0\text{ s}^{-1}$ for Pd).^[18,19] Interestingly, the hydrogenation of 1,3-butadiene over the present Au/ZrO₂ catalysts has 100% selectivity for butenes; no butane is detected even over the most active 0.08% Au/ZrO₂ catalyst at high conversions of 1,3-butadiene (80–90%; see Figure 1). The major component of the product butenes is 1-butene (ca. 60%), and the *trans/cis* ratio of 2-butene is in the range of 0.3–1.0 over all of the Au/ZrO₂ catalysts (Figure 5). This *trans/cis* ratio is much lower than that obtained over supported Pd (*trans/cis* = 10–14) but close to that over supported Pt and other group VIII metals (*trans/cis* = 1.5–2.0).^[18,19,29] The comparable activity (TOF) of the surface-type Au³⁺ ions to those of the group VIII precious metals and their unique selectivity in the hydrogenation reaction are of potential for many selective hydrogenations and related reactions. The isolated nature of the active Au³⁺ ions can also have important applications in improving the economics of gold catalysis.

Although this work was successful in demonstrating that the most active sites for the selective hydrogenation reaction are isolated Au³⁺ ions at the surface of zirconia, the mechanism of hydrogen activation by these surface-type

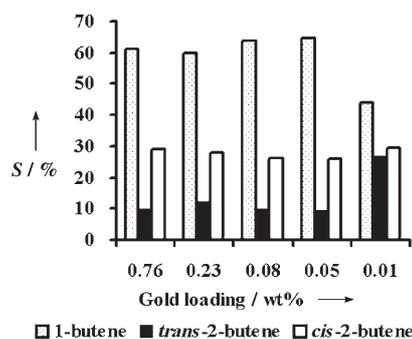


Figure 5. Product selectivity *S* of 1,3-butadiene hydrogenation over Au/ZrO₂ catalysts of different Au loadings.

Au³⁺ ions remains to be elucidated. Hydrogen chemisorption on supported gold was occasionally detected, but sometimes it has not been detectable at all.^[3,27] The remarkable activity difference discovered between surface-type Au³⁺ ions and metallic Au⁰ atoms could mean a different mechanism for hydrogen activation. Comprehensive in situ spectroscopic studies will be needed to understand the mechanistic aspect of the surface-type Au³⁺ cations in the hydrogen activation.

In conclusion, we have shown that isolated Au³⁺ ions on a zirconia support are obtained by loading very small amount (<0.1%) of gold with simple methods. These heterogenized Au³⁺ ions are highly active for the selective hydrogenation of 1,3-butadiene to butenes. Further exploration of the potential of the surface-type Au³⁺ ions in heterogeneous catalysis may be the key to using the noble metal gold in areas usually reserved for conventional precious metal catalysts such as Pt and Pd.

Experimental Section

A conventionally prepared ZrO(OH)₂ hydrogel was calcined at 400°C to produce a ZrO₂ support with BET surface area of 120 m² g⁻¹.^[24] Samples of Au/ZrO₂ were prepared by loading the support with appropriate amounts of Au by the deposition-precipitation method with HAuCl₄ precursor,^[8] the concentration of which was adjusted to yield catalysts containing 0.76, 0.23, 0.05, and 0.01 wt% Au (ICP-AES analysis). The 0.76% Au/ZrO₂ sample was treated with an aqueous solution of KCN in a process similar to that reported by Fu et al.^[11] to give 0.08% Au/ZrO₂ catalyst. The Au/ZrO₂ catalysts were dried and calcined at 200°C in flowing air. We also prepared 0.76% Au/ZrO₂ catalysts at different calcination temperatures, that is, 200, 300, and 500°C. TPR was used in combination with XPS measurements to quantitatively characterize the oxidation state of gold. TEM/HRTEM images were recorded on a JEM-2010F (200 kV) transmission electron microscope.

Hydrogenation of 1,3-butadiene was conducted at 120°C in a fixed-bed stainless steel reactor (i.d. 4 mm) at atmospheric pressure. The reaction feed (2.15 vol% 1,3-butadiene in H₂) was introduced to the catalyst at a flow rate of 13.5 mL min⁻¹ (gas hourly space velocity (GHSV) = 8100 mL h⁻¹ (g cat.)⁻¹). Before reaction all samples (ca. 80–120 mesh, 0.1 g diluted with 0.45 g quartz powder) were pretreated in situ in 30 mL min⁻¹ Ar at 200°C for 2 h. The selected reaction conditions precluded any significant mass-transfer limitation in a series of pre-experiments at fixed space velocity (GHSV = 8100 mL h⁻¹ (g cat.)⁻¹) so that the conversion of butadiene (ca. 75%) over the 0.76% Au/ZrO₂ catalyst (calcined at 200°C) was not significantly affected by changing the flow rate of the reaction feed

and the catalyst pellet size (40–120 mesh). The reactor effluent was analyzed in situ by gas chromatography. Experimental details and representative TEM images of the Au/ZrO₂ catalysts can be found in the Supporting Information.

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