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An N-Heterocyclic Carbene Iridium Catalyst with Metal-Centered Chirality for Enantioselective Transfer Hydrogenation of Imines

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A cyclometalating N-heterocyclic carbene iridium complex featuring metal-centered chirality was designed and applied to the asymmetric transfer hydrogenation (ATH) of imines. Four strongly σ -donating carbon-based substituents (2) carbenes and 2 phenyl moieties), a chirality transfer directly from the stereogenic metal center to the C=N bond of substrates, as well as a restriction of catalyst deactivation by steric demanding substituents, render the new complex one of the most efficient catalysts for ATH of cyclic Nsulfonylimines (down to 0.01 mol% cat., 24 examples, 94-98% ee).

Transition-metal-catalyzed asymmetric transfer hydrogenation (ATH) of imines provides straightforward access to chiral amines and has attracted great attention owing to the simplified operation, sustainability and possible industrial applications.^{1,2} Such reactions have been enabled by Ru, Rh, Ir or Fe-based catalysts, often in combination with a chiral diamine ligand. However, in contrast to the reduction of ketones or olefins, they are much less effective regarding the substrate scope, catalyst loading and stereoselectivity.³ The drawbacks of imine ATH reactions include: 1) catalyst poisoning through metal coordination of imines or amines; 2) intrinsic reactivity of imines toward hydrolysis, formation of (hemi)aminals with amines or N,O-acetals with alchols; 3) decline of enantioselectivities raised by the Z/E isomerization of acyclic imines; 4) an often complex reaction mechanism.^{4–7} Consequently, the design of new catalysts with different structures for ATH of imines remains a challenging task, while at the same time is of great importance for fundamental studies and practical applications.³

N-heterocyclic carbene (NHC) complexes have been established as potentially highly useful catalysts in transfer hydrogenations.⁵ However, much less success has been achieved when applied in an asymmetric fashion.¹⁰ To the best of our knowledge, the catalytic ATH reaction of imines by a chiral metal carbene catalyst has not been reported thus far. Recently, our groups developed one class of chiral-only-at-metal Lewis acid catalysts in which the asymmetric induction occurs directly from the metal to the substrates and provides powerful stereocontrol for a range of organic transformations.^{11,12} We were wondering if utilizing such a strategy to assemble NHC ligands around a central metal would lead to the discovery of highly active and enantioselective catalysts for ATH of imines (Fig. 1a). The use of chelating carbene ligands with strong σ donor ability is presumably not only beneficial for enhancing reactivity of the metal hydride intermediates during the catalysis,¹³ but also capable of stabilizing the configuration of the catalyst as suggested by a recent study.¹





We have demonstrated that a co-catalyst system consisting of a chiral-at-metal complex and a pyrazole additive was effective for the enantioselective transfer hydrogenation of acetophenones and some other aromatic ketones.^{11f} However, only very sluggish catalytic outcomes were achieved when applied to the reduction of imines. The potential issue of catalyst deactivation, combined with Crabtree's observation that the complex [Ir(bis-NHC)(OAc)I₂] exhibited prominent activity for hydride transfer,¹⁵ gave rise to our consideration to develop chiral iridium catalysts based on a chelating carbene scaffold.¹⁶ After primary experiments on ligand

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screening, we selected **L1a–b** as the carbene precursors¹⁷ for the construction of propeller-type complexes with an exclusive metalcentered chirality (Fig. 1a). In theory, six possible propeller-type geometries (Δ -I, Δ -II, Δ -III and their enantiomers) exist for this type of complexes (Fig. 1b), while the C_2 -symmetric Δ -I and Λ -I are the most interesting ones in view that the steric demanding *tert*-butyl groups locating at the top and bottom of catalytic active sites would be beneficial for chirality transfer and restriction of amines/imines coordination. Therefore, it is critical to control both the relative and absolute stereochemistry during the synthesis.

The chiral catalysts were prepared through a straightforward route as demonstrated for Δ -**IrC1a** in Scheme 1. Accordingly, Imidazolium salt **L1a** synthesized by a one-step procedure was reacted with [Ir(μ -CI)(COD)]₂ at 60 °C for 24 h to afford dimeric complex *rac*-**1a** (Scheme 1). As the key step of diastereoselective synthesis, the cyclometalation was significantly temperature-dependant. Above 70 °C, the undesired isomers were also obtained. Subsequently, *rac*-**1a** was converted to the racemic catalyst *rac*-**IrC1a** in 94% yield by treatment with AgPF₆ in MeCN at room temperature for 10 h. A crystal structure confirmed its geometry of two carbene carbons locating at the positions *trans* to each other.¹⁸ Finally, an enantiopure version of the catalyst, namely Δ -**IrC1a**, was obtained with > 99% *ee* through an auxiliary-mediated method.¹⁹



Scheme 1 Synthetic route to the non-racemic catalyst $\Delta\text{-IrC1a}.$

With the desired catalyst in hand, we next examined its properties regarding ATH of imines. Cyclic *N*-sulfonylimines were chosen as the substrates, considering that: 1) enantioselective reduction of cyclic *N*-sulfonylimines is the most straightforward strategy to obtain chiral sultams, which are important synthetic targets existing in a wide range of biologically active compounds;²⁰ 2) to date, only TsDPEN-Ru-type complexes²¹ and a binapine-nickel catalyst^{2e} were reported for ATH of cyclic *N*-sulfonylimines, while typically performing with narrow substrate scope and catalyst loadings higher than 1 mol% to achieve enantioselectivities above 90%. Hence, the development of an efficient and general method for the synthesis of optically active sultams is highly desirable.^{22,23}

The transfer hydrogenation of **4a** with ammonium formate was investigated as a model system (Table 1). Encouragingly, in the

presence of 0.5 mol% Δ -IrC1a in THF/H₂O (1:1) at 50 °C, 5a was provided in 64% conversion and with 93% ee in 6 h (entry 1). The reaction was significantly dependent on the solvents (see more details in the ESI), of which the best rate and enantioselectivity were achieved in DMF/H₂O (2:1, entry 2). The catalyst still exhibited great efficiency and stereocontrol at lower loadings (entries 3-6). For example, in the presence of only 0.05 mol% of Δ -IrC1a and at 60 °C, 5a was obtained in 91% conversion and with 98% ee within 5 h (entry 4). A further reduction to 0.02 or 0.01 mol% led to a somewhat decrease of rate and enantioselectivity (entries 5, 6). As a comparison, a methoxy derivative complex Δ -IrC1b and previously developed Lewis acids Δ -IrO, Δ -IrS^{11*a,b*} were tested in the catalysis. Δ -IrS was significantly less beneficial (entry 4 vs. entry 7), while Δ -IrO only provide trace amounts of product (entries 8, 9). Replacing ammonium formate by sodium formate or HCO₂H/Et₃N (5:2) as the reductant led to sluggish transformation (entries 10, 11), suggesting that the ammonium ion might play an important role. To confirm this assumption, we monitored the reaction under the optimal conditions without addition of the substrate. A new species was successfully isolated and identified to be a derivative complex with two coordinated ammonia Δ -6 (Scheme 2), which provided almost identical activity in the reaction of $4a \rightarrow 5a$ (entry 12). In addition, complex Δ -6 would slowly decompose when left under vacuum, most likely by affording a 16-electron mono-ammonia complex according to HRMS analysis and a recent work by Nolan et. al.²⁴

Table 1 Optimization of reaction conditions.^a



				•	'	
entry	catalyst	solvent	Т	t	yield	ee
	(mol%)		(°C)	(h)	(%) ^b	(%) ^c
1	∆-lrC1a(0.5)	THF/H ₂ O(1:1)	50	6	64	93
2	∆-lr C1a (0.5)	DMF/H ₂ O(2:1)	50	2.5	93	99
3	∆-IrC1a(0.05)	DMF/H ₂ O(2:1)	50	11	90	97
4	∆-IrC1a(0.05)	DMF/H ₂ O(2:1)	60	5	91	98
5	∆-IrC1a(0.02)	DMF/H ₂ O(2:1)	60	12	84	94
6	Δ -IrC1a(0.01)	DMF/H ₂ O(2:1)	60	17	82	90
7	∆-lrC1b(0.05)	DMF/H ₂ O(2:1)	60	5	70	92
8	∆ -IrO (0.05)	DMF/H ₂ O(2:1)	60	5	trace	n.d.
9	∆ -IrS (0.05)	DMF/H ₂ O(2:1)	60	5	17	94
10 ^d	∆-IrC1a(0.05)	DMF/H ₂ O(2:1)	60	5	12	15
11^e	∆-lr C1a (0.05)	DMF/H ₂ O(2:1)	60	5	94	67
12	∆ -6 (0.05)	DMF/H2O(2:1)	60	5	98	94

^{*a*} Reaction conditions: **4a** (0.10 mmol), HCO_2NH_4 (0.90 mmol) as the H source under air atmosphere. ^{*b*} Yield determined by ¹H NMR analysis. ^{*c*} Ee value determined by chiral HPLC analysis. ^{*d*} HCO₂Na as the hydrogen source. ^{*e*} HCO₂H/Et₃N (5:2) as the hydrogen source.

Based on the catalytic outcomes and observed potential intermediates, we propose a mechanism (Scheme 2). First, Δ -**IrC1a** undergoes ligand exchange and affords complex **A** or/and Δ -**6** as the active catalyst in the catalytic cycle. Subsequently, **A** or/and Δ -**6** slowly liberate one MeCN or NH₃ and generate the 16-electron

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species **B** (observed in HRMS), followed by a fast hydride transfer to produce the highly reactive intermediate C. The final transfer of a hydride and a proton to imine 4a leads to the formation of 5a and regeneration of the active catalyst. Notably, ammonium formate not only serves as the hydrogen source, but also provides free ammonia to generate the real active catalyst. In addition, water cluster might also be involved considering the strong solvent effects in the catalysis.²⁵ The excellent asymmetric induction is presumably attributed to the less steric hindrance in the favored transition state in addition to the hydrogen-bonding network (Scheme 2). This mechanism is consistent with an observed S-configuration of the product.22b



Scheme 2 Tentatively mechanistic proposal.



Fig. 2 Substrate Scope. The yield refers to the isolated yield.

Next, a range of cyclic N-sulfonylimines bearing electron withdrawing (products 5b-i) or donating groups (products 5j, k)

within the fused benzene ring, and a steric more demanding aliphatic substitutent on the imine moiety (products 51-p) were examined. The desired products were formed in 82-99% yields and with 94-98% ee (Fig. 2). It is worth to mention that all the halogen substituents were well tolerated in the reaction, providing the opportunity for further functionalization of the chiral sultams. Moreover, Fig. 3 reveals that catalyst Δ -IrC1a could remarkably discern structural differences between the two aromatic rings in the benzofused N-sulfonylimines with a second aryl on the imino moiety, by affording chiral diaryl imines 5q-x in excellent yields (95-99%) and enantioselectivities (96-98% ee).



Fig. 3 Substrate Scope. The yield refers to the isolated yield.

Finally, we investigated the synthetic utility of this method. For instance, the ATH product 5t could be readily converted into the corresponding chiral diarylmethylamine 8 with 96% ee through an N-Boc protection followed by fast treatment with naphthalene sodium. Notably, chiral diarylmethylamines are important building blocks in numerous bioactive compounds and very difficult to achieve by enantioselective reduction of imines.²⁶ Moreover, a halfgram-scale synthesis of bioactive chiral sultam 9 with anti-HIV activity was developed based on the ATH of cyclic N-sulfonylimine 4x and an N-methylation step. 515 mg of compound 9 was obtained in a total yield of 96% and with 97% ee (Scheme 3).^{22b,27}



Scheme 3 Synthetic utility of the method.

Conclusions

In summary, we have developed a cyclometalating N-heterocyclic carbene iridium catalyst Δ -[Ir(CycloNHC)₂(MeCN)₂]PF₆ with exclusive enantioselective metal-centered chirality for transfer

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hydrogenation of imines. The catalyst exhibits superior performance in the ATH reaction of cyclic *N*-sulfonylimines regarding catalyst loading (down to 0.01 mol%), substrate scope (24 examples) and enantioselectivities (94–98% ee). During the catalytic process, ammonium formate releases free ammonia to generate the real active catalyst in addition to serving as the hydrogen source.We believe that this system will not only provide new considerations of catalyst design for ATH of imines, but also have remarkable potential for application in a practical method to prepare chiral sultams and diarylmethylamines.

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