Dioxygenase-catalysed oxidation of dihydronaphthalenes to yield arene hydrate and *cis*-dihydro naphthalenediols

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Biotransformation of 1,2- and 1,4-dihydronaphthalene substrates, using growing cultures of *Pseudomonas putida* UV4, resulted in dioxygenase-catalysed benzylic monohydroxylation, *cis*-tetrahydro diol and *cis*-dihydro diol formation, trihydroxylation and dehydrogenation. The arene hydrates, (R)-1,2-dihydronaphthalen-1-ol 5 and (R)-1,4-dihydronaphthalen-1-ol 7, were isolated as enantiopure metabolites while 1,2-dihydronaphthalen-2-ol 8 was found in almost racemic form. The structure, enantiopurity and absolute stereochemistry of these arene hydrates of naphthalene were confirmed by chemical synthesis. Deuterium labelling studies, and the use of enantiomerically pure arene hydrates 5 and 7 as substrates, were used to establish the metabolic pathways for the formation of (1R,2S)-1,2-dihydronaphthalene-1,2-diols 2, from both 1,2-dihydronaphthalene 3 and 1,4-dihydronaphthalene 6 substrates.

Introduction

Bacterial metabolism of arenes has been shown to occur via cis-dihydro diol metabolites using mutant strains which are deficient in cis-dihydro diol dehydrogenase, and hence allow the cis-dihydro diol intermediates to accumulate. Thus, mutant strains (119¹ and UV4²) of *Pseudomonas putida* yield (1*R*,2*S*)-cis-1,2-dihydronaphthalene-1,2-diol **2** in enantiopure form as a result of dioxygenase-catalysed asymmetric dihydroxylation of naphthalene **1**.^{1,2}

Preliminary studies on the bacterial metabolism of 1,2dihydronaphthalene $3^{3,4}$ and 1,4-dihydronaphthalene 6^4 have shown that benzylic monohydroxylation can also occur as a result of dioxygenase-catalysed oxidation. Bacterial asymmetric *cis*-dihydroxylation was also found to yield both *cis*-tetrahydro diol 4 and *cis*-dihydro diol 2 metabolites. A similar type of benzylic hydroxylation was reported earlier by Gibson *et al.* during the dioxygenase-catalysed oxidation of indene.⁵ A more comprehensive study of the mechanism and stereochemistry of biotransformation of dihydronaphthalene substrates 3 and 6 and of enzyme-catalysed/chemical synthesis routes to the enantiopure arene hydrates 5, 7 and 8 is presented.

Results and discussion

(a) Biotransformations

Metabolism of 1,2-dihydronaphthalene 3 in the presence of the soil bacterium *P. putida* UV4, followed by solvent extraction and product analysis using ¹H NMR spectroscopy and GC/MS (on the trimethylsilyl ether derivatives), showed the presence of three major metabolites. The NMR and mass spectral data of the mixture suggested the presence of one monohydroxylation 5 and two dihydroxylation (2 and 4) products whose relative proportions changed with time during the biotransformation process. Thus, a higher proportion of the monol 5 was initially observed but this decreased during the course of the biotransformation relative to the proportion of diol products 2 and 4. Purification of the extract by flash column chromatography yielded the monol 5 as the least polar metabolite which crystallized from hexane ($[\alpha]_D + 52$, CHCl₃).[†] The structure, enantiopurity (>98% ee) and absolute configuration (1*R*) were

established by chemical synthesis (see later). A more polar chromatographic fraction was found to be a mixture of the cisdiols 2 and 4. Recrystallization of this fraction (dichloromethane-hexane) yielded pure (+)-(1R,2S)-cis-1,2-dihydronaphthalene-1,2-diol 2 ($[\alpha]_D$ + 244, CHCl₃) which proved to be both structurally and stereochemically indistinguishable from the product isolated after biotransformation of naphthalene 1 in P. putida UV4. The mother liquors contained mainly tetrahydro diol 4 contaminated with minor amounts of the dihydro diol 2. Since the latter mixture of diols could not readily be separated by chromatographic methods the small proportion of residual cis-dihydro diol 2 was converted into the more polar epoxide derivative by m-chloroperbenzoic acid (MCPBA) oxidation. The unchanged *cis*-tetrahydro diol 4 was then isolated in pure form by preparative TLC (PLC). The metabolite 4, ($[\alpha]_{D}$ + 39, CHCl₃) was found to be enantiomerically pure (>98% ee). The *cis*-tetrahydro diol metabolite 4 was assigned the opposite absolute configuration (1S,2R) to that obtained after catalytic hydrogenation of the cis-dihydro diol 2 (1R,2S). The GC/MS analysis of the crude mixture of metabolites also showed traces of naphthalene 1 and 1-naphthol 9.

The origin of the cis-dihydro diol 2 and naphthalene 1 formed during biotransformation of 1,2-dihydronaphthalene 3 by P. putida UV4 was investigated with the aid of specifically ²H-labelled substrates. When 1,2-dihydro $[4-^{2}H_{1}]$ naphthalene (3-D) is used as a substrate it could, in principle, yield $[1-^{2}H]$ naphthalene (1-D) via dehydrogenation (step a) or via benzylic hydroxylation to yield 1,2-dihydro[4-2H1]naphthalen-1-ol (5-D; step b) followed by dehydration (step c; Scheme 1). The bioproduct $[1-^{2}H_{1}]$ naphthalene (1-D) may, in turn, act as a substrate during dioxygenase-catalysed cis-dihydroxylation to yield the *cis*-dihydro diol $(2-D_4)$ (step d). On the assumption that cis-dihydroxylation of $[1^{-2}H_1]$ naphthalene (1-D) occurred with equal facility at the 1,2-, 3,4-, 5,6- and 7,8-bonds, an equal distribution of deuterium label (25% ²H₁) should occur at the C-1, C-4, C-5 and C-8 positions in the product $(2-D_4)$. In practice, the deuterium content at positions C-1, C-4 and C-8 was equal (ca. 16%) while C-5 contained a significantly higher content (ca. 52%²H₁) based upon ²H NMR spectral analysis of the cis-dihydro diol $(2-D_4)$. If the cis-dihydro diol metabolite 2 was formed via (i) benzylic hydroxylation of 1,2-dihydro[4- ${}^{2}H_{1}$]naphthalene **3-D** to yield the alcohol **5-D** (step b), (ii) *cis*-

[†] Throughout $[\alpha]_D$ values are recorded in $10^{-1} \text{ deg cm}^3 \text{ g}^{-1}$.



dihydroxylation to give the triol **11-D**, as a transient intermediate, (step e) and (iii) spontaneous dehydration (step f), then the deuterium label would be located exclusively at C-5

in the diol **2-D**. The presence of deuterium label at C-1, C-4, C-5 and C-8 (with a preference for C-5) in the *cis*-dihydro diol metabolite **2** (Scheme 1) is consistent with the metabolic pathways involving the naphthalene intermediate **1-D** (steps a and d or steps b, c and d) occurring simultaneously with a further step involving a triol intermediate **11-D** (steps b, e and f).

In order to provide further evidence for the metabolic routes via naphthalene 1-D from 1,2-dihydro[$4-{}^{2}H_{1}$]naphthalene 3-D, *i.e.* sequence of steps a and d and/or steps b, c and d (Scheme 1), a labelled sample of racemic 1,2-dihydronaphthalen-1-ol 5-D' was added as substrate to cultures of P. putida UV4 (Scheme 2). The major bioproduct (>95% relative yield) was identified as cis-1,2-dihydro[8-²H₁]naphthalene-1,2-diol 2-D' with 1-naphthol being a minor product (< 5% relative yield). Unfortunately the total recovery of unchanged substrate and bioproducts from 1,2-dihydronaphthalen-1-ol 5 was rather poor (20-50%) partly due to some loss of the more volatile monohydroxylated products during work-up. Nevertheless, the location of deuterium label exclusively at the C-8 position of the cisdihydro diol 2-D' is consistent with a metabolic pathway involving cis-dihydroxylation of the monol 5-D' to yield an unstable triol 11-D' (step e) followed by a rapid dehydration to give the isolated product 2-D' (step f). This experiment

Scheme 2 Reagent: i, P. putida UV4

also precludes the alternative dehydration pathway (step c) for formation of naphthalene 1-D from the arene hydrate 5-D'.

Addition of (+)-(R)-1,2-dihydronaphthalen-1-ol 5 ($[\alpha]_D$ +52, isolated metabolite) as a substrate to *P. putida* UV4 yielded the *cis*-dihydro diol 2 (14% relative yield, 1R, 2S, >98%ee) and 1-naphthol 9 (6% relative yield) along with recovered substrate 5 (80% relative yield). By contrast, biotransformation of (-)-(S)-1,2-dihydronaphthalen-1-ol 5, $([\alpha]_D - 52, \text{ from})$ chemical synthesis, see later) under similar conditions, gave 1naphthol 9 (71% relative yield) in the presence of recovered substrate (29% relative yield) without evidence of cis-dihydro diol formation. The latter result is consistent with the involvement of a triol intermediate 11 having a cis relationship between the hydroxy groups at C-1, C-7 and C-8, i.e. a 1R,7S,8R configuration (as shown in 11-D, Scheme 1). The formation of 1-naphthol 9, particularly from metabolism of (-)-(S)-1,2dihydronaphthalen-1-ol 5, could be the result of (S)-monol dehydrogenase enzyme activity similar to that previously found to oxidise selectively (1S)-indanol to indan-1-one in P. putida UV4⁶ and F39/D.⁷ The results of metabolism of 1,2-dihydro[4- ${}^{2}H_{1}$]napthalene **3-D** and 1,2-dihydro[1- ${}^{2}H_{1}$]napthalen-1-ol 5-D' thus provide further support for concurrent biotransformation pathways occurring (i.e. steps a and d and steps b, e and f. Scheme 1).

The formation of the *cis*-dihydro diol 2 of naphthalene as a major metabolite of 1,2-dihydronaphthalene 3 has thus been



shown to involve monohydroxylation (step b), dihydroxylation (step e) and a dehydration sequence (step f) by the labelling experiments outlined in Schemes 1 and 2. A similar 'monol-triol-diol' biotransformation sequence has also been observed when 2,3-dihydrobenzofuran,8 2,3-dihydrobenzothiophene⁹ and benzocyclobutene⁶ were used as substrates for P. putida UV4. The triol intermediates derived from 2,3dihydrobenzofuran⁸ and 2,3-dihydrobenzothiophene⁹ were, however, in common with compound 11, too unstable to be isolated. The involvement of the enantiopure triol intermediate 11 during biotransformation of 1,2-dihydronaphthalene 3 using P. putida UV4 contrasts with the recent observations of Gibson et al.¹⁰ using P. putida strains 9816/11 and F39/D. In the latter study, 1,2-dihydronaphthalene 3 was biotransformed into the cis-dihydro diol 2 without involvement of the triol 11. This could be due to a subtle difference in the dioxygenase enzyme activity between the individual P. putida mutant strains or a difference in experimental procedures.

The biotransformation of 1,4-dihydronaphthalene 6, using P. putida UV4, also gave cis-1,2-dihydronaphthalene-1,2-diol 2 as a major product along with other metabolites whose relative proportions varied during the course of the experiment. For example, after 0.5 h the biotransformation extracts showed a large proportion of unchanged substrate in the presence of three products which were identified by ¹H NMR spectroscopy and GC/MS analysis. Extraction and separation of the metabolites using PLC yielded (1*R*)-1,4-dihydronaphthalen-1-ol 7 ($\lceil \alpha \rceil_D$ -159, CHCl₃) as the major product with (2S)-1,2-dihydronaphthalen-2-ol 8 ($[\alpha]_D$ -7, CHCl₃) and (1R,2S)-cis-1,2-dihydronaphthalene-1,2-diol 2 ($[\alpha]_D$ + 244, CHCl₃) as minor components of the mixture. The monol product 7 and *cis*-dihydro diol **2** proved to be enantiopure (>98% e.e.) while the monol 8 showed only a slight excess (ca. 3%) of the (2S)-enantiomer. The structures, absolute configurations and enantiomeric excess values of the monols 7 and 8 were determined by chiral stationary phase HPLC and synthesis (see later).

When the biotransformation of 1,4-dihydronaphthalene 6 by *P. putida* UV4 was allowed to proceed for a longer period (20 h), (1R,2S)-cis-1,2-dihydronaphthalene-1,2-diol 2 was the major metabolite (ca. 90% relative yield). Fractional crystallization of the latter product mixture yielded a pure sample of cis-dihydro diol 2 ($[\alpha]_D$ +244, CHCl₃) which was stereochemically indistinguishable from the samples isolated when either naphthalene 1 or 1,2-dihydronaphthalene 3 were used as substrates. The minor component (ca. 10%) was found to decompose into 1-naphthol 9 during attempts to separate it from the cis-dihydro diol 2. The structure of this minor metabolite has not been unequivocally established but was tentatively identified as 1,4-dihydronaphthalene-1,4-diol 10 on the basis of the following observations: (i) the minor metabolite

was inseparable from the *cis*-dihydro diol **2** by TLC or HPLC chromatographic methods, (ii) the ¹H NMR spectral characteristics recorded of the mixture of metabolites (singlets at δ 5.15 and 6.22) were consistent with structure **10** and (iii) the literature contains a report¹¹ of the facile dehydration of 1,4-dihydronaphthalene-1,4-diol **10** to yield 1-naphthol **9**. Metabolite **10** could, in principle, be formed by further benzylic hydroxylation of the monol **7**.

The metabolism of 1,4-dihydronaphthalene 6 was investigated using the labelled substrate $6-D_2$, which contained a single deuterium atom at each of positions C-1 and C-4 (Scheme 3). The formation of cis-1,2-dihydronaphthalene-1,2-diol 2 from 1,4-dihydro[1,4- ${}^{2}H_{2}$]naphthalene 6-D₂ could proceed via a naphthalene intermediate $1-D_2$ as a result of direct dehydrogenation (step a) or by benzylic hydroxylation (step b) and dehydration of the monol $(7-D_2, \text{ step c})$. The *cis*-dihydro diol metabolite 2- D_4 formed via the naphthalene 1- D_2 would contain an equal distribution of deuterium label at positions C-1, C-4, C-5 and C-8 (Scheme 3). Owing to the presence of primary kinetic isotope effects during the formation of the naphthalene intermediate (1-D₂, step a and/or steps b and c), it is difficult to predict the deuterium content of the derived *cis*dihydro diol 2-D₄. However, the observation of an equal distribution of deuterium (ca. 28%) at positions C-1, C-4, C-5 and C-8 in the *cis*-diol **2-D**₄ is consistent with naphthalene $1-D_2$ being a precursor (Scheme 3, step a or steps b and c) and having a deuterium content of ca. 56% at positions C-1 and C-4 as a result of a primary kinetic isotope effect. The equal distribution of deuterium label at positions C-1 and C-4 (or C-5 and C-8) is incompatible with any significant involvement of the transient triol 12- D_2 to yield the *cis*-diol 2- D_2 (Scheme 3, steps b, e and f). A concentration of deuterium label only at positions C-5 and C-8 would have been expected if the triol $12-D_2$ had been the sole precursor of the *cis*-dihydro diol **2-D**₄.

When racemic *trans*-1,4-dihydro[$4^{-2}H_1$]naphthalen-1-ol 7-**D**₁ was added as substrate to *P. putida* UV4, the *cis*-dihydro diol **2-D**₄ was isolated as the major metabolite and again showed an equal distribution of deuterium label at positions C-1, C-4, C-5 and C-8 (*ca.* 28%, Scheme 4). This observation can be rationalized by the rapid dehydration of the substrate 7-**D**₁ *via* a conjugate *syn*-1,4-elimination ¹² to yield the naphthalene **1-D**₁ followed by *cis*-dihydroxylation (Scheme 4, steps c and d) and is incompatible with involvement of the triol intermediate **12-D**₁ (Scheme 4, steps e and f).

The *cis*-dihydro diol metabolite $2-D_4$ obtained from the substrate $7-D_1$ (Scheme 4) was accompanied by a minor metabolite which decomposed to 1-naphthol 9 during attempted isolation and is again tentatively assumed to be 1,4-dihydronaphthalene-1,4-diol $10-D_2$. When (*R*)-1,4-dihydronaphthalen-1-ol 7 ($[\alpha]_D$ - 159, >98% ee, isolated metabolite) was added as a substrate to *P. putida* UV4, the



Scheme 4 Reagent: i, P. putida UV4

cis-dihydro diol 2 ($[\alpha]_D + 244$, >98% ee) was isolated as the sole metabolite (36% yield). Addition of (S)-1,4-dihydronaphthalen-1-ol 7 ($[\alpha]_D + 156$, >98% ee, isolated by preparative HPLC, see later) to *P. putida* UV4 yielded only 1naphthol 9 (40% yield). These results suggest that only the (*R*)enantiomer of the monol 7 is formed from 1,4-dihydronaphthalene 6 by the organism and that it is dehydrated rapidly to yield naphthalene 1. The formation of 1-naphthol 9 from the (1S)-enantiomer of arene hydrate 7 may again be rationalized in terms of selective benzylic alcohol oxidation under the influence of an (S)-monol dehydrogenase to yield the corresponding ketone (which exists as the phenol 9).

The formation of 1,2-dihydronaphthalen-2-ol 8 as a minor metabolite of 1,4-dihydronaphthalene 6 can be explained in terms of a dioxygenase-catalysed oxidation *via* a benzylic/allylic radical (Scheme 5). This type of mechanism has previously been



Scheme 5 Reagent: i P. putida UV4

proposed by Gibson *et al.* for the benzylic hydroxylation of indene using *P. putida* 39D.⁵ The proposal that this mechanism^{3,4} may also be extended to the benzylic hydroxylations of 1,2-dihydronaphthalene **3** and 1,4-dihydronaphthalene **6** has received support from the recent studies of Gibson *et al.* using purified dioxygenase enzymes.¹⁰ Scheme 5 shows the formation of naphthalene **1**, and the monohydroxylation products **5**, **7** and **8** from both 1,2-dihydronaphthalene **3** and 1,4-dihydronaphthalene **6**, *via* benzylic and allylic radicals.

The presence of the monol $\mathbf{8}$ as a metabolite of 1 , 4-dihydro-naphthalene $\mathbf{6}$ and the absence of compound $\mathbf{8}$ as a metabolite of 1,2-dihydronaphthalene $\mathbf{3}$ suggests that allylic hydroxylation is not a preferred oxidation pathway in *P. putida* UV4.

In conclusion, the use of deuterium labelled substrates (**3-D**, **5-D'**, **6-D**₂ and **7-D**₁) with *P. putida* UV4 provides evidence for (i) dehydrogenation (Scheme 1, step a and possibly Scheme 3, step a), (ii) benzylic hydroxylation (Scheme 1, step b; Scheme 3, step b), (iii) allylic alcohol formation (Scheme 3, step g), (iv) triol formation (Scheme 1, step e; Scheme 2, step e) and (v) dehydration (Scheme 1, step f; Scheme 2, step f; Scheme 3, step c). The greater propensity of 1,4-dihydronaphthalen-1-ol 7 to dehydrate compared with 1,2-dihydronaphthalen-1-ol 5 or 1,2-dihydronaphthalen-2-ol 8¹³ may be an important factor in the rapid formation of naphthalene 1 from 1,4-dihydronaphthalene 6. The absence of the triol intermediate 12-D₁ (Scheme 4) may also be linked to the relative instability of the benzylic alcohol 7-D₁ which may dehydrate (step c) before *cis*-dihydroxylation (step e) can occur.

The benzylic/allylic alcohol metabolites 5, 7 and 8, isolated from P. putida UV4 biotransformations of substrates 3 and 6, have previously been described as arene hydrates ^{3,4} since they are formally equivalent to adducts of water and an arene. Although arene hydrates have been postulated as liver metabolites in early studies (e.g. arene hydrates of naphthalene¹⁴) unequivocal direct evidence for their formation from the parent arenes has been unavailable. The isolation of compounds 5, 7 and 8 as biotransformation products from dioxygenase-catalysed oxidation of substrates 3 and 6 provides the first unequivocal evidence for the structure and absolute stereochemistry of arene hydrate metabolites. Liver microsomal metabolism studies of 1,2-dihydronaphthalene,15 1,2-dihydroanthrancene,¹⁵ 5,6-dihydroquinoline,¹⁶ 7,8-dihydroquino-line¹⁶ and 9,10-dihydrobenzo[e]pyrene¹⁷ have provided evidence of monooxygenase-catalysed oxidation to yield arene hydrates of similar structure to compounds 5 and 8.

(b) Synthesis of enantiopure arene hydrates of naphthalene

Chemical synthetic routes for the arene hydrates 5, 8 and 7 of naphthalene have previously been reported.^{12,18,19} Unfortunately, none of these compounds had been obtained in optically active form and the literature methods used did not appear to be the most appropriate for the isolation of enantiopure compounds. Hence, alternative synthetic routes were used based upon alcohol precursors which were readily available as single enantiomers of known absolute configuration. (+)-(1*R*)-1,2-Dihydronaphthalen-1-ol 5 ($[\alpha]_D$ + 52, CHCl₃) was obtained in a total yield of *ca*. 30% from the commercially available (-)-(*R*)-1,2,3,4-tetrahydronaphthalen-1-ol 13 ($[\alpha]_D$ - 32, CHCl₃), *via* the acetate 14 ($[\alpha]_D$ + 98, CHCl₃) and the bromoacetate 15 ($[\alpha]_D$ + 49, CHCl₃) intermediates (Scheme 6).

Similarly, a sample of (-)-(S)-1,2,3,4-tetrahydronaphthalen-2-ol 16 ($[\alpha]_D$ -61, available from the hydrogenolysis of the tetrahydro diacetate of the *cis*-diol metabolite 2) was converted into the *tert*-butyl dimethylsilyl ether (TBDMS) derivative 17 ($[\alpha]_D$ - 50, CHCl₃) prior to benzylic bromination to yield the bromo TBDMS derivative 18. Dehydrobromination gave the unstable TBDMS derivative 19 which was immediately deprotected using Bu₄NF-THF to yield the (+)-(*R*)-enantiomer of arene hydrate 8 ($[\alpha]_D$ + 267, CHCl₃) in a total yield of *ca.* 15% (Scheme 7).



Scheme 6 Reagents: i, Ac₂O/pyridine; ii, NBS/CCl₄; iii, NaOMe/THF



Scheme 7 Reagents: i, Bu'SiMe₂OSO₂CF₃-CH₂Cl₂-Et₃N; ii, NBS-CCl₄; iii, NaOMe-THF; iv, Bu₄NF-THF

1,2-Dihydro $[1-{}^{2}H_{1}]$ napththalen-1-ol 5-D' was prepared from 1,2,3,4-tetrahydro $[1-{}^{2}H_{1}]$ naphthalen-1-ol 13 (from LiAlD₄ reduction of 1-tetralone) as precursor using the method outlined in Scheme 6.

Using the literature method,¹² a racemic sample of *trans*-1,4-dihydro[$4^{-2}H_1$]naphthalen-1-ol 7-D₁ was obtained from Li(Bu'O)₃AlD reduction of 1,4-epoxy-1,4-dihydronaphthalene 20. The deuterium atom was inserted *trans* to the hydroxy group and thus the naphthalene formed by dehydration contained a deuterium atom at C-1 by a *syn* elimination mechanism (Scheme 8).



Scheme 8 Reagents: i, $Li(Bu'O)_3AlH$ (R = H); ii, $Li(Bu'O)_3AlD$ (R = D)

The individual enantiomers of racemic samples of the arene hydrates 5, 7 and 8 were found to be separable by chiral stationary phase (CSP) HPLC (Chiralcel OB, hexane-propan-2-ol). The enantiopurity of the metabolites 5 (>98% ee, α 1.32), 7 (>98% ee, α 3.30) and (8, *ca.* 3% ee, α 1.10) was determined both by $[\alpha]_D$ measurement and by CSP HPLC. A semipreparative version of the Chiralcel OB column provided a direct separation method for the individual enantiomers of arene hydrate 7 ($[\alpha]_D \pm 159$, CHCl₃).

Synthetic routes to the enantiopure arene hydrates 5 and 7 outlined in Schemes 6 and 7 have proved to be generally applicable to the synthesis of heterocyclic analogues¹⁶ and to larger members of the PAH series, *e.g.* anthracene and phenanthrene. Application of the latter arene hydrates as reference compounds will facilitate the quest for arene hydrates as metabolites of the larger PAHs.

Experimental

Samples of (-)-(R)-1,2,3,4-tetrahydronaphthalen-1-ol 13 and 1,4-epoxy-1,4-dihydronaphthalene 20 were obtained from the Aldrich Chemical Company. ¹H NMR spectra were recorded at 300 and 500 MHz using General Electric QE300 and GN500 instruments, respectively. Coupling constants are reported in Hz. ²H NMR spectra were recorded at 400 MHz using a Bruker WP400 instrument. Mass spectral data was recorded at 70 eV using an AEI-MS902 instrument updated by VG Autospec Instruments and accurate molecular weights were determined by the peak-matching method. CSP HPLC analysis was carried out using a Perkin-Elmer Series 3B model HPLC instrument and Chiralcel OB or OJ columns. Optical rotations were measured using a Perkin-Elmer Model 241 polarimeter at 589 nm.

Biotransformations were carried out using P. putida UV4 and the shake flask or fermentor method. The conditions for

the biotransformations were identical with those reported previously.²⁰⁻²²

Biotransformation of 1,2-dihydronaphthalene 3

A fermentor scale (8 dm³) biotransformation of 1,2-dihydronaphthalene 3 (8.0 g) over a 12 h period followed by dichloromethane extraction yielded a crystalline product mixture (4.83 g) which appeared to contain three major components by ¹H NMR analysis. Separation of these components was achieved by flash chromatography on silica gel. Elution with diethyl ether-hexane (1:1) yielded (+)-(R)-1,2-dihydronaphthalen-1-ol 5 (0.8 g). Elution with MeOH-CH₂Cl₂ (5:95) yielded a mixture of the diols 2 and 4. The major diol 2 (2.8 g) was separated by crystallization from the mixture (CH_2Cl_2) . The residual minor portion of the *cis*-dihydro diol 2 present in the mother liquor was oxidized to the 3,4-epoxy derivative of 1,2-dihydronaphthalene 3 upon being stirred with meta-chloroperoxybenzoic acid in CH₂Cl₂ at ambient temperature overnight. Separation of the more polar epoxide by PLC on silica gel using MeOH-CHCl₃ (96:4) yielded a pure sample of the cis-tetrahydro diol 4 (1.0 g).

The relative yields of metabolites obtained after the 12 h biotransformation were determined by ¹H NMR analysis as 5 (18%), 2 (61%) and 4 (21%). A time course experiment on the biotransformation of 1,2-dihydronaphthalene 2 showed that the relative proportion of arene hydrate 5 was optimal (*ca.* 60%) during the early phase (*ca.* 3 h) while the *cis*-diol metabolites 2 and 4 were present as the major bioproducts in the latter stages (12 h).

(+)-(R)-1-*Hydroxy*-1,2-*dihydronaphthalene* **5**.—Mp 52– 53 °C (bp 101–103 °C/0.5 mmHg ¹⁸), $[\alpha]_D$ + 52 (*c* 0.5, CHCl₃); $\delta_H(300 \text{ MHz, CDCl}_3)$ 1.72 (1 H, d, $J_{OH,1}$ 6.5, OH), 2.59 (2 H, m, 2-H, 2'-H), 4.77 (1 H, m, 1-H), 5.98 (1 H, m, 3-H), 6.54 (1 H, d, $J_{4,3}$ 9.8, 4-H), 7.10 (1 H, m, ArH) and 7.20–7.30 (2 H, m, ArH) and 7.35 (1 H, m, ArH).

(+)-(1R,2S)-cis-1,2-*Dihydronaphthalene*-1,2-*diol* **2**.—Mp 114–115 °C (CH₂Cl₂–hexane) (lit.,¹ 115–116 °C); $[\alpha]_D$ +218 (*c* 0.6, MeOH) (lit.,¹ $[\alpha]_D$ +220, MeOH); $\delta_H[300$ MHz, (CD₃)₂CO] 4.28 (1 H, m, 2-H), 4.58 (1 H, d, $J_{1,2}$ 5.7, 1-H), 6.25 (1 H, dd, $J_{3,2}$ 4.2, $J_{3,4}$ 9.3, 3-H), 6.51 (1 H, d, $J_{4,3}$ 9.3, 4-H) and 7.1–7.5 (4 H, m, ArH).

(+)-(1S,2R)-cis-1,2,3,4-*Tetrahydronaphthalene*-1,2-*diol* **4**.— Mp 129–130 °C (CH₂Cl₂–hexane) (lit., ¹ 129–130 °C), $[\alpha]_D$ + 39 (c 3.1, CHCl₃); δ_H (300 MHz, CDCl₃) 2.00 (2 H, m, 3-H, 3'-H), 2.81 (1 H, m, 4-H), 2.96 (1 H, m, 4'-H), 4.03 (1 H, m, 2-H), 4.71 (1 H, d, $J_{1,2}$ 3.7, 1-H) and 7.11–7.45 (4 H, m, ArH).

GC/MS analysis of the crude product mixture after trimethylsilylation using bis(trimethylsilyl)trifluoroacetamide (25m BP 1 column, 154 °C) showed that in addition to the major metabolites 2, 4 and 5, naphthalene 1 was present as a minor component (< 5%).

1,2-Dihydro[$4^{-2}H_1$]naphthalene **3-D** was prepared in 83% overall yield from 1,2,3,4-tetrahydronaphthalen-1-one by reduction with LiAlD₄ and acid-catalysed dehydration (toluene-*p*-sulfonic acid in benzene). Distillation under reduced

pressure yielded 1,2-dihydro[4-²H₁]naphthalene 3-D, bp 42– 44 °C/1 mmHg (lit.,²³ 84–85 °C/12 mmHg), >98% incorporation of ²H at C-4. Biotransformation of 1,2-dihydro[4-²H₁]naphthalene 3-D under similar conditions to those used for the unlabelled dihydronaphthalene 3 yielded the major metabolites 4 and 5 with the deuterium label (>98% ²H₁) located at positions C-1 and C-4, respectively (¹H NMR analysis). The *cis*-dihydro diol metabolite 2-D/2-D₄ was found to contain a major portion of the deuterium label at C-5 (52 ± 3% ²H₁) and an equal proportion (16 ± 3% ²H₁) of label at positions C-1, C-4 and C-8 (²H NMR analysis).

Biotransformation of 1,2-dihydro $[1-{}^{2}H_{1}]$ naphthalen-1-ol 5-D', (+)-(1*R*)- and (-)-(1*S*)-1,2-dihydronaphthalen-1-ol 5

A small scale (0.1 g) biotransformation of the racemic arene hydrate **5-D'** was carried out over a 24 h period. Extraction with ethyl acetate of the NaCl-saturated aqueous culture medium and concentration of the dried organic extracts yielded a crude mixture of metabolites. ¹H NMR analysis of the latter showed it to be a mixture of the residual arene hydrate **5-D'** (67% relative yield), *cis*-dihydro diol **2-D'** (28% relative yield) and 1-naphthol **9** (5% relative yield). The two major components were separated by preparative TLC on silica gel using ethyl acetate–hexane (2:3). The recovered arene hydrate **5-D'** was found to have an excess of the (1*R*)-enantiomer (30% ee). The ¹H NMR spectrum of *cis*-dihydro diol **2-D'** was similar to that of the *cis*-dihydro diol **2** except for the absence of one aromatic proton in the range δ 7.1–7.4.

When a similar biotransformation was carried out using the (+)-(1R)-enantiomer of the arene hydrate 5 (0.05 g) for 20 h, the product (0.03 g) showed the presence of recovered substrate 5 (85% relative yield), *cis*-dihydro diol 2 (14% relative yield) and 1-naphthol 9 (6% relative yield) by ¹H NMR and GC-MS analysis. Using the (-)-(1S)-enantiomer of the arene hydrate 5 as substrate (0.05 g) under identical conditions the product (0.03 g) showed the presence of recovered substrate (29% relative yield) and 1-naphthol 9 (71% relative yield) only.

Biotransformation of 1,4-dihydronaphthalene 6

Biotransformation of 1,4-dihydronaphthalene 6 (3 g) was carried out in a fermentor (5 dm³) over a 23 h period. Extraction of the culture medium by EtOAc and ¹H NMR analysis showed the major product (ca. 90%) to be (+)-(1R,2S)cis-1,2-dihydronaphthalene-1,2-diol 2. A minor component (ca. 10%) was detected in the crude ¹H NMR spectrum which showed characteristic singlets at δ 5.15 and 6.22. This minor component showed chromatographic characteristics identical with those of the major metabolite 2 but was found to readily decompose to yield 1-naphthol 9. The residual cis-dihydro diol metabolite 2 was indistinguishable from that obtained from metabolism of substrate 3. When the biotransformation was repeated and terminated after a much shorter period (0.5 h), a major proportion of the substrate remained and the cis-dihydro diol metabolite 2 was found to be present as a relatively minor component (10%), in the presence of two monohydroxylation products 7 (81%) and 8 (9%). Preparative TLC was employed to separate the monols 7 and 8 (diethyl ether-hexane, 20:80) and the *cis*-dihydro diol **2** (MeOH–CHCl₃, 4:96).

(-)-(R)-1,4-*Dihydronaphthalen*-1-*ol* 7.—Mp 60–61 °C (pentane) (lit.,¹² 48 °C, racemic), $[\alpha]_D - 159$ (*c* 0.3, CHCl₃); $\delta_H(300 \text{ MHz, CDCl}_3)$ 1.87 (1 H, d, $J_{HO,1}$ 9.2, OH), 3.40 (2 H, m, 4-H, 4'-H), 5.15 (1 H, m, 1-H), 6.09 (2 H, m, 2-H, 3-H), 7.14–7.32 (3 H, m, ArH) and 7.6 (1 H, m, ArH).

(-)-(S)-1,2-*Dihydronaphthalen-2-ol* **8**.—Mp 26–28 °C (lit.,²⁴ 20–25 °C, racemic), $[\alpha]_{\rm D}$ –7.1 (*c* 0.14, CHCl₃); $\delta_{\rm H}$ (300 MHz, CDCl₃) 1.50 (1 H, s, OH), 3.05 (2 H, d, $J_{1,2}$ 6.0, 1-H, 1'-H), 4.47 (1 H, m, 2-H), 6.12 (1 H, dd, $J_{3,2}$ 4.3, $J_{3,4}$ 9.5, 3-H), 6.56 (1 H, d, $J_{4,3}$ 9.5, 4-H) and 7.09–7.25 (4 H, m, ArH).

The *cis*-dihydro diol metabolite **2** ($[\alpha]_D + 244$, CHCl₃) was indistinguishable from the sample obtained from biotransformation of 1,2-dihydronaphthalene **3**.

1,4-Dihydro-[1,4-²H₂]naphthalene **6**-D₂ was obtained from naphthalene **1** by treatment with sodium and EtOD according to the literature procedure.²⁵ The deuterium incorporation at C-1 and C-4 was found to be >98% by NMR analysis. A small scale (0.25 g) biotransformation of 1,4-dihydro[1,4-²H₂]naphthalene **6-D**₂ was carried out over a 16 h period. Extraction (EtOAc) and preparative TLC purification gave *cis*-dihydro diol **2-D**₄ as the major metabolite (63%). ²H NMR analysis of the *cis*-dihydro diol **2-D**₄ showed an equal distribution of deuterium (28 ± 3%) at positions C-1, C-4, C-5 and C-8. Minor metabolites were found to include 1,2-dihydronaphthalen-2-ol **8** and a compound which readily rearranged to 1-naphthol **9**.

Biotransformation of *trans*-1,4-dihydro $[4-^{2}H_{1}]$ naphthalen-1-ol 7-D₁, (-)-(1*R*)- and (+)-(1*S*)-1,4-dihydronaphthalen-1-ol 7

A small-scale biotransformation of racemic *trans*-1,4dihydro[4-²H₁]naphthalen-1-ol 7-D₁ (0.05 g, >98% ²H₁) was carried out over an 18 h period by the shake-flask method. The crude extract (EtOAc) was analysed by ¹H NMR spectroscopy and found to contain (1*R*,2*S*)-*cis*-1,2-dihydronaphthalene-1,2diol 2-D₄, (67% relative yield), 1-naphthol 9 (33% relative yield). Preparative TLC purification yielded the pure *cis*dihydro diol 2-D₄ whose ¹H and ²H NMR spectra showed an equivalent proportion of deuterium (*ca.* 28 ± 3% ²H₁) at positions C-1, C-4, C-5 and C-8.

When the biotransformation was repeated using (-)-(1R)-1,4-dihydronaphthalen-1-ol 7 (0.05 g, $[\alpha]_D - 159$) the only isolated product was (+)-(1R,2S)-*cis*-1,2-dihydronaphthalene-1,2-diol 2 (0.018 g), $[\alpha]_D + 244$ (36% yield). Using the (+)-(1S)-enantiomer of arene hydrate 7 (0.05 g), $[\alpha]_D + 156$ under identical biotransformation conditions yielded only 1-naphthol 9 (0.02 g, 40%).

The *trans*-1,4-dihydro $[4-{}^{2}H_{1}]$ naphthalen-1-ol substrate 7-D₁ was synthesised from 1,4-epoxy-1,4-dihydronaphthalene **20** using lithium tri-*tert*-butoxyaluminium deuteride according to the literature procedure.¹²

Chemical synthesis of enantiopure arene hydrates (+)-(R)-5 and (+)-(S)-8

(+)-(*R*)-1-Acetoxy-1,2,3,4-tetrahydronaphthalene 14. An excess of acetic anhydride (1.5 cm³) was added to a solution of (*R*)-1,2,3,4-tetrahydronaphthalen-1-ol 13 (0.5 g, 3.37 mmol; $[\alpha]_{\rm D}$ – 32) in pyridine (2 cm³). The reaction mixture was stirred overnight at room temperature and then worked up to give the crude acetate (0.630 g, 98%). Distillation under reduced pressure yielded (+)-(*R*)-1-acetoxy-1,2,3,4-tetrahydronaphthalene 14, bp 84 °C/0.4 mmHg, $[\alpha]_{\rm D}$ +98 (*c* 4.4, CHCl₃) (lit.,²⁶ bp 105–110 °C/2 mmHg, racemic); $\delta_{\rm H}$ (300 MHz, CDCl₃) 1.78–2.05 (4 H, m, 3-H, 3'-H, 2-H, 2'-H), 2.07 (3 H, s, OCOCH₃), 2.70–2.91 (2 H, m, 4-H, 4'-H), 5.99 (1 H, d, $J_{1,2}$ 3.8, 1-H) and 7.11–7.28 (4 H, m, ArH).

(*R*)-1-Acetoxy-4-bromo-1,2,3,4-tetrahydronaphthalene 15. A solution of (*R*)-1-acetoxy-1,2,3,4-tetrahydronaphthalene 14 (0.5 g, 2.63 mmol; $[\alpha]_D$ + 98) in tetrachloromethane (20 cm³) was heated to reflux temperature using an oil bath. *N*-Bromosuccinimide (0.5 g, 2.9 mmol) and a catalytic amount of azoisobutyronitrile (AIBN; *ca.* 0.005 g) were added to the refluxing solution which was then heated for a further 0.25 h using a heat lamp until the succinimide product was totally formed. The solution was cooled, filtered and concentrated to yield a mixture of *cis*- and *trans*-(*R*)-1-acetoxy-4-bromo-1,2,3,4-tetrahydronaphthalene 15 as a colourless oil (0.6 g, 86%), $[\alpha]_D$ + 49 (*c* 4, CHCl₃); $\delta_H(300 \text{ MHz}, \text{CDCl}_3)$ 2.03 (3 H, s, OCOMe_A), 2.17 (3 H, s, OCOMe_B), 2.03–2.50 (8 H, m, 2-H_A, 2-H_B, 2'-H_A,

 $2'-H_B$, $3-H_A$, $3-H_B$, $3'-H_A$, $3'-H_B$), 5.50 (1 H, m, $4-H_B$), 5.60 (1 H, m, $4-H_A$), 6.05 (2 H, m, $1-H_A$, $1-H_B$) and 7.22-7.43 (8 H, m, ArH).

A small sample of the *trans*-isomer 15_{trans} was recrystallized from hexane to give pure material mp 86–88 °C, $[\alpha]_D$ +72 (*c* 0.58, CHCl₃) but the bulk of the material was used in the next stage without separation of *cis*- and *trans*-isomers.

(+)-(R)-1,2-Dihydronaphthalen-1-ol 5. Sodium methoxide (1.5 g, 26 mmol) was added to a stirred solution of cis/trans-1acetoxy-4-bromo-1,2,3,4-tetrahydronaphthalene 15 (0.7 g, 2.6 mmol; $[\alpha]_{\rm D}$ +49) in dry THF (50 cm³) under nitrogen at 0 °C and these conditions were maintained for 6 h. Water (30 cm^3) was added to the mixture from which THF was then removed under reduced pressure. The aqueous solution was extracted (Et₂O) and the extract was dried and concentrated to yield the crude arene hydrate 5. Purification by preparative TLC (silica gel; diethyl ether-hexane containing 0.1% triethylamine) gave the title compound 5, (0.13 g, 34%) as a colourless oil which was purified by distillation (bp 53-55 °C/0.1 mmHg, $[\alpha]_D$ + 52, CHCl₃). The (+)-(R)- arene hydrate 5 was found to be spectrally identical with the metabolite. The (-)-(1S)-form was also chemically synthesised starting from (S)-1,2,3,4tetrahydronaphthalen-1-ol 13.

(-)-(S)-2-tert-Butyldimethylsilyloxy-1,2,3,4-tetrahydronaphthalene 17. To a cooled solution (0 °C) of (-)-(S)-1,2,3,4tetrahydronaphthalen-2-ol 16 (0.320 g, 2.16 mmol; $[\alpha]_{\rm D}$ -61) in dry dichloromethane(15 cm³), containing triethylamine (0.4 cm³) and dimethylaminopyridine (0.135 g), was added tertbutyldimethylsilyl chloride (0.410 g, 2.71 mmol). The reaction mixture was stirred (12 h) at ambient temperature and then diluted with dichloromethane (20 cm³), washed successively with 5% hydrochloric acid, water and 5% aqueous sodium hydrogencarbonate, dried (Na2SO4) and concentrated under reduced pressure to yield the crude TBDMS ether 17 (0.550 g, 97% yield) as a pale yellow oil. Distillation of this in vacuo gave the TBDMS ether 17 as a colourless oil, bp 60 °C at 0.01 mmHg, $[\alpha]_{D} - 50 (CHCl_{3}) (Found: C, 73.0; H, 9.7. C_{16}H_{26}SiO requires$ C, 73.3; H, 9.9%); $\delta_{\rm H}(300 \text{ MHz}, \text{ CDCl}_3)$ 0.90 [15 H, s, Si(CH₃)₂C(CH₃)₃], 1.76 (1 H, m, 3'-H), 1.95 (1 H, m, 3-H), 2.75 (2 H, m, 1-H), 2.96 (2 H, m, 4-H), 4.05 (1 H, m, 2-H) and 7.08 (4 H, m, ArH).

(2R)-4-Bromo-2-tert-butyldimethylsilyloxy-1,2,3,4-tetrahydronaphthalene 18. To a solution of (-)-(S)-2-tert-butyldimethylsilyloxy-1,2,3,4-tetrahydronaphthalene 17 (0.260 g, 0.99 mmol; $[\alpha]_D$ -50, CHCl₃), in carbon tetrachloride (10 cm³), under nitrogen atmosphere, were added N-bromosuccinimide (0.194 g, 1.09 mmol) and azoisobutyronitrile (0.005 g). The stirred reaction mixture was heated (60 °C) for 0.5 h using a heat lamp. After cooling, the reaction mixture was filtered to remove the precipitated succinimide and the filtrate was evaporated to yield the crude bromosilyloxy mixture of isomers 18 as a semisolid (0.33 g, 97%) (Found M - 1, 341.075 766. $C_{16}H_{24}BrOSi$ requires 341.079 34); $\delta_{H}(300 \text{ MHz}, \text{CDCl}_3) 0.10$ [6 H, s, Si(CH₃)₂], 0.15 [6 H, s, Si(CH₃)₂], 0.93 [9 H, s, Si(CH₃)₃], 0.94 [9 H, s, Si(CH₃)₃], 2.1–3.2 (8 H, m, 2 × 1-H, $2 \times 1'$ -H, 2×3 -H, $2 \times 3'$ -H), 3.90 (1 H, m, 2-H), 4.12 (1 H, m, 2)-H), 2-H), 5.48 (1 H, m, 4-H), 5.65 (1 H, m, 4-H) and 7.00-7.40 (8 H, m, ArH). The crude bromo TBDMS ether mixture 18 was used without further purification in the next step.

(+)-(R)-1,2-Dihydronaphthalen-2-ol 8. A solution of compound 18 (0.350 g, 1.03 mmol) in dry THF (15 cm³) was cooled to 0 °C under nitrogen and treated with sodium methoxide (0.480 g, 8.9 mmol). The reaction mixture was stirred at 0 °C for 3 h after which most of the THF was removed under reduced pressure. The residue was treated with diethyl ether (40 cm³) and the ether solution was then washed with cold water.

Evaporation, of the dried (Na₂SO₄) ether extract, under reduced pressure gave the crude (2*R*)-*tert*-butyldimethylsilyloxy-1,2-dihydronaphthalene **19** as a colourless oil (0.220 g, 82%); $\delta_{\rm H}(300 \text{ MHz}, \text{CDCl}_3) 0.09 [6 \text{ H}, \text{ s}, \text{Si}(\text{CH}_3)_2], 0.91 [9 \text{ H},$ $s, \text{SiC}(\text{CH}_3)_3], 2.92 (2 \text{ H}, \text{m}, 1-\text{H}), 4.67 (1 \text{ H}, \text{m}, 2-\text{H}), 5.92 (1 \text{ H},$ $dd, J_{3,2} 2.2 J_{3,4} 9.7, 3-\text{H}), 6.39 (1 \text{ H}, d, J_{4,3} 9.7, 4-\text{H}) and 7.02–$ 7.20 (4 H, m, ArH).

To a cooled solution (0 °C) of the above crude silyloxydihydronaphthalene **19** (0.200 g, 0.77 mmol) in THF (5 cm³) was added, dropwise, under nitrogen, a solution of tetrabutylammonium fluoride in THF (1 mol dm⁻³; 1 cm³). After being stirred for 2 h at 0 °C the reaction mixture was quenched with cold water (1 cm³) and then concentrated by removal of most of the THF under reduced pressure. The residue was extracted into ether (25 cm³) and the extract dried (Na₂SO₄) and evaporated to yield the crude title compound **8** as a light-yellow semisolid. Purification by PLC (silica gel, ether–hexane, 1:2 containing 0.1% triethylamine) and subsequent crystallization from hexane yielded pure arene hydrate **8** as colourless crystals (0.030 g, 27%), mp 26–28 °C (lit.,²⁴ 20–25 °C), $[\alpha]_D + 267$ (CHCl₃). The arene hydrate **8** was spectrally identical with the metabolite.

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