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Dynamic kinetic resolution during iron carbonyl promoted [6+2] ene-type reactions

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Abstract—Intramolecular coupling of cyclohexadiene– $Fe(CO)_3$ complexes with pendant alkenes, to form spirolactams, proceed with excellent stereocontrol, using chiral amide substrates that lead to dynamic kinetic resolution. © 2005 Elsevier Ltd. All rights reserved.

Transition metal promoted cyclocoupling reactions of alkenes have a rich history, and occupy an important place in organic synthesis methodology.¹ These processes often complement their more traditional organic counterparts in terms of stereochemistry and our ability to carry out reactions that are symmetry forbidden, or compromised by more facile competing processes. While such transformations in the coordination sphere of a metal are often not concerted single-step reactions, they are nevertheless usually stereospecific, a result of continual attachment of the reacting ligand(s) as the key bond-forming steps proceed.

We have developed a novel coupling reaction between a diene–Fe(CO)₃ complex and a pendant alkene, that is equivalent to a [6+2] ene reaction.² While this is a non-concerted transformation, the key bond-forming event is nevertheless stereospecific, as illustrated in Scheme 1. The initial product from amide 1 is lactam 2, but this undergoes rearrangement of the diene–Fe(CO)₃ system, via a hydride shift, to afford 3 under the reaction conditions. Thus, when R = H in the simple

case illustrated, a mixture of epimers 2a and 3a is obtained. We have developed a number of approaches to control the stereochemical outcome of this reaction, one of which uses a methoxy substituent on the diene (R = OMe, 1b). In this case a mixture of regioisomers 2b/3b is generated, but removal of the metal, followed by hydrolysis of the resulting mixture of dienol ethers affords a single enone $4.^3$

Another problem that arises with the unsubstituted series ($\mathbf{R} = \mathbf{H}$) is that the same thermal rearrangement that converts 2 to 3 also occurs with the reactant 1, in that case leading to racemization of optically pure complexes. This too has been overcome by attaching suitable substituents to the cyclohexadienyl ring,⁴ but it also provides an avenue for dynamic kinetic resolution in cases where the pendant alkene has a stereogenic centre, which is the subject of this Letter.

Consider the reaction shown in Scheme 2. If there is a significant difference in the rates of cyclization of the two diastereomers 5 and 6 (5 being faster), compared



Scheme 1.

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Scheme 2.

with their rate of interconversion (which occurs by ironmediated hydride transfer), then we should observe predominant formation of 7 over 8. Indeed, if the equilibration between 5 and 6 is sufficiently facile, and the cyclization rates are greatly different, a mixture of these two complexes might afford a single product. Note that the diene–Fe(CO)₃ unit in 6 is enantiomeric with that in 5 (see the alternate drawing of 6, which is derived by rotation about the diene–carbonyl C–C bond). Of course, 7 will undergo the same rearrangement as noted for 2, but this is also a controllable event.⁵ Should this process occur as proposed, then the newly generated stereocentres are controlled by the stereochemistry at C*, and the requisite amide can be prepared from a *racemic* cyclohexadienoic acid complex.

The amide derivatives (X = NR) are chosen for illustration here because the requisite amines can be prepared from the corresponding readily available amino acids. We also chose to use a trisubstituted pendant alkene unit, for reasons that will become apparent later in the discussion. For this purpose, we required amine derivative 11, which was prepared from phenylalanine as outlined in Scheme 3. Thus, the protected amino aldehyde 9 was prepared using a literature procedure,⁶ and subjected to Horner-Wadsworth-Emmons reaction under two sets of reaction conditions. The phosphonyl enolate derived by treatment of the precursor phosphonoester with n-BuLi afforded a mixture of E and Z alkenoic esters 10 favouring the *E* isomer, but in only a 4:1 ratio, though these can be separated chromatographically. Use of DBU/LiCl⁷ produced essentially single E isomer in good yield. N-Deprotection of (E)-10 afforded 11. It should be noted that the minor (Z) isomer from the BuLi-promoted reaction underwent spontaneous formation of lactam 12 on deprotection, as expected, so our study of the [6+2] ene cyclization reaction was confined to the E isomer at this time.

The chiral trisubstituted amino ester 11 was coupled with the racemic acid 13, via its acyl mesylate, to produce a pair of diastereomeric amides, 14 and 15, which were separated (Scheme 4). Complex 14 was also prepared from the amine and enantiomerically pure acid of known stereochemistry,⁸ which allowed unambiguous structural assignment of 14 and 15. Cyclization of 14 gave a 1:1 mixture of epimeric spirolactams 16 in 75% isolated yield (4 h, 100% conversion; minor by-products appear to be from demetallation of 16). It should be noted that, according to our earlier observations on this reaction, 16a is the initial product and this undergoes thermal rearrangement to give 16b. Direct cyclization of 15 did not afford any of the products 17. Instead, upon heating 15 rearranged to give its diastereomer 14, which then cyclized to give products 16 in 65% yield (8 h, 60% conversion). Cyclization of a 1:1 mixture of 14 and 15 gave the same pair of epimers 16 in 63% isolated yield. The much slower cyclization of 15 compared with 14 is consistent with the proposition that complex 15 must undergo rearrangement of the diene, to form 14 first. The mixture of epimeric lactams 16 was demetallated (Me₃NO), followed by hydrogenation to give a single product 18, thus confirming that efficient dynamic kinetic resolution indeed occurs.

The difference in reactivity between 14 and 15 can be rationalized by considering the strain energies of putative intermediates, which can be calculated by molecular mechanics using PC Spartan. According to the mechanism, 14 and 15 have to be converted to the intermediates 18 and 19, respectively. Intermediate 18 is lower in energy than 19 by 1.8 kcal/mol. Formation of 19 from 15 is therefore likely to be slow compared with isomerization of 15 to 14, which prevents formation of 17a. At the same time, conversion of 14 to 18 occurs more easily and 18 then cyclizes to form 16a.

As mentioned earlier, we considered that a trisubstituted alkene residue would be needed for efficient dynamic kinetic resolution. This supposition was based on the fact that intermediate **19** has a destabilizing non-bonded interaction between the methyl and benzyl groups, as





Scheme 4.



Scheme 5.

indicated on the structure. This interaction is absent in intermediate 18 (note that steric interaction with the ester residue is not possible because of the double bond stereochemistry). In fact, we have observed that similar complexes lacking the methyl substituent give much poorer selectivity (ca. 2.5:1), but these results are not included here because mixtures of four diastereomers were generally obtained (analogous to diastereomers 16a/b and 17a/b in Scheme 5), and the products were not fully characterized.



In summary, it is possible to use a chiral amide substituent to drive the stereochemical outcome of the iron promoted [6+2] ene-type reaction in a single direction by dynamic kinetic resolution. Multiple stereogenic centres can be produced in a single enantiomeric form. This chemistry is interesting because of the similarity between the spirolactam structure **18** and cytochalasin structures such as the HIV protease inhibitor 18-deoxycytochalasin H,⁹ though the stereochemistry of this molecule would require us to use the amide derived from the Z isomer of **11**. Future work will examine methods to prepare this system and its cyclization, as well as tandem reactions that are analogous to those described earlier,⁵ that will afford multicyclic structures derived only from **16a**, which avoids the problem of **16a/16b** mixture formation.

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Supplementary data

Spectroscopic data for all new compounds reported. Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.tetlet. 2005.02.166.

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