

# Direct Synthesis of Dialkyl Ketones from Aliphatic Aldehydes through Radical N-Heterocyclic Carbene Catalysis

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Cite This: ACS Catal. 2020, 10, 8524-8529 **Read Online** ACCESS Metrics & More [DI Article Recommendations **SUPPORTING Information** ABSTRACT: A designed thiazolium-type N-heterocyclic carbene cat (NHC) catalyst having an N-neopentyl group and seven-membered backbone structure was achieved through the use of aliphatic

aldehydes as acyl donors in the decarboxylative radical coupling with aliphatic carboxylic acid derived-redox active esters. The NHC catalyst also enabled the vicinal alkylacylation of vinyl arenes using aliphatic aldehydes and redox-active esters through a radical relay mechanism. These reactions provided the synthetic route to sterically hindered dialkyl ketones.



**KEYWORDS:** N-heterocyclic carbene, organocatalysis, radical, aldehydes, dialkyl ketones

ialkyl ketones are one of the fundamental and essential class of organic molecules. These compounds are found in many pharmaceutical and bioactive molecules. In addition, they are recognized as versatile synthetic precursors of valuable functional groups, such as alcohols and amines. The conventional synthetic approach to dialkyl ketones from aliphatic aldehydes is the nucleophilic addition of aliphatic organometallic reagents followed by oxidation (Scheme 1A). On the other hand, the direct synthesis of dialkyl ketones from aliphatic aldehydes are also demonstrated, even though such a process is difficult and less mature at present. This process using aliphatic aldehydes as carbonyl sources would be useful, in terms of their availability and inexpensiveness. Specifically, N-heterocyclic carbene (NHC)-catalyzed Stetter and benzoin condensation reactions using aliphatic aldehydes could provide the dialkyl ketones.<sup>1,2</sup> The hydroacylation of alkenes using transition-metal catalyst<sup>3</sup> or hydrogen atom transfer (HAT) catalyst<sup>4,5</sup> is known as a high atom-economical method. The direct methods exhibited high functional group compatibility. However, the fragility to steric bulkiness limited the scope and the complexity of dialkyl ketone products.

Recently, we developed the direct synthesis of alkyl aryl ketones from aromatic aldehydes using aliphatic carboxylic acid derived-redox active esters and NHC catalyst under mild conditions (Scheme 1B).<sup>6</sup> This protocol allowed the use of secondary and tertiary alkyl carboxylic acid-derived redoxactive ester to produce sterically hindered alkyl aryl ketones. The reaction involves a single electron transfer (SET) from the enolate form of the Breslow intermediate, which is derived from aldehyde and NHC in the presence of base, to redox active ester, followed by radical-radical coupling between the resultant Breslow intermediate-derived radical and alkyl radical (Scheme 1B).<sup>6,7</sup> However, the useable aldehydes have been limited to aromatic aldehydes. Thus, aliphatic aldehydes did

not give dialkyl ketones at all under the optimized conditions using a thiazolium salt  $(N1)^8$  possessing an N-2,6-diisopropylphenyl substituent and seven-membered backbone structure as the NHC precursor (Scheme 1B). To expand the substrate scope, we thought that redesign of the NHC catalyst would be required.

Herein, we report that the design of NHC catalyst enabled the use of aliphatic aldehydes for the radical reactions involving the Breslow intermediate-derived radical species. A thiazoliumtype NHC having an N-neopentyl group and seven-membered backbone structure was effective. The catalyst system provided a synthetic approach to sterically hindered dialkyl ketones from aliphatic aldehydes in a single step.

Fukuzumi and co-workers studied the redox properties of the enolate form of Breslow intermediates that are prepared from aldehydes and thiazolium-type NHC in the presence of a strong base.9 The enolate forms of Breslow intermediates derived from aliphatic aldehyde have reduction potentials comparable to the one derived from aromatic aldehyde ( $E_{ox}$  = -0.96 V for acetaldehyde and  $E_{ox} = -0.97$  V for otolualdehyde) (Scheme 1B). Thus, the SET process mediated by the aliphatic aldehyde-derived Breslow intermediate would be feasible.

Next, the stoichiometric reaction using a silylated thiazolium aliphatic alcohol 4a, which is known to act as a Breslow intermediate equivalent with treatment of TBAF, was

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# Scheme 1. Direct Synthesis of Ketones from Aldehydes through NHC-Catalyzed Radical Reactions

A. Synthesis of dialkyl ketones through conventional approach





conducted (Table 1A).<sup>10</sup> Specifically, the reaction between 4a and pivalic acid derived-redox active ester 2a using stoichiometric amounts of TBAF and  $Cs_2CO_3$  for generating the enolate form of the Breslow intermediate afforded the desired dialkyl ketone 3aa in 6% yield.<sup>11</sup> Three additional redox active esters containing tertiary or benzyl substituents were examined (3ab-3ad). Tertiary benzylic redox active ester 2d produced a highly stabilized benzyl radical coupled with 4a in an exceptionally high yield.

Encouraged by the results of the stoichiometric experiments described in Table 1A, the catalytic reactions of 3-phenylpropionaldehyde (1a) and 2a-2d with 2,4,5-trimethyl thiazolium salt N2 were conducted (Table 1B). The enolate form of the Breslow intermediate derived from N2 would be same as that derived from 4a. The reaction with 2a gave the desired coupling product 3aa, although the yield was low. The product yield of 3ab diminished under the catalytic conditions (7% to 2%; see Table 1B vs Table 1A). On the other hand, the improvement of the product yield was observed in the case of 2c. As with the stoichiometric reaction, the high reactivity was observed when 2d was used as a substrate. Interestingly, using the N-2,6-diisopropylphenyl-substituted N1 instead of N2 did not afford the product at all. In our previous study using N1,<sup>6a</sup> we assumed that the reason why aliphatic aldehydes were ineffective was attributed to the instability of the Breslow intermediate-derived radical. However, these results (N2 vs N1) using 2d suggested that the cause seems to be the steric environment on the radical-radical coupling process.

Based on the preliminary experiments in Tables 1A and 1B, we envisioned that the improvement of reaction efficiency for aliphatic aldehydes would be solved by the tuning the steric

# Table 1. Design of NHC Catalyst for Aliphatic Aldehydes

A. Stoichiometric reaction<sup>a</sup>



C. Optimization of NHC catalysts for reaction between 1a and 2ac



<sup>*a*</sup>Reaction was performed with 4a (0.2 mmol), 2 (0.2 mmol), and TBAF (0.2 mmol) in DMSO (0.4 mL) at 25 C for 10 min. Then,  $Cs_2CO_3$  (0.2 mmol) was added and stirred at 60 °C for 4 h. <sup>1</sup>H NMR yield. <sup>*b*</sup>Reaction was performed with 1a (0.3 mmol), 2 (0.2 mmol), N2 (0.02 mmol), and  $Cs_2CO_3$  (0.04 mmol) in DMSO (0.4 mL) at 60 °C for 4 h. <sup>1</sup>H NMR yield based on 2. <sup>*c*</sup>Reaction was performed with 1a (0.3 mmol), 2a (0.2 mmol), N3–N10 (0.02 mmol), and  $Cs_2CO_3$  (0.04 mL) at 60 °C for 4 h. <sup>1</sup>H NMR yield based on 2a.

environment of carbene. To find the effective NHC catalyst quickly, the *N*-substituent was evaluated with thiazolium salt having a dimethyl backbone (Table 1C, N3–N10). The reaction with *N*-benzyl thiazolium salt resulted in the slight product formation (N3). The introduction of a diphenylmethyl substituent did not give the product (N4). Interestingly, putting a single methylene unit into N4 resulted in the desired product formation with 10% yield (N5). A propyl substituent (N6) was comparable with N3. The *N*-isobutyl-substituted N7 showed comparable reactivity. After screening of other sterically hindered groups, *N*-neopentyl-substituted thiazolium salt was found to be effective (N8). Subsequently, the backbone structure of thiazole ring was evaluated (N9 and N10). N10 bearing a seven-membered backbone dramatically increased the product yield.<sup>12,13</sup> As observed in our previous report, triazolium- and imidazolium-type NHCs did not afford any products (data not shown).<sup>6a,b</sup> The control experiments revealed that both NHC and the  $Cs_2CO_3$  base were essential for this reaction (data not shown).

In the radical NHC catalysis, the bulky N-substituents would not only secure the persistent nature<sup>14</sup> of the Breslow intermediate-derived radical but also prohibit the possible side reactions between nucleophilic species generated in situ from NHC and the ester moiety in 2. However, the sterically demanding N-substituents such as 2,6-disopropylphenyl (N1) or diphenylmethyl (N4) groups in close proximity to the carbene center were not suitable in the case of aliphatic aldehyde substrates (see Table 1C). These N-substituents would lead to unsuccessful radical-radical coupling, because the Breslow intermediate-derived radical from aliphatic aldehyde would be more three-dimensionally congested than that from aromatic aldehyde, because of the sp<sup>3</sup> nature of  $\alpha$ carbon. As a result, N-neopentyl-substituted N10, in which steric hindrance is introduced at some distance from the carbene center, rather than within a proximity, might be effective both for the radical-radical coupling and the suppression of side reactions.

This protocol under the N10 catalyst system was useful to construct the sterically hindered dialkyl ketones bearing secondary or tertiary alkyl substituents (Table 2). In the top portion of Table 2, various redox active esters were examined using the reaction of cyclohexanecarboxyaldehyde (1b). The secondary benzylic carboxylic acid-derived redox active esters possessing methyl (3bc), ethyl (3be), and allyl (3bf) groups were suitable substrates. Tertiary benzylic groups containing cyclic (3bg) and acyclic (3bd and 3bh) scaffolds could be coupled with the acyl group efficiently. Unactivated tertiary alkyl group was engaged in this decarboxylative alkylation (3bb). Amide (3bi) and benzyl ether (3bj) substituents did not inhibit the reaction. Overall, the reactivity seemed to be correlated with the stability of the generated alkyl radical (3bc vs 3bd and 3bg vs 3bb, 3bi).  $\alpha$ -Amino- and  $\alpha$ -alkoxysubstituted ketones, which are known as synthetically useful intermediates, were easily prepared by this reaction (3bk-3bn). The relatively low yield of 3bm and 3bn might be due to the decomposition of the protecting groups such as acetyl or silyl substituents by basic reaction conditions. It is noteworthy that pharmaceutical drugs such as Loxoprofen and Gemfibrozil were acylated, respectively (3bo and 3bp).

A broad range of primary and secondary aliphatic aldehydes were efficiently coupled with the redox active ester 2d to produce the dialkyl ketones (Table 2, bottom). Citronellal was directly alkylated (3cd). Unprotected alcohol and thioether groups were compatible (3dd and 3ed). Acyclic and cyclic secondary alkyl aldehydes were also good substrates (3fd-3hd). The alkene moiety that sometimes poisons transitionmetal catalysis did not inhibit the reaction (3fd). The cyclopropyl ketone could be synthesized from cyclopropanecarboxaldehyde via this organocatalytic reaction (3gf). Heterocyclic ketone containing a piperidine scaffold was prepared (3hd). Several combinations of aliphatic aldehydes and tertiary redox active esters were also shown (3ab, 3gk, and 3hj). The aldehydes derived from natural products such as lithocolic acid and cholic acid could participate in this decarboxylative alkylation reaction (3ii and 3jd). Unfortunately, the reaction with the tertiary aliphatic aldehyde resulted in the recovery of substrates (data not shown). It would be due

# Table 2. Substrate Scope<sup>a</sup>



<sup>a</sup>Reaction was performed with 1 (0.3 mmol), 2 (0.2 mmol), N10 (0.02 mmol), and  $Cs_2CO_3$  (0.04 mmol) in DMSO (0.4 mL) at 60 °C for 4 h. <sup>b</sup>Diastereomeric ratio is 55:45 determined by <sup>1</sup>H NMR analysis.

to the slow formation of Breslow intermediate caused by the steric bulkiness.

To gain the more information on catalytic activity of N10, several reactions were conducted. First, N10 was subjected to the decarboxylative coupling with benzaldehyde 1i to exhibit the comparable reactivity as the previous optimal catalyst N1 (Scheme 2A).<sup>6a</sup> Thus, the newly designed N10 was identified as a versatile NHC catalyst for the decarboxylative radical coupling of both aliphatic and aromatic aldehydes.

To understand the reaction mechanism, competition experiments were conducted (Schemes 2B and 2C). When the reaction of the redox ester 2d with the same amounts of 1i

#### Scheme 2. Mechanistic Studies

A. Reaction with aromatic aldehyde



and secondary aliphatic aldehydes **1b** was performed, alkyl aryl ketone **3id** was formed prior to dialkyl ketone **3bd** (Scheme 2B). Compared secondary aliphatic aldehyde **1b** with primary aliphatic aldehyde **1a**, the primary aliphatic aldehyde-derived product was preferentially obtained (Scheme 2C). These results indicated that the order of reactivity of aldehydes is as follows: aromatic > primary aliphatic > secondary aliphatic. We supposed that the deprotonation of the original formyl C–H producing enol or the enolate form of Breslow intermediates would be the rate-determining step in the present NHC catalysis. This is consistent with conventional ionic NHC organocatalysis.<sup>15,16</sup>

To explore the versatility of the newly designed NHC catalyst, we tested other NHC-catalyzed radical reactions that have been limited to aromatic aldehydes. For example, the N10 catalyst allowed the use of aliphatic aldehyde as an acyl source for the vicinal alkylacylation of vinyl arenes 5, using tertiary alkyl carboxylic acid-derived redox-active ester 2 through a radical relay mechanism (see Table 3A).<sup>6b</sup> Primary and secondary aliphatic aldehydes were suitable substrates (6aai, 6fai, and 6bai). Tertiary butyl and adamantly groups were successfully introduced to  $\beta$ -position of ketone products (**6baa** and 6baq). Bromo and methoxy substituents in the vinyl arenes were tolerated (6bbi and 6bci). When Togni reagent I was used instead of redox active esters, the acyltrifluoromethylation of alkene occurred to afford  $\beta$ -trifluoromethylsubstituted dialkylketone 8bc (see Table 3B).<sup>7b,c,e</sup> The acyl and CF<sub>3</sub> fragments could be introduced to alkene moiety with complete regioselectivity. We also tested the unprecedented cross-coupling of aliphatic aldehyde and  $\alpha$ -bromoamide (see Table 3C).  $\alpha$ -Bromoamides derived from amino acid or dipeptide coupled efficiently with cyclohexanecarbaldehyde (10ba and 10bb).

# Table 3. Application to Other NHC-Catalyzed Radical Reaction

A. Acylalkylation of alkenes<sup>a</sup>



<sup>a</sup>Reaction was performed with aldehyde 1 (0.2 mmol), 5 (0.4 mmol), 2 (0.3 mmol), N10 (0.01 mmol), and Cs<sub>2</sub>CO<sub>3</sub> (0.02 mmol) in DMSO (0.4 mL) at 80 °C for 4 h. <sup>b</sup>The diastereomeric ratio is 1:1, as determined by <sup>1</sup>H NMR analysis. <sup>c</sup>Reaction was performed with 1b (0.12 mmol), 5c (0.1 mmol), 7 (0.2 mmol), N10 (0.02 mmol), and Cs<sub>2</sub>CO<sub>3</sub> (0.04 mmol) in DMSO (0.4 mL) at 60 °C for 12 h. <sup>d</sup>Reaction was performed with 1 (0.3 mmol), 9 (0.2 mmol), N10

(0.02 mmol), and Cs<sub>2</sub>CO<sub>3</sub> (0.24 mmol) in DCM (0.4 mL) at 60 °C

In summary, a newly designed thiazolium-type NHC having an *N*-neopentyl group and seven-membered backbone structure achieved the use of aliphatic aldehydes as acyl donors in the decarboxylative radical coupling with aliphatic carboxylic acid-derived redox-active esters. The NHC catalyst also enabled the vicinal alkylacylation of vinyl arenes using aliphatic aldehydes and redox-active esters through a radical relay mechanism. These reactions provided the new synthetic route to sterically hindered dialkyl ketones. Thus, the catalyst evolution expanded the scope of the NHC-catalyzed radical reactions, making versatile dialkyl ketone synthesis possible. At present, theoretical calculations to understand the NHC catalyst effect and the application of this radical NHC catalysis to the functionalization of biomolecules are currently ongoing in our laboratory.

for 4 h.

# ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.0c02849.

Experimental details and characterization data for all new compounds (PDF)

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#### Notes

The authors declare no competing financial interest.

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(11) The reaction between a silvlated thiazolium benzyl alcohol and 2a, using stoichiometric amounts of TBAF and  $Cs_2CO_3$  in DMSO at

60 °C, produced the corresponding ketone product, 2,2-dimethylpropiophenone in 33% yield. See ref 6a.

(12) To explore the effect of counteranion of the NHC precursor, a catalytic amount (10 mol %) of KI or KBr was added into the optimal conditions with N10, respectively. A slight decrease in product yields was observed (KI, 43% and KBr, 46%). Thus, the effect was not essential.

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