Factors Influencing the H₆ Chemical Shift in Pyrimidine Nucleosides¹

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Abstract: The δ -H₆ of a relatively wide spectrum of pyrimidine nucleosides in a variety of solvents has been examined to gain an understanding of the factors responsible for differences in the deshielding of this proton in the anti conformation. It is shown that the δ -H₆ values of all compounds studied can be separated into two groups: those resembling the simple bases, uracil and 1,3-dimethylthymine, and those lying in the range of corresponding unsubstituted nucleosides. With the latter, maximum deshielding occurs wherein H₆ is juxtaposed (in the anti conformation) to both ribofuranose oxygen, O_{1'}, and the primary alcohol oxygen, O_{5'}, as indicated in structure 11. The relative importance of O₁ and O₅ to the overall effect cannot be assessed at this time. Conformational factors involving C_{4'}-C_{5'} and C_{5'}-O_{5'} bonds are of a greater importance relative to δ -H₆ than, for example, inductive influences. Thus, molecular models show that a gauche-gauche conformation at C_{4'}-C_{5'} field of O_{5'} and thereby ensuring the close proximity of both O_{5'} and O_{1'} to H₆ (cf. structure 11). Additional support for the requisite anti conformation of pyrimidine nucleosides in solution is provided from CD spectral data.

It is now well recognized that nucleosides can exist in syn and anti conformations due to steric hindrance (vide infra) to rotation about the glycosidic bond relative to the sugar.² Molecular models of pyrimidine nucleosides in the anti conformation, wherein the 5,6 double bond of the aglycon is oriented toward the 5' substituent of the sugar, indicate that the furanose ring oxygen, $O_{1'}$, and (pyrimidine) H_6 are in close proximity. By contrast, H_6 is relatively distant from both $O_{1'}$ and the $C_{5'}$ substituent in the syn conformation. Moreover, it has been deduced from crystallographic studies³ that the major barrier to rotation about the N-glycosyl bond is the closeness of approach of O_2 and H_6 of the pyrimidine residue to $O_{1'}$ and $H_{2'}$ in both ribo- and 2'-deoxyribonucleosides. Variations in the pentofuranose conformation (i.e., 2'-endo and 3'-endo) can also influence the number of close contacts, particularly in the syn conformation.³

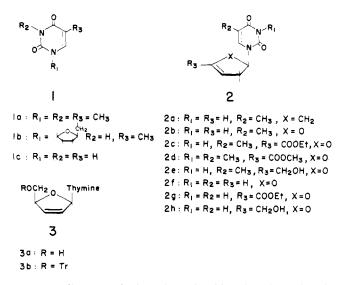
¹H NMR spectroscopy and allied analytical techniques have provided important insights as to the conformation of pyrimidine nucleosides. Thus, from studies of the anisotropic effect of the 2-keto group (O₂) on specific resonance bonds of the sugar,^{4,5} from long-range coupling experiments^{6,7} along with measurements of nuclear Overhauser effects,⁸⁻¹² and from investigation of the influence of conformational chirality on diastereotopic protons,¹³ it has been concluded that in solution pyrimidine nucleosides exist almost exclusively in the anti conformation.

Because of the difference in distance between $O_{1'}$ and H_6 the shift of the latter should vary in the two conformations in a manner that reflects a corresponding variance in the through-space electric field effects of the furanose ring oxygen. Indeed, the deshielding of H₆ resulting from the attachment of ribose or 2-deoxyribose to uracil has been ascribed¹⁴ to O_{1'} wherein the nucleosides adopt an anti conformation. A similar explanation has been offered¹⁵ for the observed deshielding of H₆ in pseudouridine. In addition, the possibility of deshielding of the H₆ by 4'-CH₂OH (erroneously referred to as 5'-CH2OH) where the base is in an anti conformation has been considered.¹⁶ More recently, the influence of the 4' substituent on the magnitude of the H_6 chemical shift and conformation of some pyrimidine nucleosides has been the subject of a preliminary report.¹⁷ These reports apparently comprise the entire literature on this subject. Accordingly, we undertook a study of a series of pyrimidine nucleosides, along with examples of relatively simple derivatives of uracil and thymine, in various solvents to provide a better understanding of H₆ deshielding.

Results and Discussion

It is important to recognize at the outset that the chemical shifts reported in the present paper were determined at levels of concentration ranging from 4 to 12%. It is assumed that values recorded in the literature (Table I) were obtained at comparable concentrations. The line width of the particular signal (H₆ or H₅) was used as a rough indicator of the degree of molecular association (stacking and/or hydrogen bonding). In most cases the observed line widths were between 2 and 3 Hz. For example, it has been reported^{10a} that 2',3'-O-isopropylidenecytidine, which is associated in CDCl₃, exhibits a line width of its NMR signals of ca. 6 Hz. Thus, the degree of association in thymine and uracil derivatives seems to be substantially smaller.

It is apparent from Table I that the chemical shifts of all compounds examined in this study can roughly be divided into two groups: those resembling the simple bases, uracil and 1,3-dimethylthymine, and those lying in the range of



corresponding unsubstituted nucleosides (uridine, thymidine). The H₆ chemical shifts of the 3',4'-unsaturated nucleosides **2b-d** and the tetrahydrofuryl derivative **10a** approximate those of 1,3-dimethylthymine (**1a**), the cyclopentenyl derivative **2a** (which lacks a ring oxygen), and compounds **1b** and **5a** in which the furanose moiety has a considerable degree of rotational freedom. It is of interest to

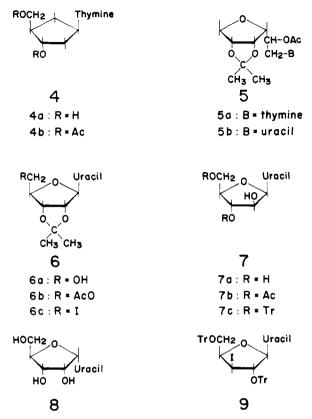
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Table I. H_6 Chemical Shifts (δ) of Some Pyrimidine Derivatives and Their Dependence on the Presence of $O_{1'}$, $O_{5'}$, or Another 4' Substituent

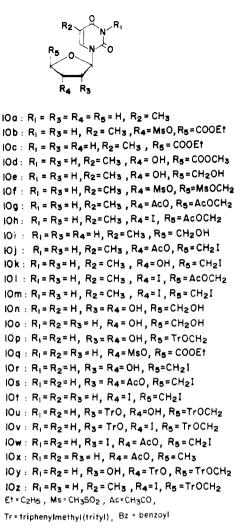
Compound	CDCl ₃ ^a	CD ₃ COCD ₃ ^d	Pyridine- d_s^b	D ₂ Ob	0 _{1'}	4' substituent ^c	Ref ^d
1,3-Dimethylthymine (1a)	6.99 (2.5)	7.35 (3.0)	6.75 (3.0)	7.83 (3.0)			28
1-(D-Tetrahydrofuryl-2-methyl)thymine (1b)	7.16			5 05 (3 0)	+		29
Uracil (1c) 1-(Cyclopent-3-en-1-yl)thymine (2a)	6 96 (2 0)			7.97 (2.0)	-		e
1-(D-2,3-Dihydrofuryl)thymine (2b)	6.96 (3.0) 7.00 (2.5)				+		(30)
Ethyl 3'-deoxy-3',4'-didehydrothymine-5'-	7.01 <i>f</i>		6.84 <i>f</i>		+		28
uronate (2c)	1.012		0.04		т		18
Methyl 3'-deoxy-3',4'-didehydro-3-methyl- thymidine-5'-uronate (2d)	6.98f				+		18
3'-Deoxy-3',4'-didehydrothymidine (2e)	7.10 (4.5)	7.30 (3.5)			+		18
1-(D-2,3-Dihydrofuryl)uracil (2f)	7.30 (2.5)				+		28
Ethyl 2',3'-dideoxy-3',4'-didehydrouridine-5'- uronate (2g)	7.23 (3.0)				+		18
2',3'-Dideoxy-3',4'-didehydrouridine (2h)		7.50 (2.0)			+		18
3'-Deoxy-2',3'-didehydrothymidine (3a)		7.76 (4.0)			+	CH2OH	(31)
3'-Deoxy-2',3'-didehydro-5'-O-tritylthymidine (3b)	7.03-7.488				+	CH ₂ OTr	(31)
1-(<i>trans</i> -3-Hydroxy- <i>cis</i> -4-hydroxymethylcyclo- pent-1-yl)thymine (4a)			7.03 (3.0)	7.93 (3.0)		СН₂ОН	(30)
3,4-Di-O-acetyl-1-(<i>trans</i> -3-hydroxy-cis-4- hydroxymethylcyclopent-1-yl)thymine (4b)	6.93 (3.0)				-	CH ₂ OAc	h
2-O-Acetyl-3,6-anhydro-1-deoxy-4,5-O-iso- propylidene-1-(thymin-1-yl)-D-mannitol (5a)	7.10^{i}				+		32
2-O-Acetyl-3,6-anhydro-1-deoxy-4,5-O-iso-	7.27 ⁱ				+		32
propylidene-1-(uracil-1-yl)-D-mannitol (5b)							
2',3'-O-Isopropylideneuridine (6a)		7.80 (3.0)	7.75 (3.0)		+	сн,он	е
5'-O-Acetyl-2',3'-O-isopropylideneuridine (6b)	7.33 (4.0)				+	CH ₂ OAc	(33)
5'-Deoxy-5'-iodo-2',3'-O-isopropylideneuridine	7.37 (3.0)		7.42 (3.0)		+	CH ₂ I	(34), 35
(6c) 1-(β-D-Arabinofuranosyl)uracil (7a)			7 09 (4 5)	8.27 (3.0)			
1-(β-D-Arabinofuranosyf)uracii (7a) 1-(3,5-Di-O-acetyl-β-D-arabinofuranosyl)uracii	7.71 ⁱ		7.98 (4.5)	8.27 (3.0)	++	CH ₂ OH CH ₂ OAc	е 36
(7b)	,., <u>1</u>				•	CH2OAC	50
1-(3,5-Di-O-trityl-β-D-arabinofuranosyl)uracil (7c)	7.49 ⁱ				+	CH₂OTr	37
1-(α-D-Ribofuranosyl)uracil (8)			7.80 (2.0)	8.23 (2.5)	+		е
1-(3-Deoxy-3-iodo-2,5-di-O-trityl-β-D-	7.65 ⁱ				+	CH₂OTr	36
xylofuranosyl)uracil (9)							
1-(D-Tetrahydrofuryl)thymine (10a)	7.12 (2.5)				+	0005	28
Ethyl 3'-O-methylsulfonylthymidine-5'-uronate (10b)	7.82 (3.5)				+	COOEt	18
Ethyl 3'-deoxythymidine-5'-uronate (10c)	8.07 (3.5)				+	COOEt	18
Methyl 3-methylthymidine-5'-uronate (10d)	8.07 (2.5)				- -	COOMe	28
Thymidine (10e)	0.07 (2.5)		7.78 (3.0)	8.03 (2.5)	+	CH,OH	20 e
3',5'-Di-O-methylsulfonylthymidine (10f)		7.53 (4.0)	7.70 (5.0)	0.05 (2.5)	+	CH ₂ OMs	(38)
3',5'-Di-O-acetylthymidine (10g)	7.30 (3.5)	,,			+	CH,OAc	(39)
3'-Deoxy-3'-iodo-5'-O-(p-nitrobenzoyl)-	7.13 ⁱ				+	CH ₂ OBz-p-NO ₂	36
thymidine (10h)						2 . 2	
3'-Deoxythymidine (10i)		7.85			+	CH₂OH	(31)
5'-Deoxy-5'-iodo-3'-O-acetylthymidine (10j)	7.57 ⁱ				+	CH ₂ I	35
5'-Deoxy-5'-iodothymidine (10k)			7.37 <i>i,i</i>		+	CH ₂ I	35
3'-Deoxy-3'-iodo-5'-O-acetylthymidine (10)	7.341				+	CH ₂ OAc	36
3',5'-Dideoxy-3',5'-diiodothymidine (10m)	7.47 ⁱ		8 00 (2 0)	0.04 (0.0)	+	CH ₂ I	36
Uridine (10n) 2'-Deoxyuridine (10o)			8.09 (3.0)	8.26 (2.0)	+	CH ₂ OH	е
5'-O-Trityluridine (10p)	7.93 (5.0)		7.92 ^k	8.22 (5.0)	+	CH₂OH CH OT -	e (40)
Ethyl 2'-deoxy-3'-O-methylsulfonyluridine-5'-	8.03 (3.0)				+ +	CH₂OTr COOEt	(40) 18
uronate (10q)	0.05 (5.0)				т	COOL	10
5'-Deoxy-5'-iodouridine (10r)			7,651,1		+	CH,I	36
2',3'-Di-O-acetyl-5'-deoxy-5'-iodouridine (10s)	7.58 <i>i</i>				+	CH,I	35
3',5'-Diiodo-2',3',5'-trideoxyuridine (10t)	7.671				+	CH ₂ I	36
2',5'-Di-O-trityluridine (10u)	7.66 ⁱ				+	CH ₂ OTr	37
1-(3-Deoxy-3-iodo-2,5-di-O-trityl-β-D-	7.64 ⁱ				+	CH₂OTr	36
ribofuranosyl)uracil (10v)						-	
3'-O-Acetyl-2',5'-dideoxy-2',5'-diiodouridine (10w)	7.61 ⁱ				+	CH₂I	36
3'-O-Acetyl-2',5'-dideoxyuridine (10x)	7.46 ⁱ				+	СН₃	36
3',5'-Di-O-trityluridine (10y)	7.56 ⁱ				+	CH ₂ OTr	37
3'-Deoxy-3'-iodo-5'-O-tritylthymidine (10z)	7.62^{i}				+	CH,OTr	36

^a Tetramethylsilane (Me₄Si) as an internal standard. Unless stated otherwise the data were derived from 60-MHz spectra. The numbers in parentheses represent the widths of the signals at half-height in hertz. In the case of thymine derivatives, the latter values were not corrected for a long-range H_6-CH_3 coupling which amounts to ca. 1 Hz. ^bMe₄Si as an external standard. ^cOnly substituents which can interfere with the rotation of the base are listed. ^dReferences without parentheses indicate the literature from which the H_6 chemical shifts were taken. References in parentheses refer to the procedure used for the preparation of compounds whose NMR spectrum was subsequently determined in our laboratory. ^eCommercial source. The NMR spectrum was taken in our laboratory. ^fOverlapped with H_1 . ^sSignal overlapped by a trityl envelope. ^hSee Experimental Section. ⁱA 100-MHz spectrum. ^jRecalculated from the value 7.67 reported³⁵ for an internal Me₄Si. ^kPoor resolution. ^lRecalculated from the value 7.95 reported³⁵ for an internal Me₄Si.

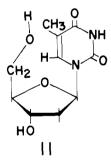
note that the removal of either a 4'-hydroxymethyl or a 4'carbalkoxy function or replacing $O_{1'}$ by a methylene group all produce a similar effect—an upfield chemical shift of H₆. This has been observed in the case of 4'-carbalkoxy derivatives **10c**, **10d**,¹⁸ and 3'-deoxythymidine (**10i**) relative to the tetrahydrofuryl derivative **10a** in CDCl₃ or 1,3-dimethylthymine (**1a**) in CD₃COCD₃. Similarly, H₆ in the



carbocyclic analog of thymidine (4a) which contains the 4'-hydroxymethyl group but lacks $O_{1'}$ is less deshielded than the same proton in thymidine (10e) both in pyridine d_5 and D₂O. The assumption that the tetrahydrofuryl derivative 10a exists predominantly in the syn conformation wherein a lesser deshielding of H₆ may be expected is contraindicated by the fact that the CD spectra of 10a and 10i both in water and CHCl₃ (Figure 1) show a positive Cotton effect at ca. 270 nm (B_{2u} band) which corresponds to that of thymidine (10e)¹⁹ and for which an anti conformation is presumed. A more plausible explanation, in which incidentally the more usual anti conformation is the central consideration in both cases, would simply place as in thymidine (10e) H₆ in close juxtaposition to both $O_{1'}$ and $O_{5'}$ as illustrated by structure 11. A similar structure can also be envisioned for carbalkoxy derivatives 10c and 10d. Thus, the presence of both $O_{1'}$ and $O_{5'}$ is required for a maximum effect (deshielding) as observed in 10c, 10d, and 10i. When either $O_{1'}$ or $O_{5'}$ is absent, as in, for example, compounds 4a or 10a, the overall deshielding effect is considerably weakened and the H₆ signal is shifted upfield toward values corresponding to those of simple bases (1a) or compounds 2a and 1b. In the latter case (1b), a structure similar to 11 may be possible but the nucleobase has a considerable degree of rotational freedom and therefore an important condition for the formation of an intermediate similar to 11, i.e., an anti conformation of the base, would be more difficult to fulfill. It is not possible at the present time to assess the relative importance (contribution) of $O_{1'}$ and $O_{5'}$ to the overall effect. Models indicate that in the gauche-gauche (g,g) conformation (vide infra) $O_{5'}$ is closer to H_6 than $O_{1'}$. In the



case of 3',4'-unsaturated derivatives **2b**, **2c**, and **2e**, which all have $O_{1'}$ and $O_{5'}$ atoms, the 4' substituents (carrying $O_{5'}$) lie in the plane of the 3',4' double bond which precludes a structure analogous to **11**.



The CD spectra of 10a and 10i show a Cotton effect of greater magnitude in chloroform than water. Moreover, the effect is more pronounced with 10a than 10i (cf. Figure 1). A similar effect is observed in the case of the unsaturated derivative 2b (Figure 2); however, the sign of the Cotton effect, as in the case of 2',3'-dideoxy-2',3'-didehydrouridine,¹⁹ is reversed. In addition, the influence of the polar solvent on the CD spectra of 10a and 10i is opposite to that reported¹⁹ for the 2',3'-olefinic nucleoside. In the latter case the CD spectra in less polar solvents have been explained in terms of hydrogen bonding of the CH₂OH to the uracil 2-carbon-yl group (in syn conformation).¹⁹ Yet, the ir spectrum of the analogous thymidine derivative 3a in carbon tetrachloride failed to show any intramolecular hydrogen bonding.²⁰ In this connection it should be noted that the rotation of the

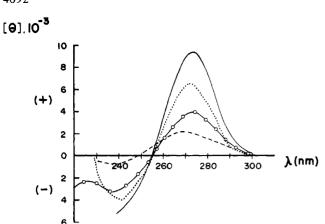


Figure 1. The CD spectra of some pyrimidine nucleosides and related compounds: (---) 1-tetrahydrofurylthymine (10a) in water; (-10a in CHCl₃; (-0-0-0) 3'-deoxythymidine (10i) in water; (...) 10i in CHCl₃.

base about the glycosidic bond in pyrimidine 2',3'-unsaturated nucleosides is virtually unimpeded by the 4'-hydroxymethyl group.²¹ Moreover, the fact that the 2'-hydrogen in these structures lies in the plane of the (2',3') olefinic bond precludes any restriction in rotation at $C_{2'}$. By contrast, these considerations do not obtain in either the 3',4'unsaturated nucleosides or the tetrahydrofuryl derivative 10a. In the latter case, as well as in 2b and 10i, the observed changes in the intensity of the Cotton effect may be related to a higher proportion of the anti conformer in the less polar (CHCl₃) solvent. However, further study is required to clarify this point.

Similarly, H₆ in the 3',4'-unsaturated nucleosides of the uracil series (2f-h) is distinctly more shielded than the same proton in uridine (10n), 2'-deoxyuridine (10o), α -uridine (8), 1- β -D-arabinofuranosyluracil (7a), and the corresponding derivatives 10p, 10q, and 6a. In point of fact, the chemical shift values of H₆ in 2f-h lie in a range close to that of 5b in which the heterocyclic base is free to rotate about the ribofuranose moiety. On the other hand, structures 6a, 7a, 8, 10n, 10p, and 10q all exhibit significant H₆ deshielding in chloroform, acetone, and pyridine. By contrast, the magnitude of the δ -H₅ in a series of uracil derivatives is fairly constant as shown in Table II. Thus derivatives 2f (or 2g) and 10q, which display a substantial difference in corresponding H_6 chemical shifts (Table I), have virtually identical values of the δ -H₅. This is not surprising in view of a much greater distance of H_5 from both $O_{1'}$ and $O_{5'}$. With the exception of 9 the trityl derivatives show consistently lower values of δ -H₅ which is probably a consequence of diamagnetic shielding by phenyl groups.

The possible influence (shielding) of the 3',4' double bond on H₆ in, for example, 2b, which incidentally was considered in an earlier paper,²² is contraindicated by the close similarity (cf. Table I) of the δ -H₆ in the corresponding saturated derivative 10a. Finally, it is of interest to note that H_6 of the pyrimidine 2',3'-unsaturated nucleoside 3a is appreciably deshielded despite unrestricted rotation of the base (vide supra).²¹ Thus, the CD results¹⁹ would seem to lead to a conclusion regarding the conformation of 3a different from that derived on the basis of the H₆ chemical shift. However, it is important to recognize that conditions such as solvent and concentration, in addition to other considerations,²¹ are not rigorously comparable. Moreover, the formation of a structure analogous to 11 would be feasible in an anti conformation assuming that $C_{5'}$ and N_1 occupy a pseudoaxial position (cf. ref 21).

It is apparent from Table I that differences in the H_6

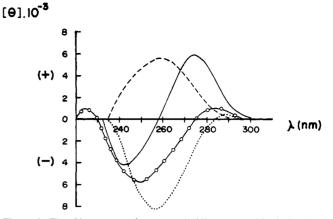
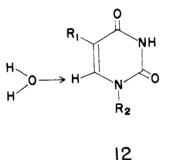


Figure 2. The CD spectra of some pyrimidine nucleoside derivatives and unsaturated analogs: (---) 5'-deoxy-5'-iodo-2',3'-O-isopropyli-(10f) in CHCl₃; (-0-0-0) 1-(D-2,3-dihydrofuryl)thymine (2b) in water; (...) 2b in CHCl₃.

chemical shift, which are pronounced where measurements were recorded in nonhydroxylic solvents, are minimal in water. The normalization by water is ascribed to an intermolecular deshielding phenomenon which modulates the intramolecular effect of $O_{1'}$ and $O_{5'}$ (formula 12). A similar



explanation has been invoked in the case of certain adenosine derivatives wherein the intramolecular effect of $O_{1'}$ on the H₈ chemical shift is less pronounced in water than in dimethyl sulfoxide.23

Replacement of the 4'-hydroxymethyl moiety by a methyl group, as in 3'-O-acetyl-2',5'-dideoxyuridine (10x) or the corresponding iodo derivative 10t, leads to a profound change. Thus, δ -H₆ in the latter is close to that observed for the same (pyrimidine) proton in 1-(2,3-dideoxy-3,4-didehydro- β -D-erythrofuranosyl)uracil (2f). It is not likely that the H_6 of 10x is significantly influenced by the presence of the 3'-O-acetyl group.²⁴ The observed δ -H₆ shift accompanying such structural modification can readily be accounted for in terms of the absence of $O_{5'}$ which is necessary for a maximum deshielding effect (structure 11).

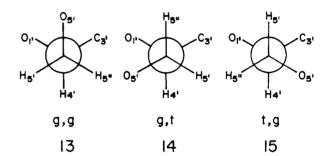
The H₆ chemical shift of ethyl 3'-O-methylsulfonylthymidineuronate (10b) lies appreciably upfield from that expected of this proton in a pyrimidine nucleoside existing in anti conformation.²⁵ The differences are even more pronounced where this comparison is extended to certain Oacyl- (10g and 10x), O-acyldeoxyiodo- (10h, 10j, 10s, and 101), trideoxydiiodo- (10m, 10t), and di-O-methylsulfonyl derivatives. The assignment of a syn conformation to this group of compounds would, therefore, seem attractive. However, as in the case of the tetrahydrofuryl derivative 10a, the CD spectra of dimesyl derivative 10f show a positive Cotton effect comparable to that of thymidine (10e)19 in both chloroform (Figure 2) and water. Our observations do not lend persuasion to an explanation based on differences in inductive effects of the $C_{4'}$ and $O_{5'}$ substituents

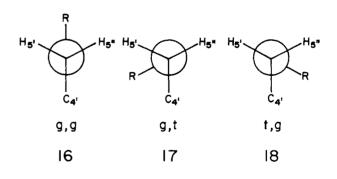
Compound	Solvent	$H_{s}(\delta)$	Line width ^b	
Uracil (1c)	D,0	6.24	2.5	
1-(D-2,3-Dihydrofuryl)uracil (2f)	CDCI.	5.80	3.0	
Ethyl 2',3'-dideoxy-3',4'-didehydrouridine-5'-uronate (2g)	CDCI	5.77	3.5	
2',3'-Dideoxy-3',4'-didehydrouridine (2h)	CD ₃ COCD ₃	5.68	2.5	
2-O-Acetyl-3,6-anhydro-1-deoxy-4,5-O-isopropylidene(uracil-1-yl)-D-mannitol (5b)	CDČl	5.67		
2',3'-O-Isopropylideneuridine (6a)	CD,COCD,	5.60	2.0	
	Pyridine-d,	5.70	3.0	
5'-O-Acetyl-2',3'-O-isopropylideneuridine (6b)	CDC1,	5.70	с	
5'-Deoxy-5'-iodo-2',3'-O-isopropylideneuridine (6c)	CDCl ₃	5.77	3.0	
	Pyridine-d ₅	5.53	3.0	
1-(β-D-Arabinofuranosyl)uracil (7a)	D,0	6.31	3.0	
	Pyridine-d,	5.47	5.5	
1-(3,5-Di-O-acetyl-β-D-arabinofuranosyl)uracil (7b)	CDCl ₃	5.55		
1-(3,5-Di-O-trityl-β-D-arabinofuranosyl)uracil (7c)	CDCl ₃	5.44		
$1-(\alpha-D-Ribofuranosyl)uracil (8)$	D ₂ O	6.31	3.0	
	Pyridine-d ₅	5.52	2.5	
1-(3-Deoxy-3-iodo-2,5-di-O-trityl-β-D-xylofuranosyl)uracil (9)	CDCl ₃	5.66		
Uridine (10n)	D ₂ O	6.24	С	
2'-Deoxyuridine (10o)	D_2O	6.29^{d}	5.0	
5'-O-Trityluridine (10p)	CDCl ₃	5.37	5.0	
Ethyl 2'-deoxy-3'-O-methylsulfonyluridine-5'-uronate (10q)	CDCl ₃	5.78	4.0	
5'-Deoxy-5'-iodouridine (10r)	Pyridine-d ₅	5.55 ^e		
2',3'-Di-O-acetyl-5'-deoxy-5'-iodouridine (10s)	CDC1 ₃	5.85		
3',5'-Diiodo-2',3',5'-trideoxyuridine (10t)	CDC13	5.80		
2',5'-Di-O-trityluridine (10u)	CDCl ₃	5.10		
1-(3-Deoxy-3-iodo-2,5-di-O-trityl-β-D-ribofuranosyl)uracil (10v)	CDCl ₃	5.07		
3'-O-Acetyl-2',5'-dideoxy-2',5'-diiodouridine (10w)	CDCl ₃	5.88		
3'-O-Acetyl-2',5'-dideoxyuridine (10x)	CDCl ₃	5.81		
3',5'-Di-O-trityluridine (10y)	CDCl ₃	5.25		

^{*a*} For references, see Table I. ^{*b*} See Table I, footnote *a*. ^{*c*} Overlapped with $H_{1'}$. ^{*d*} Poor resolution. ^{*e*} Recalculated from the value 5.85 reported ³⁶ for an internal Me₄Si.

which may influence the electron density on O_{5^\prime} and hence the H_6 chemical shift. Thus, compounds 10c, 10d, and 10i do not exhibit the significant differences in H_6 chemical shifts anticipated for nucleosides lacking either $O_{1'}$ or $O_{5'}$ or both (vide supra) though the differences in inductive effects of $C_{4'}$ substituents (CH₂ vs. CO) are indeed substantial. Unlike compounds 10c and 10d the H_6 chemical shift of 10i was measured in CD_3COCD_3 . However, the trend of H_6 chemical shift is the same in this solvent as in CDCl₃ (cf. derivatives 1a and 2e) and thus the comparison remains valid. Furthermore, both compounds 10c and 10d, on one hand, and the diacetyl derivative 10g, on the other, contain a carbonyl group next to $O_{5'}$. The inductive influences of these groups on $O_{5'}$ and thus on H_6 would be expected to be of a similar magnitude. The differences in H_6 chemical shifts are obviously much greater (cf. Table I). Therefore, it would appear that conformational factors involving the $C_{4'}-C_{5'}$ and $C_{5'}-O_{5'}$ bonds outweigh those resulting from an inductive contribution. An inspection of framework molecular models shows the conformation at the $C_{4'}-C_{5'}$ bond which would bring $O_{5'}$ in close proximity to H_6 is a gauchegauche conformer (g,g, as indicated by the corresponding Newman projection formula 13). The remaining two conformations, gauche-trans (g,t, formula 14) and transgauche (t,g, formula 15), are less favorable because the distance between H_6 and $O_{5'}$ in both is increased considerably. Thus, it seems probable that the g,g conformation at the $C_{4'}-C_{5'}$ bond is a sine qua non for positioning the H₆ in the electrostatic field of $O_{5'}$ and thereby ensuring the close proximity of both $O_{5'}$ and $O_{1'}$ to H_6 as indicated in formula 11.

It is possible that the conformation at $C_{5'}-O_{5'}$ also plays a role in determining the distance between $O_{5'}$ and H_6 . It may, of course, be argued that the latter would be the same in each of the three possible conformations as indicated by projection formulas **16–18**. Nevertheless, it is conceivable that the relative populations of $C_{5'}-O_{5'}$ conformers may, in





Formula 16-18: R=H, CH₃CO or CH₃SO₂

turn, influence those comprising the $C_{4'}-C_{5'}$ conformers and thus affect δ -H₆. The decrease or lack of deshielding in compounds **10g**, **10f**, **10h**, **10l**, **10m**, **10s**, **10t**, and **10x** may then be viewed as a consequence of a limited ability to attain a structure comparable to **11** because of a departure of the $C_{4'}-C_{5'}$ bond from the usual g,g conformation. Additional studies of coupling constants of H_{4'} and H_{5'} would be necessary to clarify this point. However, it is of interest to note that a g,t conformation of the $C_{4'}-C_{5'}$ bond coupled

Zemlicka, Horwitz / Pyrimidine Nucleosides

with a syn orientation of the base has been observed with crystalline 2'-deoxy-3',5'-di-O-acetyl-5-fluorouridine.²⁶

The situation is further complicated by the fact that the $H_6-O_{1'}$ and $H_6-O_{5'}$ distances can both be appreciably influenced by changes in the puckering of ribofuranose moiety. Moreover, the latter can also effect the rotameric composition at C4'-C5'. These factors would account for differences between the H_6 chemical shifts of uridine (10n) and 2',3'-O-isopropylideneuridine (6a) observed in pyridine or between 5'-deoxy-5'-iodouridine (10r) and the corresponding 2', 3'-O-isopropylidene derivative **6c.** In view of the considerations cited above, it is not surprising that H_6 in 5'-Oacetyl-2',3'-O-isopropylideneuridine (6b) is shifted further upfield and lies in the range of that of the 5'-iodo derivative 6c. The latter shows a positive Cotton effect both in water¹⁹ and CHCl3 and as such is at variance with a view that compound 6c has a syn conformation.

Comparison of the 3',5'-di-O-acetyl derivative of thymidine (10g) and the carbocyclic analog 4b reveals that H_6 is appreciably more deshielded in the former. This is in agreement with the results obtained with thymidine (10e) and its carbocyclic analog 4a in D₂O and pyridine- d_5 . On the other hand, the difference in δ -H₆ between diiodo derivative 10m and compound 10g is smaller and indicates again the relative unimportance of inductive effect.

The chemical shifts of trityl derivatives 3b, 7c, 10p, 10u, 10v, 10v, and 10z are more difficult to interpret because of the possibility of shielding by diamagnetic phenyl groups. In one report²⁷ a difference in H_6 chemical shift of some pyrimidine 3'-O- or 5'-O-tritylnucleosides in CD₃OD was noted but no explanation was offered. Thus, in both thymidine derivatives 3b and 10z there is significant departure of the value of H_6 chemical shift from that expected for a compound existing in anti conformation and incorporating both $O_{1'}$ and $O_{5'}$ atoms. On the other hand, the chemical shift of H_6 in 5'-O-trityluridine (10p) corresponds well to the "expected" value and differs from that found, e.g., in the 5'-O-acetyl derivative 10g. The ditritylated compounds 7c, 10u, and 10y, however, exhibit a substantial shielding of H₆. Whether this is caused by changes in ribose puckering or $C_{4'}-C_{5'}$ conformational change due to the accumulation of bulky trityl groups in the molecule remains a mute point. As noted above, the diamagnetic shielding by phenyl residues may also influence the value of H_6 chemical shift.

Conclusion

The results reported herein identify two ranges of δ -H₆ values in pyrimidine nucleosides: one corresponding to the δ -H₆ in bases such as uracil and 1,3-dimethylthymine and the other corresponding to those of unsubstituted nucleosides. Moreover, δ -H₆ is subject to marked solvent effects. Thus, the observed differences in δ -H₆ are significantly larger in certain nonhydroxylic solvents than in water.

It is apparent that $O_{1'}$ and $O_{5'}$ both contribute to the deshielding of H₆. However, δ -H₆ is also sensitive to modification of the carbohydrate moiety. Among the unsaturated nucleosides, the position of the olefinic linkage is seen to have a profound effect on δ -H₆. Esterification of the OH groups in certain nucleosides, particularly 5'-deoxy-5'-iodo and 3',5'-di-O-methylsulfonyl derivatives, leads to a prominent upfield shift. The possibility that these effects can be ascribed to an anti \rightarrow syn conformational shift is deemed unlikely on the basis of CD data.

Experimental Section

The NMR spectra were measured on a Varian A-60A apparatus. The results are summarized in Tables I and II. CD spectra were obtained using a JASCO optical rotatory dispersion recorder, Model ORD/UV-5 in a CD modification SS-10 (Sproul Scientific,

Boulder Creek, Calif.) between 500 and 200 nm. The CD data were digitized by hand after a smooth curve had been drawn through the data. The results were plotted as molar ellipticities $[\theta]$ against the wavelength (Figures 1 and 2). Starting materials were either commercial products or were prepared by conventional procedures. Diacetyl derivative 4b was obtained by acetylation of 4a (15 mg) with acetic anhydride (0.2 ml) in pyridine (0.1 ml) for 2 days at room temperature. The crude product was purified by preparative thin-layer chromatography on a 2-mm thick, loose layer of silica gel (5 × 20 cm) (70-325 mesh ASTM, Merck, Darmstadt, Germany) containing 1% of fluorescent indicator (Leuchtpigment ZS Super, Riedel-DeHaën, Hannover, Germany) in chloroform-methanol (9:1).²⁸ Evaporation of the eluate of the main uv-absorbing band gave an amorphous 4b: NMR (CDCl₃, Me₄Si) δ 6.93 (d, 1, H₆), 5.48 (m, 1, "anomeric" H), 2.04 (s, 6, CH₃ of acetyl), 1.93 (d, 3, CH₃ of thymine).

Acknowledgments. The authors are indebted to Mr. N. Cvetkov and Mr. D. Marks for measuring the NMR and CD spectra and gratefully acknowledge the generous samples of compounds 2a and 4a provided by Dr. K. C. Murdock, American Cyanamide Co., Pearl River, N.Y. Our thanks are also due to Dr. J. Pitha, Gerontology Research Center, National Institute of Child Health and Human Development, Baltimore City Hospitals, Baltimore, Md., for information on ir spectral measurements of compound 3a. The authors are also indebted to Dr. H. Follmann, Department of Chemistry, University of Marburg/Lahn, Germany, for a preprint of his publication (ref 23) and Dr. J. V. Freisler for the preparation of some derivatives used in the present study.

This investigation was supported in part by U.S. Public Health Service Grant No. FR-5529, GM 19108, and CA 13858 from the National Cancer Institute and in part by an institutional grant to the Michigan Cancer Foundation from the United Foundation of Greater Detroit.

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Synthetic Spectroscopic Models. Intramolecular Stacking Interactions between Indole and Connected Nucleic Acid Bases. Hypochromism and Fluorescence¹⁻³

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Abstract: Stacking interactions between indole, as a neutral representative of tryptophan, and the nucleic acid bases have been observed in aqueous solution at 25° by means of hypochromism and fluorescence emission. This was accomplished by synthesizing and utilizing compounds in which indole and the nucleic acid bases adenine, cytosine, guanine, and thymine are connected by a three-atom or four-atom bridge, particularly the trimethylene bridge. The degree of interaction between indole and the purine bases was found to be of the same order as that between two purine bases themselves. For the Ind-(CH₂)₃-Base models which allow plane parallelism of the two units, the percentage of internally stacked vs. unfolded conformations was determined from fluorescence quantum yield and lifetime measurements, which gave a decreasing order of complexation with indole of adenine ~ guanine > thymine » cytosine. The equilibrium between stacked and unfolded conformations for the indole/adenine, guanine, or thymine cases indicates ΔG near zero. On the basis of our results, total fluorescence quenching of the indole of tryptophan in a polypeptide or protein is to be expected if it comes into close proximity with a base moiety of a nucleic acid or if intercalation occurs.

The binding of proteins to nucleic acids involves electrostatic forces, hydrogen bonding, and π -overlap or stacking interactions, 4-6 all of which depend upon the accommodating sizes, shapes, and spacings of the interacting units. Among the specific stacking interactions^{3,4,7-18} which may contribute to the positioning of protein with respect to nucleic acid, that of tryptophan or related indolic compounds with nucleic acid bases has been demonstrated (1) by complexation of the indole derivative with DNA, RNA, or poly A, 19-23 (2) by the quenching of tryptophan fluorescence in the binding of aminoacyl-tRNA synthetases and tRNA's,²⁴⁻²⁸ (3) by ¹H NMR studies of aqueous solutions, especially acidic solutions, of tryptophan and other indole derivatives with nucleic acid bases,^{29,30} and (4) by reflectance and luminescence studies of complex formation between tryptophan and nucleic acid components in aggregates formed in frozen aqueous solutions.³¹⁻³³

Although the accumulated information is impressive, we sought to avoid certain of the limitations inherent in each set of experiments (and no doubt substituting different limitations of our own) by selecting suitable spectroscopic models for the observation of stacking interactions between indole (as an uncharged tryptophan) and the nucleic acid bases in dilute, neutral aqueous solution. We therefore chose a system that would permit intramolecular stacking, but not hydrogen-bonding, interactions which would be detectable by both ultraviolet and fluorescence spectroscopy. In the past, we have used polymethylene bridges, and in particular the trimethylene bridge, $-(CH_2)_3$ -, as synthetic spacers to study intramolecular interactions between nucleic acid bases.³⁴ These bridges also provide the possibility of further controlling the inter-ring interactions by attachment of the chain to different positions on the heterocyclic termini.^{3,16} Accordingly, we have synthesized compounds in which indole and the nucleic acid bases adenine, cytosine, guanine, and thymine are connected by a three-atom or four-atom bridge.³⁵ Attachments are at the 1 or 3 position of the indole and at the 9 position of adenine and guanine, the 1 position of cytosine and thymine, and also the N⁶ position of adenine. The simple bases rather than the nucleosides were chosen so that we could survey the heteroaromatic interactions in the absence of additional factors involving the carbohydrate and phosphate linkages. With these models we could determine the degree of interaction between indole and nucleic acid base with respect to that between two nucleic acid bases, the degree of quenching of indole fluorescence by a nucleic acid base, and the equilibrium between folded or stacked conformations and open conformations.

Synthesis. General procedures for the linking of two different heterocyclic bases by a polymethylene bridge have been described previously,³⁴ including those for alkylation of adenine at the 9 position and cytosine and thymine at the 1 position. We have adapted these procedures by first preparing 3-(indol-3-yl)propyl and 4-(indol-3-yl)butyl bromides (3a,b) from the corresponding acids 1a,b by reduction to the alcohols 2a,b and displacement and then using the bromides to prepare the corresponding (indol-3-yl)alkyladenine, -cytosine, and -thymine products (4-6, Scheme I). While the alkylation of thymine may lead to mixtures of