# 13*β*-Hydroxystylopine. Structure and Synthesis

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The isolation of  $13\beta$ -hydroxystylopine (2, R = OH) is described and the elucidation of its structure by spectral methods is confirmed by a synthesis of the alkaloid.

Despite the large number of alkaloids belonging to the protoberberine group, most of which differ from each other in the number and placement of various oxygen functions on the two aromatic rings, ophiocarpine (1, R = OH) is the only alkaloid of this group containing a 13-hydroxyl group that has been reported.<sup>2</sup> Since 13-oxygenated protoberberines are established as the biosynthetic precursors of the phthalide-isoquinoline alkaloids<sup>3</sup> and are potential intermediates in the formation of other alkaloid families, such as the rhoeadines,<sup>4</sup> it is somewhat surprising to find that 13-hydroxylated protoberberines are not of more wide-spread occurrence.

In connection with a study of the biosynthesis of ophiocarpine, we have reinvestigated the alkaloids of *Corydalis ophiocarpa*, one of the two plants in which this alkaloid is reported to occur.<sup>5</sup>

Chromatography of the crude alkaloid fraction over alumina in benzene-ethyl acetate gave (-)-tetrahydroberberine (1, R = H), (-)-stylopine (2, R = H), and a fraction



eluted with benzene-ethyl acetate and ethyl acetate:ethyl acetate-methanol (9:1) which contained a mixture of three components. Preparative layer chromatography of the mixture on silica gel impregnated with 5% K<sub>2</sub>CO<sub>3</sub> afforded (-)-13 $\beta$ -hydroxystylopine (2, R = OH), which crystallized as colorless prisms from ethanol, mp 214°,  $[\alpha]_{589}$  -259°. The molecular formula C<sub>19</sub>H<sub>17</sub>NO<sub>5</sub> for this base was derived initially by high-resolution mass spectral analysis and was subsequently supported by the results of combustion analysis. Classification of the new alkaloid as a member of the protoberberine series was readily apparent from examination of its <sup>1</sup>H nmr and mass spectral features and elucidation of its structure relied on many parallel comparisons which could be made with its companion alkaloid, ophiocarpine.

The 100-MHz <sup>1</sup>H nmr spectrum (Figure 1) contained four proton signals in the aromatic region as two singlets at  $\delta$  6.80 and 6.02 and a pair of doublets at 6.95 and 6.79 (J =8.0 Hz) and established the substitution pattern on rings A and D. These signals are accompanied by a two-proton singlet at  $\delta$  6.00 and a two-proton "quartet" at  $\delta$  5.94 which we assigned to two methylenedioxy groups in which the hydrogens of one of these groups exhibit chemical-shift nonequivalence. These observations and the general appearance of the spectrum suggested that the alkaloid was a protoberberine base related to stylopine. Further evidence in support of this contention was the occurrence of the C-8 methylene group as an AB system at  $\delta$  4.07 and 3.53 (J = 16 Hz). This significant difference in chemical shift is indicative of a 9,10-substituted protoberberine in which the presence of a 9-oxygen function enhances the nonequivalence of the C-8 methylene hydrogens by selective deshielding of the more proximate quasiequatorial C-8  $\beta$  hydrogen.<sup>6</sup>

Placement of the fifth oxygen function as a hydroxyl group at the C-13 position was suggested by the occurrence of a broadened singlet at  $\delta$  4.80 ( $W_{1/2} = 8.0$  Hz) in the nmr spectrum of the alkaloid which sharpened upon addition of D<sub>2</sub>O ( $W_{1/2} = 4.0$  Hz). Support for this assignment was provided by the mass spectrum which displayed prominent ions at m/e 176 (**a**) and m/e 164 (**b**) resulting from separate cleavage pathways leading to the characteristic retro-Diels-Alder fragmentation of the presence of a hydroxyl group in



the alkaloid was provided by the formation of an O-acetyl derivative ( $\nu_{C=0}$  1730 cm<sup>-1</sup>).

The infrared spectrum of the alkaloid exhibited a broad hydroxyl absorption at  $3500 \text{ cm}^{-1}$  which proved to be concentration independent in CHCl<sub>3</sub> solution over the range  $10^{-3}$ - $10^{-4}$  M and was thus in keeping with an intramolecular OH-H hydrogen bond.<sup>7</sup> The infrared spectrum also showed multiple absorption bands (Bohlmann bands) in the region 2700-2800 cm<sup>-1</sup> and indicated the predominant conformation of the alkaloid was represented by a *trans*quinolizidine structure.<sup>8</sup> On the basis of a *trans*-quinolizidine structure, the existence of an intramolecular hydrogen-bonded hydroxyl implies that the 13-hydroxyl is trans to H-14 as indicated in the partial structure **3**. The oppo-



site stereochemistry at C-13 cannot lead to an intramolecular hydrogen bond between a hydroxyl at this position and the nitrogen. The dihedral angle between H-13 and H-14 in the partial structure 3 is ca. 60° and it is known in related systems to give rise to  $J_{13,14} = 2-4$  Hz.<sup>9</sup> While the broad singlet of the H-13 resonance observed in the spectrum of the alkaloid is in conformity with this stereochemical assignment, the spectrum (Figure 2) of its O-acetyl derivative



Figure 1. <sup>1</sup>H nmr spectrum of  $13\beta$ -hydroxystylopine.



Figure 2. <sup>1</sup>H nmr spectrum of  $13\beta$ -acetoxystylopine.

2 (R = OAc) reveals this more clearly in that the H-13 signal appears as a doublet at  $\delta$  6.46 (J = 3.0 Hz). Confirmation of the assignment of the latter signal was obtained by a spin-decoupling experiment in which irradiation of the H-14 signal at  $\delta$  3.78 resulted in collapse of the H-13 resonance to a singlet (Figure 2). A somewhat distinctive feature of the spectrum of the O-acetyl compound was the occurrence of the acetate methyl resonance at abnormally high field ( $\delta$  1.76). A similar situation is observed in the spectrum of O-acetylophiocarpine in which the acetate methyl shift is at  $\delta$  1.78 whereas the acetate methyl shift in O-acetyl-13-epiophiocarpine appears at a more typical value of  $\delta$  2.23. It has been pointed out by Ohta and coworkers<sup>10</sup> that the acetyl group in O-acetylophiocarpine is shielded by ring D and a similar situation obviously obtains in the analogous  $13\beta$ -acetoxystylopine.

With the foregoing spectral evidence supporting the structure of the alkaloid as  $13\beta$ -hydroxystylopine it remained to establish its absolute configuration. Tetrahydroberberines belonging to the 14R series exhibit a negative ORD spectrum from 600 to 240 nm.<sup>11</sup> Before applying this method to  $13\beta$ -hydroxystylopine it was necessary to establish what effect the introduction of a  $13\beta$ -hydroxyl group in this ring system would have on the ORD spectrum. Examination of the ORD spectrum of (-)-ophiocarpine showed a plain negative dispersion curve from 600 to 250 nm and indicated that when the new chiral center at C-13 is a  $\beta$ -hydroxyl the sign of curve is not affected. Consequently the

## SYPERTMENTAL

Cantral Vathods Maiing points were determined on a Thorma-Mcover Yel-Temp apparatus and are uncorrected. Infrared spectra work determined on Parkin-filer models 237 and 621 recording spectrophotometers. Muclear Emperies reasoners peetra work determined at 60 MHs on Varian A-60 and 7-60 instruments, at 90 MHs on the Bruker MH-0.1 And at 100 MHs on the JBC. VACUA opportonmeter. Channels hilfs are reported in S-matte relative to internal THM. "Xtraviolat spectra were recorded on Beckman 3-60 and Cry Model 14 recording spectrophorometers. The DDD spectra were obtained on a Dutrum-Janco CAD-HV/5.

Low resolution mass spectra were recorded on a Dubnt 21-490 and an ASI Low resolution mass spectra were recorded on a Dubnt 21-490 and an ASI MS 902 instruments. High resolution spectra were obtained on the MS-902 at the Research Triangle Institute Center for Mass Spectrometry.

Elemental snalyses were performed by MNW Laboratories, Garden City, Michigan.

Curomstagraphy was routinely performed on neutral Noelh Alusinon Orde, gande III, or W. R. Grace silics gel unless otherwise indicated. Thin layer (0.25 us) and preparative layer (1 m2) offoretaggraphy using alumium oxide, silics gel or silics gel-37 protestim carbonate use used. Various columnt aystess and mill-development techniques are noted. Visualization vas achien y ultraviolet light and by spraying uith iodoplatinic acid or ceric amongui uulfate in sulfui acid.

All solvents were routingly redistilled prior to use.

Isolation of the Alkaloids from Corydalis Ophiocarps plants <u>Indistion of the Alkalois from Corryalis Ophiconrep plants.</u> <u>Corryalis ophiconrep sints were grown in the Dake University Phytotrom</u> from the plants was periodically triumed and dried. The dried material <u>54</u>, 9 Ke use throughly grown in a Varing blanew unit of 55 thinks and filteron. The filter cake was extracted with ethanol in a Souhlet. The combined sa-tracts ware concentrated <u>in vacco</u>, diluted with a large volume of hot water, and actidited wis concentrated bydrochiloric acid to a Compo and endpoint. The solid extract was allowed to stand at room temperature for 2 days and

(95% 2£04) 373 nm (c 21,000), 356 (c 23,500), 343 mh (c 18,000), 305 (c 6950), 255 (c 6640); (11c.<sup>20</sup> 375 (c 21,400), 357 (c 24,000), 343 mh (c 18,299), 306 (c 7590), 255 (c 6910).

14,299), 36 (r 2500, 253 (r 6520). Bidydrecopiline (2). 11.1.3 (n, (0.5 arols; prepared from protopine Vas placed in a sublimation apparatus and the system evacuated to 10<sup>-8</sup> mo. This was placed in a sublimation apparatus and the system evacuated to ablid immediately condenade on the cooler parts of the system. After cooling to rean temperature in yauge the product was dissolved in warm bennens, final-uble material recover by filteristics, and the filterist entroped in yauge. This was repeated an additional two times and the bennen soluble portfone ware combined. This product use intranscorpande on aluming (Myelin, II, seut.) in banses and elucid with banener-styl accesse (911). The sluent vas: collected under M<sub>2</sub> and solvent was removed <u>in vacuo</u> to give 179.0 mg (64%) of dihydrocoptisine (<u>2</u>) as a yellow solid: mp 175-179°C (Lit<sup>15</sup> 194-196°C); (SiO<sub>2</sub>, CECl<sub>2</sub>-EtOAc 1:1); nmr (50 tHz) (CiCl<sub>2</sub>) 5 7.20 (s. 1. C-1H) A<sub>2</sub> 0.84 (\$10<sub>6</sub>, CECl<sub>2</sub>-EcOAc 1:1); nmr (b0 Hiss) (LH043) 7 ----- (A<sub>1</sub>, -----, 6.67 (4, 1, J=8 His, G-120), 6.61 (a, 1, C-48), 6.52 (d, 1, J=8 His, G-118), 6.02 (a, 1, G-138), 5.97 (a, 1, OCH<sub>2</sub>O), 5.95 (a, 2, OCH<sub>2</sub>O), 4.28 (a, 2, C-88), 3.04 (m, 4, C-5H and C-6H).

plain negative dispersion curve subsequently determined for  $13\beta$ -hydroxystylopine served to establish its absolute stereochemistry as 13R, 14R as depicted in structure 2 (R = OH).

Final verification of the structure of  $13\beta$ -hydroxystylopine has been achieved by a stereoselective synthesis from protopine. Although there have been several synthetic approaches described to ophiocarpine which are potentially adaptable to the synthesis of  $13\beta$ -hydroxystylopine they suffer from certain disadvantages. The procedure of Govindachari<sup>12</sup> is both lengthy and nonstereoselective while Elliott's<sup>13</sup> method of hydroboration-oxidation of the enamine 4 provides 13-epiophiocarpine (5) as the major product rather than ophiocarpine. The most successful route em-



ploys the phenol-betaine, 13-hydroxyberberinium chloride (cf. 6), which is obtained from berberine as first described by Pyman.<sup>14</sup> Reduction of 13-hydroxyberberinium chloride with sodium borohydride is reported<sup>15</sup> to proceed in a highly stereoselective manner to afford  $(\pm)$ -ophiocarpine.

It appeared that it might be possible to devise a more convenient route to the analogous phenol-betaine 6 required for the synthesis of  $13\beta$ -hydroxystylopine than by employing the original procedure of Pyman. Our approach was based upon the rationale that the enamine 7 should

filtered through Celite. The filtrate was washed twice with other and basified with concentrated ammonium hydroxide producing a flocculent precipitate. Aqueous portion was extracted several times with chloroform and emulsified material was readily separated by vacuum filtration through a layer of Calita. The combined organic extracts were washed twice with water, dried over magnesium sulfate, and solvent removed in vacuo to give 21.4 gm (0.23%) of crude alkaloids as a dark brown foam.

# Purification by Column and Preparative Layer Chromatography The crude alkaloids (12 g) in chlorofore were evaporat

The cruck alkaloids (12 g) to chlerofore user asyported over alusina (100 g) and throughly dried under high years. The alkaloid consisting alumina use throughly dried under high years. The alkaloid consisting alumina use throughly dried under high years. The alkaloid consisting and elucied successively uith the linear gradients: because = Roba (4 l), Exba-Schok-How/Hout(3 l), 21 Schok-How(1 eluc), 21 l, A secal of 050 fractions of 00 ml vers collected and every tenth fraction was assumed by its and pooled according to the results as follows: 7r. 1-10 (reco.), Fr. 51-89 unknown base (115 mg), 7r. 90-114 (trace), Tr. 115-100, (-)-stylepins (115 g), 7r. 132-39, intuine of (-)-stylepins, protopies and (-)-131-bydrowstelepins (7.6 g), 7r. 240-450, whence alkaloids (1.02 g). Fregara-tive layer threasography (pid) of fr. 121-114 on silica using a (KCL-EDDA (711) md trible development gave 200 mg pure (-)-stylepins mg 195-197 (t12, <sup>20</sup> (712) and 1.19 g (-)-tenthydroberberker. In all-1125' (t12, <sup>20</sup> m) 135', Full characteristicity by mar, ns, and is supported the identification of these alkaloids. A 30 mg mample of the Sitters of alkaloids contains in Fr. 133-219 vas separated by plot on 300-237 (x00, by tripid development yth heaven - 2004

A 300 mg sample of the mixture of alkaloids contained in Fr. 135–239 was separated by pic on 510,-578 X\_{0}O, by tripid advalcement with bemsone = robuc (ci) giving 123 mg (-)=ophicsensity is 105 k (1t<sup>-2</sup> g) 185 k (1t<sup>-2</sup> g) 187, 31 mg protopies, are 202-200 (iti,<sup>24</sup> 207-208<sup>3</sup>), both of which wars fally characterized by third rom, and it spectral propurties, and 71 mg of a new base, (-)-138-by viet/orms, 200-200 (iti,<sup>24</sup> 207-208<sup>3</sup>), both of which wars fally characterized by third rom, and it spectral propurity, and 71 mg of a new base, (-)-138-by viet/orms, 200-200 (iti,<sup>24</sup> 207-208<sup>3</sup>), both of which wars fally characterized by their orms, 200-200 (iti,<sup>24</sup> 207-208<sup>3</sup>), both of which wars fally characterized by the fall of the second state of the se

13-Hydroxy-2,3,9,10-bismethylenedioxy-5,6-dihydro-dibenzo(a,8)quinolizine An introduction of the second ms (78%) of the phenol as small orange needles: mp 285°C; uv \<sub>hax</sub>(95% EtOM) 457 (c 8,493), 358 (20,692), 345 (19,879), 288 infl (10,451), 237 (28,614)

Anal. Calcd for C. H. NO.Cl: C. 61.44; N. 3.79; N. 3.77. Pound: C. 61.21; N. 3.64; N. 3.12.

(2)-133-Wydrawystylopine (2, @eOM). The phanol (\$) (74.2 mg, 0.2 mmole) use dfasolwed in 60 ml of EtGUH-HQ (311) at room temperature and MaHM, (30 mg) was odde under %. After 1 hr an additional 30 mg of borohydride was added and the mixture was stirred overnight before carefully addifying with 103 MOL.

aharpaned this to a singlet with U<sub>1</sub>/see We, 4.07 (d, 1, 1-16 Hs, C-80H, 3.72 (e, 1, C-10H, unaffected by D\_0), 1.53 (d, 1, 1-16 Hs, (-60H)) (DN (e, 0, 1.93) 27 (d, 1), 1.91 (20 (d, 1), 1.93) 27 (d, 1), 1.91 (20 (d, 1), 1.91 (d, 1), 1.91

Anal. Caled for C1.84.2ND.2: C, 67.25; H, 5.04; N, 4.12. Found: C, 57.23; H, 5.04; N, 3.84.

N: 5.64 N; 5.84. (J-)-13-Mytersayszylapizm Aurints (§, B-OAc). 70.0 mg (0.2 mode) of the bars we dissolved in dry pyridism (1 ml) under nitrogen and frembly distilled sectic adhystica (1 ml) was added in mm portion. A solid slowly formed and after 4 hours it was reserved by filtration, usable with cold vacer, and air dried to give 41.0 mg. The filtrate was diluced with work ware, and air field with and. Amgo, multi Co, working that descend. This was waled with GRL (2 × 20 ml), the combined organic layers were waled with HyO (2 × 20 ml), setd. Bacl (1 × 50 ml), dried (Hag60), and solvent ranewed in YaO ml), setd. Bacl (1 × 50 ml), dried (Hag60), and solvent ranewed in YaO ml), setd. Bacl (1 × 50 ml), dried (Hag60), and solvent ranewed in YaO ml), setd at the above solid and yellow oil wars indentical,  $\frac{1}{2}$ , 0.75. The yellow oil was disolved in GRL and passed through a short columo of slumtar. The assetse was immediately cluted and this was combined with the above solid or give 90.0 mg (20) of the accitate. Restynkillingting for model with the above solid to give 90.0 mg (20) of the accitate. Restynkillingting for model with the above solid to give 90.0 mg (20) of the accitate. Restynkillingting for model with the above solid to give 90.0 mg (20) of the accitate. Restynkillingting for model with the above solid to give 90.0 mg (20) of the accitate. Restynkillingting for model would be above the form of slumtar. The desire was impositely circled and this was consider with the move splid give 60.0 mg (587) of the accents. Recrystallization from (6%15)-pect. ether gave fine mendles; mp 237-239°C (sealed tube); ix  $\lambda_{max}$  (CHCl, 1730 cs<sup>-1</sup> (0e0); 1200 cs<sup>-1</sup> (0e0); mr (100 Max) (CDCL); b 7,09 (4, 1, 54 Max, 5.76 (4, 1, 54 Max, 5.76 (4, 1, 54 Max, 5.72 (C+0) hrs, U-13AV irradiate on at 5.50 collapsed that for a singlet, o, UU (g, c, J=., Hrs, OCH\_QO), 5.92 (g, 2, OCH\_QO), 4.24 (d, 1, J=16 Hrs, C-89H), 3.78 (bs, 1, C-14H), 3.52 (d, 1, J=16 Hrs, C-69H), <u>cs</u>, 3.20 (m, 2, C-5H or C-6H), 2.58 (m, 2, C-5H or C-6H), 1.75 (r, 3, acctate CHA).

Anal. Caled for Cald. 904: C. 66.14; H. 5.02; N. 3.67. Found: C. 66.19; H. 4.95; N. 3.45.

Dihydrocoptisine-N-metho chlorida (9). The following is a modification of the method of Reworth and Perkin. <sup>16</sup> Protopine (2,83 mmole) was refluxed for 20 min under a dry Na atmosphere in freshly distilled phosphoryl chloride (5 ml). Solvent was removed in vacuo and the residue recrystallized from water to give a yellow solid. This was filtered, weshed with a small amount of 10% HC1, and air dried. Recrystallization from MeCH-EtOAc gave 660 mg (63%) of dihydrocoptising <u>-N</u>-mathochloride (2) as yellow crystals: mp 193-195°C (11t<sup>16</sup> 215°C); uv \<sub>max</sub>

upon distillation of the solvent was diluted with The residue obtained upon distillation of the solvent was filtured with  $\eta_10$ (100 ml), washed with hensene (50 ml), and the actic entrace to sharfield with 60 XGM (6R 9). The precipitant solution is a start of the solution of the solut

Ansi, Caled for Ct. H., NO.: C, 67.25; H, 5.04; N, 4.12. Found: C, 67.20; H, 5.03; N, 3.94.

4, 5.00; m, 5.74. (2)-139-exceptionian (3)-139-exceptionian (3)-139-exceptioni (3)-139-exceptionian (3)-139-exceptionian (3)-139-exc

## Scheme I Synthesis of $(\pm)$ -13 $\beta$ -Hydroxystylopine



<sup>a</sup> POCl<sub>3</sub>. <sup>b</sup>  $\Delta$  in vacuo. <sup>c</sup> m-Chloroperbenzoic acid. <sup>d</sup> O<sub>2</sub>. e NaBH2.

react with an electrophilic oxygen, such as a peracid, to afford the hydroxylated iminium salt 8, which should undergo a facile oxidation to the required phenol-betaine 6 (see Scheme I).

Dihydrocoptisine (7) required for the synthesis was obtained by the procedure of Haworth and Perkin<sup>16</sup> by treatment of protopine with POCl<sub>3</sub> followed by pyrolysis of the resulting salt 9 in vacuo.<sup>17</sup> Addition of 1.2 equiv of m-chloroperbenzoic acid to dihydrocoptisine at  $-78^{\circ}$  led to rapid oxidation as evidenced by the disappearance of starting material when monitored by tlc.<sup>18</sup> The stoichiometry suggests that the iminium salt 8 is formed initially in this reaction. However, after allowing to come to room temperature, the product isolated in 78% yield after crystallization is 13-hydroxycoptisine chloride (6) as yellow-orange crystals, mp 285°. The latter is presumably formed by a highly efficient air oxidation of 8. Reduction of 6 with sodium borohydride in aqueous ethanol gave  $(\pm -13\beta$ -hydroxystylopine, mp 219-220°, identical in its chromatographic and spectral properties with the natural alkaloid.

Careful examination of the borohydride reduction failed to show the presence of any of the  $13\alpha$ -hydroxy epimer of 2 (R = OH) in this reaction. The high stereoselectivity of this reduction is presumably a simple consequence of steric factors governing "approach control" of the borohydride. Alternatively, similar arguments can be made assuming a product-like transition state where, in the case of the  $13\alpha$ hydroxy isomer, it is destabilized by nonbonded interactions of the C-13 $\alpha$  hydroxyl with the C-1 hydrogen. Furthermore, if a product-like transition state is involved, the  $13\beta$ -hydroxy system may gain additional stabilization by the development of an intramolecular hydrogen bond (cf. 3) with the incipient electron pair on nitrogen.

Acknowledgments. We are indebted to the Duke University Research Council and the National Science Foundation (GP 9436) for grants in support of this work. Dr. David Rosenthal and Mr. Fred Williams, Research Triangle Mass Spectrometry Center, are thanked for providing the high-resolution mass spectral data. The Duke University Phytotron Facility is supported by National Science Foundation Grants GB 19634 and GB 28950 and we gladly acknowledge the use of this facility.

**Registry No.**—(-)-2 (R = OH), 53777-76-7; (-)-2 (R = OAc), 53777-77-8; (±)-2 (R = OH), 53833-90-2; (±)-2 (R = OAc), 53798-226-8; 6, 53798-64-4; 7, 53777-78-9; 8, 53798-65-5; 9, 53777-79-0; protopine, 130-86-9; phosphoryl chloride, 10025-87-3; m-chloroperbenzoic acid, 937-14-4.

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148 mm, 24× reduction, negatives) containing all of the supplementary material for the papers in this issue may be obtained from the Journals Department, American Chemical Society, 1155 16th St., N.W., Washington, D.C. 20036. Remit check or money order for \$3.00 for photocopy or \$2.00 for microfiche, referring to code number JOC-75-644.

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  (17) It would seem that the conversion of protopine to 9 proceeds via the di-
- chlorophosphate ester i reflecting the known propensity of this system to undergo transannular cyclization.



- (18) The study of the oxidation of enamines with peracids appears not to have been investigated.<sup>19</sup> Preliminary experiments on the peracid oxida-conductory. tion of simple enamines have indicated that the conversion COCH<sub>2</sub> to COCHOH may be accomplished through this reaction (E. J. Rauckman, unpublished observation).
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