

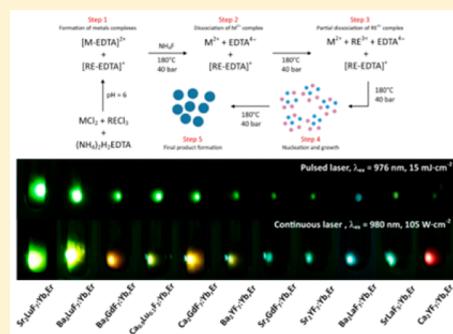
## Formation Mechanism, Structural, and Upconversion Properties of Alkaline Rare-Earth Fluoride Nanocrystals Doped With Yb<sup>3+</sup>/Er<sup>3+</sup> Ions

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**S** Supporting Information

**ABSTRACT:** Ultrasmall (9–30 nm) Yb<sup>3+</sup>/Er<sup>3+</sup>-doped, upconverting alkaline rare-earth fluorides that are promising for future applications were synthesized by the microwave-assisted hydrothermal method. The formation mechanism was proposed, indicating the influence of the stability of metal ions complexes with ethylenediaminetetraacetic acid on the composition of the product and tendency to form M<sub>2</sub>REF<sub>7</sub> (M<sub>0.67</sub>RE<sub>0.33</sub>F<sub>2.33</sub>) cubic compounds in the M–RE–F systems. Their physicochemical properties (structure, morphology, and spectroscopic properties) are compared and discussed. The obtained nanoparticles exhibited emission of light in the visible spectra under excitation by 976 nm laser radiation. Excitation and emission spectra, luminescence decays, laser energy dependencies, and upconversion quantum yields were measured to determine the spectroscopic properties of prepared materials. The Yb<sup>3+</sup>/Er<sup>3+</sup> pair of ions used as dopants was responsible for an intense yellowish-green emission. The upconversion quantum yields determined for the first time for M<sub>2</sub>REF<sub>7</sub>-based materials were 0.0192 ± 0.001% and 0.0176 ± 0.001% for Sr<sub>2</sub>LuF<sub>7</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> and Ba<sub>2</sub>LuF<sub>7</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> respectively, the two best emitting samples. These results indicated the prepared materials are good and promising alternatives for the most studied NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> nanoparticles.



### INTRODUCTION

In recent years, the properties of upconverting nanoparticles (UCNPs) have been investigated very intensively because of their potential applications. Particularly interesting are UCNPs based on lanthanide ions (Ln<sup>3+</sup>).<sup>1–3</sup> Knowledge of the mechanisms responsible for the efficient upconversion process is increasing dynamically.<sup>4–6</sup> Furthermore, methods of nanoparticles (NPs) production are continuously developing as the demand for smaller and more advanced NPs is growing.<sup>7,8</sup>

Ln<sup>3+</sup> ions feature narrow-band absorption and emission that are characteristic of these emitter ions, a large number of excited states, long luminescence lifetimes, large Stokes shift and upconversion (UC) phenomenon. The combination of these properties allows use of the materials doped with Ln<sup>3+</sup> ions in solar cells, lasers and fiber amplifiers, persistent phosphors, and temperature/pressure sensors.<sup>9–16</sup> Inorganic nanomaterials doped with Ln<sup>3+</sup> ions, excited under near-infrared radiation (NIR), can be applied in bioimaging, cancer treatment, or drug delivery.<sup>17–20</sup> The use of UCNPs for cell imaging ensures low autofluorescence and reduction of light scattering generated by tissue.<sup>21–24</sup> Moreover, UCNPs are known to cause less photodamage to cells and usually have low cytotoxicity.<sup>25–27</sup>

The morphology and composition of NPs are sometimes critical and often determine their applications. In nanomedicine, the important feature is the small average size of NPs, usually below 50 nm. Small NPs are noninvasive to cells and may be distributed in organism through blood vessels.<sup>25,26</sup> Another fundamental property of the described compounds is

high photochemical stability and resistance to photobleaching. An additional advantage of inorganic NPs is also the fact that their surface can be very easily modified, e.g., by using silica, and further biologically functionalized.<sup>28–32</sup>

The most popular and commonly used inorganic host materials for UC are fluorides. They have low phonon energy, which reduces the possibility of the occurrence of nonradiative relaxation, and high chemical stability. Nanomaterials based on fluorides are characterized by a relatively high quantum yield (QY) of luminescence.<sup>33–36</sup> Nanocrystalline fluorides can be synthesized by several methods, of which the most common is the decomposition of product precursors (e.g., metal trifluoroacetates) in high-boiling solvents, such as oleic acid and octadecene.<sup>7,37,38</sup> Other methods are based on the precipitation reaction, also carried out under solvo- or hydrothermal conditions.<sup>39–41</sup>

Recently, the NaYF<sub>4</sub> matrix has been used most frequently in research concerning UC systems.<sup>42,43</sup> UCNPs based on this compound are small, with high emission quantum yields (see Table S2).<sup>43–46</sup> However, growing interest in the application of nanomaterials has created a need for new compounds with bright emissions and effective methods for their synthesis. Other fluorides, such as M<sub>x</sub>RE<sub>y</sub>F<sub>z</sub> systems (where M = Ca, Sr, Ba, and RE = Y, La, Gd, Lu) are relatively less studied. Only a few reports about Ca<sub>x</sub>RE<sub>y</sub>F<sub>z</sub> and Sr<sub>x</sub>RE<sub>y</sub>F<sub>z</sub> UCNPs are available.<sup>47–49</sup> Similar compounds, like BaYF<sub>5</sub> or BaGdF<sub>5</sub>,

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have more often been the subject of studies revealing promising upconversion properties.<sup>50,51</sup> The current state of knowledge about  $M_2\text{REF}_7$ -type compounds indicates that several of the UC materials presented in this article, such as  $\text{Ca}_2\text{YF}_7$ ,  $\text{Ca}_2\text{GdF}_7$ , and  $\text{Ba}_2\text{LuF}_7$ , have not been as yet subjects of literature reports. Other ones, such as  $\text{Sr}_2\text{LuF}_7$ ,  $\text{Ba}_2\text{YF}_7$ , and  $\text{Ba}_2\text{GdF}_7$ , have been more studied, but the upconversion quantum yields (UCQY) in these systems have not been reported to date.<sup>52–56</sup>

Synthesis of nanocrystalline  $M_x\text{RE}_y\text{F}_z$  fluorides is usually not an easy process because of the diversity of their stoichiometry and crystal structures.<sup>57–59</sup> Very often, the resulting products have more than one crystal phase. Fedorov et al. analyzed  $\text{BaF}_2\text{–YF}_3$  systems proving a nonequilibrium character of these phases.<sup>60</sup> Therefore, there is not possible to obtain barium–yttrium fluorides with a specific composition, e.g.,  $\text{BaYF}_5$ . Instead, these systems should be considered as  $\text{Ba}_{1-x}\text{RE}_x\text{F}_{2+x}$  solid solutions.<sup>60</sup> Despite this, there are some articles using the  $\text{BaYF}_5$  formula.<sup>51,61–63</sup> Although some of the mixed  $\text{M}^{2+}$  and  $\text{RE}^{3+}$  fluorides have been investigated in recent years, their UC properties have not been compared. What is more, there is a high degree of uncertainty regarding the product composition in published articles. Therefore, it is important to carefully examine the properties of obtained  $M_x\text{REF}_{2x+3}$  compounds and determine their real stoichiometry. Our studies show that  $\text{M–RE–F}$  systems are complex and require deep investigation. Synthesis of NPs based on the compounds mentioned above and analysis of their properties can expand knowledge of these and similar systems, and also enlarge the base of inorganic nanomaterials for UC applications.

## EXPERIMENTAL SECTION

**Materials.** Rare earth oxides:  $\text{Y}_2\text{O}_3$ ,  $\text{La}_2\text{O}_3$ ,  $\text{Gd}_2\text{O}_3$ ,  $\text{Er}_2\text{O}_3$ ,  $\text{Yb}_2\text{O}_3$ , and  $\text{Lu}_2\text{O}_3$  (99.99%, Stanford Materials, United States) were used as the source of  $\text{RE}^{3+}$  (and  $\text{Ln}^{3+}$ ) ions. To obtain rare earth chloride solutions at a concentration of 1 or 0.5 M, the oxides were dissolved separately in hydrochloric acid (ultrapure, Sigma-Aldrich, 37%, Poland). Ammonium fluoride (98+%, Sigma-Aldrich, Poland) was used as the source of fluoride ions. Barium chloride dehydrate (Sigma-Aldrich, 99+%, Poland), calcium chloride dihydrate (Sigma-Aldrich, 99+%, Poland), strontium chloride hexahydrate (Sigma-Aldrich, 99+%, Poland), and ethylenediaminetetraacetic acid (EDTA) diammonium salt hydrate (Sigma-Aldrich, 97%, Poland) were used as received without further purification.

**Synthesis.** Nanoparticles showing UC based on  $M_2\text{REF}_7$  matrices (where  $M = \text{Ca}, \text{Sr}, \text{Ba}$ , and  $\text{RE} = \text{Y}, \text{La}, \text{Gd}, \text{Lu}$ ) doped with  $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$  ions were synthesized using the microwave-assisted hydrothermal method (Magnum II, Ertec). To obtain  $M_2\text{REF}_7\text{:Yb}^{3+}, \text{Er}^{3+}$  (theoretical concentrations were set to 18% and 2% mol), 6 mmol of EDTA diammonium salt hydrate was dissolved in 20 mL of water, in a beaker which was placed on a magnetic stirrer. This substance was used to prevent precipitation of the product before applying the hydrothermal conditions. Then, an aqueous solution of  $\text{MCl}_2$  (containing 3 mmol of reactant) mixed earlier in a beaker with  $\text{RECl}_3$  and  $\text{LnCl}_3$  ( $\text{Ln} = \text{Yb}, \text{Er}$ ; total amount 3 mmol) with a specified concentration and stoichiometric ratio (1:1 metal ions) was added to dissolved EDTA.  $\text{NH}_3(\text{aq})$  (30%) was added dropwise upon vigorous stirring to obtain a transparent solution until pH = 6 was reached.  $\text{NH}_4\text{F}$  was used in the synthesis as a source of fluoride ions: metal and fluoride ions were mixed in a 1:5 ratio. During preparation of the solution, the pH was monitored and maintained at 6 using  $\text{NH}_3(\text{aq})$ . The transparent solution with total volume of 70 mL was transferred into a Teflon-lined autoclave (100 mL) and treated under hydrothermal conditions for 4 h at 180 °C and 40 bar, with microwave heating. Afterward, the obtained white precipitate was purified by centrifugation with water

and ethanol several times. The final product was dried at 80 °C for 80 h.

**Characterization.** X-ray diffractograms (XRD) were recorded on a Bruker AXS D8 Advance diffractometer in the Bragg–Brentano geometry, with  $\text{Cu K}\alpha_1$  radiation, in the  $2\theta$  range from 6 to 60°. The reference data were taken from The International Centre for Diffraction Data (ICDD) database. Crystal cell volumes were calculated using the Maud 2.33 software.<sup>64</sup> The composition of the prepared materials was analyzed by inductively coupled plasma-optical emission spectrometer (Varian ICP-OES VISTA-MPX). Transmission-electron-microscopy (TEM) images were recorded on an FEI Tecnai G2 20 X-TWIN transmission electron microscope using an accelerating voltage of 200 kV.

The luminescence characteristics (excitation, emission spectra, luminescence decays, and power dependencies) of the prepared samples were measured on a Photon Technology International QuantaMaster 40 spectrofluorometer equipped with an Opotek Inc. Opolette 35SLD UVDM tunable laser, with a repetition rate of 20 Hz and pulse length of 7 ns; a Hamamatsu R928 photomultiplier was used as a detector and a Thorlabs FEL900 optical filter was used.

The absolute upconversion quantum yield (UCQY) measurements usually involve the use of integrating sphere.<sup>43,44,65–67</sup> In our studies a barium sulfate-coated integrating sphere (80 mm diameter) from Photon Technology International was employed. The integrating sphere was mounted in a QuantaMaster 40 spectrofluorometer with the entry and output ports located at 90° to each other. A continuous Dragon Lasers DPSS 980 nm laser was used as the excitation source, coupled to a 200  $\mu\text{m}$  optical fiber and collimator. A S305C Compact Thermal Power Sensor from Thorlabs was used to measure the power of the laser beam. As a detector, a Princeton Instruments PIXIS:256E Digital CCD Camera, equipped with an SP-2156 Imaging Spectrograph was applied, corrected for the instrumental response. All of the powder samples were held in a white Teflon cuvette with a quartz window, located in the center of the integrating sphere. Two filters, Thorlabs NENIR30B and NENIR40B, were used in order to measure the spectrum in the range covering the emission and excitation wavelengths (500–1050 nm) and to avoid saturation of the CCD camera. Measured spectra were corrected for the filters before the final calculations. For more details see Supporting Information.

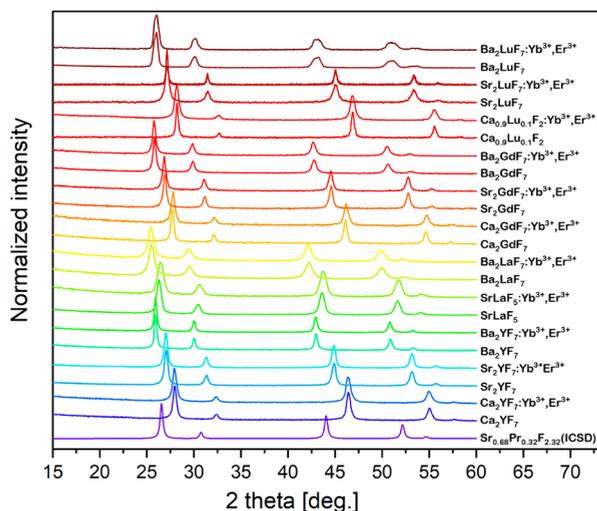
Pictures of the samples were taken using a Canon EOS 550D camera under excitation by Opolette 35SLD UVDM ( $\sim 10 \text{ mJ}\cdot\text{cm}^{-2}$ ) or a Dragon Lasers DPSS 980 nm laser ( $\sim 20 \text{ W}\cdot\text{cm}^{-2}$ ) and with Thorlabs FEL900 and FGS900-A optical filters (exposure time 2.5 s, f/4, ISO-1600).

## RESULTS AND DISCUSSION

**Structure and Morphology.** The  $M_x\text{RE}_y\text{F}_z$  fluorides are known to crystallize as cubic, orthorhombic, hexagonal, or monoclinic structures depending on the composition.<sup>57,59,68–70</sup> The synthesized compounds crystallized as disordered, fluorite-type, nonstoichiometric structures with a  $\text{M}_{0.67}\text{RE}_{0.33}\text{F}_{2.33}$  composition per unit cell and  $Fm\bar{3}m$  cubic space group.<sup>57,71</sup> According to Sorokin et al., these type of compounds crystallize as solid solutions which remain metastable at low temperature.<sup>71</sup> The article uses the  $M_2\text{REF}_7$  formula containing the integer number of ions. Upon annealing, the fluorite structure may order with formation of tetragonal  $M_2\text{REF}_7$  phase.<sup>71</sup> The diffraction peaks of tetragonal phase are similar to those of the pure cubic structure,  $Fm\bar{3}m$ ; however, according to literature, the compounds obtained by solid-state reaction may show very weak additional superstructure reflections.<sup>72</sup> Also splitting of the main peaks of the fluorite-type lattice phase should be observed.<sup>71</sup> These minor peaks as well as splitting of XRD peaks were not observed in the materials prepared, which confirms the cubic structure. However, there are some articles incorrectly reporting  $M_2\text{REF}_7$ -type compounds as tetragonal

with similar XRD patterns to those presented in this article.<sup>52,53,56,73</sup>

The crystal phases of all samples have been identified, and the results are presented in Figure 1. The diffraction peaks are



**Figure 1.** XRD patterns of the samples synthesized by hydrothermal method and the reference from the ICSD database (No. 190727).

broad, which indicates the small size of the nanocrystals (Table 1). The obtained patterns were compared to the standard data for cubic  $\text{Sr}_{0.68}\text{Pr}_{0.32}\text{F}_{2.32}$  (ICSD No. 190727). The comparison confirmed the single-phase structure of all the compounds. Depending on the ions forming the material, crystal cell volume (Table 1) changed in comparison to that for the reference

compound, which resulted in a shift of the XRD peaks. Hence, when the host compound consists of  $\text{Ba}^{2+}$  ( $r = 1.35 \text{ \AA}$ ), the calculated crystal cell volumes are the largest, and the shifts of the XRD peaks with respect to those in the reference pattern are the highest. Analogously, the materials including  $\text{Ca}^{2+}$  ions with the ionic radius smaller than that of the  $\text{M}^{2+}$  metal ions used ( $r = 1.00 \text{ \AA}$ ) had the smallest cell volumes from all products obtained. In addition, differences between ionic radii of  $\text{RE}^{3+}$  ions caused similar changes, although to a smaller degree as the differences were also lower:  $\text{Y}^{3+}$  ( $r = 0.9 \text{ \AA}$ ),  $\text{La}^{3+}$  ( $r = 1.03 \text{ \AA}$ ),  $\text{Gd}^{3+}$  ( $r = 0.94 \text{ \AA}$ ), and  $\text{Lu}^{3+}$  ( $r = 0.86$ ) (ionic radius for the coordination number,  $\text{CN} = 6$ ). The size of nanocrystals, calculated from the Scherrer equation, was independent of the crystal cell volume.

ICP-OES analysis was performed to confirm the obtained stoichiometry, and the results are presented in Table 1. Figure 1 presents the XRD patterns of the obtained compounds along with sample labels according to their elemental composition. On the basis of the elemental analysis, three different types of structures were obtained:  $\text{MREF}_5$  ( $\text{SrLaF}_5$ ),  $\text{M}_2\text{REF}_7$  ( $\text{Ca}_2\text{YF}_7$ ,  $\text{Sr}_2\text{YF}_7$ ,  $\text{Ba}_2\text{YF}_7$ ,  $\text{Ba}_2\text{LaF}_7$ ,  $\text{Ca}_2\text{GdF}_7$ ,  $\text{Sr}_2\text{GdF}_7$ ,  $\text{Ba}_2\text{GdF}_7$ ,  $\text{Sr}_2\text{LuF}_7$ ,  $\text{Ba}_2\text{LuF}_7$ , and  $\text{M}_{1-x}\text{RE}_x\text{F}_{2+x}$  for a structure containing  $\text{Ca}^{2+}$  and  $\text{Lu}^{3+}$  ions with a very low content of lanthanide ions.

The complexity of the  $\text{M}-\text{RE}-\text{F}$  systems results from the high capacity of the  $\text{CaF}_2$  fluorite-type structure for ion exchange. The  $\text{M}^{2+}$  ions can be easily replaced by  $\text{RE}^{3+}$  ions, which additionally are similar in ionic radius to those they replace. The charge imbalance created after replacement can be compensated by highly mobile  $\text{F}^-$  ions. Hence, from the ideal fluorite-type  $\text{CaF}_2$  structure, other structures can be delivered, such as tetragonal-type distortion.<sup>74</sup> However, in nanocrystalline materials in which a high proportion of ions are localized

**Table 1.** Results of ICP-OES Analysis, the Stoichiometric Ratio between Alkaline Metal (Ca, Sr, Ba) and Rare Earth Ions, Crystal Cell Volumes, and Nanocrystal Sizes Calculated Using the Scherrer Equation

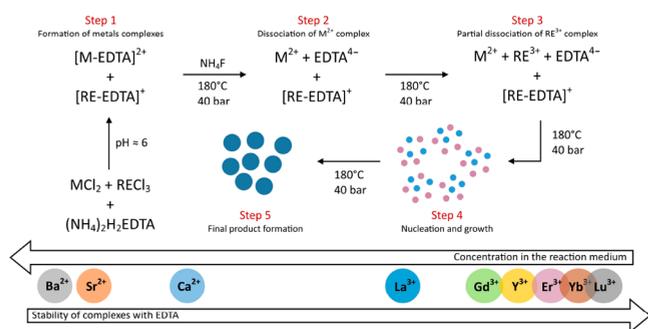
metal ion		concentration (% mol)				$\text{M}^{2+}:\text{RE}^{3+}$ ratio	sample name	crystal cell volume ( $\text{\AA}^3$ )	nanocrystal size (nm)
$\text{M}^{2+}$	$\text{RE}^{3+}$	$\text{M}^{2+}$	$\text{RE}^{3+}$	$\text{Yb}^{3+}$	$\text{Er}^{3+}$				
$\text{Ca}^{2+}$	$\text{Y}^{3+}$	70.0	30.0			2:0.9	$\text{Ca}_2\text{YF}_7$	170.38	$16.4 \pm 1.6$
		74.2	24.6	1.0	0.2	2:0.7	$\text{Ca}_2\text{YF}_7:3.9\%\text{Yb}^{3+},0.6\%\text{Er}^{3+}$	169.47	$13.7 \pm 1.6$
$\text{Sr}^{2+}$	$\text{Y}^{3+}$	66.6	33.4			2:1.0	$\text{Sr}_2\text{YF}_7$	187.40	$16.1 \pm 0.6$
		64.1	33.6	2.0	0.3	2:1.1	$\text{Sr}_2\text{YF}_7:5.6\%\text{Yb}^{3+},0.9\%\text{Er}^{3+}$	186.65	$16.3 \pm 0.8$
$\text{Ba}^{2+}$	$\text{Y}^{3+}$	66.4	33.6			2:1.0	$\text{Ba}_2\text{YF}_7$	210.78	$19.7 \pm 1.7$
		69.3	28.9	1.6	0.3	2:0.9	$\text{Ba}_2\text{YF}_7:5.1\%\text{Yb}^{3+},0.9\%\text{Er}^{3+}$	211.15	$19.7 \pm 1.8$
$\text{Ca}^{2+}$	$\text{La}^{3+}$	52.6	47.4			2:1.8	$\text{SrLaF}_5$	202.35	$13.2 \pm 1.2$
		55.3	44.2	0.4	0.1	2:1.6	$\text{SrLaF}_5:0.8\%\text{Yb}^{3+},0.2\%\text{Er}^{3+}$	200.40	$10.7 \pm 1.0$
$\text{Ba}^{2+}$	$\text{La}^{3+}$	67.1	32.9			2:1.0	$\text{Ba}_2\text{LaF}_7$	221.78	$9.4 \pm 0.6$
		63.3	36.2	0.4	0.1	2:1.2	$\text{Ba}_2\text{LaF}_7:1.1\%\text{Yb}^{3+},0.2\%\text{Er}^{3+}$	223.21	$10.3 \pm 0.9$
$\text{Ca}^{2+}$	$\text{Gd}^{3+}$	67.0	33.0			2:1.0	$\text{Ca}_2\text{GdF}_7$	172.87	$20.8 \pm 4.4$
		73.3	25.6	1.0	0.2	2:0.7	$\text{Ca}_2\text{GdF}_7:3.7\%\text{Yb}^{3+},0.7\%\text{Er}^{3+}$	171.90	$15.5 \pm 1.1$
$\text{Sr}^{2+}$	$\text{Gd}^{3+}$	71.7	28.3			2:0.8	$\text{Sr}_2\text{GdF}_7$	189.90	$20.8 \pm 6.0$
		60.4	37.1	2.2	0.3	2:1.3	$\text{Sr}_2\text{GdF}_7:5.5\%\text{Yb}^{3+},0.9\%\text{Er}^{3+}$	190.90	$18.0 \pm 2.9$
$\text{Ba}^{2+}$	$\text{Gd}^{3+}$	74.0	26.0			2:0.7	$\text{Ba}_2\text{GdF}_7$	213.72	$14.7 \pm 1.7$
		72.6	25.1	2.1	0.3	2:0.8	$\text{Ba}_2\text{GdF}_7:7.5\%\text{Yb}^{3+},1.1\%\text{Er}^{3+}$	214.77	$15.4 \pm 1.9$
$\text{Ca}^{2+}$	$\text{Lu}^{3+}$	89.6	10.4			2:0.2	$\text{Ca}_{0.9}\text{Lu}_{0.1}\text{F}_2$	164.57	$20.0 \pm 2.2$
		86.4	9.6	3.5	0.5	2:0.3	$\text{Ca}_{0.9}\text{Lu}_{0.1}\text{F}_2:3.5\%\text{Yb}^{3+},0.5\%\text{Er}^{3+}$	164.96	$14.6 \pm 1.7$
$\text{Sr}^{2+}$	$\text{Lu}^{3+}$	72.3	27.7			2:0.8	$\text{Sr}_2\text{LuF}_7$	185.78	$12.9 \pm 1.6$
		67.8	25.1	6.2	1.0	2:1.0	$\text{Sr}_2\text{LuF}_7:19.3\%\text{Yb}^{3+},3.0\%\text{Er}^{3+}$	184.17	$20.5 \pm 1.9$
$\text{Ba}^{2+}$	$\text{Lu}^{3+}$	66.4	33.6			2:1.0	$\text{Ba}_2\text{LuF}_7$	210.16	$10.6 \pm 2.6$
		66.1	25.1	7.7	1.1	2:1.0	$\text{Ba}_2\text{LuF}_7:22.8\%\text{Yb}^{3+},3.1\%\text{Er}^{3+}$	208.77	$9.8 \pm 2.6$
							$\text{Sr}_{0.68}\text{Pr}_{0.32}\text{F}_{2.32}$	196.53	

ICSD No. 190727

on the surface ( $\sim 20\%$  in nanocrystals with size around 8 nm),<sup>75</sup> where the crystal structure is highly distorted and XRD peaks are wide, the boundary between cubic and tetragonal structure may be not clear.

The results of ICP-OES analysis typically indicated different composition of products and lower dopant concentrations than expected from stoichiometric calculations. Most of the products were obtained with  $M^{2+}$  to  $RE^{3+}$  molar ratios of around 2:1, despite the fact that  $MCl_2$  and  $RECl_3$  chlorides were added to the solution at the 1:1 molar ratio. Also the ratios of  $RE^{3+}$  ions forming host ( $Y^{3+}$ ,  $La^{3+}$ ,  $Gd^{3+}$ , or  $Lu^{3+}$ ) to those used as dopants ( $Yb^{3+}$  and  $Er^{3+}$ ) was not as expected from the concentrations of the reagents. The data presented in Table 1 show that the highest percentage amount of  $Yb^{3+}$  and  $Er^{3+}$  ions was found in the  $Ba_2LuF_7$ -based sample (22.8% and 3.04%, respectively). The lowest efficiency of doping was observed for  $SrLaF_7:Yb^{3+},Er^{3+}$  sample in which the dopant concentrations were 0.8% of  $Yb^{3+}$  and 0.2% of  $Er^{3+}$  ions.

As the reaction conditions and reagent compositions were the same for each synthesis, the final product stoichiometry and composition were determined by other factors. The differences in ionic radii between metal ions,<sup>76</sup> solubilities of metal fluorides,<sup>77,78</sup> and, the most important factor, stability of the complexes with EDTA are known to influence the properties of the materials obtained (see Table S1 in Supporting Information for appropriate data).<sup>79</sup> The proposed product formation mechanism is presented in Figure 2. Alkaline metals,  $M^{2+}$ , form



**Figure 2.** Proposition of mechanism of nanocrystal growth in hydrothermal conditions.

complexes with EDTA that are less stable than the complexes of  $RE^{3+}$  ions. An equilibrium between  $[M-EDTA]^{2+}$ ,  $[RE-EDTA]^+$ ,  $M^{2+}$ ,  $RE^{3+}$ , and  $EDTA^{4-}$  individuals is established after mixing all of the reagents. The  $RE^{3+}$  ions are in larger fraction complexed in  $[RE-EDTA]^+$  than  $M^{2+}$  in  $[M-EDTA]^{2+}$ ; therefore, the ratio between these ions is higher than expected. Additionally, after the reaction starts,  $[M-EDTA]^{2+}$  complexes first dissociate (Step 2 in the mechanism) as they are less stable and as the result of EDTA decomposition.<sup>80</sup> Afterward,  $[RE-EDTA]^+$  begins to dissociate, which explains why the concentration of  $RE^{3+}$  ions in the prepared materials is much lower than expected from the amounts of reagent salts added. After these two stages, the equilibrium between  $M^{2+}$ ,  $RE^{3+}$ , and their complexes is established, and precipitation of the products begins (Step 4). The final step is nucleation followed by growth of nanoparticles (Step 5). This simplified mechanism is the only proposition not verified experimentally.

The proposed mechanism explains why most of the synthesized materials contained lower concentrations of  $Yb^{3+}$  and  $Er^{3+}$  dopants than expected (20% of  $Yb^{3+}$  and 2% of  $Er^{3+}$

ions) and why the fraction of precipitated  $Er^{3+}$  ions is always larger than that of  $Yb^{3+}$  ions (Table 1). The dopant ions precipitate, the last one during the reaction, first  $Er^{3+}$  and next  $Yb^{3+}$  ions, except for syntheses of NPs containing  $Lu^{3+}$  ions. This also explains the difference between  $Sr_2LuF_7$ - or  $Ba_2LuF_7$ -based materials and the rest of products.

In the group of synthesized materials, three exceptions were observed: in  $Ca^{2+}$ - and  $La^{3+}$ -containing mixtures, the product was not formed in the applied conditions;  $Sr^{2+}$  and  $La^{3+}$  ions precipitated as a  $SrLaF_5$  compound;  $Ca^{2+}$  and  $Lu^{3+}$  formed a  $Ca_{0.9}Lu_{0.1}F_2$  compound. The explanation of these results is unclear. It is worth noting that the difference between the ionic radii of  $Ca^{2+}$  and  $La^{3+}$  is the smallest of the all used metal ions as well as the difference in stability constant of EDTA complexes. In addition, the differences in the size of  $M^{2+}$  and  $RE^{3+}$  ions in the remaining two cases are one of the smallest. This may be associated with the tendency to form the structure closest to cubic  $CaF_2$ .

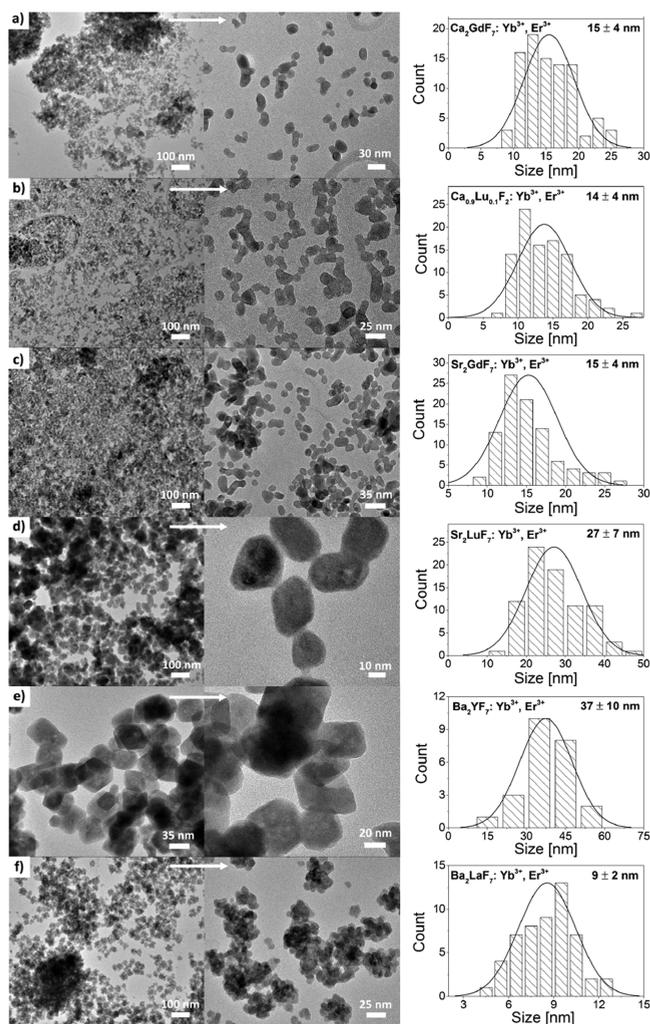
In our opinion, the information mentioned above is critical in designing the properties of fluoride nanocrystals and planning their syntheses. A similar mechanism can be extended to systems containing other chelating agents, such as citric acid or polyethylene glycol.

Morphology of the products was determined by analyzing XRD patterns and TEM images. The characteristics of the XRD patterns indicate the nanocrystallinity of the products. Average nanocrystal sizes, calculated using the Scherrer equation,<sup>81</sup> vary in the range 9–21 nm and are displayed in Table 1. The TEM images together with size distribution histograms are presented in Figure 3. They show a very similar, quasi-spherical shape of the nanocrystals as well as their tendency to agglomerate. However, some single nanocrystals can still be observed, proving that they are not strongly connected. The  $Ba_2LaF_7:Yb^{3+},Er^{3+}$  sample (Figure 3f) presented the smallest average particle size, estimated at approximately 9 nm, but the nanocrystal sizes of the other products were also small. The sample was composed of  $Ba_2LaF_7$  nanocrystals making small clusters of several nanocrystals. Such behavior was not observed in other materials. The determined nanocrystal dimensions are comparable with those calculated from the Scherrer equation except for  $Ba_2YF_7:Yb^{3+},Er^{3+}$ . All nanocrystals exhibited a high degree of crystallinity.

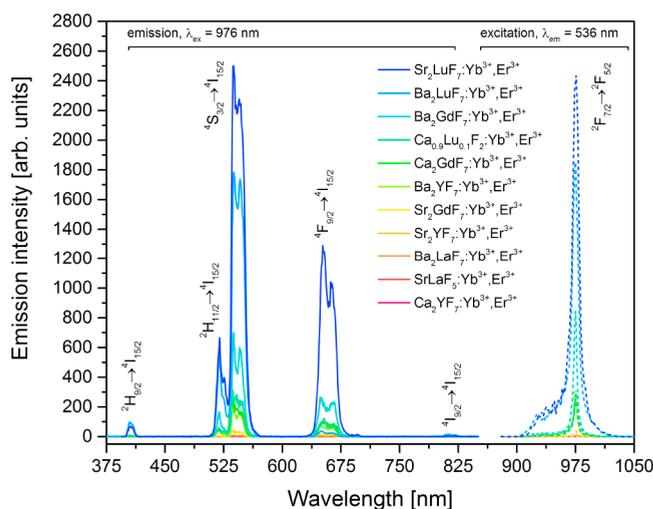
**Spectroscopic Properties.** Upconversion properties were studied under excitation by a pulsed laser ( $\lambda_{ex} = 976$  nm). Emission and excitation spectra are presented in Figure 4. Luminescence decays were also recorded for each transition band as well as the dependencies of the emission intensity on laser energy were obtained. The calculated emission lifetimes and the number of photons involved in the upconversion process are presented in Table 2. Experimental data (luminescence decays and dependencies of emission intensities on laser energy) are presented in the Supporting Information (Figures S3 and S4).

Figure 4 shows that the spectra of all samples show similar emission and excitation peaks with quite different intensities. The highest emission intensities were recorded for  $Sr_2LuF_7:Yb^{3+},Er^{3+}$  and  $Ba_2LuF_7:Yb^{3+},Er^{3+}$  samples. Also the emission intensity of  $Ba_2GdF_7:Yb^{3+},Er^{3+}$  was relatively high. The other materials showed low emission or their emission was even almost quenched, like, e.g., for  $Ca_2YF_7:Yb^{3+},Er^{3+}$ .

The excitation spectra observed in Figure 4 show a narrow band with the maximum at 976 nm, characteristic of the  ${}^2F_{7/2} \rightarrow {}^2F_{5/2}$  transition of  $Yb^{3+}$  ions. These ions participate in the



**Figure 3.** TEM images and nanocrystal size distributions of hydrothermally synthesized samples: (a)  $\text{Ca}_2\text{GdF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , (b)  $\text{Ca}_{0.9}\text{Lu}_{0.1}\text{F}_2:\text{Yb}^{3+},\text{Er}^{3+}$ , (c)  $\text{Sr}_2\text{GdF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , (d)  $\text{Sr}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , (e)  $\text{Ba}_2\text{YF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , (f)  $\text{Ba}_2\text{LaF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ .



**Figure 4.** Upconversion luminescence (solid line) and excitation spectra of synthesized materials under pulsed excitation source (at  $15 \text{ mJ}\cdot\text{cm}^{-2}$ ).

energy transfer process (as sensitizers) to  $\text{Er}^{3+}$  ions, which results in photon upconversion.

The intensity of UC is connected with the concentration of  $\text{Yb}^{3+}$  ions. The samples in which the  $\text{Yb}^{3+}$  ion concentration is high also show intense emission, which may be expected as  $\text{Yb}^{3+}$  ions absorb exciting radiation. Analysis of the sample composition is crucial for the appropriate interpretation of spectroscopic results. The presented results indicate that preparation of a series of samples, keeping the same conditions in the synthesis, does not necessarily lead to the desired composition of each product.

In the emission spectra, the  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$  transition (with a maximum at 536 nm) was observed as the most intense for all samples. Therefore, the color of the samples luminescence was green. The ratio between the intensities of transitions for the obtained nanopowders was very similar (see Figure S2). Four characteristic transitions of  $\text{Er}^{3+}$  ions:  $^2\text{H}_{9/2} \rightarrow ^4\text{I}_{15/2}$  (~406 nm),  $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  (~520 nm),  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$  (~540 nm), and  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  (~650 nm) were recorded only for some of the materials obtained:  $\text{Sr}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ ,  $\text{Ba}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ ,  $\text{Ba}_2\text{GdF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ ,  $\text{Ca}_{0.9}\text{Lu}_{0.1}\text{F}_2:\text{Yb}^{3+},\text{Er}^{3+}$ , and  $\text{Ca}_2\text{GdF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , which is related to the tendency of the  $^2\text{H}_{9/2} \rightarrow ^4\text{I}_{15/2}$  level to be quenched by a cross-relaxation process, or a noneffective, multiphonon de-excitation process.<sup>82</sup>

The upconversion quantum yields determined for the best emitting samples are presented in Figure 5. The calculated values were the highest for  $\text{Sr}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  and  $\text{Ba}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  the two best emitting samples (see also Table S2 and Figure 4). In these samples a saturation effect was observed, visible as a plateau-like region at higher power densities. The observed saturation may be explained by excitation trapping into intermediate state involved in UC mechanism. For  $\text{Er}^{3+}$  it is long-lived (bottleneck)  $^4\text{I}_{13/2}$  excited state being unavailable for further excitation transfer at higher power densities.<sup>10,83</sup>

UCQYs are rarely published owing to the difficulties in their determination.<sup>36,43,66,83–89</sup> The obtained values are usually low, in comparison to those for downshifting phosphors. The highest published values do not exceed several percent, and they were determined only for the core/shell samples, prepared by the thermal decomposition method.<sup>43,89,90</sup> UCQY for a sample prepared by the hydrothermal method had been reported previously only once by Balabhadra et al.<sup>66</sup>

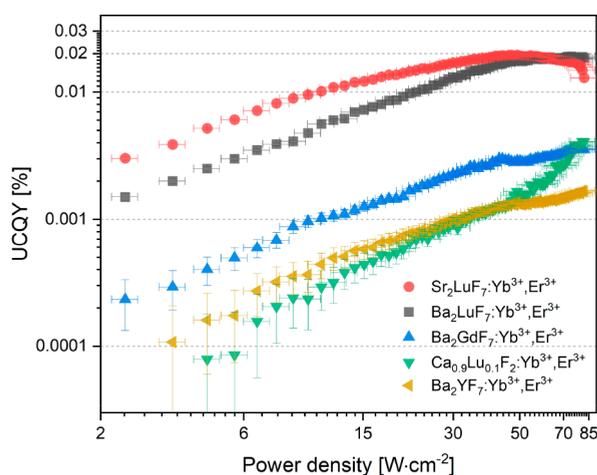
The most often studied  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  nanoparticles, which showed one of the brightest emissions, revealed UCQY from 0.0022% to 0.32% under 980 nm laser irradiation, depending on the concentration of dopant ions, synthesis procedure, and particle size.<sup>36,44,65,67,90</sup> Comparison of UCQYs determined for samples doped with  $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$  presented in Supporting Information (Table S2) shows that  $\text{Sr}_2\text{LuF}_7$  and  $\text{Ba}_2\text{LuF}_7$ -based samples are capable of efficient emission, in some cases with higher quantum yield than for the most studied and best known  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  nanoparticles. It should be emphasized that the materials prepared by us were obtained by the hydrothermal method based on water solution, in contrast to most of the other reports. UCQY values measured in nonwater solutions are usually higher.<sup>46</sup> Also reported power densities, used for UCQYs measurements, were usually higher than applied in this article.

Because of the nonlinear nature of UC, the QYs of this process are strongly dependent on the excitation density.<sup>12,66,46,91</sup> Furthermore, properties such as laser beam shape can also influence UCQYs.<sup>43</sup> Therefore, UC properties

**Table 2. Emission Lifetimes Calculated on the Basis of Luminescence Decays and the Number of Photons Determined from Dependencies of Luminescence Intensity on Laser Energy<sup>a</sup>**

sample name	emission lifetimes ( $\mu\text{s}$ )				number of photons			
	$^2\text{H}_{9/2} \rightarrow ^4\text{I}_{15/2}$	$^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$	$^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$	$^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$	$^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$	$^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$	$^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$	$^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$
$\text{Ca}_2\text{YF}_7:3.9\%\text{Yb}^{3+},0.6\%\text{Er}^{3+}$		7.5	8.4	10.9		1.6	1.6	
$\text{Sr}_2\text{YF}_7:5.6\%\text{Yb}^{3+},0.9\%\text{Er}^{3+}$		20.1	20.3	65.6		1.1	1.5	1.2
$\text{Ba}_2\text{YF}_7:5.1\%\text{Yb}^{3+},0.9\%\text{Er}^{3+}$	74.4	73.4	107.7	336.7		1.8	2.3	1.9
$\text{SrLaF}_5:0.8\%\text{Yb}^{3+},0.2\%\text{Er}^{3+}$		22.4	35.5	95.2		1.2	0.75	
$\text{Ba}_2\text{LaF}_7:1.1\%\text{Yb}^{3+},0.2\%\text{Er}^{3+}$		27.7	28.8	144.9		1.6	1.5	1.3
$\text{Ca}_2\text{GdF}_7:3.7\%\text{Yb}^{3+},0.7\%\text{Er}^{3+}$	142.7	285.5	271.5	521.2	1.6	1.5	1.8	1.6
$\text{Sr}_2\text{GdF}_7:5.5\%\text{Yb}^{3+},0.9\%\text{Er}^{3+}$		18.1	19.9	48.1		1.1	1.5	1.4
$\text{Ba}_2\text{GdF}_7:7.5\%\text{Yb}^{3+},1.1\%\text{Er}^{3+}$	80.1	104.1	108.9	334.0	1.1	1.9	1.8	1.8
$\text{Ca}_{0.9}\text{Lu}_{0.1}\text{F}_2:3.5\%\text{Yb}^{3+},0.5\%\text{Er}^{3+}$	20.4	28.3	27.2	61.7	1.5	1.3	1.6	1.4
$\text{Sr}_2\text{LuF}_7:19.3\%\text{Yb}^{3+},3.0\%\text{Er}^{3+}$	65.6	103.2	106.9	225.2	2.5	1.5	1.6	1.7
$\text{Ba}_2\text{LuF}_7:22.8\%\text{Yb}^{3+},3.1\%\text{Er}^{3+}$	63.5	91.1	93.2	202.4		1.8	1.9	1.9

<sup>a</sup>Experimental data in Supporting Information, Figures S3 and S4.



**Figure 5.** Upconversion quantum yields calculated for the best emitting samples.

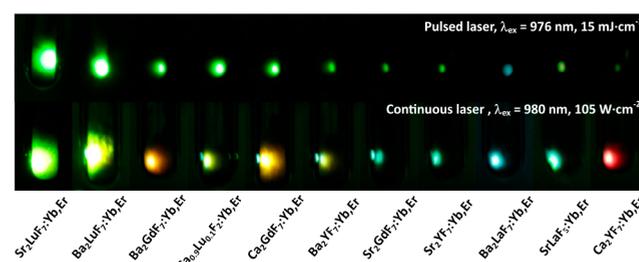
are sensitive to instrumentation, which makes it difficult to compare properties of the same materials in different setups.<sup>90</sup>

The determined UCQYs were lower than for the best-known emitters. However, the emissions QY of  $\text{Sr}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  and  $\text{Ba}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  NPs are still at a satisfactory level, comparable with some of the reports for  $\text{NaREF}_4$ -type materials. This makes the obtained materials look promising for upconversion applications. Moreover, the  $\text{M}_2\text{REF}_7$ -type materials seem to be excellent candidates for synthesis by the thermal decomposition method.

The small difference in UCQY values between the two the best emitting materials results from different nanocrystals size, which are smaller in  $\text{Ba}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  (see Table 1). The relatively low QY values of the remaining  $\text{M}^{2+}\text{-RE}^{3+}$  systems resulted from the low dopant concentrations. Also the synthesis method used, which requires the presence of water, may be responsible for low UCQY as the  $-\text{OH}$  oscillators quench the higher excited states of  $\text{Ln}^{3+}$  ions.<sup>92</sup> Other factors affecting UCQY are nonradiative processes between  $\text{Ln}^{3+}$  dopants, such as cross-relaxation and down-shifting emission of  $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$  ions (above 1000 nm).<sup>90</sup> The dependence of QYs on the type of host used was similar as that presented in Figure 4.

The upconversion properties of the prepared materials were highly dependent on the excitation source, as shown in Figure

6. The pulsed excitation results mostly in a two-photon process (Table 2 and Figure S4), populating green- and red-emitting



**Figure 6.** Upconversion luminescence images under NIR excitation (pulsed and continuous lasers).

$\text{Er}^{3+}$  energy levels similarly, whereas continuous laser excitation may lead to a saturation effect, which was most noticeable for the green emission band at higher power densities.<sup>43</sup>

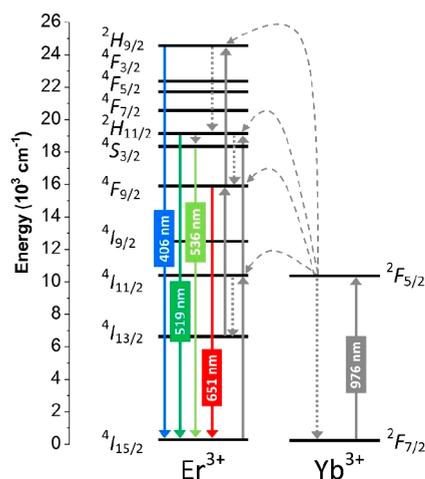
Luminescence decays measured for the obtained samples were used for calculation of luminescence lifetimes and are collected in Table 2 (for emission decays see Figure S3). From among all obtained matrices doped with  $\text{Yb}^{3+}/\text{Er}^{3+}$  ions, the longest emission was found for  $\text{Ca}_2\text{GdF}_7$  (between 142.7 and 521.2  $\mu\text{s}$ , depending on the transition band). Long lifetimes were also calculated for  $\text{Ba}_2\text{YF}_7$  (74.4–336.7  $\mu\text{s}$ ) and  $\text{Ba}_2\text{GdF}_7$ -based materials (80.1–334.0  $\mu\text{s}$ ). The shortest (7.5–10.9  $\mu\text{s}$ ) emission was recorded for  $\text{Ca}_2\text{YF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , which may be connected to the very low content of dopant ions in this matrix. It is worth mentioning that for samples  $\text{Sr}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  and  $\text{Ba}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$ , which exhibited the strongest emission of light from all samples, the lifetimes were relatively short ( $\text{Sr}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$   $\tau = 225.25 \mu\text{s}$ ,  $\text{Ba}_2\text{LuF}_7:\text{Yb}^{3+},\text{Er}^{3+}$   $\tau = 202.41 \mu\text{s}$  for the  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  transition). The observed spectroscopic properties lead us to assume that the differences between samples are not only a result of their composition but also other factors. The EDTA adsorbed during the synthesis as well as the inhomogeneous distribution of dopant ions may also influence the upconversion process and luminescence decays.<sup>93</sup> This effect is especially visible in host materials in which alkaline  $\text{M}^{2+}$  ions are replaced by rare earth ions,  $\text{RE}^{3+}$ , requiring different charge compensation.

For all samples, the longest decay times were measured for the  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  transition. It may be caused by the necessity

of the cross-relaxation process preceding the feeding of the  ${}^4F_{9/2}$  excited state or even a different excitation mechanism.<sup>6</sup> According to Xiang et al.,<sup>94,95</sup> if the decay time of the transition  ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$  is almost twice as long (or even more) than the  ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$  transition, the excitation to the  ${}^4F_{9/2}$  level may occur from the  ${}^4I_{13/2}$  excited state, which is directly populated by energy transfer from  $\text{Yb}^{3+}$  ions:  ${}^4I_{13/2}(\text{Er}^{3+}) + {}^2F_{5/2}(\text{Yb}^{3+})$  to  ${}^4F_{9/2}(\text{Er}^{3+}) + {}^2F_{7/2}(\text{Yb}^{3+})$ . The long rise time for samples that exhibited good emission ( $\text{Yb}^{3+}$ - and  $\text{Er}^{3+}$ -doped:  $\text{Ca}_2\text{GdF}_7$ ,  $\text{Ca}_{0.9}\text{Lu}_{0.1}\text{F}_2$ ,  $\text{Sr}_2\text{GdF}_7$ ,  $\text{Sr}_2\text{LuF}_7$ ,  $\text{Ba}_2\text{YF}_7$ ,  $\text{Ba}_2\text{GdF}_7$ ,  $\text{Ba}_2\text{LuF}_7$  hosts) can also be explained by this mechanism. If the excitation pathway occurs by multiphonon relaxation from  ${}^2H_{11/2}$  to  ${}^4F_{9/2}$ , emission lifetime related to  ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$  transition should be similar to  ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$ , which is true only for  $\text{Ca}_2\text{YF}_7$  sample.

Dependencies of the luminescence intensity on the laser energy were also measured to better understand the mechanism of the UC process. They are presented in Supporting Information, Figure S4. At low power densities, the number of photons involved in the upconversion phenomena is equal to the slope coefficient in linear regressions obtained from excitation power dependencies. The determined numbers of photons involved in the upconversion mechanism are collected in Table 2.

The numbers of photons determined from the experimental data suggest that at least three photons are required to excite  $\text{Er}^{3+}$  ions to the  ${}^2H_{9/2}$  level, and two photons to achieve  ${}^2H_{11/2}$ ,  ${}^4S_{3/2}$ , and  ${}^4F_{9/2}$  excited states. The schematic energy diagram presented in Figure 7 describes the proposed energy transfer



**Figure 7.** Schematic energy levels diagram for  $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$  ion-doped samples.

processes occurring in the obtained materials. The  ${}^4H_{9/2} \rightarrow {}^4I_{15/2}$  transition was recorded only for four obtained samples, but, unfortunately, the determined slope values were much lower than the theoretical ones. It is caused by nonradiative deactivation processes, such as cross-relaxation between  $\text{Er}^{3+}$  ions, and the saturation effect,<sup>96–98</sup> which appear at high laser energies. For  $\text{Ba}_2\text{GdF}_7$ ,  $\text{Ba}_2\text{YF}_7$ , and  $\text{Ca}_2\text{GdF}_7$ -based materials, the calculated slope values are close to two for the  ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$ ,  ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$ , and  ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$  transitions (preceded by two-photon excitation).<sup>51</sup> Interestingly, for the  $\text{Ba}_2\text{YF}_7:\text{Yb}^{3+},\text{Er}^{3+}$  sample, the  ${}^4S_{3/2}$  excited state can also be

achieved by three-photon absorption followed by relaxation from higher excited states.

On the basis of the obtained values of the slopes, which are greater than 1, it can be concluded that for the other matrices a two-photon process also occurred to excite  $\text{Er}^{3+}$  ions into their  ${}^2H_{11/2}$ ,  ${}^4S_{3/2}$ , and  ${}^4F_{9/2}$  levels. For the  $\text{SrLaF}_5$  sample, only two transitions were measured:  ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$  and  ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$ , for which the slope values were 1.22 and 0.75. This confirmed the occurrence of highly quenching processes in this sample and explained the very low emission.

Figure 7 shows the UC mechanism occurring in the obtained alkaline rare-earth fluoride matrices doped with  $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$  ions. In these materials, the  $\text{Yb}^{3+}$  ions acted as sensitizers because of their simple energy level structure and high absorption coefficient in the NIR region. In turn,  $\text{Er}^{3+}$  ions acted as energy acceptors and luminescence activators.  $\text{Yb}^{3+}$  ions can be excited by radiation with a wavelength of around 976 nm, which provides a transition from the  ${}^2F_{7/2}$  ground state to the  ${}^2F_{5/2}$  excitation state, having similar energy to the  ${}^4I_{11/2}$  excited state of  $\text{Er}^{3+}$  ions.<sup>53</sup> Therefore, energy can be relatively easily transferred to the neighboring  $\text{Er}^{3+}$  ions, raising them to the  ${}^4I_{11/2}$  excited state level or, owing to the relaxation processes, to the  ${}^4I_{13/2}$  excited state. Depending on the energy of  $\text{Er}^{3+}$  ions, two or three photons are required to observe green emission from the  ${}^2H_{11/2}$  and  ${}^4S_{3/2}$  levels.  $\text{Er}^{3+}$  ions, in their  ${}^4I_{11/2}$  excited state, can absorb the second photon from  $\text{Yb}^{3+}$  ions, which promotes them to the  ${}^2H_{11/2}$  excited state. Furthermore, neighboring  $\text{Er}^{3+}$  ions may act as sensitizers, transferring their energy to the  $\text{Er}^{3+}$  emitter.<sup>97</sup> From the  ${}^2H_{11/2}$  excited state, green emission may occur, or relaxation to the  ${}^4S_{3/2}$  excited state and finally green emission ( ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$  transition).

Excitation into the  ${}^4F_{9/2}$  level of  $\text{Er}^{3+}$  ions may be realized in several different ways. The first possible pathway is a simple absorption of two photons as a result of energy transfer from  $\text{Yb}^{3+}$  ions, forming  $\text{Er}^{3+}$  ions in their  ${}^2H_{11/2}$  excited state. Then, relaxation to the  ${}^4F_{9/2}$  state takes place followed by red emission. However, at this point it needs to be mentioned that the proposed pathway is not always realized. Other mechanisms may also be proposed to explain the origin of the red emission, including cross-relaxation between  $\text{Er}^{3+}$  ions, which depends on the  $\text{Er}^{3+}$  concentration.<sup>6</sup> Another possible pathway requires absorption of a photon from an excited  $\text{Yb}^{3+}$  ion to the  ${}^4I_{11/2}$  level of the  $\text{Er}^{3+}$  ion, then relaxation to the  ${}^4I_{13/2}$  state, from which the second absorbed photon results in the  $\text{Er}^{3+}$  ion in its  ${}^4F_{9/2}$  excited state. Then the emission of red light can be observed.

Another explanation of the origin of the red emission and, in general, the UC mechanism at low  $\text{Er}^{3+}$  concentrations has been given by Berry et al.<sup>6</sup> In the proposed mechanism, they proved that red ( ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$ ) UC is preceded by a three-photon excitation process to  ${}^4G/{}^2K$  states through the green emitting  ${}^4S_{3/2}$  and  ${}^2H_{11/2}$  states. Multiphonon relaxation from the  $\text{Er}^{3+}$  higher-excited states may lead to the blue-emitting state,  ${}^2H_{9/2}$ , or by back energy transfer to  $\text{Yb}^{3+}$  ions to red emitting  ${}^4F_{9/2}$ .<sup>6</sup> The long rise and decay time of the  ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$  transition are explained by the fact that the  ${}^4S_{3/2}$  and  ${}^2H_{11/2}$  states are the initial states for the energy transfer from  $\text{Yb}^{3+}$  ions, leading to excitation of the  $\text{Er}^{3+}$  ions to their  ${}^4G/{}^2K$  states.<sup>6</sup>

The  ${}^2H_{9/2} \rightarrow {}^4I_{15/2}$  transition must be preceded by three-photon absorption. The third photon may be absorbed by  $\text{Er}^{3+}$

ions in their  $^4F_{9/2}$  excited state achieved by two pathways mentioned above. However, absorption from the  $^2H_{11/2}$  excited state is also possible, as mentioned above. Both possible mechanisms lead to the emission of blue light.

## CONCLUSIONS

Alkaline,  $M^{2+}$ , and rare earth,  $RE^{3+}$ , fluorides as well as their  $Yb^{3+}/Er^{3+}$ -doped counterparts were synthesized by the hydrothermal method. Three different  $M^{2+}$  ions,  $Ca^{2+}$ ,  $Sr^{2+}$  and  $Ba^{2+}$ , and four  $RE^{3+}$  ions,  $Y^{3+}$ ,  $La^{3+}$ ,  $Gd^{3+}$ , and  $Lu^{3+}$ , were used in order to obtain upconverting materials. The synthesized compounds crystallized with  $M_{0.67}RE_{0.33}F_{2.33}$  composition in a cubic crystal cell. The mechanism of material formation was studied, indicating the influence of the stability of the metal ion EDTA complexes on the final product composition. The tendency to getting lower than expected concentrations of  $RE^{3+}$  metal ions forming complexes with EDTA of high stability was observed. Comparison of the XRD patterns to the references confirmed the formation of nanocrystals of cubic structure. Nanocrystal sizes determined from XRD patterns were in the range of 10–21 nm. Similar results were obtained by analyzing TEM images, which revealed sizes in the range of 9–37 nm.

All of the  $Yb^{3+}$ - and  $Er^{3+}$ -doped materials exhibited UC phenomenon. The most intense emission under 976 nm excitation was observed for  $Sr_2LuF_7:Yb^{3+},Er^{3+}$ ,  $Ba_2LuF_7:Yb^{3+},Er^{3+}$ , and  $Ba_2GdF_7:Yb^{3+},Er^{3+}$  samples. Luminescence lifetimes were in the range of 7.5–521  $\mu s$ , depending on the sample, and indicating the complexity of the obtained systems and energy processes occurred. Energy transfer between  $Yb^{3+}$  and  $Er^{3+}$  ions was also analyzed by measuring the dependence of the integral luminescence intensity on the excitation laser energy. Analysis of experimental data revealed the occurrence of the two- and three-photon processes, preceding the observed upconversion luminescence. In addition, the presence of quenching phenomena was noticed.

The UCQY measured for the two best emitting samples  $Sr_2LuF_7:Yb^{3+},Er^{3+}$ ,  $Ba_2LuF_7:Yb^{3+},Er^{3+}$  ( $0.0192 \pm 0.001\%$  and  $0.0176 \pm 0.001\%$  respectively) prove good properties of used fluoride compounds as hosts for upconverting  $Yb^{3+}$  and  $Er^{3+}$  ions. The obtained values were similar to those reported for  $NaYF_4$  and  $NaGdF_4$ , known as the best hosts for UC applications.<sup>36,44,67,99,100</sup> This result proves the effectiveness of the hydrothermal method which is also easier and more ecofriendly than the thermal decomposition method, as the synthesis conditions do not require inert atmosphere or a vacuum as well as organic solvents. The hydrothermal method may be considered as a good alternative and complementary method for the synthesis of UCNPs.

This study has also shown the importance of such factors as the stability of the precursors in the hydrothermal conditions and the difference between the ionic radii of metal ions forming the host compound, on the spectroscopic properties of the final product. In addition, the necessity of composition determination by a highly accurate technique (ICP-OES) was emphasized. From the series of studied materials, the most promising for further research and applications are  $Sr_2LuF_7:Yb^{3+},Er^{3+}$  and  $Ba_2LuF_7:Yb^{3+},Er^{3+}$ . They can be obtained in hydrothermal conditions as nanomaterials with minimal risk of lower than expected concentrations of  $Yb^{3+}$  and  $Er^{3+}$  dopant ions.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.inorgchem.8b00484.

Table S1: Solubility of metal ions fluorides and stability constants of  $M^{2+}$  and  $RE^{3+}$  complexes with EDTA; Table S2: Upconversion quantum yields of noncore/shell nanoparticles with similar size to the described in the article products; Figure S1: Schematic representation of system setup used to measure upconversion quantum yields; Figure S2: Integral emission intensities of the red and the green bands, and the ratio between them calculated for the obtained samples; Figure S3: Upconversion luminescence decays; Figure S4: Comparison of upconversion luminescence dependencies on laser energy) (PDF)

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### Notes

The authors declare no competing financial interest.

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