



Synthesis and Characterization of $K_{8-x}(H_2)_{\nu}Si_{46}$

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A hydrogen-containing inorganic clathrate with the nominal composition, $K_7(H_2)_3Si_{46}$, has been prepared in 98% yield by the reaction of K_4Si_4 with NH₄Br. Rietveld refinement of the powder X-ray diffraction data is consistent with the clathrate type I structure. Elemental analysis and ¹H MAS NMR confirmed the presence of hydrogen in this material. Type I clathrate structure is built up from a Si framework with two types of cages where the guest species, in this case K and H₂, can reside: a large cage composed of 24 Si, in which the guest resides in the 6*d* position, and a smaller one composed of 20 Si, in which the guest occupies the 2*a* position (cubic space group $Pm\bar{3}n$). Potassium occupancy was examined using spherical aberration (Cs) corrected scanning transmission electron microscopy (STEM). The high-angle annular dark-field (HAADF) STEM experimental and simulated images indicated that the K is deficient in both the 2*a* and the 6*d* sites. ¹H and ²⁹Si MAS NMR are consistent with the presence of H₂ in a restricted environment and the clathrate I structure, respectively. FTIR and ²⁹Si $\{^1H\}$ CP MAS NMR results show no evidence for a Si—H bond, suggesting that hydrogen is present as H₂ in interstitial sites. Thermal gravimetry (TG) mass spectrometry (MS) provide additional confirmation of H₂ with hydrogen loss at ~ 400 °C.

Introduction

Clathrates constitute a unique class of inclusion compounds with a rich crystal chemistry that allows for a variety of physical properties such as thermoelectricity, superconductivity, and hydrogen storage. ^{1–3} The crystal structure of clathrates consists of three-dimensional host frameworks that encapsulate different guest molecules. ^{4,5} The framework is formed of polyhedra with pentagonal and/or hexagonal faces. The variation in these structures arises from the type of polyhedra that host atoms form and the polyhedra connectivity. ^{4–6} Among the numerous structure types of the inorganic clathrate compounds, type I and type II clathrates

have been very extensively investigated. Most of type I clathrates, M_8T_{46} , crystallize in $Pm\overline{3}n$, cubic cell consisting of two dodecahedra (T_{20} cage) and six tetrakaidecahedra (T_{24} cage) encapsulating guests (M) at 2a and 6d sites. Type II clathrates, $M_{24}T_{136}$, have a cubic cell, $Fd\overline{3}m$, made of pentagonal dodecahedra (T_{20}) and hexakaidecahedra (T_{28}) while the 24 guest atoms M, occupy 8b and 16c positions. An illustration of the different clathrate cages in Type I is presented in Figure 1. The 6d site is shaded.

Inorganic clathrates (type I and II) in which group 14 elements make up the framework structure and alkali metals reside in the guest sites have been studied for their possible thermoelectric applications.^{2,3,5,8} The type II clathrate hydrates in which H₂O molecules comprise the framework structure with H₂ molecules as the guest species have been of interest for hydrogen storage applications.^{1,9} We have reported the synthesis of a new type-I Si clathrate,

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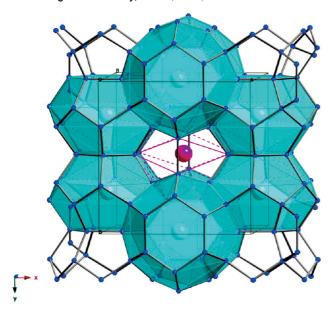


Figure 1. View of the clathrate I structure type down the c axis. The framework atoms are silicon, and the shaded polyhedra are centered by K atoms of the same color in the 6d site. The K atom in the 2a site is indicated by red, and the red lines provide the outline of the corresponding polyhedra (the K atoms at the corners of the unit cell have been omitted for clarity).

 $Na_{5.5}(H_2)_{2.15}Si_{46}$, that encapsulates hydrogen at ambient temperature and pressure from the reaction of Na_4Si_4 with NH₄Br. ¹⁰ A clear advantage of inorganic clathrate compounds such as Na_{5.5}(H₂)_{2.15}Si₄₆ over their hydrate counterparts is their stability at ambient atmosphere.

Semiconducting clathrate materials are typically synthesized by thermal decomposition of their parent Zintl salts^{3,5,7,11,12} or from elements with the use of high pressure techniques.¹³ Recently, clathrate formation has been reported by reacting Na₄Si₄ with HCl. ¹⁴ NH₄X salts provide a convenient acid/oxidation reagent for metal silicides. ^{10,15,16} To further explore the ammonium halide as a reagent in the low temperature synthesis of clathrate phases, we have prepared the K-containing Si clathrate. Herein we report the synthesis and characterization of H₂ encapsulated silicon type I clathrate: $K_{8-x}(H_2)_y$ Si₄₆.

Experimental Section

1. Synthesis. All manipulations for the synthesis of the potassium silicon clathrate were performed in a N₂ filled glovebox or vacuum/inert atmosphere Schlenk line. Si (99.999% Aldrich) and K (lump 99% Aldrich) were used as received. K₄Si₄ was prepared from K and Si. A 1:1 mixture of the two was welded in a niobium tube, and the niobium tube was sealed in a quartz jacket under a partial argon pressure. The quartz tube was then placed in a furnace and heated with 1 °C/min to 650 °C

and dwelled there for 3-4 days. The purity of the obtained K₄Si₄ material was confirmed by powder X-ray diffraction. NH₄Br (99.99% Aldrich) was dried under dynamic vacuum at 100 °C for 14 h prior to usage. The synthesis of the potassium silicon clathrate was achieved by the solid state reaction between the Zintl salt, K₄Si₄, and NH₄Br. The optimized reaction consisted of 0.25:0.8 molar ratio, 0.755 g of K₄Si₄ and 0.8825 g of NH₄Br, ground in an agate mortar in a nitrogen filled glovebox and the resulting powder pressed into pellets. The pellets were loaded in a 20 mm long alumina boat and placed in a closed end glass tube with adaptor. The glass tube dimensions must be carefully considered since both ammonia and hydrogen gases are generated in this reaction. The tube was 14.6" long with an internal diameter of 3/4" mm, and the adapter provided an additional 1.5" in length. The tube was connected to a Schlenk line and left under vacuum for 30 min. The tube was then inserted in a furnace preheated at 300 °C with the sample positioned in the center of the furnace and the adapter outside of the furnace. The reaction vessel dwelled at 300 °C for 13 h and then was removed from the furnace and air-cooled to room temperature (RT).

In all reactions, the solid product was determined to be KBr, mainly by X-ray powder diffraction. The salt byproduct is removed by washing first with ethanol and then with warm HPLC grade water. The resulting dark gray powder was dried under flowing argon at 80-100 °C overnight.

Caution! Traces of K_4Si_4 spontaneously ignite in air; the products present in the tube have to cool down at RT under vacuum or argon. After the tube is cold it should be disconnected from the Schlenk and allowed to oxidize in air. Only after that the alumina boat should be removed from the glass tube, the products ground into a powder and ethanol addition done slowly. If the product ignites, then the heat from this reaction is sufficient to convert some of the potassium clathrate into silicon.

2. Characterization. Elemental Analysis. Elemental analysis was performed on three different reaction batches by Desert Analytics Laboratories. 17 HF dissolution was requested for the third batch. Elemental analysis results for three batches were as follows.

batch	Н	N	Br	K	Si
1	0.4%		1.9%	10%	62.6%
2	0.21%		1.7%	10%	73%
3	0.12%	0.27%	0.1%	14.2%	71%

The variability in composition from sample to sample suggests that the amount of hydrogen may be difficult to control. The total composition is less than 100%, and this is attributed to surface oxidation of the sample, as observed in the TEM, ²⁹Si NMR (described below), and FTIR (Supporting Information). If the missing mass is attributed to oxygen and the corresponding Si for SiO₂ is removed, along with assuming that Br is present because of KBr that was not completely removed and the corresponding K was removed, then we arrive at the following K/Si/H ratios: 7.38:46:12.73, 5.07:46:4.5, and 8.0:46:2.63. Averaging these leads to the formula $K_{6.82}(H_2)_{3.3}Si_{46}$. It is possible that the surface oxidation prevents complete dissolution of the sample. It is highly likely that small changes in pressure, temperature, and time provide slightly different average compositions. Therefore, this phase will be referred to as having the general formula $K_{8-x}(H_2)_{\nu}Si_{46}$ throughout the manuscript. The microscopy was performed on samples 1 and 2. The solid state NMR, FTIR, and X-ray powder diffraction results were reproduced on all samples. The synchrotron and the TG/DSC/MS data were from sample 3.

EDX/STEM HAADF. Approximately 0.1 g of the synthesized powder has been sonicated for 30 min in n-butanol and spread over a gold-mesh grid covered by a thin perforated

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carbon film. The grid was heated under dynamic vacuum at 200 °C overnight. Selected area electron diffraction (SAED) and energy dispersive X-ray spectroscopy (EDX) were performed under a JEOL JEM-2500SE electron microscope operated at 200 kV. The sample was also examined using a spherical aberration (Cs) corrected VG HB501 scanning transmission electron microscope (STEM) operated at 100 kV. High-angle annular dark-field (HAADF) images were taken with the convergence semiangle of 20 mrad and collection semiangle of 70–210 mrad, for an estimated probe size of 1.0 Å, sufficient to resolve the 6d and 2a sites of the clathrate in projection. 18 The STEM image simulations were performed using Kirkland's code. 19

Solid State NMR. All Solid-state NMR experiments were performed on a Bruker Avance 500 spectrometer equipped with an 11.75 T magnet. The samples were loaded in Bruker zirconia rotors with kel-F caps in a glovebox filled with dry flowing nitrogen, and TMS was used as the external chemical shift reference. For ¹H MAS NMR, a Bruker 4 mm CP-MAS probe was used with a MAS rate of 15 kHz. A Hahn-echo pulse sequence (90-t-180-acquisition) was used to suppress the signal from the probe background; the 90 degree pulse width was 2.5 μ s; the interpulse delay time (t) was synchronized with rotor time; the spectrum width was 500 kHz; the relaxation delay was 5 s; a total of 2000 transients was averaged; a block size of 8192 was used and zero-filled to 16384 with 1 Hz line broadening.

For ²⁹Si MAS NMR the sample was loaded in a 4 mm zirconia rotor and a 4 mm Bruker MAS probe was used with a spinning rate of 10 kHz. A Hahn-echo pulse sequence was utilized; the 90 degree pulse length was 2.7 μ s, the interpulse delay was synchronized with the rotor time; the spectrum width was 500 kHz; the recycle delay was 300 s; a total of 2006 transients were averaged; the data were processed with 2000 Hz line broadening. For ²⁹Si{¹H} CP MAS NMR experiment, the contact time was 1 ms, the recycle delay time was 5 s, the spectrum width was 250 kHz, the total number of scan was 62206.

FTIR. FTIR data were obtained using a Shimadzu IR Prestige 21 equipped with a diffusive reflectance accessory. The powders were mixed with the KBr using a 1:100 molar ratio dilution of the sample in the KBr matrix. The samples were mixed with KBr in a nitrogen-filled drybox. The FTIR measurements were performed in air.

Powder X-ray Diffraction Data. The powder X-ray diffraction patterns were obtained on a PAD V Scintag instrument (Cu $K\alpha$ radiation $\lambda = 1.5418$ Å) equipped with a graphite monochromator. Data were collected in a step scan mode between 20° and $100^{\circ} 2\theta$, with a step size of 0.02° . Structural refinement was done by the Rietveld method with the GSAS package of programs.²⁰ The refined parameters include background, peak shape, cell, atom positions, scale factor, atomic displacement parameters and occupancies. The R-factor (R_p) , the weighted R-factor (w R_p), and the goodness of fit, χ^2 , are defined as follows: $R_p = \sum [y_{io} - y_{ic}]/\sum y_{io}$, $wR_p = [\sum w_i(y_{io} - y_{ic})^2/\sum w_i(y_{io})^2]^{1/2}$, and $\chi^2 = [wR_p/R_{exp}]^2$, where $R_{exp} = [(N - P)/\sum w_iy_{io}]^{1/2}$ and y_{io} and y_{ic} are the observed and the calculated intensities, w_i is the weighting factor, N is the total number of observed intensities when the background is refined, and P is the number of adjusted parameters.

High-resolution powder X-ray diffraction experiments were performed at Stanford Synchrotron Radiation Lightsource (SSRL) on Beamline 2-1. Data were collected in reflection geometry using X-ray energy of 13.5 keV. A Ta-doped Si(111) crystal analyzer was used to define the diffraction beam. Data were collected between 10 and 42 deg 2θ with a step size

of 0.002 deg. The peak profile was chosen to be pseudo-Voigt (Howard asymmetry), and the background was fit to a fifthorder polynomial. Atomic site occupancy and atomic displacement parameters were modeled either by allowing parameters to refine free of manipulation or by setting the model according to the results provided by STEM. The refinement results are provided as Supporting Information.

Differential Scanning Calorimetry (DSC)/Thermogravimetric Analysis (TGA)/Mass Spectrometry (TG/MS). TGA/DSC were performed on a TGA/DSC STA 409 Netzsch instrument by heating the sample under argon. The 409 Netzsch TGA/DSC instrument was coupled with an Aelos QMS 300 MS to identify the evolved gases. The MS utilizes a standard electron impact ionization detector. The transfer line between the TGA/DSC and MS was maintained at 120 °C for the duration of the experiment. The sample was brought under inert atmosphere and loaded in the TGA/DSC/MS instrument. The data were obtained by heating the samples under Ar gas (10 mL/min) from RT to 650 °C with 20 K/min. These particular experimental conditions are necessary to observe low concentrated elements in a sample, for example, low inert gas flow rate and high heating ramp rate. The MS channels observed were mass 2 (hydrogen), 17 (ammonia), 18 (water), 39 (potassium), 40 (potassium hydride), 81 (hydrobromic acid). Only mass 2 and mass 81 channels provided an observable signal over the temperature range measured. The signal for mass 81 occurred at about 50 °C higher than that for mass 2, centered at ~450 °C, and was 2 orders of magnitude weaker than the mass 2 signal under the same MS experimental conditions.

Results and Discussion

A hydrogen-encapsulated potassium silicon clathrate has been synthesized from the solid state reaction of the Zintl salt, K₄Si₄, and NH₄Br. This reaction is heterogeneous and hence complex as it involves both solid and gaseous phases. As with many reactions in the solid state, this reaction is an example of an entropy driven process pushed forward by the evolution of hydrogen, ammonia, and possibly other volatiles. Moreover, the imposed dynamic vacuum helps remove the gaseous phases and hence greatly enhances the rate of the forward reaction. The reaction with molar ratio K₄Si₄/NH₄Br 0.25:1 at 300 °C produces a mixture of potassium silicon clathrate, crystalline silicon, and potassium bromide. However, when the molar ratio K₄Si₄/NH₄Br is changed to 0.25:0.8, the diamond-structure silicon byproduct is avoided, allowing the formation of pure phase type I potassium silicon clathrate and potassium bromide. It is possible that in the case of the slight deficiency of NH₄Br that some of the potassium leaves as K metal, as the reaction is under dynamic vacuum. A general reaction scheme is presented below:

11.5K₄Si₄ + (38 + x)NH₄Br
$$\rightarrow$$
 K_{8-x}(H₂)_ySi₄₆ + (38 + x)KBr + (38 + x)NH₃ + (19 - y + x/2)H₂ (1)

Elemental analysis supports the reaction (1) pathway indicating that hydrogen is present in all samples, and the general formula $K_{8-x}(H_2)_{\nu}Si_{46}$ will be used throughout. In the elemental analysis data sets a small amount of Br was detected. Silicon clathrates encapsulating Cl, Br, and I have been prepared;^{5,21,22} however, the small amount of Br

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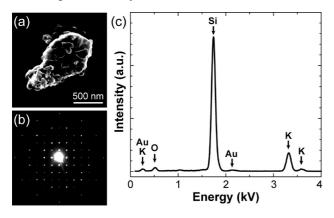


Figure 2. (a) Secondary electron image of a $K_{8-x}(H_2)_ySi_{46}$ clathrate particle. (b) SAED pattern taken along the [100] direction. (c) EDX spectrum of a $K_{8-x}(H_2)_ySi_{46}$ clathrate particle.

observed in the elemental analysis suggests that the Br is probably a result of KBr that was not completely removed by washing, and the quantity is below the detection limit of X-ray powder diffraction. The total weights were consistently lower than 100%, with the highest totals for the elemental analysis observed when HF was employed to dissolve the solid. This suggests that there is an oxide coating on the surface of the powder. These inferences concerning the identity of the Br and the presence of a thin oxide surface are consistent with the microscopy, NMR, and FTIR results, as described below.

Figures 2(a) and 2(b) show a secondary electron image and a SAED pattern of a typical clathrate particle obtained from reaction 1. The SAED patterns observed for the majority of particles examined corresponded to the type I clathrate structure, although an electron diffraction pattern consistent with an amorphous structure was observed for a small number of particles. Figure 2(c) shows an EDX spectrum obtained from the clathrate particle shown in Figure 2(a). No difference was observed between the spectra obtained for shorter and longer acquisition times, indicating that the electron beam did not damage the sample during data acquisition. Only peaks corresponding to Si, K, O, and Au are observed. The Au signature peaks are attributed to the gold-mesh grid supporting the particles. The O peak can be attributed to a thin amorphous layer covering the clathrate particles because a higher O concentration was obtained at a very thin area of the particle. The K content obtained from EDX quantification for eight different particles is 5.5 ± 0.3 per formula unit, when the Si sites are assumed to be fully occupied (K/Si = 5.5:46). Further characterization was carried out for this material by HAADF-STEM to determine the K occupancies in the 2a and 6d sites.

Figure 3 shows raw and low-pass filtered HAADF-STEM images of the potassium silicon clathrate taken along the [100] direction. Since the guest atoms are considered to be weakly bonded to the cage framework, 5 we anticipated the K guest atoms would be easily damaged by the intense electron probe in the aberration corrected STEM. However, contrary to our expectations, the compound was quite stable, and no beam damage was observed. The ring pattern and the center of the ring correspond to the Si₂₄ cage and the 6*d* sites, respectively (the Si₂₄ cage with a guest atom is overlaid in Figure 3(b)).

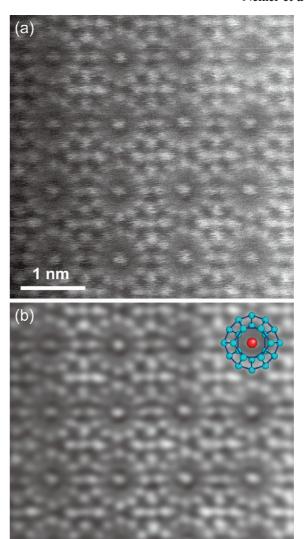


Figure 3. (a) Raw and (b) low-pass filtered HAADF-STEM images of the $K_{8-x}(H_2)_vSi_{46}$ clathrate compound taken along the [100] direction.

Figure 4(a) shows an experimental image averaged by using 2dx software and an intensity profile along the yellow line in the image. ²³ The image size is one and a half unit cells to allow both the 2a and 6d sites to be visible in a single image. An intensity peak is observed at the 6d site, whereas a dip is seen at the 2a site. Figures 4(b)–(e) show calculated images and intensity profiles with different K occupancies in the 2a and 6d sites. The intensities at the 2a and 6d sites decrease with the decreasing K occupancies: the intensity of HAADF-STEM images is indeed proportional to the n^{th} power Z^n (n = 1.5–2) of the average atomic number Z of the material being observed, and they are therefore often referred to as Z-contrast images. ²⁴,25 The best way to estimate occupancies from STEM-HAADF is to compare the integrated intensities at the sites. The peak width at the 6d site in Figure 4(a) [experiment] is broader than that in Figure 4(d) [6d = 0.8].

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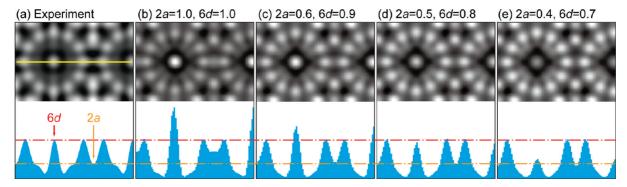


Figure 4. (a) Experimental HAADF-STEM image averaged by using the 2dx software and intensity profile along the yellow line indicated in the image. (b)–(e) Simulated images with different potassium occupancies at the 2a and 6d guest sites and their intensity profiles.

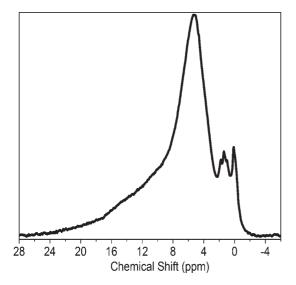


Figure 5. Magic angle spinning ¹H solid state NMR spectrum for $K_{8-x}(H_2)_{\nu}Si_{46}$.

The broadening comes from thermal vibration of atoms and sample vibration during the observation. When integrated intensities were compared, the occupancy is larger: the 6d site is 0.9 and the 2a site is 0.6. Thus, the overall K content obtained with the HAADF-STEM image is approximately 6.6 per unit formula, which is slightly larger than the EDX result (5.5). The K content from HAADF-STEM image provides the analysis for one particle, whereas the EDX is for a larger sample size; however, the errors should be less in the HAADF-STEM image.

¹H MAS NMR spectrum is presented in Figure 5. There are five distinct resonances in the proton NMR spectrum at 5.26 ppm, 1.8 ppm, 1.4 ppm, 0.94 ppm, and 0.05 ppm. The data show that hydrogen is present in this material; however, the structure of the spectrum differs from that reported for Na_{5.5}(H₂)_{2.15}Si₄₆. ¹⁰ In the case of Na_{5.5}(H₂)_{2.15}Si₄₆ clathrate, there were also five distinct resonances in the ¹H NMR spectrum at 4.2 ppm, 3.8 ppm 1.3 ppm, 0.79 ppm and 0.08 ppm, with the largest resonance at 4.2 ppm. 10 The resonance at 4.2 ppm was attributed to H₂ encapsulation in the large Si₂₄ cage, based on evaluation of similar assignments for a type II THF·H₂O clathrate.²⁶ There is a downfield shift of the hydrogen resonance in K₇(H₂)₃Si₄₆ as compared to

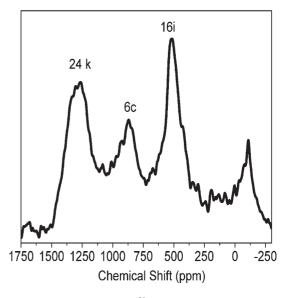


Figure 6. Magic angle spinning ²⁹Si solid state NMR spectrum for $K_{8-x}(H_2)_{\nu}Si_{46}$.

Na_{5.5}(H₂)_{2.15}Si₄₆. The broad resonance observed in Figure 5 at 5.26 ppm is consistent with H₂ that has restricted motion and may be interacting with both cages. The assignment of these peaks to H₂ molecules rather than H covalently bound to Si is consistent with the absence of any Si-H stretches in the FTIR and the lack of a signal in ²⁹Si{¹H} CP MAS NMR. It is possible that the resonance at 5.26 ppm observed in the ¹H NMR is a result of H₂ in both cages in varying amounts, and hence moved to lower fields compared with that observed for the Na_{5.5}(H₂)_{2.15}Si₄₆ ¹H spectrum. This hypothesis would also be consistent with the HAADF-STEM analysis presented herein indicating that both 2a and 6d sites are partially occupied in the H₂ encapsulated potassium clathrate while only the 6d sites are partially occupied in the sodium compound.10

²⁹Si MAS NMR is presented in Figure 6 and shows four resonances at 1274, 886, 509, and -74 ppm; three are attributed to the clathrate framework and one at -74 ppm is attributed to oxide. The chemical shifts for the three resonances are similar to those published for $K_{7.66}Si_{46}$ prepared by vacuum decomposition of the binary silicide, KSi, at elevated temperatures (1384, 940, and 556 ppm), ²⁷ but shifted

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to higher shielding field and broader. In reference 27, it was noted that the resonance at 940 ppm showed a distinct anisotropy and therefore was best assigned to the Si in the 6c site. The higher shielding field and broader resonances for $K_{8-x}(H_2)_{\nu}Si_{46}$ may be attributed to relative K depletion and local disordering in our sample compared with the stoichiometric samples prepared by vacuum decomposition.

Similar to previously published assignments for K_{7,66}Si₄₈, the broad feature at 509 ppm has been assigned to the 16i Si site, and the signals at about 1274 and 886 ppm are for Si in 24k and 6c sites. ²⁷ The broad feature of the signal for Si 24k compared with Si 16i indicates that K 6d sites are more disordered than K 2a. Therefore the composition of the guest species inside this cage is more variable. This result is consistent with the relatively larger displacement parameter in XRD (discussed below) and HAADF-STEM data. Our ²⁹Si MAS NMR spectrum shows different intensities for these resonances compared with reference 27. While there is no detailed information about the previously published NMR experiments, we speculate that the difference could be due to the following reasons: first, the recycle delay might not be long enough; with 300 s recycle delay, it might saturate some signals with long T_1 relaxation times. Second, the Hahn-echo pulse sequence used in our experiment might cause the loss of some broad signals. Although the relative intensities of our NMR data are different than those in the literature, the peak positions are consistent with the published assignments and provide strong evidence about the presence of these cages in clathrate structure.²⁷ The ²⁹Si NMR spectrum is quite different for the potassium clathrate as compared with the sodium clathrate, suggesting the conduction electron density is higher in this Si framework as compared to the Na analogue. 10,27 The Na atoms in the cages of clathrate are considered nearly neutral, with a small amount of electron transfer to the framework, and the 3s electrons cause the strong Knight shift observed for the Si in the framework structure. 28 K has more electrons and therefore is more metallic than sodium; the depleted K atoms in the 6d and 2a cages would cause a stronger Knight shift than the Na analogue. This is consistent with what is observed for the rubidium clathrate where the positions and line widths of the ²⁹Si NMR signals are even more different than the sodium clathrate. ²⁷ Introduction of Ba in sodium clathrate also causes broadening, shifts, and intensity changes in ²⁹Si NMR,²⁹ providing further support for our spectral assign-

The resonance at -74 ppm is very close to -100 ppm which is the normal Si-O resonance, and it may be assigned to oxidation of the surface. ²⁸⁻³⁰ This observation is further supported by our EDX data, in which oxygen was detected; however, amorphous silica has not been observed by X-ray diffraction and thus it is most likely present as a thin oxide coating rather than amorphous SiO₂ (see Figure 7 and synchrotron data in Supporting Information).

²⁹Si{¹H} CP MAS NMR spectrum showed no resonance consistent with the absence of a Si-H bond. The ²⁹Si{¹H} spectrum is presented in the Supporting Information. FTIR

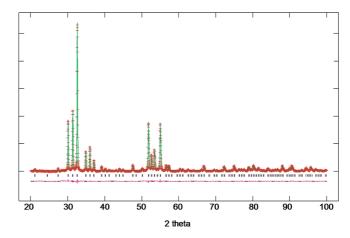


Figure 7. Rietveld profile fit for $K_{8-x}(H_2)_{\nu}Si_{46}$ ($\lambda = 1.5418 \text{ Å}$). Calculated (solid green line), experimental (red crosses) and the difference (lower magenta line). The data were refined for the space group Pm3n.

analysis on the solid sample also indicated that there is no Si-H stretch present, consistent with the proposed encapsulation of H₂ rather than a hydride. The FTIR data are provided in the Supporting Information.

Laboratory and synchrotron X-ray data were collected on $K_{8-x}(H_2)_{\nu}Si_{46}$ obtained in this study. The synchrotron data confirms the clathrate structure and absence of significant amounts of amorphous SiO₂ (Supporting Information). The refined lattice parameter obtained was a = 10.266(2) A. The X-ray powder diffraction data along with the Rietveld refinement are presented in Figure 7. The diffraction peaks were indexed to a cubic cell, space group $Pm\overline{3}n$, a = 10.2932(3) Å, slightly larger than that obtained from the synchrotron data refinement and reported for K_8Si_{46} (a = 10.27518(5) Å), prepared via high temperature decomposition of K₄Si₄.

Atomic site occupancies were modeled according to the occupancy obtained by HAADF-STEM. In this case the refinement did not improve beyond a $\chi^2 \sim 5$, and the difference map indicated discrepancies between the calculated and the observed powder patterns. Three approaches were taken to refine the model. In all these approaches the Si and K 2a sites were fully refined, for example, atomic positions, site occupancies, and displacements. In one approach the atomic displacement of K in the 6d site was fixed to be similar to all the other atoms and the occupancy of the site was refined. This produced an improved fit of the experimental with the observed powder patterns; the occupancy of the 6d site decreases, but the refinement was not stable and did not converge. Moreover, opening the displacement flag for the K 6d site caused the displacement parameter to increase to 3.0, double those for the other atoms. The second approach was to consider an anisotropic displacement on the K 6d site. It is known that anisotropic displacements are not adequate with X-ray powder diffraction data,³¹ however; because this has been employed with K₈Si₄₆, a similar approach was explored here. It was found that the displacement for this site did not improve beyond $U_{11} = 2.2$ and $U_{22} = U_{33} = 3.4$, while $U_{12} = U_{13} = U_{23} = 0$. A third approach was to move K from the center of the Si₂₄ cage, the 6d site, to an off-center position in the 24k site (0.24, 0.51, 0). This has been proposed before by Tse to model the changes in

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Table 1. Crystallographic Refinement Data for the $K_{8-x}(H_2)_{\nu}Si_{46}$ Clathrate Phase, Space Group $Pm\overline{3}n^{\alpha}$

atom	Wyckoff	X	у	z	occupancy	100*U _{iso} (Å)
K	2a	0	0	0	0.834(6)	1.6(0)
K	6d	0.25	0.5	0	0.965(4)	3.0(6)
Si	24k	0	0.3067(8)	0.1183(8)	1	1.5(3)
Si	16i	0.1842(6)	0.1842(6)	0.1842(6)	1	1.4(1)
Si	6c	0.25	0	0.5	1	1.3(2)

^a Lab X-ray source, 8.75 keV. $a = 10.2932(3) \text{ Å}, R_p = 3.34\%, R_{wp} =$ $4.49\%, \chi^2 = 2.933.$

the K₈Si₄₆ crystal structure at high pressures.³² In doing so, the isotropic displacement improved, 2.0, and the occupancy was 0.25 for the K in a 24k site, which gave the overall composition $K_{7.6}Si_{46}$. The refinement presented in Figure 7 and the cell parameter and atomic positions presented in Table 1 are provided for the model where all sites refine freely, and the occupancies for the K were allowed to vary while those of Si were fixed. This corresponds to the stoichiometry, K_{7.5}Si₄₆, consistent with the refinement of the structure of potassium silicon clathrate prepared by other methods.' It is known that the occupancy, displacement, and the scale factor are highly correlated, and can be very difficult to refine with X-ray powder diffraction data.³¹

Theoretical calculations for an empty Si₄₆ framework indicate that the minimum energy configuration is obtained for $a = 10.355 \text{ Å},^{33}$ and $K_{7.6}Si_{46}$ prepared by vacuum decomposition of K₄Si₄ has a smaller lattice parameter of 10.2751 Å. 12 The samples presented herein show lattice parameters slightly larger to slightly smaller than that reported for K_{7.6}Si₄₆. This suggests that encapsulation with K, or any other guest provides some interaction with the framework. There seems to be variation in exact stoichiometry in the sample. It is possible that while all the sample is type I clathrate, there is inhomogeneity that leads to different lattice constants. The K atom, refined in the 6d site, had a large displacement parameter, almost double the other atoms in the structure. This large displacement parameter could indicate significant variability in composition for this site. If H₂ shares this site, this may also contribute to the large displacement parameter. These factors make it difficult, without very high resolution data, to obtain accurate occupancy for the K on the 6d site by refinement of X-ray powder diffraction data.

While elemental analysis, EDX, and HAADF-STEM suggest a lower K content than the X-ray refinement where the average K occupancy results in a stoichiometry closer to 7.5, although the larger lattice parameter and displacement parameter for K in the 6d site may indicate that the powder X-ray data is not of high enough quality to provide a definitive model of the guest site and an accurate stoichiometry. One cause of the discrepancy observed between the elemental analysis, EDX, and HAADF-STEM on one hand and the X-ray data on the other is the respective sample sizes used by these techniques. While the elemental analysis and microscopy used 10-20 mg, our X-ray experiment used 0.5-1 g of the same sample and therefore should provide a more accurate average for the sample. Moreover, the STEM

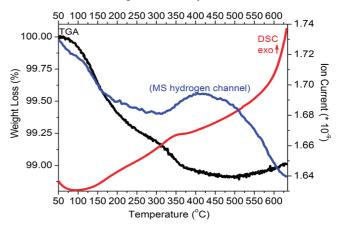


Figure 8. TGA/DSC/MS data for $K_{8-x}(H_2)_{\nu}Si_{46}$. Traces are as indicated on the figure. TG curve is black, DSC curve is red, and hydrogen MS signal is shown in blue.

results indicate that the sample is not homogeneous, that is, K content not only varies from one unit cell to another, but it is possible that some parts of the sample are K-rich while others are K-deficient. As STEM is not dependent upon the average. this provides a local composition and structure information. This variability is probably induced through the processing, as H₂ may be encapsulated as a gas and K is removed from the silicide as the salt, KBr, with concomitant clathrate formation. X-ray diffraction not only analyzes a larger sample size but also provides the average K and Si occupancies. Chemical analysis for the three samples measured also suggests variability in stoichiometry, and further efforts at controlling pressure may result in a more well-defined sample composition.

The TGA/DSC/MS data are presented in Figure 8. The TGA data show a weight loss of $\sim 1.1\%$ that occurs above 100 °C; the DSC data show a very broad exotherm at ~350 °C, and a broad and weak MS signal, hydrogen channel, at ~400 °C. K or KH are not observed in the MS, in agreement with the reported high thermal stability of potassium type I silicon clathrate. The sample did not decompose during heating under these conditions. Potassium clathrate, K₈Si₄₆, is reported to be stable at higher temperatures than its sodium analogue.³² It has been experimentally seen by us and others that sodium type I clathrate decomposes to diamondstructured silicon upon heating at 20 K/min to 650 °C, potassium type I clathrate maintains its cage-like structure under the same experimental conditions. An X-ray diffraction pattern of samples after the above-mentioned heat treatment shows crystalline Si in the case of sodium clathrate and leaves the potassium silicon clathrate almost unchanged.

Summary

The presence of hydrogen is provided by elemental analysis, ¹H MAS NMR, and TG/MS. FTIR and ²⁹Si{¹H} CP MAS NMR show no evidence for Si-H bonds, suggesting that the hydrogen is molecular in nature, and not bonded to the silicon framework. These results are consistent with a model of H₂ entrapped in the guest site. HAADF-STEM provides detailed structural information as to the framework structure and guest site occupancy suggesting that the solid is inhomogeneous and that at least some of the clathrate is deficient in K. This model is further supported by ²⁹Si MAS NMR. Rietveld refinement of the X-ray diffraction data provides an averaged structure where K in the 6d site has a

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doubled displacement parameter with respect to the other atoms in the refinement, suggesting variability in composition for the hydrogen encapsulation clathrate, K_{8-x} - $(H_2)_ySi_{46}$. Further investigation of the synthesis with ammonium salt along with *in situ* measurements would provide insight into the formation of this hydrogen encapsulated inorganic clathrate.

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Supporting Information Available: Spectra of the ²⁹Si{¹H} CP MAS NMR and FTIR, Rietveld refinement of the synchrotron data. This material is available free of charge via the Internet at http://pubs.acs.org.