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Citation: *The Journal of Chemical Physics* **92**, 6492 (1990); doi: 10.1063/1.458594

View online: <http://dx.doi.org/10.1063/1.458594>

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# The dissociative recombination rate coefficients of $\text{H}_3^+$ , $\text{HN}_2^+$ , and $\text{HCO}^+$

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(Received 24 August 1989; accepted 23 February 1990)

The dissociative recombination rate coefficients for  $\text{H}_3^+$ ,  $\text{HN}_2^+$ , and  $\text{HCO}^+$  are determined at 110, 210, and 273 K by monitoring the decay of the infrared absorption signals as a function of time. The rate coefficients are 1.8, 7.0, and 3.1 in units of  $10^{-7} \text{ cm}^3 \text{ s}^{-1}$  for  $\text{H}_3^+$ ,  $\text{HN}_2^+$ , and  $\text{HCO}^+$ , respectively, at 273 K. These values agree very well with those obtained using the stationary afterglow or the merged beam techniques, but the values for  $\text{H}_3^+$  disagree with that obtained by Smith and co-workers ( $< 2 \times 10^{-8} \text{ cm}^3 \text{ s}^{-1}$ ) using the flowing afterglow/Langmuir probe method. The rate coefficients for  $\text{H}_3^+$  and  $\text{HCO}^+$  disagree with theory which has predicted very slow dissociative recombinations in the lower vibrational states. The temperature dependences obtained here, although the temperature range is rather limited, are consistent with those obtained previously using the stationary afterglow (for  $\text{H}_3^+$  and  $\text{HCO}^+$ ) and the merged beam (for  $\text{HN}_2^+$ ) techniques. The measurements are extended to several vibration-rotation levels and no significant rotation dependence of the rate coefficients is observed. It has also been found that the ions investigated here can be equally abundant at ice temperature as at liquid nitrogen temperature.

## I. INTRODUCTION

According to recent work of Adams, Smith, and Alge,<sup>1,2</sup> the dissociative recombination rate coefficient of  $\text{H}_3^+$  with electrons is much smaller than values obtained previously. These authors employed the flowing afterglow/Langmuir probe (FALP) technique and obtained a rate coefficient of  $< 2 \times 10^{-8} \text{ cm}^3 \text{ s}^{-1}$ . Later they<sup>3</sup> revised the value to be even smaller, less than  $10^{-11} \text{ cm}^3 \text{ s}^{-1}$ . The dissociative recombination rate coefficients of most positive molecular ions with electrons are known to be of the order of  $10^{-6}$ – $10^{-7} \text{ cm}^3 \text{ s}^{-1}$ . As  $\text{H}_3^+$  plays a key role in laboratory discharge plasmas, in planetary atmospheres, and in interstellar space, its dissociative recombination rate coefficient has been measured repeatedly using various techniques. Leu, Biondi, and Johnsen<sup>4</sup> determined the rate coefficient to be  $2.3 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$  at 300 K by using the stationary afterglow (SA) method. More recently, Macdonald, Biondi, and Johnsen<sup>5</sup> obtained a smaller value  $1.7 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$  at 300 K using a similar microwave afterglow technique. The recombination cross sections were also measured using several other techniques such as the inclined beam,<sup>6</sup> the merged beam (MB),<sup>7,8</sup> and the ion-trap<sup>9</sup> techniques. The cross sections depend on the center-of-mass electron energy or the electron temperature, showing approximately an  $E_e^{-1}$  dependence, where  $E_e$  is the electron energy. The value obtained with the stationary afterglow method is interpreted to be a thermal rate coefficient which is obtained under the condition of  $T_e \approx T_i \approx T_{\text{gas}}$ , whereas the cross sections obtained with the merged beam technique are mostly nonthermal, where  $T_e \gg T_i \approx T_{\text{gas}}$ . All the cross sections and the rate coefficients obtained by the various techniques referred to above were reasonably consistent after correcting for the different electron energy and the different electron temperature, except for the more recent value obtained by Smith and his co-workers.<sup>1-3</sup>

They ascribed the previous larger values of the rate coefficients to vibrationally excited  $\text{H}_3^+$ , referring to a theoretic

cal calculation by Michels and Hobbs<sup>10</sup> who showed that  $\text{H}_3^+$  in  $v < 2$  states (without specifying the vibrational mode) should have negligibly small dissociative recombination rate coefficients due to the absence of the crossings of the dissociative potential surfaces of  $\text{H}_3$ . Also recently, Hus *et al.*<sup>11</sup> repeated the merged beam experiments and obtained a cross section which is about an order of magnitude smaller than the previous value determined using a similar technique.<sup>7</sup> They suggested that the ions in the experiment by Auerbach *et al.*<sup>7</sup> were hot and the rate constant of the order of  $10^{-7} \text{ cm}^3 \text{ s}^{-1}$  obtained was not the rate for  $\text{H}_3^+$  in the ground state, but a value of excited vibrational states (see also a paper by Mitchell *et al.*<sup>12</sup>). Johnsen suggested in his recent review article<sup>13</sup> that the rate coefficients obtained in Refs. 4 and 5 were likely to be flawed by an impurity ion  $\text{CH}_3^+$ .

Considering the broad impact of these low values, we carried out direct measurements of the decay of the infrared absorption signals and the ion population in a particular vibration-rotation state of  $\text{H}_3^+$  produced in a pulse discharge as a function of time, and obtained a rate coefficient of  $2 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$  for  $\text{H}_3^+$  in the  $J = 3, K = 3$  rotational state in the ground vibrational state.<sup>14</sup> Our value agreed with those obtained by the SA<sup>4,5</sup> and MB<sup>7,12</sup> methods which disagreed with the value obtained by Smith and co-workers and were thought to be due to vibrationally excited  $\text{H}_3^+$ . Since the value did not agree with the most recent result obtained by Smith and co-workers which seemed to be gaining general acceptance, we carefully examined possible causes which might have made our result different from theirs. However, as discussed in Ref. 14, the result could not be reasonably understood in any other way than the dissociative recombination is the process responsible for the decay observed in our experiments. To provide further confirmation, we have extended the measurements to several other vibration-rotation states of  $\text{H}_3^+$  and to other ions, namely  $\text{HN}_2^+$  and  $\text{HCO}^+$ , at liquid nitrogen temperature, at dry-ice ( $-60^\circ \text{C}$ ) and at ice ( $0^\circ \text{C}$ ) temperatures. These results will

demonstrate the internal consistency of our experimental results.

The dissociative recombination rate coefficient of  $\text{HCO}^+$  was measured using the stationary afterglow (SA) technique<sup>15</sup> and the FALP technique.<sup>1,2</sup> The FALP result gave a rate coefficient smaller by a factor of 2 than that obtained by the SA method. The more recent SA measurement<sup>16</sup> confirmed the previous SA result. Mul and McGowan<sup>17</sup> obtained the recombination cross sections for  $\text{HN}_2^+$  by the merged beam method. Smith and co-workers<sup>1,2</sup> also determined the rate coefficient for  $\text{HN}_2^+$ . These results disagree by a factor of 2, but the discrepancy is not so serious compared with the discrepancy for  $\text{H}_3^+$ .

In addition, the present investigation has provided the data on the temperature dependence of the dissociative recombination rate coefficients in the range of 110–273 K. It was found that the temperature dependence was in good agreement with previous results. In the course of determination of the rate coefficients, the rotational and translational temperatures of the ions produced in the pulse discharge were found to be lower than previously assumed. Also we have found that  $\text{H}_3^+$ ,  $\text{HN}_2^+$ , and  $\text{HCO}^+$  can be generated equally well at ice temperature (0 °C) as at liquid nitrogen temperature.

## II. EXPERIMENTAL DETAILS

Figure 1 shows a schematic diagram of the experimental setup. Ions were generated in a cooled hollow cathode by applying pulse discharge and the concentration of an ion was monitored by observing the decay of the infrared absorption signals as a function of time. The frequency of the infrared laser radiation from a difference frequency laser system was held fixed at the center of a particular vibration–rotation transition line. The details of the apparatus were as described in previous papers.<sup>18,19</sup> The laser beam was sent through a hollow cathode discharge cell fitted with a set of multitraversal mirrors. The typical pathlength used in this work was 28.8 m. We used two different cathodes made of stainless steel in the initial stages, one of 38 mm inner diameter and the other of 60 mm inner diameter and both of them of 80 cm in length, to examine if the process is diffusion limited, and, as discussed in Ref. 14, the ambipolar diffusion was found not to be the dominant process. The transmitted laser radi-

ation was received by a liquid nitrogen cooled InSb photovoltaic detector and the signal was amplified with a preamplifier (Infrared Associates, Model PPA-15-IS). The bandwidth of the detector and the preamplifier system was estimated to be about 10 MHz. The decay of the absorption intensity after pulse discharge was recorded with a digital storage oscilloscope (HITACHI VC6050). The stored signals were transferred to a microcomputer (HP 9816) for analysis.

Special care was taken to induce a pulse discharge without ringing in the hollow cathode. The pulse width was 20–40  $\mu\text{s}$  and the repetition frequency was about 1 kHz. An output transformer for transistor circuits (Hammond 147R) mounted in an oil bath was utilized for this purpose (see Fig. 1 of Ref. 14). The discharge was cooled by flowing either cooled methanol or liquid nitrogen through copper tubing soldered onto the outside of the cathode. The temperature of circulated methanol was controlled by a bath circulator (NESLAB ULT-80DD) and the temperature quoted as the cell temperature in the text was the measured bath temperature. In the case of liquid nitrogen cooling, we ensured that a sufficient flow of liquid nitrogen was maintained so that the liquid flow all the way to the exit of the cooling tube. We will discuss the translational and rotational temperatures of the ions in the next section.

$\text{H}_3^+$  was produced in the hollow cathode by discharging 200–600 mTorr of  $\text{H}_2$ .  $\text{HN}_2^+$  and  $\text{HCO}^+$  were generated by adding a 20–30 mTorr of  $\text{N}_2$  or  $\text{CO}$  to the  $\text{H}_2$  discharge. The hydrogen gas was obtained from a commercial cylinder (Matheson or Linde, ultrahigh-pure grade, 99.999%) and was introduced into the cell through a liquid nitrogen trap to eliminate condensable impurities. Nitrogen gas was obtained from a commercial cylinder (Linde ultrahigh-pure grade, 99.999%) and carbon monoxide gas was obtained from a cylinder provided by Matheson (CP grade, 99.5%).

A typical example of the decay signals is given in Fig. 2. The vertical axis represents the signal intensity from the preamplifier in units of mV. This example is for the  $20_1 \leftarrow 10$

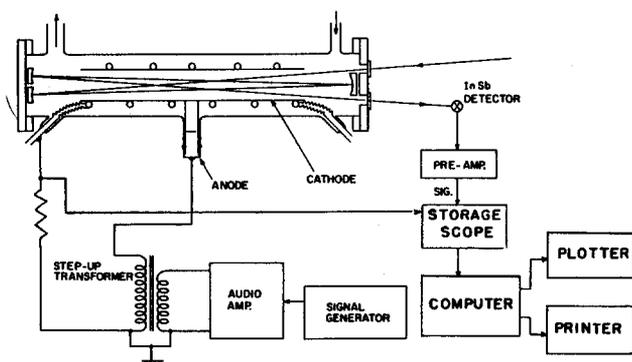


FIG. 1. A schematic diagram of the spectrometer system.

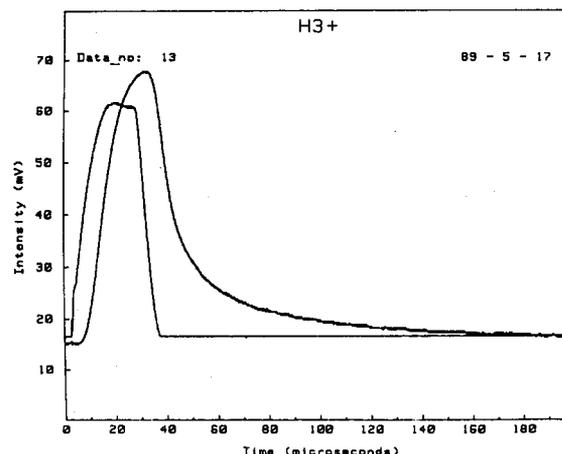


FIG. 2. The transient absorption signal of the  $20_1 \leftarrow 10$  transition of the  $\nu_2$  fundamental band of  $\text{H}_3^+$  (channel a) and the discharge current (channel b) recorded at liquid nitrogen temperature.

transition<sup>20</sup> of the  $\nu_2$  fundamental band of  $\text{H}_3^+$ <sup>21,22</sup> at 2725.898  $\text{cm}^{-1}$  recorded at liquid nitrogen temperature. The pressure of  $\text{H}_2$  was 300 mTorr. The pathlength was 28.8 m and the peak intensity corresponds to 62% absorption. The second channel shows the current waveform recorded by monitoring the voltage across a 10  $\Omega$  resistor inserted between the cathode and the ground. The peak current corresponds to 2.5 A. The rise time of the absorption signal is found to depend on the gas temperature. As the cell temperature gets lower, the pulse duration needs to be longer to reach the steady state intensity. The pulse width was chosen to be long enough for the intensity to reach the steady state (almost).

### III. ANALYSIS AND RESULTS

#### A. Determination of the ion density from the absorption intensity

The decay of an ionic species due to dissociative recombination is described by

$$dN_+/dt = -k_e N_+ N_e, \quad (1)$$

where  $N^+$  and  $N_e$  denote the abundances of ions and electrons, respectively. Usually several positive ionic species coexist in discharge plasmas. In some systems, however, only one species is by far the most abundant. In such cases, we can assume  $N_+ \sim N_e$  safely. We will discuss the validity of this assumption for each of  $\text{H}_3^+$ ,  $\text{HN}_2^+$ , and  $\text{HCO}^+$ . Equation (1) is then written as

$$dN_+/dt = -k_e N_+^2 \quad (2)$$

and the solution is given as

$$1/N_+(t) = 1/N_+(0) + k_e t. \quad (3)$$

As the rotational relaxation time is of the order of 10 ns and the dissociative recombination decay time of the order of 10  $\mu\text{s}$  under the pressure range of our measurements, we can assume that the population of an individual level  $N_{vJ}$  is always given by  $f_v f_J N_+(t)$  with time-independent  $f_v f_J$ , where  $f_v f_J$  is the fractional population of the level. Under this assumption, Eq. (3) can be rewritten as

$$1/N_{vJ}(t) = 1/N_{vJ}(0) + (k_e/f_v f_J)t. \quad (4)$$

This formulation implicitly assumes that the rate coefficient  $k_e$  has little or no rotational dependence. However, this equation will apply even when  $k_e$  has a rotational dependence, as long as the rotational relaxation is much faster than the dissociative recombination decay, in which case  $k_e$  should be interpreted to be a rotationally averaged value.

The infrared absorption is given in terms of the absorption coefficient as

$$I(\omega, t) = I_c e^{-\alpha(\omega, t)l}, \quad (5)$$

where  $I_c$  is the infrared power without absorption and  $l$  is the path length. The absorption coefficient is given by

$$\alpha(\omega, t) = \frac{8\pi^2 \bar{\mu}^2 \omega}{3ch} [N_1(t) - N_2(t)] \phi(\omega), \quad (6)$$

where  $\bar{\mu}$  is the dipole matrix element,  $N_1$  and  $N_2$  are the populations of the lower and the upper levels, respectively, and  $\phi(\omega)$  is a line shape function defined as

$$\phi(\omega) = \int_{-\infty}^{\infty} L(\omega, v) W(v) dv. \quad (7)$$

In Eq. (7),  $L(\omega, v)$  is the Lorentzian line profile function (neglecting the power broadening)

$$L(\omega, v) = \frac{T_2^{-1}}{T_2^{-2} + (\omega - \omega_0 - kv)^2} \quad (8)$$

and  $W(v)$  is the Maxwell-Boltzmann velocity distribution function

$$W(v) = \frac{1}{\sqrt{\pi}u} e^{-(v/u)^2}, \quad (9)$$

and

$$u = \sqrt{\frac{2k_B T}{M}} \quad (10)$$

is the most probable velocity. For infrared absorption lines in the 3–4  $\mu\text{m}$  region with reasonably low vibrational temperature as is the case in the present measurements,  $N_2$  is negligible and  $N_1$  is given by  $N_1 = f_v f_J N_+$ . The abundance is thus calculated from the peak intensity as

$$N_1(t) = \Gamma^{-1} \ln[I_c/I(\omega_0, t)], \quad (11)$$

where  $\Gamma$  is given by

$$\Gamma = \frac{8\pi^2 \bar{\mu}^2 \omega_0}{3ch} \phi(\omega_0) \cdot l. \quad (12)$$

The simplest approximation in the evaluation of  $\phi(\omega_0)$  is the Doppler limit approximation with the Doppler width at the translational temperature. This is the approximation we used in our previous paper.<sup>14</sup> At the Doppler limit,  $\phi(\omega)$  becomes a Gaussian profile and  $\Gamma$  is given in terms of the linewidth  $\Delta\omega_D$  [half-width at half-maximum (HWHM)]

$$\Gamma = \frac{8\pi^2 \sqrt{\pi} \ln 2 \bar{\mu}^2 \omega_0 \cdot l}{3ch \Delta\omega_D} \quad (13)$$

The Doppler limit approximation, however, should be employed carefully, in particular for heavier molecules at lower temperatures. In this paper, we assumed Eq. (13) and employed the observed effective linewidth rather than the Doppler width, i.e.,

$$\Gamma = \frac{8\pi^2 \sqrt{\pi} \ln 2 \bar{\mu}^2 \omega_0 \cdot l}{3ch \Delta\omega_{\text{eff}}} \quad (14)$$

The error brought in by this approximation is probably less than a few percent. A more detailed discussion is given in the Appendix.

The transition dipole moment for  $\text{H}_3^+$  was calculated from the line strength  $S(f-i)$  given in Table II of Miller and Tennyson's paper.<sup>23</sup> For  $\text{HN}_2^+$  and  $\text{HCO}^+$ , the transition dipole moments calculated by Botschwina<sup>24,25</sup> were used and the  $J$ -dependent part of the matrix element was as given in Townes and Schawlow's book.<sup>26</sup>

#### B. The rotational temperature

In our preliminary measurements<sup>14</sup> on  $\text{H}_3^+$ , the rate coefficient was reported for only one vibration-rotation level (the  $J=3, K=3$  level in the ground vibrational state) and  $\Delta\omega_D$  and  $f_J$  were evaluated by assuming the translational

and rotational temperatures of 300 K. We extended the measurements to five levels [ $(J,K) = (1,0), (1,1), (2,2), (3,3),$  and  $(4,4)$ ] by monitoring the  $(2\ 0_1 \leftarrow 1\ 0), (2\ 1_1 \leftarrow 1\ 1), (3\ 2_{-1} \leftarrow 2\ 2), (4\ 3_{-1} \leftarrow 3\ 3),$  and  $(5\ 4_{-1} \leftarrow 4\ 4)$  transitions to determine the rotational temperature which was necessary to calculate  $f_J$  and to examine the rotational dependence of the rate coefficient if any. The population of these five levels at  $t = 0$ , which was set at the point where the discharge current fell completely to zero, was obtained from Eqs. (11) and (14). Figure 3 shows the plot of  $\log \{N_{JK}(0)/[g_J(2J+1)]\}$  vs  $E_{JK}$  for three different temperatures (i.e., liquid nitrogen, dry-ice, and ice temperature), where  $g_J$  is the spin weight. From the slopes, the rotational temperatures were determined to be  $120 \pm 10, 210 \pm 20,$  and  $275 \pm 30,$  respectively. These values are surprisingly low compared with the values generally assumed for glow discharges with He as a buffer gas.<sup>27</sup> Our preliminary measurements<sup>14</sup> were carried out at dry-ice temperature ( $-60^\circ\text{C}$ ), and assumed that the rotational and translational temperatures were 300 K. From the present measurements, it is now clear that this was too high.

Similarly the rotational temperatures of  $\text{HN}_2^+$  and  $\text{HCO}^+$  were experimentally determined. The  $R(1), P(1), R(5), P(5), R(9),$  and  $P(9)$  transitions were measured both for  $\text{HN}_2^+$  and  $\text{HCO}^+$ , and the  $R(0)$  line was added in the measurements at liquid nitrogen temperature. In the measurements of  $\text{HN}_2^+$  at dry-ice temperature, the  $R(17)$  line was added. The rotational temperatures were determined to be 125, 230, and 280 K for  $\text{HN}_2^+$  and 120, 230, and 320 K for  $\text{HCO}^+$  with about 10% uncertainties. The rotational temperatures for the three ions  $\text{H}_3^+, \text{HN}_2^+,$  and  $\text{HCO}^+$  turned out to be slightly different from one another, but the differences may not be significant. The fractional population of each rotational level  $f_J$  was calculated based on these rota-

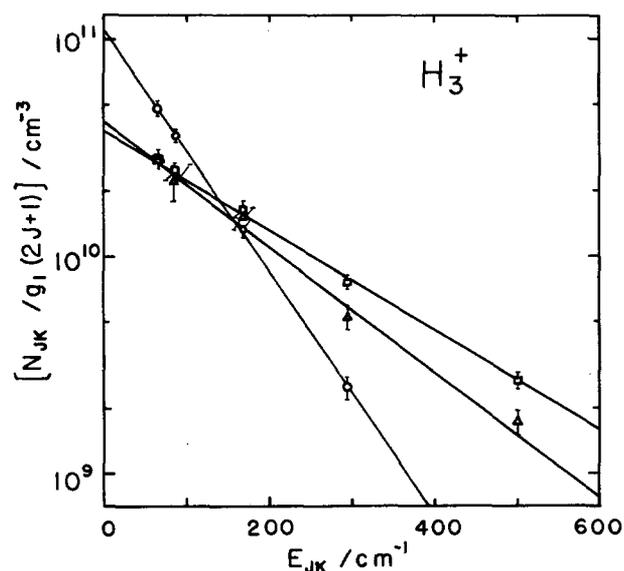


FIG. 3. The relative population of  $\text{H}_3^+$  over several rotational levels at "liquid nitrogen temperature" (open circles), at "dry-ice temperature" (open triangles), and at "ice-temperature" (open squares).

tional temperatures. The partition function was calculated numerically for  $\text{H}_3^+$  using the observed<sup>22</sup> and the calculated term values<sup>23</sup> and the approximate analytical expression<sup>26</sup> was used for  $\text{HN}_2^+$  and  $\text{HCO}^+$ .

The vibrational temperature was estimated to be 500 K from the relative intensity of the hot band from the  $v_2 = 1$  state of  $\text{HN}_2^+$  with dry-ice cooling, and the  $f_v$  values of 1.0, 0.87, and 0.91 were assumed for  $\text{H}_3^+, \text{HN}_2^+,$  and  $\text{HCO}^+,$  respectively, for the dry-ice and ice-cooled measurements. For the liquid nitrogen-cooled experiments,  $f_v$  values of 1.0, 0.96, and 0.98 were assumed for  $\text{H}_3^+, \text{HN}_2^+,$  and  $\text{HCO}^+,$  respectively. This estimate of the vibrational temperature was made from the relative intensity of the steady-state signals. Therefore, the vibrational temperature may be lower in the afterglow of the pulse discharge employed in the present experiments. The error induced by this approximation is estimated to be less than 5%.

Kim, Theard, and Huntress<sup>28</sup> obtained a very fast rate coefficient for the vibrational relaxation of  $\text{H}_3^+$  in  $\text{H}_2$  gas to be  $3 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ , implying that the vibrational relaxation time of  $\text{H}_3^+$  in 300 mTorr of  $\text{H}_2$  is of the order of  $0.3 \mu\text{s}$ . This rate is consistent with our observation. The population of  $\text{H}_3^+$  in the first excited vibrational state is already smaller by a factor of 100 or more compared with that in the ground state 20–40  $\mu\text{s}$  after the onset of the discharge.

As discussed in the Appendix, the translational temperature of the ions is close to the cell temperature. The electron temperature was not measured in this work. We assumed that the electron temperature is equal to the translational temperature of the ions. The energy loss of electrons of higher energy than about 10 eV can occur through dissociations and ionizations of  $\text{H}_2$ . The inelastic collisions of electrons with  $\text{H}_2$  which lead to the rotational and vibrational excitations are the main energy loss processes of electrons of energy below about 10 eV. The cross section for the rotational excitation is of the order of  $1 \times 10^{-17} \text{ cm}^2$  and that for the vibrational excitation is by about an order of magnitude larger,<sup>29</sup> and the energy loss rate coefficients of the electrons of energy of about a few eV due to the rotational and vibrational excitation of  $\text{H}_2$  are estimated to be of the order of  $10^{-9}$  and  $10^{-8} \text{ cm}^3 \text{ s}^{-1}$ , respectively. Therefore, the electrons are thermalized very rapidly in less than a  $\mu\text{s}$  in the pressure range of a few hundred mTorr to 1 Torr of  $\text{H}_2$ .

It is very interesting to note that the abundance of the ions ( $\text{H}_3^+, \text{HN}_2^+,$  and  $\text{HCO}^+$ ) produced in the hollow cathode does not have a measurable temperature dependence: about the same amount of ions can be generated at ice-cooled temperature as at liquid nitrogen temperature ( $\sim 5 \times 10^{11} \text{ cm}^{-3}$  for  $\text{H}_3^+, \sim 2 \times 10^{11} \text{ cm}^{-3}$  for  $\text{HN}_2^+,$  and  $\sim 3 \times 10^{11} \text{ cm}^{-3}$  for  $\text{HCO}^+$ ). However, we noticed a temperature dependence of the rise time of the absorption signals of all of  $\text{H}_3^+, \text{HN}_2^+,$  and  $\text{HCO}^+$ . It takes a longer time for the signal to reach the steady-state level at liquid nitrogen temperature than at ice temperature. Because of this, we were misled at one stage to conclude that the ion abundance was less at liquid nitrogen temperature than at ice temperature when the discharge pulse duration was held fixed at 20  $\mu\text{s}$ . We found that the pulse duration of 20  $\mu\text{s}$  was not long enough at liquid nitrogen temperature, although it was long enough for

the absorption intensity to reach a steady state at ice temperature. However, interestingly enough, the steady-state intensity eventually reached the same level when the pulse duration was lengthened to about 40  $\mu\text{s}$ . The explanation of this phenomenon is not clear.

### C. Dissociative recombination rate coefficient of $\text{H}_3^+$

The main channel of formation of  $\text{H}_3^+$  in hydrogen discharge plasma is



and the depletion processes are



and

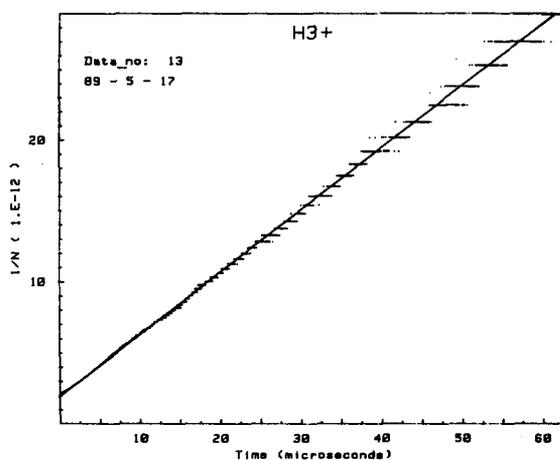


It is known that the relative abundances of  $\text{H}^+$  and  $\text{H}_3^+$  are less than a few percent of the total positive ions in the discharge through  $\text{H}_2$ .<sup>30,31</sup> Because the reaction between  $\text{H}_2^+$  and  $\text{H}_2$  is very rapid,<sup>30,31</sup> the abundance of  $\text{H}_2^+$  is negligibly small under the present experimental conditions. Thus,  $\text{H}_3^+$  is by far the dominant positive ion in our hollow cathode discharge and we can assume  $N_+ \sim N_e$  in very good approximation.

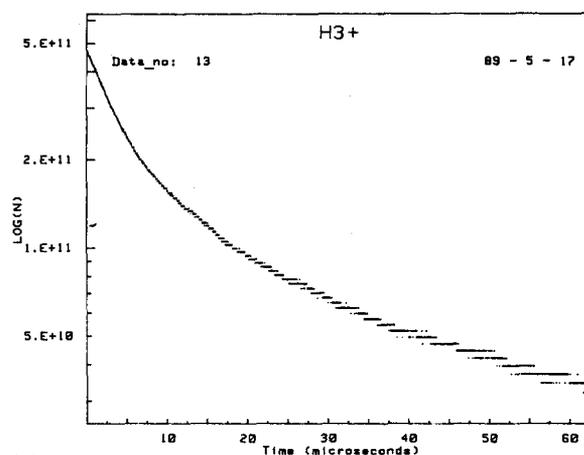
Figure 4(a) shows an example of the plot of  $1/N_+(t)$  obtained from the measured decay curve for the  $2\text{O}_1 \leftarrow 1\text{O}$  transition recorded at liquid nitrogen temperature. This very good linear relationship shows that the decay process is indeed the dissociative recombination. The slope  $(4.294 \pm 0.006) \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$  and the intercept in this example are typical of many runs repeated over the past several months. The statistical uncertainty of the slope is far smaller than the fluctuations observed from one run to another which usually amounted to several percent. Figure 4(b) is the plot of  $\log [N_+(t)]$  which clearly indicates that the decay is not exponential.

Figure 5 shows the decay of the  $4\text{3}_{-1} \leftarrow 3\text{3}$  transition of  $\text{H}_3^+$  with several mTorr of  $\text{N}_2$  added to about 500 mTorr of  $\text{H}_2$  at dry-ice temperature. The  $\log [N_+(t)]$  vs  $t$  is given in Fig. 6. In this case, the main loss channel of  $\text{H}_3^+$  is the reaction with  $\text{N}_2$  and the process is characterized by an exponential decay. The reaction rate obtained from the slope  $K = k[\text{N}_2] \sim 2.2 \times 10^5 \text{ s}^{-1}$  yields  $[\text{N}_2] \sim 1.3 \times 10^{14} \text{ cm}^{-3}$  ( $\sim 3.7$  mTorr) by using the known value<sup>32</sup> for the reaction rate coefficient  $k = 1.7 \times 10^{-9} \text{ cm}^3 \text{ s}^{-1}$ . The pressure measurement of  $\text{N}_2$  was not made very precisely, because the present gas handling system is not sophisticated enough to warrant a precise mixing of a small amount of  $\text{N}_2$  to a large amount of  $\text{H}_2$ . If the system is improved or premixed gases are prepared, this method is usable to determine reaction rate coefficients. Moreover, this example clearly demonstrates that the processes observed are not instrumental artifacts or diffusion-limited processes.

As it was found<sup>14</sup> that the recombination rate coefficient had no measurable pressure dependence in the pressure range of 0.1–1 Torr, most measurements were carried out in the 200–600 mTorr pressure range. Table I summarizes the



(a)



(b)

FIG. 4. (a) The  $1/N_+(t)$  vs  $t$  plot of a decay signal of  $\text{H}_3^+$  given in Fig. 2. The origin of the time is taken at the point where the current falls to zero completely. The total  $\text{H}_3^+$  abundance at  $t=0$  is found to be about  $5 \times 10^{11} \text{ cm}^{-3}$ . (b) The  $\log [N_+(t)]$  plot of the same signal given in Fig. 2.

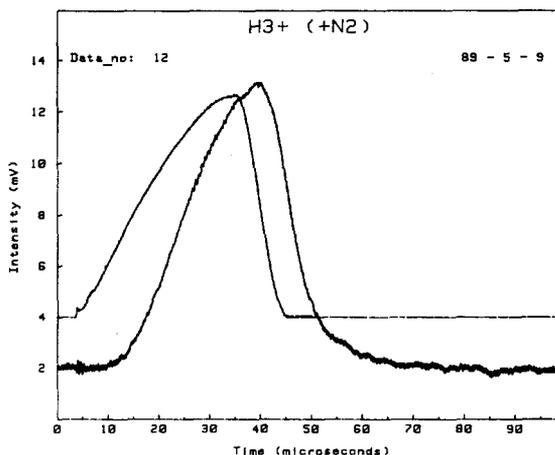


FIG. 5. A decay signal of  $\text{H}_3^+$  ( $4\text{3}_{-1} \leftarrow 3\text{3}$  transition) due to the reaction with  $\text{N}_2$ .

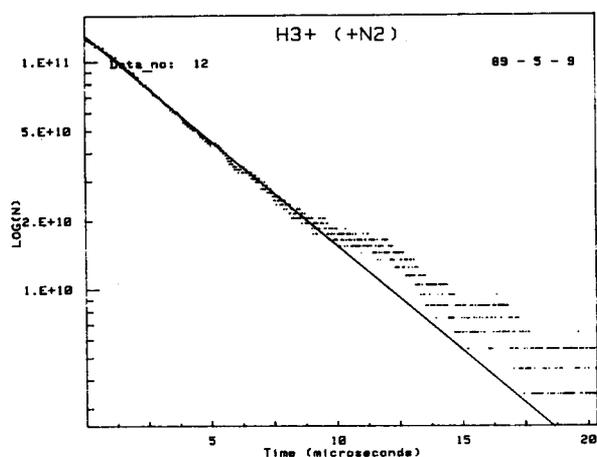
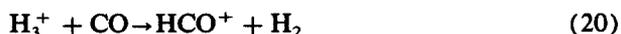


FIG. 6. The  $\log [N_+(t)]$  plot as a function of time for the signal shown in Fig. 5. The decay is exponential as expected.

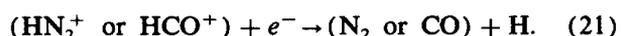
rate coefficients thus determined for the five rotational states at three different temperatures. These values were obtained by taking the average of several runs (typically five). The standard deviation amounts from several to 10% which is caused probably by fluctuations of the laser power. The measurements at ice-cooled temperature as well as those for  $\text{HN}_2^+$  at liquid nitrogen temperature listed in Table II below were performed with more frequent power measurements between the decay measurements. As a result, a slightly better accuracy was attained.

#### D. $\text{HN}_2^+$ and $\text{HCO}^+$

The dominant formation processes of  $\text{HN}_2^+$  and  $\text{HCO}^+$  are



and they are depleted by the dissociative recombination with electrons



Since the proton transfer reaction from  $\text{H}_3^+$  to  $\text{N}_2$  or  $\text{CO}$  is very fast, the abundance of  $\text{H}_3^+$  in the discharge of hydrogen with 20–30 mTorr of  $\text{N}_2$  or  $\text{CO}$  is negligibly small (20–30  $\mu\text{s}$ ) after the discharge is started. Therefore, the approximation  $N_+ \sim N_e$  is a very good approximation for both  $\text{HN}_2^+$  and  $\text{HCO}^+$ , and the decay of the absorption signals of  $\text{HN}_2^+$

TABLE I. The dissociative recombination rate coefficients of  $\text{H}_3^+$  in the ground vibrational state (in units of  $10^{-7} \text{ cm}^3 \text{ s}^{-1}$ ).

$J, K$	110 K	210 K	273 K
1, 0	4.1(2) <sup>a</sup>	2.5(1)	1.72(5)
1, 1	4.1(1)	2.7(2)	1.77(10)
2, 2	4.6(4)	2.4(2)	1.85(6)
3, 3	4.5(5)	2.6(2)	1.91(7)
4, 4	...	2.2(2)	1.9(4)

<sup>a</sup>Standard deviation in units of the last quoted digits

TABLE II. Dissociative recombination rate coefficients of  $\text{HN}_2^+$  (in units of  $10^{-7} \text{ cm}^3 \text{ s}^{-1}$ ).

level	transition	110 K	210 K	273 K
$J=0$	$R(0)$	19.8(8) <sup>a</sup>	...	...
$J=1$	$R(1)$	14.6(4)	8.7(2)	7.6(4)
	$P(1)$	14.4(14)	10.6(7)	...
$J=5$	$R(5)$	15.1(5)	...	7.0(9)
	$P(5)$	14.6(8)	...	...
$J=9$	$R(9)$	13.5(14)	8.4(3)	7.0(1)
	$P(9)$	14.9(4)	7.6(2)	6.6(3)
$J=17$	$R(17)$	...	9.7(7)	...
	$P(17)$	...	7.9(2)	...

<sup>a</sup>Standard deviation in units of the last quoted digits.

and  $\text{HCO}^+$  is described also by Eq. (2). Figure 7 is an example of the  $\text{HN}_2^+$  signal [ $P(5)$  line<sup>33</sup> at  $3252.071 \text{ cm}^{-1}$ ] recorded at liquid nitrogen temperature. Figure 8 shows the  $1/N_+(t)$  plot of the signal given in Fig. 7. From this slope, the dissociative recombination rate coefficient was obtained to be  $1.378 \times 10^{-6} \text{ cm}^3 \text{ s}^{-1}$ . Figures 9 and 10 show examples for  $\text{HCO}^+$  signal [ $R(5)$  line<sup>34</sup> at  $3106.0909 \text{ cm}^{-1}$ ]. The rate coefficient was determined to be  $6.04 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$  in this example. Tables II and III summarize the rate coefficients for  $\text{HN}_2^+$  and  $\text{HCO}^+$  determined here, respectively.

#### E. Rotational and temperature dependences

The measurements were repeated several times at the three different temperatures on several different vibration-rotation lines of  $\text{H}_3^+$ ,  $\text{HN}_2^+$ , and  $\text{HCO}^+$  as described above. As summarized in Tables I–III, the measurement accuracy is not good enough to conclude whether there is a rotational dependence of the rate coefficients. As the measurements with better accuracy ( $\text{H}_3^+$  at 275 K and  $\text{HN}_2^+$  at 110 K) suggest, the rotational dependence is likely to be very small, if any. Moreover, because the rotational relaxation is much faster than the recombination decay, only the rotationally averaged rate coefficient is possible to be observed.

Figure 11 shows the temperature dependence of the rate

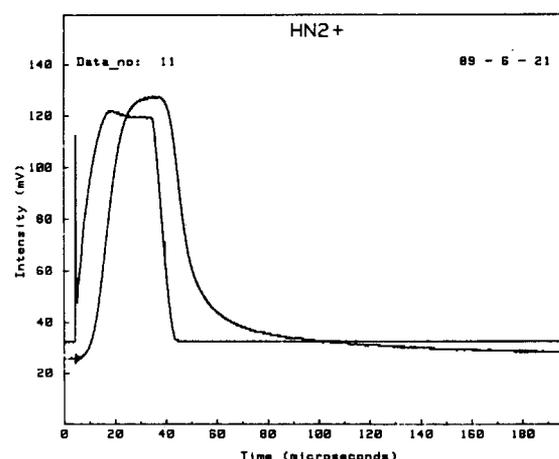


FIG. 7. An example of the  $\text{HN}_2^+$  signals [ $P(5)$  line at  $3252.071 \text{ cm}^{-1}$ ] recorded with liquid nitrogen cooling.

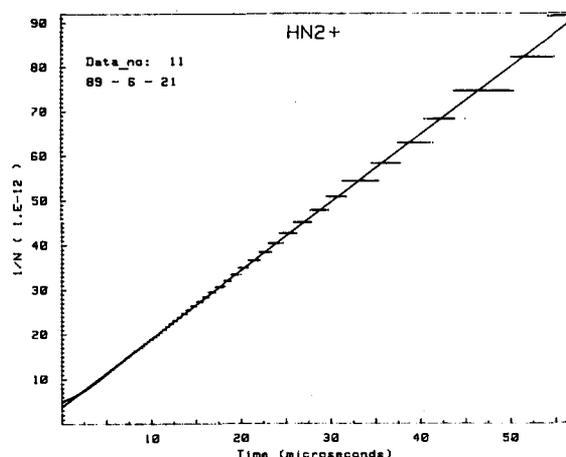


FIG. 8. The  $1/N_+(t)$  plot of the signal given in Fig. 7.

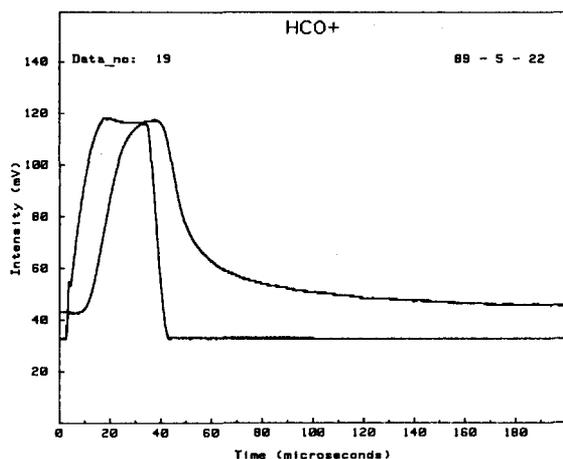


FIG. 9. An example of the  $\text{HCO}^+$  signals [ $R(5)$  line at  $3106.0909 \text{ cm}^{-1}$ ] recorded with liquid nitrogen cooling.

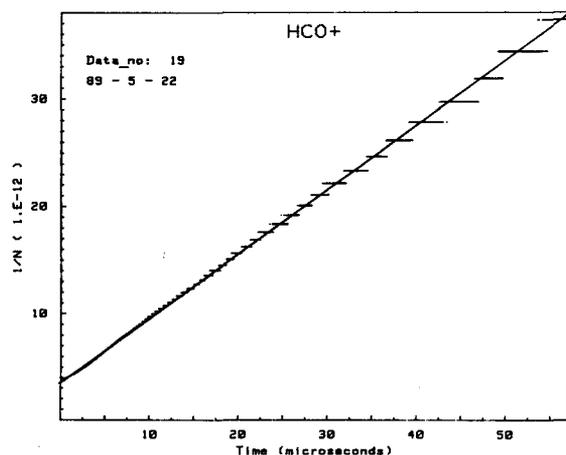


FIG. 10. The  $1/N_+(t)$  plot of the signal given in Fig. 9.

TABLE III. Dissociative recombination rate coefficients of  $\text{HCO}^+$  (in units of  $10^{-7} \text{ cm}^3 \text{ s}^{-1}$ ).

level	transition	110 K	210 K	273 K
$J=0$	$R(0)$	6.5(2) <sup>a</sup>	...	...
$J=1$	$R(1)$	5.4(1)	4.6(4)	3.3(1)
$J=5$	$R(5)$	6.0(3)	3.77(4)	3.0(1)
	$P(5)$	5.6(5)	4.2(3)	3.0(2)
$J=9$	$R(9)$	...	3.9(2)	...
	$P(9)$	5.8(2)	...	3.0(1)

<sup>a</sup> Standard deviation in units of the last quoted digits.

coefficients for all the three ionic species. The temperature dependences obtained previously<sup>4,5,16,17</sup> are indicated by broken lines. The temperature dependence measured by Macdonald, Biondi, and Johnsen show a curious deviation from the  $T^{-1}$  dependence below about 400 K of electron temperature. Our data suggest that the  $T^{-1}$  dependence may extend further toward the lower temperature region. Our data for  $\text{HCO}^+$  are consistent with the temperature dependence  $T_e^{-0.69}$  obtained by Ganguli *et al.*<sup>16</sup> in the range of  $295 < T_e < 5500 \text{ K}$ , and suggest that it can be extrapolated to 100 K. Our measured points for  $\text{HN}_2^+$  fall on the line obtained by Mul and McGowan.<sup>17</sup>

#### IV. DISCUSSION

The dissociative recombination rate coefficient for  $\text{H}_3^+$  determined here is in good agreement with the values obtained by the microwave afterglow technique<sup>4,5</sup> and agrees reasonably well with those obtained by the MB method,<sup>7,12</sup> but disagrees with that obtained by Adams, Smith, and Alge.<sup>1-3</sup> Since the dissociative recombination rate constant

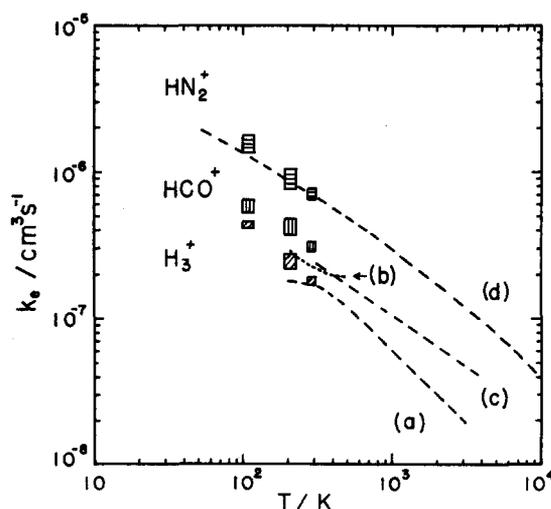


FIG. 11. The temperature dependence of the dissociative recombination rate coefficients for  $\text{H}_3^+$ ,  $\text{HN}_2^+$ , and  $\text{HCO}^+$ . Each rectangle represents the measured value and the size reflects the estimate uncertainty. The three entries for each of the three temperatures at which the measurements were carried out are for  $\text{HN}_2^+$ ,  $\text{HCO}^+$ , and  $\text{H}_3^+$  in descending order. The dashed and dotted lines are taken from Ref. 5 for (a), Ref. 4 for (b), Ref. 16 for (c), and Ref. 17 for (d).

for  $H_3^+$  obtained in the present experiment was very different from that obtained by Smith and his co-workers,<sup>1-3</sup> we carefully checked various possibilities which might have caused much faster decay than that observed by Smith and co-workers. One may argue that the decay process observed in our previous experiments<sup>14</sup> as well as in this experiment is not due to the dissociative recombination process, but to other processes such as ambipolar diffusion, reactions with impurities, or collisional-radiative recombination.<sup>35</sup> All these possibilities were carefully examined and all were ruled out as not being processes which might have caused faster decay. Detailed discussions were given in Ref. 14 and only a few more comments will be added here.

The ambipolar diffusion loss rate  $D_{ap}/\Lambda^2$ , is estimated to be  $50 \text{ s}^{-1} \text{ Torr}$  by assuming  $D_{ap} \sim 500 \text{ cm}^3 \text{ s}^{-1} \text{ Torr}^{29}$  and  $\Lambda \sim 3 \text{ cm}$  (radius of the cathode). So the ambipolar diffusion loss is negligibly small in the pressure range used in this work. Experimentally it is also clear that the ambipolar diffusion is not a dominant process, since no pressure dependence of the decay rate is observed and  $N_+(t)$  did not obey the exponential decay.

The ion density (and also electron density) in our plasma is not very high:  $N_+ \sim 5 \times 10^{11} \text{ cm}^{-3}$  at  $t = 0$  for  $H_3^+$ . (There is a typographical error in the caption to Fig. 3 of Ref. 14. The ion abundance at  $t = 0$  is  $3 \times 10^{11} \text{ cm}^{-3}$  rather than  $3 \times 10^{12} \text{ cm}^{-3}$ .) At  $T = 110 \text{ K}$  and  $[e] = 3 \times 10^{11} \text{ cm}^{-3}$ , the collisional-radiative recombination rate coefficient is calculated to be  $7.4 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$  using Eq. (29) in a paper by Stevefeld, Boulmer, and Delpech<sup>35</sup> derived for transitions between hydrogenic energy levels of high principal quantum number. One may conclude that this mechanism explains the process observed in this experiment. However, this is not the case for two reasons. First, the collisional-radiative recombination rate coefficient has a distinctively different temperature dependence. At  $T = 210 \text{ K}$ , the rate coefficient would be  $4.0 \times 10^{-8} \text{ cm}^3 \text{ s}^{-1}$  and at  $T = 275 \text{ K}$  it would be  $1.2 \times 10^{-8} \text{ cm}^3 \text{ s}^{-1}$ , which disagree with the experimental results. Second, if this process is dominant,  $1/N_+(t)$  cannot be linear with  $t$ . As given in Fig. 4 as an example of the signals taken at  $T = 110 \text{ K}$ ,  $1/N_+(t)$  shows a linear dependence on  $t$  over an order of magnitude of  $N_+(t)$ . From these experimental observations, we can conclude that this is not a dominant process of the ion loss in our experiments.

Michels and Hobb's theory<sup>10</sup> which indicated that  $H_3^+$  in the vibrational states below  $v = 3$  does not undergo rapid dissociative recombination seemed to have provided a solid basis for the argument by Smith and co-workers.<sup>1-3</sup> Another example of the discrepancy between theory and experiment occurs for the dissociative recombination rate of  $HCO^+$ . Kraemer and Hazi<sup>36</sup> showed that  $HCO^+$  in the ground vibrational state does not recombine with electrons, implying that the recombination rate coefficient should be very small, based on the noncrossing of the potential curves. Very recently Yousif and Mitchell<sup>37</sup> measured the dissociative recombination rate coefficient of  $HeH^+$  for the first time, showing that the rate is of the order of  $10^{-8} \text{ cm}^3 \text{ s}^{-1}$  contrary to the previously believed value of about  $10^{-11} \text{ cm}^3 \text{ s}^{-1}$ .

It is not clear why the FALP measurement yielded such a slow "immeasurable" dissociative recombination rate. Figure 2 of the paper by Adams, Smith, and Alge<sup>1</sup> clearly shows an initial decrease of the electron density in the  $H_3^+$  recombination measurements. They discarded this portion as being caused by the vibrationally excited  $H_3^+$ . They cited the detection of  $CH_3^+$  with  $CH_4$  added to  $H_3^+$  flow as evidence of the existence of the vibrationally excited  $H_3^+$ . Their observation indicated that less than 5% of the initial products from the reaction between  $H_3^+$  and  $CH_4$  was  $CH_3^+$ , suggesting that vibrationally excited  $H_3^+$  could not be the dominant constituent. This observation merely indicates that vibrationally excited  $H_3^+$  existed at the early stage of the reaction, but does not guarantee the persistence of hot  $H_3^+$  further downstream of the flow tube. As described above, our observation implies that the vibrational relaxation is very fast as expected from the rate  $3 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$  given by Kim, Theard, and Huntress.<sup>28</sup> Adams, Smith, and Alge did discuss the vibrational relaxation. They chose the relaxation rate coefficient of  $1 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$  and the number density of  $H_2$  to be  $1 \times 10^{14} \text{ cm}^{-3}$  which gave the relaxation time of 0.1 ms. It is stated in the paper that the initial recombination loss lasted for about 0.5 ms. In spite of this estimate which strongly suggests that the vibrational relaxation time was likely to be shorter than its observing time scale (the  $H_3^+$  or  $D_3^+$  was created by adding a relatively large concentration of  $H_2$  or  $D_2$  to the afterglows<sup>1</sup>), they concluded that the observed initial decrease of the electron density was due to the vibrationally excited  $H_3^+$  and the dissociative recombination of the ground  $H_3^+$  was indeed very slow. Although an implication of the argument given above is that the initial decay observed in their experiment is indeed mainly due to  $H_3^+$  in the ground state, there remains a question. The decay became slow somewhat abruptly at the distance  $z \sim 50 \text{ cm}$  (see Fig. 2 of Ref. 1) and turned to be diffusion limited afterward. We are not in a position to speculate more based on a figure which does not indicate the accuracy and the reproducibility of the measurements. To unravel this puzzle, one should repeat the FALP experiment or conduct similar measurements.

## CONCLUSION

Our spectroscopic measurements have clearly established that the dissociative recombination rate coefficient of  $H_3^+$  in the ground state is not as small as advocated by Smith and co-workers, being in good agreement with the results obtained with the microwave afterglow and other techniques. Measurements have been extended to  $HN_2^+$  and  $HCO^+$ , and the rate coefficients obtained are found to be in good agreement with previous results. The measurements were carried out at three different temperatures and the temperature dependence is consistent with that established by the merged beam and microwave afterglow experiments, although our temperature range was rather limited.

## ACKNOWLEDGMENTS

I thank Takako Amano for implementing the data-acquisition system and writing an analysis program. I also

gratefully acknowledge R. H. Schwendeman and H. Sasada for providing their Voigt line profile fitting program. I am grateful to J. K. G. Watson for reading the manuscript.

## APPENDIX

Although the Voigt line profile can be evaluated only numerically, the peak amplitude  $\phi(\omega_0)$  is expressed in a series expansion

$$\phi(\omega_0) = (2/\Delta\omega'_D) \exp(r^2) \cdot \text{erfc}(r), \quad (\text{A1})$$

where  $\text{erfc}(r)$  is the error function defined as

$$\text{erfc}(r) = \int_r^\infty e^{-t^2} dt = \frac{\sqrt{\pi}}{2} - r \left[ 1 - \frac{r^2}{3 \cdot 1!} + \frac{r^4}{5 \cdot 2!} - \frac{r^6}{7 \cdot 3!} + \dots \right]. \quad (\text{A2})$$

In these equations,  $r$  is defined as  $\Delta\omega_L/\Delta\omega'_D$ , where  $\Delta\omega_L$  is the Lorentz linewidth and  $\Delta\omega'_D$  the Doppler half-width at the  $1/e$  point, i.e.,  $\Delta\omega'_D = \Delta\omega_D/\sqrt{\ln 2}$ , where  $\Delta\omega_D$  is the Doppler width (HWHM). Although this series converges for any value of  $r$ , this form is most suitable for small  $r$ . In the regime where  $r$  is very large, the following form is more suitable:

$$\begin{aligned} \exp(r^2) \cdot \text{erfc}(r) &= \exp(1/s^2) \cdot \text{erfc}(1/s) \\ &= \frac{s/2}{1 + \frac{s^2/2}{1 + \frac{2s^2/2}{1 + \frac{3s^2/2}{1 + \frac{4s^2/2}{1 + \dots}}}}} \end{aligned}, \quad (\text{A3})$$

where  $s = 1/r$ . In the evaluation of  $\phi(\omega_0)$ , both the Doppler width and the Lorentz widths should be known. Usually fitting the line profile to the Voigt line profile to determine both the Doppler and the Lorentz linewidths is not likely to be successful due to the strong correlation between the two parameters. If the translational temperature is known, as is the case in stable molecules, the Doppler width can be fixed at the calculated value to determine the Lorentz linewidth from a least-squares fit. It was shown in the text that the rotational temperature is close to the cell temperature. This suggests that the translational temperature is assumed to be equal to the cell temperature in good approximation.

Fig. 12 shows a line profile of the  $R(5)$  transition of  $\text{HCO}^+$  recorded at liquid nitrogen temperature with a discharge in a mixture of 20 mTorr of CO and 300 mTorr of  $\text{H}_2$  modulated at 6 kHz frequency. The linewidth (HWHM) of this line is measured to be 75 MHz.  $\text{HCO}^+$  is an exceptional case where the Lorentz linewidth has been measured by microwave spectroscopy.<sup>38</sup> A least-squares fit to a Voigt line profile yielded the Doppler width of 66.0(1.5) MHz and the pressure broadening of 16.0(1.5) MHz (with the standard deviation in parentheses). The Doppler width determined corresponds to the width expected for a translational temperature of 110 K. The pressure broadening parameter obtained here is considerably larger than that obtained by An-

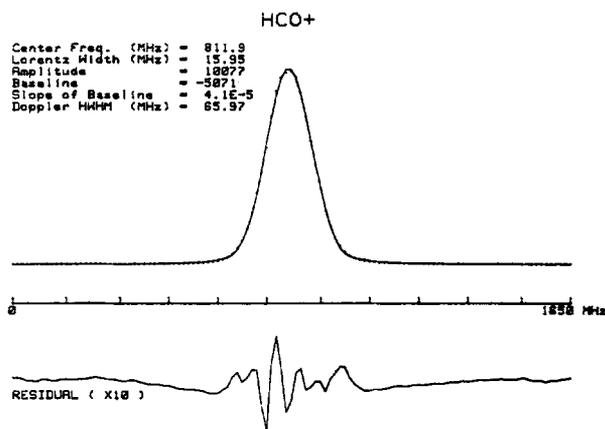


FIG. 12. The observed and calculated line profiles of  $R(5)$  transition of  $\text{HCO}^+$ . The line profile was recorded by stepping the laser frequency and is indicated by dots. The calculated points are connected by solid lines. The residuals between the observed and the calculated are given as the bottom trace. Both amplitude and frequency instabilities of the laser are the dominant sources of the deviations.

derson *et al.*,<sup>38</sup>  $\Delta\nu_L/p = 30$  MHz/Torr. If the Lorentz width was fixed at 9 MHz as obtained by Anderson *et al.*, fitting to the Voigt line profile yielded the Doppler width of 70.8(0.9) MHz with somewhat worse quality of fit. The Doppler width corresponds to the translational temperature of 130 K which is slightly higher than the rotational temperature. Since there is a large correlation between the Doppler width and the Lorentz width, it may be premature at this stage to conclude that the pressure broadening of  $\text{HCO}^+$  is larger than that obtained previously.

In the evaluation of the peak intensity, we assumed Eq. (14) and employed the observed effective linewidth rather than the Doppler width as the input parameter. If the effective linewidth is defined by

$$\begin{aligned} \Delta\omega_{\text{eff}} &= \Delta\omega_D \exp(-r^2) \left[ 1 - (2/\sqrt{\pi}) \right. \\ &\quad \left. \times r \left\{ 1 - \frac{r^2}{3 \cdot 1!} + \frac{r^4}{5 \cdot 2!} - \frac{r^6}{7 \cdot 3!} + \dots \right\} \right]^{-1}, \end{aligned} \quad (\text{A4})$$

then Eq. (14) is correct for any  $\Delta\omega_D$  and  $\Delta\omega_L$ , but it does not lead to a conclusion that  $\Delta\omega_{\text{eff}}$  is the linewidth of a Voigt line profile. When the deviation from the Doppler line profile is not so large,  $\Delta\omega_{\text{eff}}$  may be interpreted to be the linewidth. In the example of  $\text{HCO}^+$  given above, the observed Doppler and Lorentz widths yield the effective half-width of 75 MHz using Eq. (A4) which agrees very well with the observed width. The error brought in by this approximation is expected to be less than a few percent.

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