ELECTRONIC SPECTRA OF SUBSTITUTED NAPHTHOQUINONES*

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Abstract—Echinoderms elaborate many closely related structural pigments (spinochromes) based on a naphthoquinone skeleton. As an aid to structural elucidation of these compounds the electronic spectra of a large number of substituted naphthoquinones were examined. The bands in the 240–600 mµ region of the electronic spectra of 1,4-naphthoquinone, juglone, and naphthazarin have been assigned to either benzenoid or quinoid electronic excitations and the effect of substitution on the position of these bands has been systematically studied. As a result, a number of empirical correlations have been derived that are useful in the structure determination of unknown pigments.

CONCURRENTLY with a systematic NMR.¹ ESR,² and mass spectrometric³ investigation of substituted naphthoquinones we also studied the electronic spectra of this class of compounds. Very few such data have been recorded in the literature^{4, 5} and we needed electronic spectral data of these compounds to facilitate our structural elucidation of echinoderm pigments.^{6, 7} Even though electronic absorption spectroscopy has severe limitations as a structural tool, we were nevertheless able to derive a number of empirical correlations. As a result, the structures of some unknown pigments could be predicted from an examination of their electronic spectra and chromatographic behavior.⁷ We first studied the spectra of naphthoquinones; we then examined the spectra of juglone derivatives; we finally undertook a detailed examination of the spectra of naphthazarins. This report summarizes our findings.

1,4-Naphthoquinones. The diagnostic features of the electronic spectrum of a 1,4-naphthoquinone which is unsubstituted in the benzenoid ring are (1) intense benzenoid and quinoid electron-transfer⁸ (E.T.) bands in the 240–290 mµ region (ε 13,000–25,000), (2) a benzenoid E.T. band at about 335 mµ of medium intensity (ε 2600–3200), (3) a quinoid E.T. band in the 330–450 mµ region of low to medium intensity that is generally only observed for 2,3-disubstituted compounds, and finally (4) a broad local excitation⁸ (L.E.) band of low intensity (ε < 100) in the 400–500 mµ region attributable to the n → π* transition of the quinone carbonyls.

In the spectrum of 1,4-naphthoquinone (1, Fig. 1), the peaks at 245 (ε 22,100) and 251 mµ (ε 23,450) are due to benzenoid E.T. processes and shift only slightly with

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FIG. 1 Electronic absorption spectra of 1,4-naphthoquinone, juglone, and naphthazarin in chloroform.

substitution in the quinoid ring (Table 1). The quinoid E.T. transitions [shoulder at 257 mµ (ε 13,100)], on the other hand, are quite sensitive to substitution in the quinoid ring (Table 1). Note that the benzenoid bands of 2-hydroxy-1,4-naphthoquinone (3) appear at essentially the same positions as in 1 itself, but that the quinoid bands have shifted bathochromically to 277 (ε 15,900) and 283 mµ (ε 15,960).

The band at 335 mµ (ε 3040) in the spectrum of 1,4-naphthoquinone (1) (Fig. 1) is assigned to a benzenoid E.T. transition and as expected its position appears to be relatively independent of substitution in the quinoid ring (Table 1). Although the quinone carbonyls insulate the aromatic portion from the quinoidal double bond and its substituents, the presence of the double bond does facilitate this excitation. The band position in 1 is bathochromically displaced by 40 mµ from the corresponding E.T. transition for 2,3-dihydro-1,4-naphthoquinone (12) [295 mµ (ε 2100) in ethanol⁹].



The quinoid E.T. transition in the 330-450 m μ region is not usually discernible in the spectra of 1,4-naphthoquinone and its 2-substituted derivatives [see for example

the spectrum of 2-methoxy-1,4-naphthoquinone (4, Fig. 2)] because of its low intensity and because it is masked by the larger benzenoid band at 335 mµ. The band cannot be seen in the spectrum of 1,4-naphthoquinone (Fig. 1). In the spectra of 2-hydroxy-(3, Table 1) and 2-methoxy-1,4-naphthoquinone (4, Fig. 2), however, an appreciable bathochromic shift of the quinoid E.T. band and enhancement of its intensity has been engendered by the electron-releasing substituent to produce an inflection at 380 mµ. In all 2,3-disubstituted derivatives bearing strong electron-donating groups, the bathochromic shift of the quinoid band is sufficient to separate it entirely from the benzenoid band. The spectrum of 2-hydroxy-3-methoxy-1,4-naphthoquinone (8, Fig. 2), for example, displays the band at 418 mµ (ε 1320). This transition is not observed in the spectrum of 2-acetoxy-3-methyl-1,4-naphthoquinone (6, Table 1).

1,4-Naphthoquinone exhibits a broad L.E. band at about 425 mµ (ε 32) in isooctane; in chloroform this band appears as a shoulder on the much larger 335 mµ band. This transition is attributed to the n $\rightarrow \pi^*$ excitation of the quinone carbonyls.

Juglones. The diagnostic features of the electronic spectrum of a juglone (5-hydroxy-1,4-naphthoquinone) are (1) intense benzenoid and quinoid E.T. bands in the 240–320 m μ region (ϵ 7000–20,000), (2) a benzenoid E.T. band at about 425 m μ (ϵ 3000–5000), and (3) a quinoid E.T. band in the 320–420 m μ region (ϵ 1000–2500).

The benzenoid and quinoid E.T. bands of juglone (13) overlap and appear at essentially the same positions as in 1 (Fig. 1). Surprisingly the substitution at C-5 does not produce an appreciable shift of either transition. Substitution at C-6, however, does have an effect on the benzenoid E.T. band as shown in the spectrum of 6-hydroxy-1,4-naphthoquinone (16, Table 2), where the benzenoid E.T. band has shifted bathochromically by about 10 mµ and the position of the quinoid E.T. band has remained essentially unaltered. The benzenoid E.T. bands of 13 and 16 are comparable with o-hydroxycarbonyl and p-hydroxycarbonyl chromophores, respectively.¹⁰ Substitution on the quinoid E.T. band [see the spectra of 2-hydroxy-juglone (17, Fig. 3) and 3-hydroxyjuglone (18, Fig. 4)] while the position of the benzenoid E.T. band remains essentially unchanged. As expected both benzenoid and quinoid E.T. bands shift bathochromically in the spectra of 3,7-dimethoxyjuglone (20, Fig. 5) and spinochrome B [2,3,7-trihydroxyjuglone (21), Table 2].

A benzenoid E.T. band of 13 appears in the visible region of the spectrum at 429 mµ (ε 3800); this is a bathochromic shift of almost 100 mµ as compared with the 335 mµ band of 1 (Fig. 1). The band may involve excitation of the non-bounding electrons of the hydroxyl group to the quinone carbonyl antibonding orbitals. Note that a hypsochromic shift occurs when the polarity of the solvent is increased (Table 2). The position of this band is not appreciably affected by further substitution on the benzenoid ring at positions 6 and/or 7 or by substitution in the quinoid ring. Substitution at the other peri position, however, exerts a dramatic effect. In the spectrum of naphthazarin [5,8-dihydroxy-1,4-naphthoquinone (24), Fig. 1] the benzenoid E.T. band is bathochromically displaced by about 100 mµ compared with the corresponding band of juglone.

The anionic form of the peri hydroxyl produces a large bathochromic shift of the visible peak (Fig. 5). A smaller red shift is observed when a quinoidal hydroxyl group is present in the molecule (Figs. 3 and 4). It is interesting to note the twinned appearance of the visible peak of 2-hydroxyjuglone (17) in strong base.

			$\lambda_{\max}(\varepsilon)$ of E.T. bands		
No.	Compound -	Benzenoid	Quinoid	Benzenoid	Quinoid ^b
1		245 (22,100); 251 (23,450)	sh 257 (13,100)	335 (3040)	
2	Et of the second	245 (18,230); 251 (19,910)	259 (17,860); 268 (16,430)	335 (2680)	
3	Он	244 (15,580); 250 (18,150)	277 (15,900); 283 (15,960)	337 (3020)	sh 380 (750) ^d
4	ОМ	e 242 (16,680); 248 (17,950)	274 (16,260); 280 (16,300)	333 (2950)	
5	OA OA	c 252 (17,800)	252 (17,800)	341 (3190)	
6		ະ 244, 250	264, 269	337	

TABLE 1. ELECTRONIC ABSORPTION SPECTRA⁴ OF 2- AND 2,3-SUBSTITUTED 1,4-NAPHTHOQUINONES

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Electronic spectra of substituted naphthoquinones



TABLE 3—continued

" The spectra were determined in chloroform.

^b This quinoid E.T. band for the monosubstituted 1,4-naphthoquinones is generally of low intensity ($\varepsilon < 100$) and masked by the benzenoid E.T. band near 335 mµ.

^c The spectrum was determined immediately as an anomalous reaction with chloroform occurs on standing.

^d In methanol: sh 380 mμ (ε 1000).

^e Molar extinction coefficients were not determined.

^f Even though no distinct inflections are discernible on the low wavelength side of this band, there is enough absorption at 245–255 mµ to indicate the presence of the more familiar 245 and 250 mµ bands.



FIG. 2 Electronic absorption spectra of 2-methoxy-1,4-naphthoquinone and 2-hydroxy-3methoxy-1,4-naphthoquinone.



FIG. 3 Electronic absorption spectrum of 2-hydroxyjuglone.

The benzenoid E.T. band of 1 at 335 m μ is very sensitive to peri substitution. Even in 5-acetoxy-1,4-naphthoquinone (15) this band is bathochromically shifted by 10 m μ . Substitution at C-6 shows a less pronounced red shift, as in the spectrum of 6-hydroxy-1,4-naphthoquinone (16) where the E.T. band is found at 388 m μ . The visible E.T. absorptions of 21 (Table 2) and several other highly substituted juglones^{7, 11} have twin peaks which are generally centered about 425 m μ .

The band at 337 m μ (ϵ 1270) in the spectrum of 13 (Fig. 1) is tentatively assigned to a quinoid E.T. transition.



FIG. 4 Electronic absorption spectrum of 3-hydroxyjuglone.

Naphthazarins. The diagnostic features of the electronic spectrum of a naphthazarin (Fig. 1) are (1) a combined benzenoid and quinoid E.T. band in the 270–350 mµ region (ε 5000–10,000), (2) a benzenoid E.T. absorption of multibanded structure centered near 500 mµ (ε 6000–9000) and (3) a small quinoid E.T. band in the 330–500 mµ region (ε 000–1700).

The E.T. band in the 270-350 mµ region apparently is a combination of benzenoid and quinoid $p \rightarrow \pi^*$ excitations, which is not surprising when one considers the tautomeric nature of the naphthazarin system. Unlike 1,4-naphthoquinones and juglones which show two E.T. bands (one benzenoid and one quinoid) in this region, most naphthazarins exhibit only one E.T. band. The position of the E.T. band is important for elucidating the nature of β -substituents on the naphthazarin system.

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TABLE 2.

			BENZENOID RIN	ğ		
°N	Commoning	Colvent		$\lambda_{\max}, m\mu \left(\varepsilon \right)$ of	E.T. bands	
-0 1	ninoditio	MINCH	Benzenoid	Quinoid	Benzenoid	Quinoida
	4	CHCI,	251 (14.320)	251 (14 320)	429 (13800) sh 415 (3640)	(01C1) 7FF
13		МеОН	248 (12,880)	248 (12,880)	422 (3630), sh 407 (3550)	sh 340 (1130)
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	• - {					
14		CHCI3	247 (17,590)	247 (17,590)	396 (3320)	sh 324 (1270)
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15	$\left \right\rangle$	СНСІ,	244 (17,700); 250 (18,100)	sh 259 (11,650)	345 (2850)	<i>•</i>
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	0=					
16		CHCI3	261 (19,520)	254 (19,900)	388 (2410)	sh 344 (1760)
	Нон	НО∍М	259 (17,930)	254 (17,700)	374 (2880)	340 (2320)
	>					

IT A HOH	CHCI3	240 (9980)	286 (12,600)	430 (3660); 418 (3610)	a
но	MeOH	n.d. ^c	282 (11,800)	409 (3920)	
•	CHCI ₃	240 (7810)	283 (14,000)	419 (4410)	<i>a</i>
HO HO HO	МеОН	n.d.	282 (12,760)	410 (4220)	
61	CHCI ₃	263 (12,720)	sh 249 (10,920)	436 (3680)	• sh 371 (2230)
Meo	CHCI ₃	268 (10,900)	300 (15,380)	430 (4430)	P
20 OH OH	МеОН	266 (11,230)	299 (14,520)	423 (4180)	
12 HO	Меон	270 (15,940)	320 (7790)	387 (2800), 470 (1440)	٦

			TABLE 2continued			
Ž	Commonind	Solvent	$\hat{\lambda}_n$	_{ax} , mµ (ε) of E.T. b	ands	
.011	nunduio	11704100	Benzenoid	quinoid	benzenoid	quinoid ^a
R	a contraction of the second se	СНСІ3	244 (16,540), 250 (16,620)	sh 259 (10,980)	355 (3190)	_ ا
ß	OMe OMe	CHCI ₃ MeOH	255 (13,780) 254 (11,100)	255 (13,780) 254 (11,100)	445 (4340) 448 (4170)	• 313 (764) 324 (946)
^a Tentativ ^b Band is ^c Not dete	e assignment. presumably of low inten smined.	sity (compare with	1,4-naphthoquinone) and mask	ed by the larger be	nzenoid E.T. transit	ion.

⁴ Band not observed. Substituents on quinoid ring should engender a bathochromic shift of the transition and the larger visible band may masking its position.



FIG. 5 Electronic absorption spectrum of 3,7-dimethoxyjuglone.

For example it can readily be seen from Table 3 and Fig. 6 that a 20 mµ bathochromic shift of the E.T. band is associated with each β -hydroxyl attached to the naphthazarin nucleus. Methoxy groups also shift the E.T. band dramatically to the red, although for adjacent methoxyls the effect is diminished due to less overlap of the *p*-orbital electrons of the sterically crowded methoxyl groups with the naphthazar in π -system. The p-orbital electrons of an acetylated β -hydroxyl group are not available for transfer to the naphthazarin π -system.¹² The net effect on the position of the E.T. band is therefore comparable to that of an alkyl group. Compare the spectra of 2-ethylnaphthazarin (25) and 2-acetoxynaphthazarin (41) (Table 3 and Fig. 7). As long as no alkoxyl or O-acyl groups are present, the position of the E.T. band immediately reveals the number of β -hydroxyls attached to the naphthazarin nucleus.

The visible band is attributed to E.T. $p \rightarrow \pi^*$ excitations of the non-bonding electrons of the peri hydroxyls. A blue shift is noted on increasing the polarity of the solvent and the visible band is, as a rule, shifted to shorter wavelengths by electron-donating substituents and to higher wavelengths by electron-withdrawing groups (Table 3). A large bathochromic shift results from the anionic form of the peri hydroxyl (Fig. 8) and only small shifts are engendered from anionic β -hydroxyls.

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TABLE 3. E

No	Commoniad	Collicat	λ_{\max} mµ (ε) of E.T.	bands	
.0.1	Componing	11124100	Benzenoid	Quinoid	Combination
54	HO HO	CHCI ₃	564 (3720), 547 (3630), 524 (6050), 490 (5420)	338 (990)	269 (7460)
ע	рно	МеОН	553 (3070), 514 (5350), 484 (5170)	330 (1270)	267 (7160)
	OH OH	CHCI3	553 (3840), 516 (6450), 502 (5920), 486 (5820)	343 (570)	278 (9260)
2		МеОН	542 (3060), 508 (5140), 481 (4860)	333 (670)	275 (7220)
26 Ac	HO HO HO HO	СНСІ,	555 sh (4200),530 (6220), 508 (5840)	sh 335 (1250)	271 (7280)
ţ _	OH C	CHCI ₃	569 (4140), 526 (6760), 494 (5980)	340 (700)	284 (8560)
 17		МеОН	sh 555 (3290), 518 (5800), 490 (5580)	330 (840)	281 (7830)

294 (8880)	292 (8130) 290 (8560)	291 (10,790)	288 (9700) 302 (4570) 288 (4630) 249 (15,680)	295 (7920) 292 (7350)
359 (680)	sh 390 (1140) sh 390 (1700)	sh 380 (860)	(0711) 086 US	
569 (4950), 528 (7540), 494 (6270)	543 (4000), 528 (4640), 506 (6280), sh 496 (6160), 481 (5520) 534 sh (3320), 519 sh (<u>43</u> 10), 498 sh (5850), 488 (5960), 475 (5640)	540 (4150), 522 (4710), 503 (6980), sh 494 (6760), 475 (6300)	sh 530 (3590), sh 513 (4660), 494 (0470), sh 486 (6430), 472 (6150) 524 (4420), 511 (4490), 488 (6280), sh 478 (5960), 463 (5560)	533 (4370), 499 (7070), 473 (6410) sh 525 (4050), 494 (6680), 472 (6360)
CHCI3	CHCl ₃ MeOH	CHCI ₃	MeOH CHCI,	CHCI ₃ MeOH
	HO HO HO G			32 OH OMe

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No	Solvent	λ _{max} , mμ (ε) of E.T.	ands	
	100/100	Benzenoid	Quinoid	Combination
HO	0H CHCI3	532 (4180), sh 520 (3660), 494 (5670) 465 (4550)		310 (8920) 267 (4780)
	М€ОН	527 (3920), 514 (3580), 489 (5450), 562 (4560)	371 (1710)	310 (8640) 260 (5220)
он но но	oMe CHCI3	529 (6470), sh 518 (5500), 492 (8790), 464 (6710)		307 (10,520)
Meo OH O	МеОН	523 (5880), 488 (8070), 461 (6380)		303 (9150)
но н	0H CHCI3	558 (3290), 520 (5380), 490 (4800)		316 (7630) 269 (7320)
e e	МеОН	552 (3350), 515 (5520), 488 (5150)		315 (7460) 267 (7820)
Meo OH	OMe CHCI ₃	550 (5140), 532 (4850), 511 (8040), 499 (6950) 479 (6720)		309 (8580) 286 (7920)
	МеОН	543 (4570), 506 (7330), sh 491 (6700), 478 (6540)		307 (7370) 280 (7420)

37	но	CHCI ₃ MeOH	559 sh (1430), 519 sh (3570), 494 (4170), 469 (3950) 512 sh (3590) 488 (4000) 468 (4020)	. 69	23 (5610) 59 sh (9030) 21 (5170)
	5)=0 0=)=₩ H0 24			0	59 sh (9220)
38	OMe	CHCI ₃	529 (5400), 496 (7710), sh 474 (6580)		14 (8710)
	Meo H D OMe	МеОН	sh 518 (5420), 492 (7460), sh 478 (6750)		112 (7910)
	но но но но				
39	но Р Но он	МеОН	498 sh (4350), 475 (6060), 459 sh (5350)		557 (7660)
:	Meo OH OMe	CHC1 ₃	531 (5450), 496 (7940), 469 (6680)		328 (8500)
4	Meo H OMe	МеОН	524 (5260), 493 (7440), 468 (6580)		325 (7440) 235 (21,400)
	OH O HO				
41		CHCl ₃ ^a	560, 544 sh, 519, 488, 460 sh	0	280

$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	HCI ₃ ⁶ HCI ₃ ⁶	TABLE 3—continued Jmax, mµ (ɛ) of E.T. Benzenoid Jmax, mµ (ɛ) of E.T. Benzenoid S53, 542 sh, 513, 481, 547 sh 553, 542 sh, 513, 481, 547 sh S55, 513, 483, 453 sh 556, 516, 486, 460 sh S56, 516, 486, 460 sh	bands Quinoid sh 370 365 366	286 286 280 283





^b The shape of the qualitative spectrum is very similar to that of 2-ethylnaphthazarin (25).

• The shape of the quantative spectrum is very summar to that of 2-curymapituazatin (• The shape of the spectrum is very similar to that of 2-methoxynaphthazarin (30).



FIG. 6 Electronic absorption spectra of naphthopurpurin and spinochrome E (2,3,6,7-tetrahydroxynaphthazarin) in methanol.



FIG. 7 Electronic absorption spectra of 2-ethylnaphthazarin and 2-acetoxynaphthazarin.



FIG. 8 Electronic absorption spectrum of 2,6-dimethoxynaphthazarin.

The multibanded structure of the visible absorption is the most important criterion for ascertaining the relative positions of substituents on the naphthazarin system. Compare for example the spectra of the three isomeric dihydroxynaphthazarins (Fig. 9).

The small band in the valley between the visible band and the intense E.T. band is assigned to a quinoid E.T. band. It can always be seen in the spectra of monosubstituted naphthazarins and/of naphthazarin itself (Table 3). An expected bathochromic shift is observed when the group is electron-releasing. The quinoid E.T. band of 6-acetylnaphthazarin (18) is essentially unshifted since the acetyl is located on a predominantly benzenoid ring.⁵ Further substitution with electron-releasing groups usually causes a large enough bathochromic shift of the quinoid E.T. band that it becomes obscured by the much larger visible band.

EXPERIMENTAL¹³

Preparation of acetoxynaphthazarins.

Ketene gas was passed through a soln of 20–25 mg naphthapurpurin in 15 ml benzene for 5 min. The reaction was monitored by TLC on deactivated silica gel.¹⁴ When the starting material had almost disappeared, the mixture was evaporated *in vacuo* and the residual gummy solid was applied to thick layer plates of deactivated silica gel. An initial TLC purification in benzene followed by one in chloroform led



FIG. 9 Electronic absorption spectra of 2,3-, 2,6-, and 2,7-dihydroxynaphthazarin.

to pure acetoxynaphthazarin. The desired product was red and generally moved faster on TLC plates than the yellow periacetylated by-products. The acetoxynaphthazarin was crystallized from isooctane. The following derivatives were prepared from the corresponding hydroxy compounds: 2-acetoxynaphthazarin, m.p. 132–133°; 2,3-diacetoxynaphthazarin, m.p. 158–160°; 2,6-diacetoxynaphthazarin, m.p. 161–162°; 2,7-diacetoxynaphthazarin, m.p. 166–167°; 2-methoxy-3-acetoxynaphthazarin, m.p. not determined; 2-methoxy-6-acetoxynaphthazarin, m.p. 178–179°; and 2-methoxy-7-acetoxynaphthazarin, m.p. not determined. The NMR spectral data, except for 2-methoxy-3-acetoxynaphthazarin, have been reported elsewhere.⁵

The Charrier and Tocco reaction¹⁵ of 1,5-dinitro-2,6-dimethoxynaphthazarin

To a well-stirred mixture of 5 g 1,5-dinitro-2,6-dimethoxynaphthalene in 100 g conc H_2SO_4 , 3.5 g powdered S in 120 g fuming H_2SO_4 (30-33%) was added dropwise at such a rate that the temp was not allowed to exceed 40°. The dark purple soln, after an additional hour's stirring, was poured onto 1 kg ice and the mixture was filtered.

A. Isolation of 2,6-dimethoxynaphthazarin. The above filtrate was boiled for 30 min and then extracted with benzene. The product was chromatographed on an 80 cm \times 5 cm column of deactivated silica gel and the second band (orange) which was eluted with benzene yielded 100 mg 2,6-dimethoxynaphthazarin (2%), dark red needles from acetone, m.p. 295–296°. Only traces of other compounds were present.

B. Isolation of 3,7-dimethoxyjuglone. The above filtrate was extracted several times with ether and the product was chromatographed on an 80 cm \times 5 cm column of deactivated silica gel. Separation was achieved with benzene and six bands were eluted. The first band (yellow) after vacuum sublimation and crystallization from chloroform-isooctane yielded 50 mg 3,7-dimethoxyjuglone (1%) as small orange needles, m.p. 248–250° with subl.¹⁶

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