

# Structure of Kifunensine, a New Immunomodulator Isolated from an Actinomycete<sup>1)</sup>

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The structure of kifunensine, a new immunomodulator produced by a strain of *Kitasatospora*, has been established as **1** on the basis of chemical and physicochemical evidence and X-ray crystallographic analysis. Kifunensine is unique both in its novel structure, containing a 4,5-dioximidazolidine ring included in the bicyclic framework, and in its potent immunomodulating activity. It is a representative of a new class of 1,5-iminopyranoses.

**Keywords** immunomodulator;  $\alpha$ -mannosidase inhibitor; *Kitasatospora kifunense*; polyhydroxylated piperidine; 4,5-dioximidazolidine; kifunensine; X-ray analysis

As part of a continuing program to screen for immunologically active compounds of microbial origin, a search was undertaken for substances having activity to restore mitogenic responses depressed by immunosuppressive factors of tumors. Kifunensine was isolated as such an immunoregulatory substance from an actinomycete, *Kitasatospora kifunense* No. 9482.<sup>2)</sup> This compound was also found to possess an inhibitory activity against  $\alpha$ -mannosidase.<sup>1)</sup> In this paper we report the structural elucidation of this natural product.

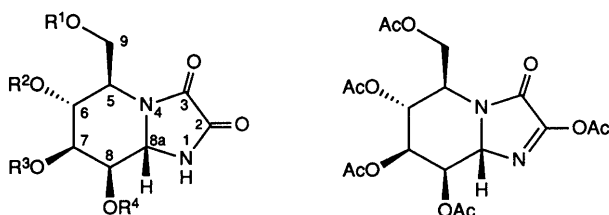
Kifunensine (**1**) was isolated as colorless prisms, mp > 280 °C,  $[\alpha]_D^{25} + 58.0^\circ$  ( $c=0.1$ , H<sub>2</sub>O). The molecular formula (C<sub>8</sub>H<sub>12</sub>N<sub>2</sub>O<sub>6</sub>) of **1** was established by elemental analysis and fast atom bombardment mass spectra (FAB-MS). The infrared (IR) spectrum showed absorption bands ascribable to hydroxy groups (3330, 3240, 3195 cm<sup>-1</sup>) and carbonyl functions (1740, 1727, 1710 cm<sup>-1</sup>).

The carbon nuclear magnetic resonance (<sup>13</sup>C-NMR) spectrum (Table I) showed eight carbon signals, of which two were observed in the *sp*<sup>2</sup> region ( $\delta$  164.2 (s), 162.8 (s))

and the remainder (six carbons) in the *sp*<sup>3</sup> region ( $\delta$  62.7 (t), 73.9 (d), 73.8 (d), 71.8 (d), 66.0 (d), 61.3 (d)), being assigned to two carbonyls (C-2 (or C-3), C-3 (or C-2)), one methylene (C-9), and five methines (C-8, C-7, C-6, C-8a, C-5). The chemical shifts of the C-9 methylene and three of the five methines (C-8, C-7, C-6), which all resonated at relatively low field, suggest that they bear hydroxy groups.

Acetylation of **1** with Ac<sub>2</sub>O in pyridine gave the pentaacetate **2**, whose proton nuclear magnetic resonance (<sup>1</sup>H-NMR) spectrum (Table II) showed five acetyl methyl signals at  $\delta$  2.60, 2.23, 2.10, 2.05, and 2.04. The relatively low chemical shift of one of these acetyl groups ( $\delta$  2.60) suggests this acetyl group to be an enol acetate. In the ultraviolet (UV) spectrum of **2**, a strong absorption band was observed at 232 nm ( $\epsilon=10000$ ), revealing that **2** has an  $\alpha,\beta$ -unsaturated carbonyl function and accordingly the original compound **1** has an enolizable  $\alpha,\beta$ -dicarbonyl system.

The <sup>1</sup>H-NMR spectrum of **1** (Table II) showed methylene signals at  $\delta$  4.02 (dd,  $J=12, 9.5$  Hz, H<sub>c</sub>) and 3.86 (dd,  $J=12, 4.5$  Hz, H-f) and methine signals at  $\delta$  3.72 (dd,  $J=9, 3$  Hz, H<sub>g</sub>), 4.11 (dd,  $J=3.5, 3$  Hz, H<sub>d</sub>), 4.20 (dd,  $J=3.5, 1$  Hz, H<sub>e</sub>), 4.41 (ddd,  $J=9.5, 4.5, 1$  Hz, H<sub>b</sub>), and 5.12 (d,  $J=9$  Hz, H<sub>a</sub>). An analysis of these signals in conjunction with a <sup>1</sup>H–<sup>1</sup>H shift correlation spectroscopy (<sup>1</sup>H–<sup>1</sup>H COSY) experiment clarified the H–H relationships as shown in Fig. 1. A two-dimensional incredible natural abundance double quantum transfer (2D INADEQUATE) experiment<sup>3)</sup> (D<sub>2</sub>O–NaOD) on **1** revealed C–C couplings between the



kifunensine(**1**): R<sup>1</sup>=R<sup>2</sup>=R<sup>3</sup>=R<sup>4</sup>=H  
**3**: R<sup>1</sup>, R<sup>2</sup>=R<sup>3</sup>, R<sup>4</sup>=>CMe<sub>2</sub>

Chart 1

TABLE I. <sup>13</sup>C-NMR (100 MHz) Chemical Shifts (in ppm) for **1** and **2**<sup>a)</sup>

C	<b>1</b> <sup>b)</sup>	<b>2</b> <sup>c)</sup>
2	164.2 (s) <sup>d)</sup>	156.1 (s) <sup>e)</sup>
3	162.8 (s) <sup>d)</sup>	156.0 (s) <sup>e)</sup>
5	61.3 (d)	52.7 (d)
6	71.8 (d)	66.9 (d)
7	73.8 (d)	68.5 (d)
8	73.9 (d)	71.0 (d)
8a	66.0 (d)	62.9 (d)
9	62.7 (t)	59.7 (t)

a) Abbreviations given in parentheses denote signals observed in the off-resonance experiments. b) D<sub>2</sub>O. c) CDCl<sub>3</sub>. d, e) Assignments may be interchanged in each column.

TABLE II. <sup>1</sup>H-NMR (400 MHz) Chemical Shifts (in ppm), Multiplicities, and Coupling Constants (in Hz, in Parentheses) for **1**, **2**, and **3**

H	<b>1</b> <sup>a)</sup>	<b>2</b> <sup>b)</sup>	<b>3</b> <sup>b)</sup>
1-H			9.00 br s
5-H	4.41 ddd (9.5, 4.5, 1)	4.83 ddt (10, 5, 1.5)	3.59 ddd (11, 10, 5)
6-H	4.20 dd (3.5, 1)	5.08 dd (4, 1.5)	4.23 dd (11, 8)
7-H	4.11 dd (3.5, 3)	5.33 ddd (4, 3, 1.5)	4.36 dd (8, 8)
8-H	3.72 dd (9, 3)	5.02 dd (9, 3)	4.05 dd (8, 8)
8a-H	5.12 d (9)	5.91 d (9)	4.91 d (8)
9-H <sub>a</sub>	4.02 dd (12, 9.5)	4.60 dd (12, 10)	3.80 dd (12, 10)
9-H <sub>b</sub>	3.86 dd (12, 4.5)	4.29 dd (12, 5)	4.67 dd (12, 5)
2-OAc, 3H s		2.60	
6,7,8,9-O-Ac, each 3H s		2.23, 2.10, 2.05, 2.04	
7,8,6,9-Acetonides, each 3H s			1.57, 1.55, 1.48, 1.36

a) D<sub>2</sub>O. b) CDCl<sub>3</sub>.

carbons bonded directly to each other, disclosing the sequence of these carbons as shown in partial structures A and B (Fig. 2).

A reasonable connection of these partial structures A and B to partial structure C was obtained by analysis of the  $^1\text{H}$ -NMR spectrum of the diacetone 3, which was prepared by treatment of 1 with 2,2-dimethoxypropane in the presence of TsOH. The spectrum showed methylene and methine proton signals at  $\delta$  4.67 (dd,  $J=12$ , 5 Hz, 9- $\text{H}_a$ ), 3.80 (dd,  $J=12$ , 10 Hz, 9- $\text{H}_b$ ), 4.05 (dd,  $J=8$ , 8 Hz, 8-H), 4.36 (dd,  $J=8$ , 8 Hz, 7-H), 4.23 (dd,  $J=11$ , 8 Hz, 6-H), 4.91 (d,  $J=8$  Hz, 8a-H), and 3.59 (ddd,  $J=11$ , 10, 5 Hz, 5-H) (Table II). The spectrum further showed an exchangeable amide proton at  $\delta$  9.00 (br s, 1-H), between which and the C-8a proton a cross-peak was observed in the  $^1\text{H}$ - $^1\text{H}$  COSY spectrum ( $\text{CDCl}_3$ ). These data indicated the bonding of the amide N to C-8a and thereby led to partial structure C. The two acetone bonds are postulated to be between C-9 and C-6 and between C-7 and C-8 on the assumption that they are five- or six-membered rings. A reasonable cyclization of this partial structure through the remaining tertiary nitrogen atom (N-4) finally leads to structure 3 for the diacetone and the structure for kifunensine is hence deduced to be 1.

The relative stereochemistry of 1 was presumed on the following grounds (Fig. 3). In the  $^1\text{H}$ -NMR spectrum of 1, nuclear Overhauser effect (NOE) was observed between 8a-H and 9- $\text{H}_a$ , suggesting that 8a-H and the C9-hydroxymethyl group are 1,3-diaxial and hence that the piperidine ring of 1 takes a chair form. Supposing this is

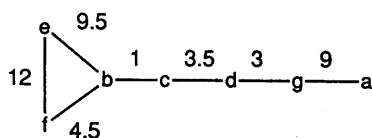


Fig. 1.  $^1\text{H}$ - $^1\text{H}$  Relationships and Coupling Constants (in Hz) in 1

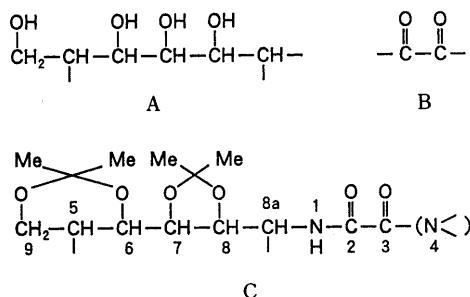


Fig. 2. Partial Structures of 1 and 3

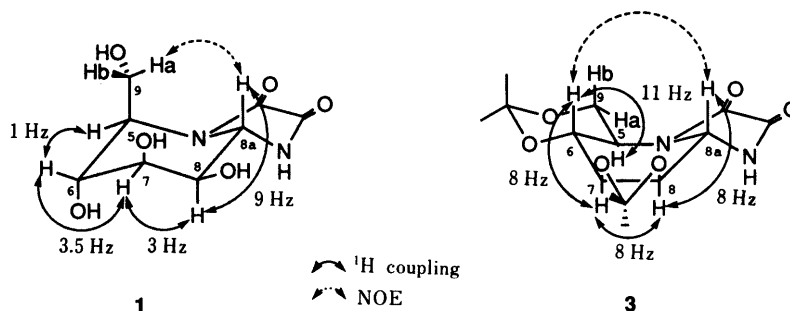


Fig. 3.  $^1\text{H}$ -NMR Coupling Constants and NOE in 1 and 3

correct, the fact that the vicinal coupling constant of 8-H and 8a-H was large ( $J=9$  Hz) suggests these protons to be in *trans* diaxial relationship. Since the coupling constant between 7-H and 8-H was small ( $J=3$  Hz), 7-H was assigned to be equatorial. Regarding the relative configuration of the remaining C-6, an NOE experiment was undertaken on the diacetone 3. In this experiment, NOE was observed between 6-H and 8a-H in 3, suggesting that the piperidine ring takes a boat form in which these protons exist on the same side of the piperidine ring. In the  $^1\text{H}$ -NMR spectrum of 3, the coupling constants between the two vicinal protons are all relatively large (5-H and 6-H, 11 Hz; 6-H and 7-H, 8 Hz; 7-H and 8-H, 8 Hz; 8-H and 8a-H, 8 Hz). All these protons are therefore presumed to be quasi-axial, in agreement with the conclusion derived from the above discussion. The relative stereochemistry of kifunensine has thus been deduced to be as shown in 1.

The presumed structure of 1 was finally established by X-ray crystallographic analysis using crystals of kifunensine itself obtained from water. A perspective drawing of the

TABLE III. Atomic Coordinates and Thermal Parameters with e.s.d.'s ( $\text{\AA}^2$ ) in Parentheses

Atom	x	y	z	$B_{\text{eq}}/B_{\text{iso}}$
N1	0.2443 (3)	0.4465 ( 5)	0.0351 (3)	1.7
C2	0.4163 (4)	0.4671 ( 5)	0.0705 (4)	1.5
C3	0.4770 (4)	0.3539 ( 5)	0.2195 (3)	1.4
N4	0.3370 (3)	0.2615 ( 4)	0.2497 (3)	1.3
C5	0.3288 (4)	0.1240 ( 5)	0.3752 (3)	1.3
C6	0.1833 (4)	0.1949 ( 5)	0.4536 (3)	1.5
C7	0.0146 (4)	0.2328 ( 6)	0.3387 (3)	1.4
C8	0.0425 (4)	0.3824 ( 6)	0.2144 (3)	1.5
C8a	0.1817 (4)	0.3016 ( 5)	0.1338 (3)	1.4
C9	0.3135 (4)	-0.0944 ( 5)	0.3193 (4)	1.6
O10	0.5114 (4)	0.5533 ( 5)	-0.0012 (3)	2.3
O11	0.6243 (3)	0.3511 ( 5)	0.2926 (3)	2.1
O12	0.4676 (3)	-0.1562 ( 4)	0.2728 (3)	2.0
O13	0.2282 (3)	0.3805 ( 5)	0.5318 (3)	2.1
O14	-0.0493 (3)	0.0483 ( 4)	0.2684 (3)	1.8
O15	-0.1085 (3)	0.4057 ( 5)	0.0984 (3)	2.6
H1	0.173 (7)	0.513 (10)	-0.048 (6)	3.5
H5	0.446 (6)	0.131 ( 9)	0.456 (6)	2.0
H6	0.166 (6)	0.084 ( 9)	0.532 (6)	1.8
H7	-0.074 (6)	0.294 ( 9)	0.398 (6)	2.1
H8	0.076 (7)	0.526 (10)	0.268 (6)	2.8
H8a	0.131 (6)	0.174 ( 9)	0.072 (6)	2.0
H9a	0.207 (6)	-0.112 (10)	0.226 (5)	2.3
H9b	0.291 (6)	-0.191 ( 9)	0.407 (6)	2.4
H12	0.473 (7)	-0.085 (11)	0.182 (6)	3.6
H13	0.353 (7)	0.380 (13)	0.600 (6)	4.1
H14	-0.115 (8)	-0.006 (11)	0.338 (7)	4.1
H15	-0.204 (7)	0.401 (11)	0.147 (6)	3.7

TABLE IV. Bond Lengths (Å) and Angles (°) with Their e.s.d.'s in Parentheses

Bond length (Å)			
N1-C2	1.344 (5)	N1-C8a	1.458 (5)
N1-H1	0.95 (7)	C2-C3	1.520 (5)
C2-O10	1.226 (5)	C3-N4	1.343 (4)
C3-O11	1.219 (5)	N4-C5	1.457 (4)
N4-C8a	1.466 (5)	C5-C6	1.539 (5)
C5-C9	1.529 (5)	C5-H5	1.06 (6)
C6-C7	1.535 (5)	C6-O13	1.425 (5)
C6-H6	1.05 (6)	C7-C8	1.538 (5)
C7-O14	1.421 (5)	C7-H7	1.04 (6)
C8-C8a	1.531 (5)	C8-O15	1.426 (5)
C8-H8	1.087 (7)	C8a-H8a	1.05 (6)
C9-O12	1.428 (5)	C9-H9a	1.07 (7)
C9-H9b	1.05 (6)	O12-H12	0.95 (7)
O13-H13	1.05 (9)	O14-H14	0.96 (7)
O15-H15	0.94 (8)		
Bond angle (°)			
C2-N1-C8a	112.2 (3)	C2-N1-H1	124 (4)
C8a-N1-H1	124 (4)	N1-C2-C3	106.5 (3)
N1-C2-O10	128.8 (4)	C3-C2-O10	124.6 (3)
C2-C3-N4	105.8 (3)	C2-C3-O11	125.3 (3)
N4-C3-O11	128.9 (3)	C3-N4-C5	127.4 (3)
C3-N4-C8a	112.8 (3)	C5-N4-C8a	119.7 (3)
N4-C5-C6	108.2 (3)	N4-C5-C9	110.8 (3)
N4-C5-H5	109 (3)	C6-C5-C9	114.9 (3)
C6-C5-H5	108 (3)	C9-C5-H5	105 (3)
C5-C6-C7	112.2 (3)	C5-C6-O13	110.5 (3)
C5-C6-H6	108 (3)	C7-C6-O13	106.5 (3)
C7-C6-H6	111 (3)	O13-C6-H6	109 (3)
C6-C7-C8	110.8 (3)	C6-C7-O14	109.9 (3)
C6-C7-H7	108 (3)	C8-C7-O14	109.1 (3)
C8-C7-H7	109 (3)	O14-C7-H7	110 (3)
C7-C8-C8a	109.6 (3)	C7-C8-O15	111.9 (3)
C7-C8-H8	108 (3)	C8a-C8-O15	106.0 (3)
C8a-C8-H8	113 (3)	O15-C8-H8	109 (3)
N1-C8a-N4	101.9 (3)	N1-C8a-C8	114.8 (3)
N1-C8a-H8a	111 (3)	N4-C8a-C8	108.4 (3)
N4-C8a-H8a	114 (3)	C8-C8a-H8a	107 (3)
C5-C9-O12	110.6 (3)	C5-C9-H9a	111 (4)
C5-C9-H9b	111 (3)	O12-C9-H9a	110 (4)
O12-C9-H9b	109 (3)	H9a-C9-H9b	106 (5)
C9-O12-H12	107 (4)	C6-O13-H13	113 (5)
C7-O14-H14	103 (4)	C8-O15-H15	107 (5)

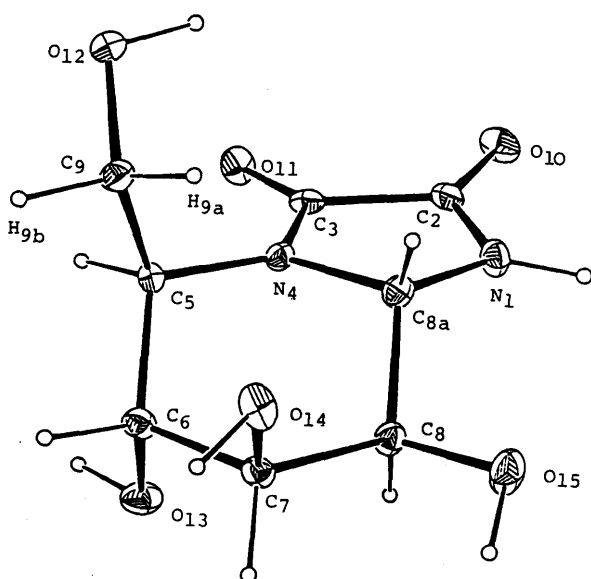


Fig. 4. An Ortep Drawing of 1

structure of **1** is given in Fig. 3. Kifunensine was thus determined to have the structure **1** (relative stereochemistry). The absolute stereochemistry was presumed, by considering the fact that **1** showed inhibitory activity against  $\alpha$ -mannosidase, to be the same as that of D-mannose and this was finally confirmed by a synthesis of **1** from D-mannosamine.<sup>4)</sup>

The structural study described above thus revealed that kifunensine has a unique bicyclic structure **1** corresponding to a cyclic oxamide derivative of 1-amino-substituted mannojirimycin.<sup>5,6)</sup> Synthetic studies of kifunensine and related compounds will be reported in subsequent papers in this series.

### Experimental

**General Procedures** The melting points were determined in open capillary tubes with a Thomas-Hoover melting point apparatus and are uncorrected. The elemental analyses were performed using a Yanaco MT-3 CHN CORDER. The optical rotations were measured with a JASCO DIP-140 digital polarimeter. The IR spectra were taken on a JASCO A-102 infrared spectrophotometer. The UV spectra were taken on a Hitachi 220A spectrophotometer. The <sup>1</sup>H- and <sup>13</sup>C-NMR spectra were taken on a Bruker AM 200 (200 MHz for <sup>1</sup>H-NMR) or Bruker AM 400 (400 MHz for <sup>1</sup>H-NMR and 100 MHz for <sup>13</sup>C-NMR) NMR spectrometer with tetramethylsilane (TMS) or sodium 3-(trimethylsilyl)propionate-*d*<sub>4</sub> (TSP-*d*<sub>4</sub>) as an internal standard, and chemical shifts were recorded in  $\delta$  values. Multiplicities of <sup>13</sup>C-NMR signals were determined by the distortionless enhancement by polarization transfer (DEPT) method. Pulse programs of the standard Bruker software library were used for 2D experiments. The FAB-MS were taken on a VG Analytical ZAB-SE mass spectrometer. Column chromatography was run on Merck Silica gel 60 (70–230 mesh). Thin layer chromatography (TLC) was performed on glass plates precoated with Silica gel 60F<sub>254</sub> (Merck).

**Kifunensine (1)** Colorless prisms, mp > 280 °C (H<sub>2</sub>O), [ $\alpha$ ]<sub>D</sub> + 58.0° (*c* = 0.1, H<sub>2</sub>O). Anal. Calcd for C<sub>8</sub>H<sub>12</sub>N<sub>2</sub>O<sub>6</sub>: C, 41.38; H, 5.21; N, 12.06. Found: C, 40.94; H, 5.07; N, 11.78. IR (KBr): 3405, 3330, 3240, 3195, 2920, 1740, 1727, 1710, 1452, 1100, 1070, 1052, 1010 cm<sup>-1</sup>. UV  $\lambda_{\text{max}}^{\text{H}_2\text{O}}$  nm ( $\epsilon$ ): 226 (230). <sup>1</sup>H- and <sup>13</sup>C-NMR: see Tables I and II. FAB-MS *m/z*: 233 (M + H)<sup>+</sup>.

**Kifunensine Pentaacetate (2)** Acetic anhydride (10 ml) was added to a suspension of **1** (1.73 g) in pyridine (20 ml) and the mixture was stirred at room temperature for 20 h. The reaction mixture was evaporated *in vacuo* and the residue was dissolved in AcOEt and washed with 1N aqueous HCl, water, saturated aqueous NaHCO<sub>3</sub>, and water. The organic layer was dried over MgSO<sub>4</sub>, evaporated *in vacuo*, and purified by column chromatography (SiO<sub>2</sub> 30 g, CH<sub>2</sub>Cl<sub>2</sub>-MeOH) to furnish **2** (2.92 g, 84%). **2**, amorphous solid, [ $\alpha$ ]<sub>D</sub> -35.3° (*c* = 0.6, CHCl<sub>3</sub>). Anal. Calcd for C<sub>18</sub>H<sub>22</sub>N<sub>2</sub>O<sub>11</sub>: C, 48.87; H, 5.01; N, 6.33. Found: C, 48.61; H, 4.92; N, 6.20. IR (CHCl<sub>3</sub>): 1778, 1750, 1432, 1368, 1250, 1210, 1142, 1058 cm<sup>-1</sup>. UV  $\lambda_{\text{max}}^{\text{MeOH}}$  nm ( $\epsilon$ ): 232 (10000). <sup>1</sup>H- and <sup>13</sup>C-NMR: see Tables I and II. FAB-MS *m/z*: 433 (M + H)<sup>+</sup>.

**Kifunensine Diacetone (3)** A suspension of **1** (200 mg) in *N,N*-dimethylformamide (DMF) (5.0 ml) was treated with 2,2-dimethoxypropane (3.2 ml) and TsOH·H<sub>2</sub>O (20 mg) at 60 °C for 12 h under an N<sub>2</sub> atmosphere. After neutralization with saturated aqueous NaHCO<sub>3</sub>, the solvent was removed under reduced pressure and the residue was extracted with MeOH (15 ml). The extract was evaporated *in vacuo* and purified by column chromatography (SiO<sub>2</sub> 10 g, CHCl<sub>3</sub>-AcOEt) to afford the diacetone **3** (223 mg, 83%). **3**, colorless fine crystals, mp 275–278 °C (dec., *n*-hexane-AcOEt), [ $\alpha$ ]<sub>D</sub> -66.6° (*c* = 0.5, MeOH). Anal. Calcd for C<sub>14</sub>H<sub>20</sub>N<sub>2</sub>O<sub>6</sub>: C, 53.84; H, 6.45; N, 8.97. Found: C, 53.87; H, 6.77; N, 8.99. IR (CHCl<sub>3</sub>): 3415, 2993, 1754, 1419, 1375, 1100, 1074 cm<sup>-1</sup>. <sup>1</sup>H-NMR: see Table II. FAB-MS *m/z*: 313 (M + H)<sup>+</sup>.

**X-Ray Analysis of 1** The crystals were recrystallized from water: C<sub>8</sub>H<sub>12</sub>N<sub>2</sub>O<sub>6</sub>, prisms, monoclinic, space group *P*2<sub>1</sub>, *a* = 7.934(2), *b* = 6.634(1), *c* = 8.933(3) Å,  $\beta$  = 101.59(3)°, *V* = 460.6(2) Å<sup>3</sup>, *Z* = 2, *D<sub>x</sub>* = 1.68 g/cm<sup>3</sup>,  $\mu$  = 0.93 cm<sup>-1</sup>. The X-ray diffraction intensity data from a selected crystal (0.15 × 0.05 × 0.05 mm) were obtained on a Rigaku AFC-5R diffractometer equipped with a rotating anode X-ray generator (50 KV–180 mA), using graphite-monochromated MoK $\alpha$  radiation ( $\lambda$  = 0.71069 Å). A total of 1461 independent reflections with  $2\theta < 60^\circ$  were collected in the  $\omega$ - $2\theta$  scaling mode. The structure was solved by the direct

method using MULTAN 84 (Main *et al.*, 1984). The H atoms were located from difference Fourier syntheses. The refinement was carried out by the block-diagonal least-squares method with anisotropic thermal parameters for non H atoms and with isotropic thermal parameters for H atoms. The *R* factor was reduced to 0.047 using 1273 reflections with  $F_o \geq 3\sigma(F_o)$ . The atomic parameters, bond lengths and bond angles are given in Tables III and IV.

#### References and Notes

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