# The 7-Cycloheptatrienylmethyl/7-Norcaradienylmethyl Cation System<sup>1</sup>

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Reaction of 7-carbomethoxycycloheptatriene with either BCl<sub>3</sub> or BBr<sub>3</sub> gave a 1:1 complex in which the Lewis acid was complexed to the carbonyl oxygen. The low temperature p.m.r. and u.v. spectra of these zwitterions indicated that they existed almost entirely in the norcaradiene forms 10 and 14, respectively. In contrast, reaction of N,N-dimethyl-1,3,5-cycloheptatriene-7-carboxamide with BCl<sub>3</sub> was found to result in the formation of a mixture of the cycloheptatriene 12 (65%) and norcaradiene 13 (35%). Comparison of the chemical shifts of the cyclopropyl proton resonances of 10 and 14 with model compounds showed that their positions were anomolous, the C<sub>7</sub> protons resonating at much higher and the C<sub>1</sub> and C<sub>6</sub> protons resonating at much lower field than expected and to account for this it is suggested that these norcaradienes are aromatic systems. Both 10 and 14 were thermally unstable and underwent an irreversible rearrangement to form the complex of methyl phenylacetate, the phenylmethylacylium cation and the appropriate counterion, CH<sub>3</sub>OBCl<sub>3</sub> or CH<sub>3</sub>OBBr<sub>3</sub>, respectively. The rate of these rearrangements and the nature of the Lewis acid.

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La réaction du carbométhoxy-7 cycloheptatriène avec soit le BCl<sub>3</sub> soit le BBr<sub>3</sub> donne un complexe 1:1 dans lequel l'acide de Lewis est complexé à l'oxygène du carbonyle. Les spectres r.m.n. et u.v. à basse température de ces ions hermaphrodites indiquent qu'ils existent presque entièrement dans les formes respectives 10 et 14 du norcaradiène. Par opposition avec ces résultats, la réaction de la N,N-diméthylcycloheptatriène-1,3,5 carboxamide-7 avec BCl<sub>3</sub> conduit à la formation d'un mélange de cycloheptatriène 12 (65%) et de norcaradiène 13 (35%). La comparaison des déplacements chimiques des résonances des protons du cyclopropyle de 10 et 14 avec ceux de composés modèles montrent que leurs positions sont anormales; les protons  $C_7$  présentent une résonance à des champs beaucoup plus haut et les protons en  $C_1$  et  $C_6$ présentent des résonances à des champs beaucoup plus bas que ceux attendus et pour tenir compte de ces résultats, on suggère que ces norcaradiènes sont des systèmes aromatiques. Les composés 10 et 14 sont thermiquement instables et subissent des réarrangements irréversibles pour former respectivement le complexe du phénylacétate de méthyle, le cation phénylméthylacylium et l'ion antagoniste approprié CH<sub>3</sub>OBCl<sub>3</sub> ou CH<sub>3</sub>OBBr<sub>3</sub>. On a trouvé que les vitesses de ces réarrangements et la distribution des produits dépendent à la fois du rapport d'acide de Lewis l'ester utilisé et de la nature de l'acide de Lewis. [Traduit par le journal]

Just as the monocyclic  $(CH)_n^+$  cations, such as the cyclopropenium (1), cyclopentadienyl (2), and tropylium (3) cations, have been important in the development of the concept of aromaticity, so their monohomo counterparts, 1, 2, and 3, have been of consequence in the evolution of the concepts of homoaromaticity (4). More recently, following the observation of a degenerate circumambulation of the cyclopropane around the periphery of the five-membered ring of 2 and its derivatives (5), cations 1, 2, and 3 have taken on a new significance in terms of the importance of orbital symmetry control of a molecular rearrangement. The degenerate rearrangement exhibited by 2, which can be regarded as a 1,4 sigmatropic shift, takes place with a very high stereoselectivity in just that mode demanded by the dictates of orbital symmetry (6). The transition state for the rearrangement can be regarded as the cyclopentadienylmethyl cation 4, in which, despite the overlap of the formally vacant p orbital on C<sub>6</sub> with the diene unit, a substantial fraction of the positive charge is localized on this carbon (5).

While comparable rearrangements of 1 and 3 have been considered from a theoretical viewpoint, as yet they have not been detected experimentally (7, 8). For example, with 3 and its

1818

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derivatives, competing reactions such as ring contraction or decomposition set in before the onset of any degenerate rearrangement. By analogy with the circumambulatory isomerization of 2, a cycloheptatrienyl cation might well be expected to be involved in a degenerate rearrangement of 3. However, as yet no consideration has been given to the importance of the structure and properties of a cation such as 5 in determining the possible occurrence of a degenerate isomerization of a homotropylium cation.

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Information about the cycloheptatrienylcarbinyl cation, available at the outset of this work, derived very largely from the results of a solvolytic study of 6 reported by Sargent et al. (9), and a number of others (10).<sup>3</sup> It was suggested by Sargent that the cation involved in this solvolysis was 7 rather than 5 and that no products derived from the homotropylium cation, which could have been formed by rearrangement of 5, could be detected. Factors affecting the position of the equilibrium between cycloheptatriene and norcaradiene valence tautomers, such as 5 and 7, have recently been considered by both Hoffmann (11) and Günther (12) and it was pointed out that a carbonium ion center attached to  $C_7$ should very effectively stabilize the bicyclic form.

To examine the question of the structure of 5

and whether degenerate migrations of C8 of a homotropylium cation can occur or not, we have attempted the preparation of some 7-cycloheptatrienylmethyl cations. As previously it had been shown to be possible to prepare derivatives of 4 by reaction of a 5-acylcyclopentadiene with strong Lewis acids (12), the extension of these techniques to cycloheptatriene derivatives has been investigated. We report here on the preparation of derivatives of 5 and 7, on the role of the C7 substituents in determining the equilibrium position, on the thermal rearrangement of these cations, and on the possible aromatic nature of 7. Recently in a series of papers Betz and Daub (14) have reported the preparation of some differently substituted derivatives of these cations and in some respects our results parallel their findings.



# **Results and Discussion**

Treatment of a  $CD_2Cl_2$  solution of 7-carbomethoxycycloheptatriene, 8, with BCl<sub>3</sub> in  $CD_2$ - $Cl_2$  at  $-60^\circ$  resulted in the quantitative formation of a 1:1 complex of the ester and Lewis acid. The low temperature p.m.r. spectrum of the complex was independent of the ratio of the Lewis acid to ester used provided that there was at least a molar equivalent of the acid present. The complex was found to be stable at low temperatures and could be reacted with dimethylamine to regenerate 8 in high yield.



10

1819

<sup>&</sup>lt;sup>3</sup>The acetolysis of (7-cycloheptatrienyl)methyl tosylate at 24° proceeds with a first-order rate constant of 5.2  $\pm$  0.1 s<sup>-1</sup>. The only product detected was styrene (>93% recovery). (Unpublished results of R. F. Childs and S. Winstein, University of California at Los Angeles, 1968.)

CAN. J. CHEM. VOL. 53, 1975

	Temperature (°C)	Proton chemical shifts*					
Compound		H <sub>1</sub> /H <sub>6</sub>	$H_2/H_5$	$H_3/H_4$	H <sub>7</sub>	Other	
8	37	5.43	6.24	6.63	2.48	3.72	
10	-40	3.41	6.14-6.54	6.14-6.54	1.60	4.14	
14	-40	3.48	6.2-6.6	6.2-6.6	1.65	4.14	
11	37	5.46	6.25	6.68	2.40	2.85, 2.95	
12 + 13	-40	4.81	6.35	6.52	3.20	3.43	
15†	37	5.78	5.78	1.98-2.52	4.23	3.69	
16†	-40	5.58	6.13	2.37	5.31	4.28	

TABLE 1. Proton chemical shifts of cycloheptatrienes and zwitterions	TABLE 1.	Proton	chemical	shifts	of	cycloheptatrienes	and	zwitterions
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\*In p.p.m. from TMS using CHCl<sub>3</sub> (taken as 7.30) as a secondary standard for the solutions of the zwitterions. †Numbering schemes of cycloheptatriene maintained so as to facilitate comparison (ester grouping is then assumed to be attached to C<sub>7</sub>).

The p.m.r. spectrum of the complex (Table 1 and Fig. 1) was completely consistent with the formation of a zwitterion in which the Lewis acid has reacted with the carbonyl oxygen of the ester. For example, the resonance attributable to the methoxy group is deshielded by the appropriate amount on reaction with  $BCl_3$  and the signals due to the ring protons retain their plane of symmetry. It is also clear from the resonance



FIG. 1. Proton magnetic resonance spectra of cycloheptatrienes and their BCl<sub>3</sub> complexes in  $CD_2Cl_2$ . 7-Carbomethoxycycloheptatriene, 8, spectrum A, and its BCl<sub>3</sub> complex 10, spectrum B. N,N-Dimethylcycloheptatriene-7-carboxamide, 11, spectrum C, and its BCl<sub>3</sub> complexes 12 and 13, spectrum D. (Resonance at 5.36 due to CHDCl<sub>2</sub>.) position of the ring protons that the complex cannot be simply regarded as having the structure 9 but must be very largely in the norcaradiene form 10. Thus the signals of the hydrogens in  $C_1$ ,  $C_6$ , and  $C_7$  all occur at considerably higher field than those of 8 and appear in similar regions to those encountered for other norcaradienes (14, 15).

Ciganek (16) has shown the rate of interconversion of a substituted cycloheptatriene and norcaradiene to be slow enough at  $-110^{\circ}$  to enable them to be observed as separate species by p.m.r. No additional p.m.r. signals could be detected when a  $CD_2Cl_2/CF_2ClH$  solution of the complex was cooled to  $-135^{\circ}$ , indicating that the zwitterion exists predominantly in one form.

The low temperature u.v. spectrum of the complex in  $CH_2Cl_2$  exhibited a single, fairly intense band at  $\lambda_{max}$  284 (log  $\varepsilon$  4.3). The position of this absorption is again indicative of the structure of the zwitterion being 10, the absorption being at too long a wavelength to be attributable to a structure such as 9.

Formally, two stereoisomers of 10 are possible in which the C<sub>7</sub> substituent can be either in the *exo* or *endo* position, 10*a*, and 10*b*, respectively. From the n.m.r. spectrum obtained, it is clear that only one of these two isomers is present. Comparison of the magnitude of the coupling constant between the protons on C<sub>7</sub> and C<sub>1</sub>/C<sub>6</sub> (J = 3.1 Hz) with that reported for other cyclopropylcarbinyl cations, would indicate that the isomer formed is 10*a*(17). Stohrer and Daub have found a similar preference for the formation of the *exo* isomer and this has been attributed to unfavorable secondary interactions in the *endo* isomer, 10*b*, between the p orbital on C<sub>7</sub> and the diene molecular orbitals (18).

1820

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The dimethylamide 11 when reacted with  $BCl_3$  in  $CD_2Cl_2$ , gave a zwitterion with a p.m.r. spectrum at  $-40^{\circ}$  that indicated complexation had occurred with the carbonyl oxygen (19). However, the chemical shifts of the ring proton resonances (Table 1, Fig. 1) were now intermediate between those observed for 10 and 11. It would appear that both 12 and 13 are being produced on reaction of 11 with BCl<sub>3</sub> and that these valence tautomers are interconverting sufficiently rapidly at  $-40^{\circ}$  for their p.m.r. spectra to be averaged. The low solubility of these amide complexes prevented p.m.r. spectra from being obtained at sufficiently low temperatures to stop this interconversion. Reaction of 12 and 13 with dimethylamine regenerated 11 in high yield.



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As a dimethylamino group is known to be more effective at stabilizing a positive charge than is a methoxy group (20), it would appear that the position of the equilibrium between the norcaradiene and cycloheptatriene forms of these zwitterions is dependent on the electron deficiency of  $C_8$ . The more electron deficient  $C_8$  becomes, the greater is the amount of norcaradiene present.

Confirmation that the complex derived from 8 existed almost entirely in the norcaradiene form 10 was obtained by reaction of 8 with  $BBr_3$ . The zwitterion so obtained, which was stable at low

temperatures and could be reacted with dimethylamine to give back 8, exhibited a p.m.r spectrum that was directly comparable to that of 10, suggesting that its structure can be regarded as 14. Complexation of the oxygen atom of a carbonyl group with BBr<sub>3</sub> results in the accumulation of a larger fraction of the positive charge on the carbon atom of the group than similar BCl<sub>3</sub> complexes (13, 21). Thus replacement of the  $BCl_3$  in the complexes derived from 8 by BBr<sub>3</sub> would be expected to result in an increase in the amount of norcaradiene present and unless the equilibrium mixture of 9 and 10 was almost completely in favor of 10 with BCl<sub>3</sub>, a net upfield shift of  $C_1/C_6$  and  $C_7$  protons would occur. As no such change was observed (Table 1) it is clear that the complexes of  $\mathbf{8}$  with both BCl<sub>3</sub> and BBr<sub>3</sub> must exist almost completely in the norcaradiene forms 10 and 14, respectively.



To evaluate the position of the equilibrium between 12 and 13, it is necessary to know the resonance positions of the signals of some of the protons in unaveraged spectra of 12 and 13. An estimate for the position of the  $C_7$  and  $C_1/C_6$ proton resonances of 12 can be obtained by adding to the values found for the corresponding protons of the uncomplexed cycloheptatriene, the appropriate changes in chemical shifts observed on complexation of the model compound 15.<sup>4</sup> As the zwitterion formed from reaction of 8 with  $BCl_3$  has been shown to be in the norcaradiene form, the resonance positions of the  $C_1/C_6$  and  $C_7$  protons of 13 were taken to be those observed for 10. On this basis the amount of norcaradiene 13 present in the equilibrium mixture of 12 and 13 was found to be 35%.

<sup>&</sup>lt;sup>4</sup>Compound 15 was prepared by the addition of 4phenyl-1,2,4-triazoline-3,5-dione to 7-carbomethoxycycloheptatriene, reduction of the so formed adduct with  $H_2/Pd$  and subsequent hydrolysis, oxidation, nitrogen elimination, and esterification. Experimental details will be given in a forthcoming full paper.



# The Structure of Norcaradienes 10 and 14

It is instructive to compare the position of the  $C_7$  and  $C_1/C_6$  proton resonances of 10 and 14 with that generally encountered for the comparable protons of cyclopropylcarbinyl cations. Frequently, the ring proton resonances of a protonated cyclopropyl ketone or carboxylic ester are observed as overlapping multiplets; however, typically the range over which the  $\alpha$ -proton resonates is at lower field than that of the  $\beta$ -protons. For example the  $\alpha$ -proton signal is usually found in the range 1.9 to 3.4 p.p.m. whereas the  $\beta$ -proton signal is found between 1.75 and 2.40 p.p.m. (25). This is quite the reverse of the situation encountered with the cyclopropyl proton resonances of 10 and 14, in which the signal attributable to the  $\alpha$ -proton is found to be more than 1.8 p.p.m. upfield from that of the  $\beta$ -protons. To ensure that these seemingly anomolous positions of the proton resonances of 10 (and 14) cannot be attributed to the use of a boron halide rather than proton to induce the positive charge, complexes of some model cyclopropylcarbonyl compounds were prepared.

Reaction of the cyclopropyl ester 17 with BCl<sub>3</sub> in CD<sub>2</sub>Cl<sub>2</sub> gave the zwitterion 18. The p.m.r. spectrum of 18 exhibited a single, sharp, three proton resonance at 4.10  $\delta$ , a four proton signal at 1.65  $\delta$ , and a single proton resonance at 2.76  $\delta$  which is similar to that encountered for comparable protonated species and is quite different from that of 10 (17).



As the cyclopropane of 10 bears vinyl substituents, perhaps a better model for the expected chemical shifts of the protons is provided by the zwitterion 19. This complex, which was readily formed by reaction 20 (22) with BCl<sub>3</sub> in CD<sub>2</sub>Cl<sub>2</sub>,

CAN. J. CHEM. VOL. 53, 1975

was stable at temperatures below 0° and gave back 20 on reaction with dimethylamine. The p.m.r. spectrum of the complex was consistent with its structure being that shown for 19. The cyclopropyl proton resonances of 19 occurred as an overlapping multiplet in the range 2.56 to 2.83  $\delta$  and individual  $\alpha$ - and  $\beta$ -proton resonances could not be assigned. However, it is apparent that the vinyl groups on the  $\beta$ -carbons do deshield the  $\beta$ -protons to some extent.



While neither 18 nor 19 is a perfect model for 10, they do suggest that the position of the cyclopropyl proton resonances of 10 cannot be adequately described by the effect of the adjacent positively charged group. Clearly the sixmembered ring of 10 must be influencing the cyclopropyl protons in some different way to the effect of the eight-membered ring of 19. The complexes 10 and 19 differ in two respects. Firstly the geometry of these two systems is expected to be different, the six-membered ring of 10 being almost flat (23) while the eightmembered ring of 19 would be expected to exist preferentially in a tub conformation with the cyclopropane ring being in a pseudo-equatorial position. Secondly, 10 and 19 differ in the number of  $\pi$  electrons in the unsaturated ring.

A major consequence of the first factor is that the cyclopropyl protons of 10 could be affected by the diamagnetic anisotropy of the carboncarbon double bonds (24). However using the



values of either Tillieu (25) or Pople (26) for the long range shielding of a double bond, the effect on the  $C_7$  proton is found to be very small. With the data of Tillieu, the  $C_7$  proton was found to be shielded by less than 0.1 p.p.m. and with that of Pople a deshielding of 0.1 p.p.m. was estimated. In either case the effect is very much smaller than the observed *ca.* 1 p.p.m. upfield shift of the resonance of this proton as compared to the corresponding proton of **19**. Similarly, the downfield position of the  $C_1/C_6$  proton signals cannot be accounted for on this basis.

Both Hoffmann (11) and Günther (12) have suggested a way in which an electron withdrawing substituent on  $C_7$  of a cycloheptatriene can affect the equilibrium between this and its norcaradiene valence tautomer. In essence, the lowest unoccupied molecular orbital of a  $\pi$ electron withdrawing substituent, and a carbonium ion is such a group 'par excellence', can interact with the antisymmetric, highest occupied Walsh molecular orbital of the cyclopropane ring of a norcaradiene, resulting in electron transfer from the three membered ring to its substituent. The net effect is that the  $C_1/C_6$ antibonding component is weakened and this bond should become stronger.



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The remaining symmetric Walsh orbital of the cyclopropane, which is bonding between  $C_1$ and  $C_6$  and contains two electrons, has the correct symmetry to interact with the lowest unoccupied orbital of the diene component of the norcaradiene (27). Moreover, the symmetry of the highest occupied molecular orbital of the diene is the same as the antisymmetric Walsh orbital from which electron transfer to the  $C_7$ substituent has occurred. Such interactions should lead to a net stabilization of the norcaradiene structure.

It is of importance to note that this involvement of the diene with the cyclopropane results in an aromatic system. Viewed from the Hückel-Möbius viewpoint, in these norcaradienes there are formally six electrons contained in a Hückel array of atomic p orbitals (8, 28). One result of this should be that in a magnetic field, a diamagnetic ring current should be induced which would shield the *endo*  $C_7$  proton and deshield the  $C_1$  and  $C_6$  bridgehead protons, *just as is observed with both 10 and 14* (4).



Hückel array:  $6\pi$  electrons, aromatic.

We would suggest then that the norcaradienes 10 and 14 can be regarded as homoaromatic molecules and that to some degree such cyclic delocalization is required to account for their p.m.r. spectra. It is interesting that Sargent *et al.* (9) in their initial communication recognized that formulating the cyclopropylcarbinyl portion of these cations in the usual way imparted partial benzenoid character to the norcaradiene sixmembered ring.

# Thermal Rearrangement of Zwitterions

While the norcaradiene complexes were stable at low temperatures, on heating they underwent a ring contraction to give benzenoid compounds. Thus 10 at temperatures above 0° gave three products, one of which was identified as the methyl phenylacetate complex 21 by its p.m.r. spectrum (resonances at 4.19, 4.62, and 7.4 $\delta$ ) and by the recovery of 22 when the solution containing the rearranged product was reacted with dimethylamine. Reaction of 22 with BCl<sub>3</sub> in CD<sub>2</sub>Cl<sub>2</sub> gave 21, with a p.m.r. spectrum identical to that obtained by the rearrangement of 10.

The p.m.r. spectrum of the rearrangement products of 10 contained resonances over and above those attributable to 21 (Table 2). In particular, signals at 3.87, 4.16  $\delta$  and part of the multiplet of aromatic protons remained to be accounted for. Reaction of a solution of the rearranged products with ethanol gave rise to two esters which were isolated and characterized as 22 and the ethyl ester 23. On this basis and by comparison with the results obtained with the  $BBr_3$  complexes (vide infra), it is suggested that the additional products formed on the rearrangement of 10 are the acylium cation 24 and its counterion 25. The methyl resonance of 25 occurs in a very similar region to that of the BCl<sub>3</sub> complex of methanol (3.95  $\delta$ ). Comparable

1824

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anions to 25 involving  $BF_3$  have been described (29).

While the formation of acylium cations from protonated carboxylic acid derivatives is well known (30), in this case 24 is not being formed directly from 21. On heating a solution of 21, prepared by reacting 22 with  $BCl_3$ , at 50° for 1 h, no formation of 24 and 25 could be detected by p.m.r. Thus 21, 24, and 25 must be primary products of the rearrangement of 10.

The rate of rearrangement of 10 and the distribution of products was found to be dependent on the ratio of the Lewis acid to ester used. Increase in the ratio of BCl<sub>3</sub> to 8 resulted both in an increase in the rate of rearrangement of 10and also an increase in the amount of the acylium cation 24, formed (Tables 3 and 4).

A possible reaction sequence which can account for these observations is shown in Scheme 1. In its simplest form the cyclobutyl cation 26, which can be considered to be in equilibrium with 10 (31), undergoes a 1,2 hydride shift to give 27. This hydride shift could well be the slow step in the rearrangement for two reasons. Firstly, the free energy difference between 26 and 27 might not be all that great in

 TABLE 2. Proton chemical shift data of norcaradiene rearrangement products

	Ch	+	
Product	ArH	CH <sub>2</sub>	OCH <sub>3</sub>
21	7.2-7.6	4.71	4.30
30	7.2-7.6	4.75	4.48
24 + 25	7.2-7.6	4.16	3.87
$24 + CH_3O\overline{B}Br_3$	7.2-7.6	4.27	3.96

•In p.p.m. from TMS using CHCl<sub>3</sub> as internal standard.

TABLE 3. Rate constants for rearrangement of norcaradiene complexes\*

Complex	Ester to Lewis acid ratio	k (s <sup>-1</sup> )	Temperature (°C)
10	1:1.1	$4.6 \times 10^{-5}$	0
10	1:10	$> 2.8 \times 10^{-4}$	0
14	1:2	$2.7 \times 10^{-4}$	-43

\*Rate constants obtained by following the reaction by p.m.r.

TABLE 4. Products of rearrangement of 10

		Products*	
Ester to Lewis acid ratio	Temperature (°C)	% <b>2</b> 1	%24
1:0.9	20	93	7
1:2	20	77	23
1:8	20	42	58

\*Product composition determined by integration of p.m.r. spectra.

that the hexadienyl cation in 27 is forced to be twisted by the fused cyclobutane ring. Secondly, the rigidity of the system makes it difficult for the appropriate geometry for this hydride shift to be attained (32). Comparable cyclobutyl cations have been suggested to be involved in the ring contraction reactions of certain homotropylium cations (33).

In the cyclobutyl cation 26, the positive charge has been formally removed from the oxygen atoms and further reaction of the ether oxygen with additional  $BCl_3$  could take place. The extra positive charge so induced in the system could result in an enhancement of the rate of the 1,2 hydride shift to give 28, which could then give the acylium ion 24 or complex 21 as indicated. Comparable ring openings of PIKULIK AND CHILDS: 7-NORCARADIENYLMETHYL CATION



cyclobutanone cations such as 29 have been reported (34).

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The BBr<sub>3</sub> complex 14 rearranged in an analogous manner to that described for 10 to give the BBr<sub>3</sub> complex of methylphenylacetate, 30, 24 and  $MeO\overline{B}Br_3$ , however in this case, 30 was slowly converted into 24 and its counterion under the isomerization conditions. The formation of the acylium cation on rearrangement of 14 was confirmed by examination of its i.r. spectrum when an absorption at  $2270 \text{ cm}^{-1}$  was found. Acylium cations typically exhibit a carbonyl absorption in this region, the phenylmethylacylium cation with a  $SbF_6^-$  counterion being reported to absorb at  $2279 \text{ cm}^{-1}$  (30). The temperature at which this rearrangement of 14 occurred was found to be much lower than that required for 10. With a two-fold excess of BBr<sub>3</sub>, 14 rearranged at  $-43^{\circ}$  with a half-life of 40 min. The amide complex mixture of 12 and 13 did not rearrange until heated to above  $+20^{\circ}$ , the  $BCl_3$  adduct of N,N-dimethylphenylacetamide being the major product. Clearly, both the

amount of norcaradiene present in these tautomeric mixtures and also the ease of their rearrangement to aromatic systems are directly related to the ability of the  $C_8$  substituents to stabilize the positive charge.

The existence of these complexes almost entirely in the norcaradiene form does not necessarily preclude the migration of  $C_8$  around the periphery of the system. This could either occur in the norcaradiene form in a comparable manner to that found by Berson *et al.* for related systems (35) or by intervention of a homotropylium cation species as outlined at the start of this paper. However, at the temperatures at which the thermal ring contraction reaction occurred, no line broadening could be detected in the p.m.r. spectra of either 10 or 14, indicating that no degenerate rearrangement was occurring at those temperatures with a sufficiently high rate to cause averaging of the p.m.r. signals.

Based on the characteristics of the analogous migrations observed with derivatives of 4 (13), one way to lower the energy barrier for the

1826

degenerate rearrangement of these norcaradienyl/cycloheptatrienyl methyl cations would be to decrease the ability of the  $C_8$  substituents to stabilize positive charge. However, as has been shown, this would also result in an increase in the rate of the collapse of these cations to benzenoid systems. Indeed, in the limit, it is known that the rearrangement of the unsubstituted ion 7 to styrene under acetolysis conditions is sufficiently rapid to completely exclude either collapse of 7 with solvent to give cycloheptatriene derivatives or formation of the homotropylium ion and its subsequent products.<sup>3</sup> We are then in a dilemma, as changes made with these systems intended to enhance the rate of the degenerate migration, also increase the rate of their collapse to benzene derivatives and it would appear that we are unlikely to be able to devise a system in which the degenerate rearrangement can compete with this facile ring contraction. Taking this further, it would also seem that the production of a cycloheptatrienylmethyl cation as the first step of a degenerate rearrangement of a homotropylium cation would lead irreversibly to a benzenoid cation and not to the regeneration of the homotropylium cation. Perhaps, indeed, the often encountered ring contraction reactions of homotropylium cations involve just such a pathway.

### Experimental

Proton magnetic resonance spectra were recorded on a Varian HA-100 spectrometer fitted with a variable temperature probe controlled by a Varian V-6040 temperature controller. The probe temperature was measured with a copper-constantan thermocouple and Leeds and Northrup 8692 potentiometer. The thermocouple was mounted at the appropriate depth in a nonrotating sample tube. Chemical shifts are referred to TMS or CHCl<sub>3</sub> (taken as 7.30  $\delta$ ) for the zwitterions. Vapor phase chromatographic separations were performed with either an Aerograph A-90P3 instrument (preparative) using a 10 ft  $\times \frac{1}{4}$  in. column with 15% carbowax on chromosorb W, or with a Varian-Aerograph 204-1B instrument (analytical) using a 10 ft  $\times \frac{1}{8}$  in. column with 5% carbowax on chromosorb W. Ultraviolet spectra were measured with a Carry 14 spectrometer.

 $CD_2Cl_2$ ,  $BCl_3$ , and  $BBr_3$  were purified by distillation, stored over  $P_2O_5$  and further distilled immediately before use. All manipulations were carried out on a vacuum line. CHCl<sub>3</sub> was washed with H<sub>2</sub>O, H<sub>2</sub>SO<sub>4</sub>, 0.1 *N* NaHCO<sub>3</sub>, and H<sub>2</sub>O, dried over P<sub>2</sub>O<sub>5</sub> and distilled twice. CF<sub>2</sub>ClH was doubly distilled before use.

7-Carbomethoxycycloheptatriene (8)

7-cyanocycloheptatriene (10 g) was refluxed under  $N_2$ 

in MeOH (150 ml) containing concentrated  $H_2SO_4$ (30 g) for 30 h. The bulk of the solvent was removed *in* vacuo and the residue poured into  $H_2O$  (400 ml). The solution was extracted with petroleum ether (30–60°) (3 × 100 ml), the extract dried over MgSO<sub>4</sub>, and the solvent removed *in vacuo* to give the crude ester. Distillation gave 9.0 g (80%) of 8 (b.p. 60° at 0.5 mm) containing a small amount of methyl phenylacetate (~2%). Pure material was obtained by preparative v.p.c. at a temperature below 130°.

CAN, J. CHEM, VOL. 53, 1975

## N,N-Dimethyl-1,3,5-cycloheptatriene-7-carboxamide (11)

An excess of gaseous dimethylamine was added to a 10% solution of 7-chlorocarbonylcycloheptatriene in anhydrous ether. The dimethylamine hydrochloride formed was filtered off and the filtrate concentrated *in vacuo* to give a brown oil. This was chromatographed on neutral alumina eluting with ether to give a colorless solid. Recrystallization from pentane gave **11** as colorless crystals (m.p. 64.5°) which slowly became colored on standing. Nuclear magnetic resonance spectral data are given in Table 1.

#### 9-Carbomethoxybicyclo[6.1.0]nona-2,4,6-triene (20)

Compound 20, prepared by ester exchange of the corresponding ethyl ester, had properties identical to those previously reported (22).

### Preparation of Complexes, General Procedure

All manipulations were carried out under high vacuum conditions. The starting organic compound (ca. 30 mg) was weighed into a small reaction vessel which contained a magnetic stirrer, was fitted with a sealed on n.m.r. tube, and could be attached to a vacuum line through a suitable high vacuum stopcock. The reactor was cooled and  $CD_2Cl_2$  (1 ml) was transferred from a reservoir where it had been stored over  $P_2O_5$ . The organic material was dissolved and then a weighed amount of the appropriate Lewis acid was slowly distilled in while the solution was being stirred and maintained at  $-78^{\circ}$ . On completion of the addition, the reactor was spearated from the vacuum line and the appropriate amount of the solution transferred to the n.m.r. tube which was then sealed. During this transfer the apparatus was kept at  $-78^{\circ}$ .

For the u.v. determinations, a similar technique was used except that a larger reaction vessel with an attached 0.2 cm u.v. cell was employed.

Infrared spectra of 24 and its counterion were obtained as Nujol mulls of the residue left after evaporation of the solvent *in vacuo*.

#### Quenching of Complexes

An excess of gaseous  $(CH_3)_2NH$  was passed into the  $CD_2Cl_2$  solution of the zwitterions at  $-78^\circ$ . The resulting mixture was treated with water and the organic material was extracted three times with ether. The combined ether extracts were dried over MgSO<sub>4</sub> and the solvent removed *in vacuo* prior to analysis by g.l.p.c. and n.m.r. Hexamethylbenzene was used as an internal standard in some of the reactions in order to quantitatively measure the recovery of the starting compounds. The results of these quenches are shown in Table 5.

### Reaction of the Rearrangement Products of 10 with EtOH

Ethanol (2 ml) was slowly added to a CD<sub>2</sub>Cl<sub>2</sub> solution

# PIKULIK AND CHILDS: 7-NORCARADIENYLMETHYL CATION

TABLE 5. Products obtained on quenching zwitterions

Complex(es)	Quenching agent	Product (%recovery)*
10	Me <sub>2</sub> NH	8 (100%)
21 (58%) + 24 (42%)	Me <sub>2</sub> NH	22 (70%)
21(60%) + 24(40%)	EtOH	22(58%) + 23(42%)
$24 + MeO\overline{B}Br_3$	EtOH	22(13%) + 23(87%)
12 + 13	Me <sub>2</sub> NH	11†
19	Me <sub>2</sub> NH	20†
16	Me <sub>2</sub> NH	2-methoxycarbonyl-1,3 cycloheptatriene†

\*Yield determined by comparison with internal hexamethylbenzene. †No other products detected by p.m.r.

of the rearrangement products of  $10 \text{ at } -78^{\circ}$ . Water (10 ml) was added, the mixture extracted with ether (3 × 5 ml), and the extract dried over MgSO<sub>4</sub>. Evaporation of the ether gave an oil which was analyzed by both p.m.r. and also analytical g.l.p.c. using authentic samples of 22 and 23 for comparison purposes. The two esters, 22 and 23 in the quenched material were separated by preparative g.l.p.c. and the p.m.r. spectra of the isolated compounds were identical in all respects to that of the authentic samples.

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