Dioxopyrrolines. XLIII.¹⁾ Diels-Alder Reaction of 4,5-Diethoxycarbonyl-1*H*-pyrrole-2,3-dione with Butadienes: Synthesis of Polyfunctionalized Hydroindoles

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A new dioxopyrroline, 4,5-diethoxycarbonyl-1*H*-pyrrole-2,3-dione (3), was proved to be a strong dienophile in the Diels-Alder reaction with various butadienes. This cycloaddition reaction proceeded in a regio- and stereo-selective manner to give the polyfunctionalized hydroindoles (4—8, 10) which may be potential synthetic intermediates of *Erythrina* alkaloids.

Keywords dioxopyrroline; 4,5-diethoxycarbonyl-1*H*-pyrrole-2,3-dione; dienophile; butadiene; 2,3-bis(trimethylsilyloxy)-butadiene; 1-methoxybutadiene; 1-sulfenylbutadiene; 2-trimethylsilyloxy-butadiene; Diels-Alder reaction; hydroindole

The 1*H*-pyrrole-2,3-dione structure (dioxopyrroline) has been demonstrated to be a versatile synthon for a variety of heterocycles.²⁾ Diels-Alder reaction of a dioxopyrroline with butadiene provided the key step in our total syntheses of *Amaryllidaceae*³⁾ and *Erythrina* alkaloids.⁴⁾ In connection with our continuing studies of dioxopyrroline cycloaddition reactions we have prepared a new dioxopyrroline, 4,5-diethoxycarbonyldioxopyrroline (3), and investigated the Diels-Alder reaction of 3 with butadienes.

The dioxopyrroline (3) was readily synthesized from

$$X \xrightarrow{COOEt} O \xrightarrow{(X=OTMS)} O \xrightarrow{NH} O$$
8: $X=Me$

Chart 2

9: X=OTMS

sodium diethyl oxalacetate (1) in two steps. The salt was treated with ammonium acetate in ethanol to give the enamine (2)⁵⁾ in 62% yield. Condensation of 2 with oxalyl chloride in dry ether gave 3 as yellow prisms in 86% yield. In the ultraviolet (UV) spectrum, this showed a characteristic absorption of a dioxopyrroline ring at λ_{max} 384 nm. The yellow crystals readily deteriorated on exposure to moisture (on standing in an open vessel) turning white upon absorption of water. The high electrophilicity of the dioxopyrroline ring⁶⁾ is enhanced by the substitution of two electronattracting COOEt groups. The reactivity of this dioxopyrroline as a dienophile is also expected to be enhanced.

In order to examine its reactivity we carried out Diels-Alder reaction of 3 with various butadienes. Heating of 3 with butadiene in toluene at 120 °C for 2 h gave the adduct (4) in 83% yield. The Diels-Alder reaction with 2,3-bis(trimethylsilyloxy)butadiene took place at 120 °C to give the adduct (5), though in low yield (24%).

The cycloaddition of **3** with 1-substituted butadienes smoothly occurred in a regio- and stereo-selective manner at low temperatures. 1-Methoxybutadiene, on standing at room temperature, gave the adduct (**6**) in excellent yield (98%), and 1-sulfenylbutadiene, on heating at 85 °C, gave the adduct (**7**) in good yield (89%).

The Diels-Alder reaction with 2-substituted butadienes also proceeded in a regioselective manner. Thus, isoprene, on heating at $120 \,^{\circ}$ C, gave the adduct (8) in 50% yield and 2-trimethylsilyloxybutadiene, at $160 \,^{\circ}$ C, gave the triketone (10) (54%), in which the expected adduct (9) was desilylated

Table I. Diels-Alder Reaction of the Dioxopyrroline (3) with Butadienes

Diene ^{a)}	Solvent	Conditions		Duadwat	V:-14 (0/)
		Temp. (°C)	Time (min)	Product	Yield (%)
A	Toluene	120	240	4	82
В	Toluene	120	180	5	24
C	Toluene	20	10	6	98
D	Benzene	85	60	7	89
E	Toluene	120	120	8	50
F	Toluene	160	60	10	54
G	Toluene	20	5	11	82

a) A, butadiene; B, 2,3-bis(trimethylsilyloxy)butadiene; C, 1-methoxy-butadiene; D, 1-sulfenylbutadiene; E, isoprene; F, 2-trimethylsilyloxybutadiene; G, 1-methoxy-3-trimethylsilyloxybutadiene.

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(probably during chromatography).

The reaction of 3 with 1-methoxy-3-trimethylsilyloxybutadiene, an activated butadiene, as expected, occurred rapidly at room temperature. However, the product isolated from the reaction mixture was not the expected hydroindole (12) but the pyrrole derivative (11). Evidence of the intermediary formation of 12 was obtained when the proton nuclear magnetic resonance (1H-NMR) spectrum of an intact reaction mixture was measured; in addition to the signals due to 11, it exhibited as a pair of doublets at δ 4.62 and 5.20 attributable to the C₄-methine and C₅-olefinic protons of 12, respectively. Therefore, formation of 11 can be rationalized in terms of ring cleavage due to the reverse Michael reaction of the intermediary 1,5-diketone (13), not the Michael addition of the diene to 3. This fact, in turn, confirmed the regiochemistry of the Diels-Alder reaction. The results are collected in Table I.

The regio- and stereo-chemistry of the above products were deduced on the basis of the facts described above, and by analogy with the previous results on the Diels-Alder reaction of analogous dioxopyrrolines⁴⁾ as well as generally accepted rules.⁷⁾ The spectral data (see Experimental) of the adducts were consistent with the assigned structures.

The above evidence confirmed that the dioxopyrroline (3) has a high dienophilic activity and this reactivity is stronger than that of the 4-ethoxycarbonyl-5-phenyl analog (14).^{4b)} This is due to the introduction of an additional COOEt group in place of a phenyl group, which enhances the dienophilic activity, as expected. The adducts, polyfunctionalized hydroindoles, possess the skeleton corresponding to the ring A/B of *Erythrina* alkaloids and are thus potential synthetic intermediates particularly for nonaromatic *Erythrina* alkaloids such as β -erythroidine (15) and cocculoridine (16).

Experimental

Unless otherwise stated, the following procedures were adopted. Melting points were taken on a Yanagimoto micro hot-stage melting point apparatus, and are uncorrected. Infrared (IR) spectra were taken in Nujol mulls with a Hitachi 260-10 spectrophotometer and are given in cm⁻¹. UV spectra were measured in dioxane with a Hitachi 200-10 spectrophotometer and are given in λ_{max} nm (ε). ¹H-NMR (100 MHz) and ¹³C-nuclear magnetic resonance (¹³C-NMR) (25.0 MHz) spectra were taken in CDCl₃ solution with tetramethylsilane (TMS) as an internal standard on a JEOL FX-100 spectrometer. Chemical shifts are given as δ values (ppm). Thin

layer chromatography (TLC) was performed on precoated Silica gel $60 \, \mathrm{F}_{254}$ plates (Merck). For column chromatography, silica gel (Wako gel C-200) was used.

Diethyl 2-Aminobutenedioate (2) A solution of sodium diethyl oxalacetate (1, 158 g, 0.75 mol) and ammonium acetate (116 g, 1.5 mol) in absolute ethanol (700 ml) and acetic acid (43 ml) was refluxed with stirring for 20 min. The mixture was concentrated under reduced pressure and the residue was basified with NH₄OH, then extracted with CH₂Cl₂. The extract was washed with water, dried over Na₂SO₄ and passed through a short column of SiO₂. Concentration of the eluate gave a residue, which was distilled to give **2** as a pale yellow oil, bp $103 \,^{\circ}$ C/2 mmHg (lit. 5) bp $135 - 137 \,^{\circ}$ C/16 mmHg), 87 g (62%). IR (neat): 3360, 3340, 1720, 1670, 1610. $^{\circ}$ H-NMR: 1.30 (3H, t, J = 7 Hz, COOCH₂CH₃), 1.36 (3H, t, J = 7 Hz, COOCH₂CH₃), 1.36 (3H, t, J = 7 Hz, COOCH₂CH₃), 4.32 (2H, q, J = 7 Hz, COOCH₂CH₃), 5.51 (1H, s, olefinic H).

2,3-Diethoxycarbonyl-2-pyrroline-4,5-dione (3) A solution of the enamino diester **2** (3.74 g, 0.02 mol) in dry ether (10 ml) was added dropwise to a solution of oxalyl chloride (2.6 ml, 0.03 mol) in dry ether (20 ml) at 40 °C. The mixture was stirred for 20 min, then dioxane (30 ml) was added and the whole was heated at 90 °C for 25 min. Concentration of the mixture at atmospheric pressure gave a crystalline residue, which was crystallized from heptane–Et₂O to give **3** (4.1 g, 86%) as yellow prisms, mp 116—118 °C. IR: 3325, 1800, 1750, 1730, 1695. UV λ_{max} (ϵ): 384 (2000). ¹H-NMR: 1.43 (6H, m, COOCH₂CH₃×2), 4.38 (4H, m, COOCH₂CH₃×2), 7.14 (1H, m, NH).

Diels-Alder Reaction of 3 with Butadienes (General Procedure) A solution of 3 and a diene [A, butadiene; B, 2,3-bis(trimethylsilyloxy)-butadiene; C, 1-methoxybutadiene; D, 1-sulfenylbutadiene; E, isoprene; F, 2-trimethylsilyloxybutadiene; G, 1-methoxy-3-trimethylsilyloxybutadiene] in dry toluene or benzene was heated at an appropriate temperature in a sealed tube with stirring. The reaction mixture was concentrated to dryness in vacuo and the residue in CH_2Cl_2 was passed through a short column of SiO_2 to give the product.

(3a R^* ,7a R^*)-3a,7a-Diethoxycarbonyl-6-methyl-2,3-dioxo-2,3,3a,4,7,7a-hexahydroindole (4) The reaction of 3 (960 mg) and the diene A (5 ml) was carried out in toluene (10 ml) at 120 °C for 4 h to give 4. Yield, 967 mg (82%), mp 138—140 °C, colorless prisms from hexane—Et₂O. IR: 3175, 3075, 1780, 1740. UV λ_{max} (ϵ): 254 (3100). ¹H-NMR: 1.18 (3H, t, J=7 Hz, COOCH₂CH₃), 1.31 (3H, t, J=7 Hz, COOCH₂CH₃), 2.50—2.67 (3H, m, 4- or 7-H), 2.91—3.13 (1H, m, 4- or 7-H), 4.17 (2H, q, J=7 Hz, COOCH₂CH₃), 4.20 (2H, q, J=7 Hz, COOCH₂CH₃), 5.75—6.06 (2H, m, 5- and 6-H), 9.08 (1H, br s, NH). MS m/z: M $^+$ Calcd for C₁₄H₁₇NO₆ 295.1056. Found: 295.1094.

(3a R^* ,7a R^*)-3a,7a-Diethoxycarbonyl-2,3-dioxo-5,6-bis(trimethylsilyloxy)-2,3,3a,4,7,7a-hexahydroindole (5) The reaction of 3 (1 g) and the diene B (4.6 g) was carried out in toluene (10 ml) at 120 °C for 3 h to give 5. Yield, 494 mg (24%), mp 112—116 °C, colorless prisms from Et₂O-hexane. IR: 1785, 1740, 1735, 1690. ¹H-NMR: 0.00 (9H, s, OSi(CH₃)₃), 0.08 (9H, s, OSi(CH₃)₃), 1.06 (3H, t, J=7 Hz, COOCH₂CH₃), 1.20 (3H, t, J=7 Hz, COOCH₂CH₃), 2.17 (1H, J=15 Hz, 4- or 7-H), 2.74 (2H, br s, 4- or 7-H), 2.81 (1H, d, J=15 Hz, 4- or 7-H), 4.06 (2H, q, J=7 Hz, COOCH₂CH₃), 4.08 (2H, q, J=7 Hz, COOCH₂CH₃), 8.37 (1H, br s, NH). ¹³C-NMR: 0.0 (q, $2 \times OSi(CH_3)_3$), 13.1 (q, COOCH₂CH₃), 13.3 (q, COOCH₂CH₃), 31.9 (t, 4-C), 40.1 (t, 7-C), 60.2 (s, 3a-C), 62.0 (t, COOCH₂CH₃), 62.2 (t, COOCH₂CH₃), 65.1 (s, 1a-C), 125.3 (s, 5- or 6-C), 129.6 (s, 5- or 6-C), 158.2 (s, 2-C), 164.8 (s, COOCH₂CH₃), 193.8 (s, 3-C). MS m/z: M ⁺ Calcd for C₂₀H₃₃NO₈Si₂ 471.1742. Found: 471.1686.

(3a R^* ,4 S^* ,7a R^*)-3a,7a-Diethoxycarbonyl-4-methoxy-2,3-dioxo-2,3,3a, 4,7,7a-hexahydroindole (6) The reaction of 3 (100 mg) and the diene C (174 mg) was carried out in toluene at 20 °C for 10 min to give 6. Yield, 132 mg (98%), mp 122—125 °C, colorless prisms from hexane–Et₂O. IR: 3170, 3090, 1740, 1725. ¹H-NMR: 1.24 (3H, t, J=7 Hz, COOCH₂CH₃),

1.27 (3H, t, J=7 Hz, COOCH₂CH₃), 2.78 (2H, m, 7-H), 3.35 (3H, s, OCH₃), 4.16 (2H, q, J=7 Hz, COOCH₂CH₃), 4.20 (2H, q, J=7 Hz, COOCH₂CH₃), 4.51 (1H, d, J=2.4 Hz, 4-H), 6.09 (2H, m, 5- and 6-H). MS m/z: M⁺ Calcd for C₁₅H₁₉NO₇ 325.1162. Found 325.1185.

(3a R^* ,4 S^* ,7a R^*)-3a,7a-Diethoxycarbonyl-2,3-dioxo-4-sulfenyl-2,3,3a,4, 7,7a-hexahydroindole (7) The reaction of 3 (100 mg) and the diene D (136 mg) was carried out in benzene (2 ml) at 85 °C for 1 h to give 7. Yield, 139 mg (89%), mp 151—152 °C colorless prisms from Et₂O-hexane. IR: 3140, 1760, 1730. ¹H-NMR: 1.21 (3H, t, J=7 Hz, COOCH₂CH₃), 1.25 (3H, t, J=7 Hz, COOCH₂CH₃), 2.60 (1H, dd, J=18, 5 Hz, 7-H), 3.00 (1H, d with small splittings, J=18 Hz, 7-H), 4.02—4.30 (4H, m, COOCH₂CH₃), 4.35 (1H, d, J=5 Hz, 4-H), 6.22—5.72 (2H, m, 5- and 6-H), 7.47—7.25 (5H, m, Ph-H), 8.99 (1H, br s, NH). MS m/z: M $^+$ Calcd for C₂₀H₂₁NO₆S 403.1087. Found: 403.1076.

(3aR*,7aR*)-3a,7a-Diethoxycarbonyl-6-methyl-2,3-dioxo-2,3,3a,4,7,7a-hexahydroindole (8) The reaction of 3 (1 g) and isoprene (2 g) was carried out in toluene (4 ml) at 120 °C for 2 h to give 8. Yield, 643 mg (50%), mp 138—140 °C, colorless prisms from MeOH–Et₂O–hexane. IR: 1780, 1730. UV λ_{max} (ε): 253 (3400). ¹H-NMR: 1.17 (3H, t, J=7 Hz, COOCH₂CH₃), 1.30 (3H, t, J=7 Hz, COOCH₂CH₃), 1.69 (3H, s, C–CH₃), 2.28—3.93 (4H, m, 4- and 7-H), 4.16 (2H, q, J=7 Hz, COOCH₂CH₃), 4.19 (2H, t, J=7 Hz, COOCH₂CH₃), 5.62—5.70 (1H, m, 5-H), 8.85—9.42 (1H, br s, NH). ¹³C-NMR: 13.7 (q, COOCH₂CH₃), 13.9 (q, COOCH₂CH₃), 23.1 (q, CH₃), 27.8 (t, 4-C), 40.1 (t, 7-C), 59.9 (s, 3a-C), 62.4 (t, COOCH₂CH₃), 62.7 (t, COOCH₂CH₃), 65.2 (s, 7a-C), 121.2 (d, 5-C), 134.2 (s, 6-C), 159.0 (s, 2-C), 166.2 (s, COOCH₂CH₃), 170.7 (s, COOCH₂CH₃), 195.9 (s, 3-C). MS m/z: M* Calcd for C₁₅H₁₉NO₆ 309.1211. Found: 309.1195.

(3aR*,7aR*)-3a,7a-Diethoxycarbonyl-2,3,6-trioxo-2,3,3a,4,7,7a-hexahydroindole (10) The reaction of 3 (600 mg) and the diene F (1.9 g) was carried out in toluene (6 ml) at 160 °C for 1 h to give 10. Yield, 421 mg (54%), mp 112—115 °C, colorless prisms from Et₂O-hexane. IR: 3200, 1785, 1755, 1730, 1710. UV $\lambda_{\text{max}}(\varepsilon)$: 256 (3500). ¹H-NMR: 1.21 (3H, t, J= 7 Hz, COOCH₂CH₃), 1.28 (3H, t, J= 7 Hz, COOCH₂CH₃), 2.32—3.00 (6H, m, 4-, 5- and 7-H), 4.18 (2H, q, J= 7 Hz, COOCH₂CH₃), 4.22 (2H, q, J= 7 Hz, COOCH₂CH₃), 9.12 (1H, br s, NH). ¹³C-NMR 13.7 (q, COOCH₂CH₃), 13.8 (q, COOCH₂CH₃), 25.0 (t, 4-C), 34.5 (t, 5- or 7-C), 48.2 (t, 5- or 7-C), 57.7 (s, 3a-C), 63.2 (s, 7a-C), 63.5 (t, COOCH₂CH₃), 63.6 (t, COOCH₂CH₃), 158.6 (s, 2-C), 165.8 (s, COOCH₂CH₃), 169.4 (s, COOCH₂CH₃), 194.0 (s, 3-C), 205.0 (s, 6-C).

The Reaction of 3 and 1-Methoxy-3-trimethylsilyloxybutadiene a) The reaction of 3 (100 mg) and the diene F (357 mg) was carried out in toluene (2 ml) at 20 °C for 5 min to give 4,5-diethoxycarbonyl-3-hydroxy-5-(4methoxybut-3-en-2-one)-1,5-dihydro-2H-pyrrole-2-one (11). Yield, 126 mg (82%), mp 136—140 °C, colorless prisms from Et_2O -hexane. IR: 3325, 3160, 1730, 1710, 1680, 1655, 1620. UV λ_{max} (ϵ): 248 (17600). ¹H-NMR: 1.21 (3H, t, J=7 Hz, COOCH₂CH₃), 1.37 (3H, t, J=7 Hz, $COOCH_2CH_3$), 2.49 (1H, d, J = 17 Hz, 1-H), 3.76 (3H, s, OCH₃), 4.06 (1H, d, J = 17 Hz, 1-H), 4.15 (2H, q, J = 7 Hz, COOCH₂CH₃), 4.36 (2H, q, J = 7 Hz7 Hz, $COOCH_2CH_3$), 5.63 (1H, d, J = 13 Hz, $\overline{3}$ -H), 7.67 (1H, d, J = 13 Hz, 4-H), 7.67 $\overline{(1H)}$, br s, NH). ¹³C-NMR: 13.4 (q, COOCH₂CH₃), 14.2 (q, COOCH₂CH₃), 45.3 (t, 1-C), 57.9 (q, OCH₃), 61.7 (t, COOCH₂CH₃), 62.4 (t, COOCH₂CH₃), 62.4 (s, 5-C), 104.9 (d, 3-C), 112.0 (s, 4-C), 156.9 (s, 3-C), 164.0 (d, 4-C), 164.4 (s, 2-C), 164.8 (s, COOCH₂CH₃), 168.6 (s, $COOCH_2CH_3$), 196.7 (s, 2-C). MS m/z: M⁺ Calcd for $C_{15}H_{19}NO_8$ 341.1109. Found: 341.1103.

b) A solution of 3 (52 mg) and the diene G (40 mg) in CDCl₃ (1 ml) was allowed to stand at room temperature. The 1 H-NMR spectrum of this reaction mixture after 5 min exhibited the signals of 12. 1 H-NMR: 0.25 (9H, s, OSi(CH₃)₃), 1.21 (3H, t, J=7 Hz, CH₂CH₃), 1.24 (3H, t, J=7 Hz, CH₂CH₃), 2.78 (2H, s, 7-H), 3.19 (3H, s, OCH₃), 4.16 (4H, m, CH₂CH₃), 4.62 (1H, d, J=6 Hz, 4-H), 5.20 (1H, d, J=6 Hz, 5-H), 8.45 (1H, brs, NH).

References and Notes

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