Brevianamide J, A New Indole Alkaloid Dimer from Fungus *Aspergillus versicolor*

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ABSTRACT



Brevianamide J (1), a new indole alkaloid dimer, was isolated together with four new diketopiperazine alkaloids (brevianamide K–N, 2–5) from the solid-state fermented culture of *Aspergillus versicolor*. Their structures were elucidated on the basis of spectral data. X-ray crystallographic analysis confirmed the structures of 1 and 4.

Diketopiperazine alkaloids, a class of important secondary metabolites, are widely found in fungi such as *Aspergillus*,¹ *Penicillium*,² *Pestalotiopsis*,³ and *Chromocleista*.⁴ This class of alkaloids are derived from different amino acids and one or more isoprene units. Most of them are characteristic of diverse ring systems and possess diverse biological activi-

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ties,⁵ which attracted much attention of synthetic chemists.⁶ Species of *Aspergillus* are important medically and commercially. Members of the genus are sources of natural products that can be potentially used to treat human diseases.⁷ In the course to investigate the alkaloids from the fungus *Aspergillus versicolor*, a new alkaloid dimer (1), together

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with four new diketopiperazine alkaloids (2-5), was isolated from the solid-state fermented culture of *Aspergillus versicolor*. Compound 1 was a new alkaloid dimer. It may be derived from the corresponding monomer (2). Compound 1 represented a unique structure of indole alkaloid. Compound 3 is the first type of oxepin-containing alkaloid with phenylalanine residue. Here, the isolation, structure elucidation, and biological activities of compounds 1-5 are described (Figure 1).



Brevianamide J (1) was obtained as colorless cubic crystals.⁸ The UV spectrum with λ_{max} in methanol at 201 (4.44), 223 (4.49), 261 (4.18), and 349 (4.04) nm was indicative of indole functionality with an extended conjugation.⁹ High-resolution ESIMS analysis of 1 suggested a molecular formula of C₄₂H₄₂N₆O₅. The NMR spectra of 1 revealed 21 protons and 21 C-atoms, suggesting 1 to be a symmetric, homodimer (Table 1). The IR absorption bands at 1633, 1695, 3353, and 3423 cm^{-1} are characteristic of amides or lactams. The ¹³C NMR signals at δ 163.4 (C-1) and 161.4 (C-4) confirmed the presence of lactam carbonyls. The ¹H NMR signals at δ 4.96 (1H, d, J = 10.7 Hz, H-22), 4.97 (1H, d, J = 17.4 Hz, H-22) and 5.98 (1H, dd, J = 17.4, 10.7 Hz), and the HMBC correlations of methyls at δ 1.39 and 1.40 (each 3H, s, H-23 and H-24) with the C-atoms at δ 39.3 (C-20), 105.3 (C-19) and 145.2 (C-21) suggested the moiety of -C(CH₃)₂CH=CH₂ at C-19 (Table 1). A dehy-

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Table 1. NMR	Data	of 1	and $2 (^{1}H)$: 600	MHz;	¹³ C:	150
$MHz)^{a,b,c}$							

	1	2		
no.	$\delta_{\rm H}$ (mult., J Hz)	$\delta_{ m C}$	$\delta_{\rm H}$ (mult., J Hz)	$\delta_{ m C}$
1		163.4		154.4
$\overline{2}$	11.29 (s)		8.77 (1H, s)	
3		127.1	, , , ,	126.3
4		161.4		155.1
6	4.17 (dd, 9.0, 8.8)	45.0	4.00 (2H, t, 8.9)	46.0
	4.02 (t, 9.0)			
7	2.29 (1H, m)	29.3	2.75 (2H, td, 8.9, 2.9)	28.1
	2.15 (1H, m)			
8	3.65 (1H, d, 10.2)	52.6	6.09 (1H, d, 2.9)	119.2
9		102.2		134.2
10	7.80 (1H, s)	115.0	6.89 (1H, s)	110.3
11		105.3		103.7
12		127.5		126.4
13	8.02 (1H, d, 8.0)	121.0	7.41 (1H, d, 7.9)	119.2
14	7.52 (1H, t, 8.0)	120.4	7.00 (1H, t, 7.9)	119.4
15	6.96 (1H, t, 8.0)	122.9	7.06 (1H, t, 7.9)	121.3
16	7.03 (1H, d, 8.0)	111.0	7.17 (1H, d, 7.9)	112.1
17		135.8		135.6
18	11.44 (s)		11.06 (1H, s)	
19		144.4		144.6
20			39.3	39.5
21	5.98 (1H, dd, 17.4, 10.7)	145.2	6.05 (1H, dd, 15.8, 9.1)	145.6
22	4.97 (1H, d, 17.4)	111.7	5.01 (1H, d, 15.8)	112.1
	4.96 (1H, d, 10.7)		5.03 (1H, d, 9.1)	
23	1.39 (3H, s)	27.5	1.45 (3H, s)	27.9
24	1.40 (3H, s)	27.8	1.45 (3H, s)	27.9
a	Assignments were based or	1 HSOC	and HMBC experiments.	^b Only

half of the NMR signal data of 1 was presented here. ^{*c*} The NMR spectra 1 and 2 were recorded in C_5D_5N and in DMSO- d_6 , respectively.

drotryptophan moiety could be concluded from the ¹H NMR signals at δ 8.02 and 7.03 (each d, J = 8.0 Hz, H-13, H-16), 7.52 and 6.96 (each t, J = 8.0 Hz, H-14, H-15), and the key HMBC correlations of H-18 with C-11, C-12 and C-19, and H-10 with C-3, C-4, C-11 and C-12. Besides the signals for five C-atoms of isoprene unit and eleven C-atoms of dehydrotrytophan moiety, there were five ¹³C NMR signals left for a proline moiety. The α -C (C-9) of proline residue resonated at δ 102.2, suggesting that C-9 was oxygenated. The β -C (C-8) presented to be a methylidyne, indicative of a substitute at C-8. Therefore, it could be supposed that two monomers were connected at C-8 and C-9. The structure of compound 1 can not be determined only with NMR data. The structure of 1 was finally determined to be a 2-fold dimer as opposed to the mirror dimer on the basis of X-ray single crystallographic analysis (Figure 2).

Brevianamide K (2) was isolated as yellow needle crystals. The molecular formula $C_{21}H_{23}N_3O_2$ was inferred from the quasi-molecular ion peak at m/z 372.1679 [M + Na]⁺ in the HRESIMS spectrum. The IR, UV, and NMR spectra were very similar to those of compound 1. Signals for 21 proton and 21 C-atoms were observed in the NMR spectra,¹⁰ indicating that compound 2 could be the monomer of 1. Comparison of the ¹³C NMR spectra of compounds 1 and 2, it was found that two C-atoms in 2 resonanted at δ 119.2

⁽⁸⁾ Compound 1: colorless cubic crystals; mp 226–227 °C; $[\alpha]^{20}_{\rm D}$ +45.0° (*c* 0.10, acetone); UV (MeOH). $\lambda_{\rm max}$ (log ε). 201(4.44), 223 (4.49), 261 (4.18), 349 (4.04). nm; IR(KBr). $v_{\rm max}$: 3423, 3353, 2969, 2930, 1695, 1633, 1576, 1455, 1385, 749 cm⁻¹; 1H and 13C NMR data, see Table 1; (+)-HRESIMS *m*/*z* 733.3115 [M + Na]⁺ (calcd for C₄₂H₄₂N₆O₅Na, 733.3109).

⁽¹⁰⁾ Compound **2**: yellow needles; mp 157–158 °C; UV (MeOH). λ_{max} (log ϵ). 201 (4.36), 225 (4.38), 283 (4.24), 367 (4.14). nm; IR(KBr). v_{max} : 3427, 3367, 2968, 1673, 1638, 1617, 1424, 740 cm⁻¹; 1H and 13C NMR data, see Table 1; (+)-HRESIMS (positive mode). *m/z* 370.1516 [M + Na]⁺ (calcd for C₂₁H₂₁N₃O₂Na, 370.1226).



Figure 2. ORTEP diagram of compound 1.

(C-8) and 134.2 (C-9) instead at δ 52.6 (C-8) and 102.2 (C-9) as those in **1**. Thus, the presence of a bouble bond at C-8 and C-9 in **2** could be resumed. The above postulation was confirmed by the HMBC correlations of H-8 with C-1 and C-9, and H-6 and H-7 with C-9. The structure of compound **2** was determined by HSQC and HMBC experiments.

Brevianamide L (3) was obtained as colorless cubic crystals with a molecular formula C22H23N3O4 from the quasimolecular ion peak at m/z 416.1576 [M + Na]⁺ in the HRESIMS. The IR peak at v_{max} 3420 cm⁻¹ suggested the presence of hydroxyl group. The presence of amides could be concluded from the IR peaks at v_{max} 3261, 1684, and 1667 cm⁻¹, and the ¹³C NMR signals at δ 166.1 and 163.2. The ¹³C NMR spectrum of **3** showed 22 signals.¹¹ An oxepin moiety could be concluded from ¹H NMR signals of H-8, H-9, H-10, and H-11, and the HMBC correlations of H-8/ C-6, H-10/C-12, and H-11/C-6, C-12, and C-13. Meanwhile, the connections among C-3, C-4, C-16, C-17 and C-19 could be deduced from the coupling system of H-18/H-16/H-17/ H-19, and the HMBC correlations of H-17 and H-18 with C-3 (δ 120.7), and H-16 with C-3 and C-4 (δ 117.0). The above information revealed that compound 3 was oxepincontaining compound. Detailed comparison of the NMR data of 3 with those of the A-C rings of oxepinamide A and cinereain supported this conclusion.¹² Compound 3 was hydrolyzed in 6 N HCl (aq.) for 12 h at 100 °C to afford L-phenylalanine, $[\alpha]^{20}_{D}$ -34.0 (c 0.1, H₂O), which was determined by comparing with an authentic sample. A benzyl

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group was located at C-15 in view of the HMBC correlations of H-20 with C-1, C-15, and C-21. A double bond between C-3 (δ 120.7) and C-4 was determined from the HMBC correlations of H-17 and H-18/C-3, and H-16/C-3 and C-4 (δ 117.0). The ¹³C NMR signal at δ 70.4 could be assigned to C-12 from the HMBC correlations of H-10 and H-11 with C-12. A double bond between N-5 and C-6 was suggested by the HMBC correlations of H-8 and H-11 with C-6 (δ 153.4). The structure of compound **3** was elucidated by the analysis of HSQC and HMBC spectra (Figure 3).



Figure 3. Key HMBC and NOESY correlations of 3.

The NOESY correlation between H-22/H-26 (δ 7.07, 2H, m) and H-11 (δ 5.83, 1H, d, J = 10.3 Hz) suggested that relative orientation of H-11 and H-22 or H-26. L-Phenylalanine was obtained from the hydrolysis of compound **3**. Thus, the absolute configurations of C-12 and C-15 were determined respectively as *S* and *R* (Figure 3). It was unsuccessful to abtain single crystal of compound **3**. The stereochemistry at C-16 was not determined so far.

The molecular formula C₁₈H₁₅N₃O₃ of brevianamide M (4) was provided by the quasi-molecular ion peak at m/z344.1014 $[M + Na]^+$ in the HRESIMS.¹³ Its IR spectrum showed the presence of hydroxyl group (v_{max} 3421 cm⁻¹). The ¹³C NMR signal at δ 169.6 and 160.4, and the IR peaks at v_{max} 3362, 1694, and 1674 cm⁻¹ suggested the presence of amide carbonyls. A phenylalanine residue could be concluded from the ¹H NMR signals at δ 7.61 (2H, d, J =7.4 Hz), 7.26 (2H, t, J = 7.4 Hz), 7.20 (1H, t, J = 7.4 Hz), 5.93 (1H, dd, J = 9.1, 6.2 Hz), 3.83 (1H, dd, J = 13.3, 6.2 Hz) and 4.09 (1H, dd, J = 13.3, 9.1 Hz), and the HMBC correlation of H-15/C-14 (169.6), C-16 (137.6), C-17 (130.1), and C-21 (130.1). Another ortho-substituted phenyl ring was recognized from the ¹H NMR signals at δ 8.40 and 7.88 (each 1H, d, J = 8.1 Hz), and 7.75 and 7.45 (each 1H, t, J = 8.1 Hz). The connection of C-10/C-11/N-12/C-13 was deduced from the HMBC correlation of H-9 and H-13/C-11. The structure of compound **4** was finally confirmed by X-ray crystallographic analysis (Figure 4). Compound 4 was hydrolyzed in 6 N HCl (aq.) for 12 h at 100 °C to afford L-phenylalanine. Therefore, the absolute configuration was determined as 2S and 13S.

⁽¹¹⁾ Compound **3**: colorless cubic crystals; mp 182–183 °C; $[\alpha]^{20}_{\rm D}$ +190.0° (*c* 0.10, acetone); UV (MeOH). $\lambda_{\rm max}$ (log ε). 201(4.03), 223 (4.12), 261 (3.15), 349 (3.76). nm; IR(KBr). $v_{\rm max}$: 3420, 3261, 2960, 1684, 1667, 1642, 1598, 1390, 1290, 700 cm⁻¹; 1H NMR (600 MHz, CDCl₃). δ 8.40 (1H, s, H-2), 7.20 (3H, m, H-23, 24 and 25), 7.07 (2H, m, H-22 and 26), 6.61 (1H, d, 7.3, H-8), 6.19 (1H, dd, 10.3, 7.3, H-10), 5.83 (1H, d, 10.3, H-11), 5.52 (1H, t, 7.3, H-9), 5.30 (1H, t, 5.3, H-15), 3.21(1H, dd, 13.8, 5.3, H-20), 3.09, (1H, dd, 13.8, 5.3, H-20), 3.03 (1H, m, H-16), 1.42 (1H, m, H-17), 1.51 (1H, m, H-17), 0.77 (3H, t, 7.2, H-19), 0.75 (3H, d, 7.2, H-18); 13C NMR (150 MHz, CDCl₃). δ 165.8 (C-13), 164.7 (C-1), 153.4 (C-6), 144.3 (C-8), 135.4 (C-21), 129.9 (C-22 and 26), 128.9 (C-10), 128.2 (C-23 and 25), 126.9 (C-24), 120.7 (C-3), 117.0 (C-4), 105.2 (C-9), 132.0 (C-11), 70.2 (C-12), 56.3 (C-15), 36.9 (C-20), 31.9 (C-16), 26.3 (C-17), 16.8(C-18), 11.1 (C-19); (+)-HRESIMS *m/z* 416.1576 [M + Na]⁺ (calcd for C₂₂H₂₃N₃O₄Na, 416.1581).

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⁽¹³⁾ Compound 4: colorless cubic crystals; mp 206–207 °C; $[\alpha]^{20}_{\rm D}$ –147.7° (*c* 0.13, acetone); UV (MeOH). $\lambda_{\rm max}$ (log ϵ). 208 (4.54), 222 (4.55), 269 (4.02), 304 (3.64). nm; IR(KBr). $v_{\rm max}$: 3325, 3082, 2970, 1694, 1622, 1646, 1599, 1436, 1385, 918 cm⁻¹; 1H and 13C NMR data, see table 2 (+)-HRESIMS *m*/z 344.1014 [M + Na]⁺ (calcd for C₁₈H₁₅N₃O₃Na, 344.1006).



Figure 4. ORTEP diagram of compound 4.

The molecular formula of brevianamide N (5) was established as $C_{18}H_{15}N_3O_3$ from the quasi-molecular ion peak at m/z 342.0853 [M + Na]⁺ in the HRESIMS, one more unsaturated degree than 4.¹⁴ The NMR spectra and UV absorptions at λ_{max} at 221 (4.33), and 307.6 (3.89) nm of compound 5 were close to those of 4. However, a ketonic C-atom at δ 155.3 (C-2) presented in compound 5 rather than an acetal C-atom as in 4 (Table 2). The structure of compound 5 was finally elucidated by comparing the NMR data with those of 4 and by HSQC and HMBC experiments. The hydrolysis of compound 5 in 6 N HCl (aq.) yielded L-phenylalanine, indicating that the absolute stereochemistry of C-13 was *S*. The moiety of anthranilic acid in compounds 4 and 5 was present in some other diketopiperazines.¹⁵

Table 2. NMR data of 4 and 5 (¹H: 600 MHz; ¹³C: 150 MHz)^{*a,b*}

	4		5			
no.	$\delta_{\rm H} ({\rm m}, J = {\rm Hz})$	$\delta_{ m C}$	$\delta_{\rm H} ({\rm m}, J = {\rm Hz})$	$\delta_{ m C}$		
1	10.70 (1H, d, 4.9)		8.54 (1H, brs)			
2	6.40 (1H, d, 4.9)	76.8		155.3		
3		151.2		138.9		
5		147.8		146.0		
6	7.88 (1H, d, 8.1)	127.6	7.99 (1H, d, 8.1)	129.8		
7	7.75 (1H, t, 8.1)	134.5	7.91 (1H, t, 8.1)	135.6		
8	7.45 (1H, t, 8.1)	127.2	7.72 (1H, t, 8.1)	130.0		
9	8.40 (1H, d, 8.1)	126.8	8.40 (1H, d, 8.1)	127.1		
10		121.2		121.5		
11		160.4		159.7		
13	5.93 (1H, dd, 9.1, 6.2)	58.0	5.91 (1H, dd, 5.5, 3.0)	58.0		
14		169.6		166.8		
15	3.83 (1H, dd, 13.3, 6.2)	40.6	3.46 (1H, dd, 14.1, 5.5)	38.4		
	4.09 (1H, dd, 13.3, 9.1)		3.59 (1H, dd, 14.1, 3.0)			
16		137.6		132.4		
17	7.61(1H, d, 7.4)	130.1	6.76 (1H, d, 7.5)	129.4		
18	7.26 (1H, t, 7.4)	128.4	7.15 (1H, t, 7.5)	129.2		
19	7.20 (1H, t, 7.4)	126.8	7.24 (1H, t, 7.5)	127.1		
20	7.26 (1H, t, 7.4)	128.4	7.15 (1H, t, 7.5)	129.2		
21	7.61(1H, d, 7.4)	130.1	6.76 (1H, d, 7.5)	129.4		
^a Assignments were based on HSQC and HMBC experiments. ^b The						
NMR spectra of 4 and 5 were recorded in $C_5D_5N_5$ CDCl ₂ , respectively						

Compounds 1–5 exhibited no cytotoxicity against human breast cancer (Bre04), human lung (Lu04) or human neuroma (N04) cell lines (GI₅₀ > 10 μ g/mL), and no inhibitory activity against *Escherichia coli*, *Staphylococcus aureus*, *Pseudomonas aeruginosa*, or *Candida albicans* at a concentration of 100 μ g/mL.

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Supporting Information Available: HRESIMS, 1D and 2D NMR spectra of 1-5, and X-ray crystallographic data of 1 and 4. This material is available free of charge via the Internet at http://pubs.acs.org.

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⁽¹⁴⁾ Compound **5**: colorless needles; $239-240 \,^{\circ}\text{C}$; $[\alpha]^{20}_{D} - 359.3^{\circ}$ (*c* 0.14, acetone); UV (MeOH). λ_{max} (log ε). 221 (4.33), 307.6 (3.89). nm; IR(KBr). v_{max} : 3420, 2922, 2854, 1739, 1710, 1690, 1595, 1467, 1326, 779 cm⁻¹; 1H and 13C NMR data, see table 3; (+)-HRESIMS *m/z* 342.0853 [M + Na]⁺ (calcd for C₁₈H₁₃N₃O₃Na, 342.0849).

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