Synthesis of β -Carbolines and Azepino[4,5-b]indoles from Azidoacrylates

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Decomposition of azidoacrylates (2) derived from 2-alkylindole-3-carbaldehydes gives pharmacologically important β -carboline derivatives [(4), (5)], or azepino[4,5-b]indoles (6) in a new reaction of vinyl azides.

The recent isolation of ethyl β -carboline-3-carboxylate(β -CCE) (4) from human urine and brain tissue, and the demonstration that it possessed high affinity for benzodiazepine-binding brain proteins¹ has prompted a renewed interest in the chemistry of β -carbolines. In the light of our work on vinyl azides,² it seemed likely that the mild thermal decomposition of the azides (2) could constitute a new route to these pharmacologically important β -carboline derivatives. We now report that

although β -CCE itself can be prepared from 2-methylindole-3-carbaldehyde by this method, attempted extension to other β -carboline-3-carboxylates gives, unexpectedly, azepino-[4,5-b]indoles (6) as major products in a new type of vinyl nitrene reaction.

Although indole-3-carbaldehydes are much less reactive than benzaldehyde, condensation of 1-methoxymethyl-2-methylindole-3-carbaldehyde (1a), m.p. 87—90 °C, with ethyl

CHO
$$\begin{array}{c}
CO_2Et \\
N \\
N \\
N
\end{array}$$
MeO
$$\begin{array}{c}
N_3 \\
N_2 \\
N_3
\end{array}$$

b, R = Et $c; R = Pr^n$ d; R = cyclohexyl a; R = Me

$$(2a) \longrightarrow \begin{pmatrix} CO_2Et \\ N \end{pmatrix} \begin{pmatrix} CO_2Et \\ N \end{pmatrix} \begin{pmatrix} CO_2Et \\ N \end{pmatrix}$$

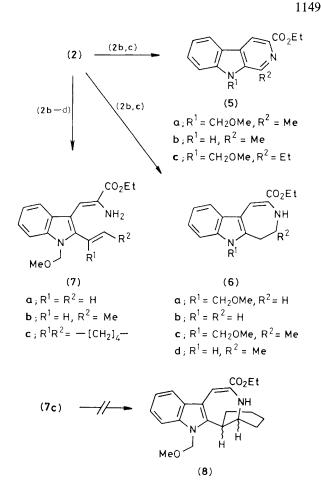
$$MeO \qquad (3) \qquad (4)$$

azidoacetate under the usual conditions2 gave the azidoacrylate (2a) (35-45%), m.p. 90 °C (decomp.). Thermolysis of (2a) in xylene under nitrogen followed by work-up in air gave the expected β -carboline (3) (85%), m.p. 128.5—130.5 °C. The intermediate 1,2-dihydro- β -carboline could be detected by ¹H n.m.r. spectroscopy immediately after the thermolysis, but could not be isolated owing to its extremely rapid oxidation to (3) on exposure of the solution to air. Cleavage of the Nmethoxymethyl group with aqueous acid gave β -CCE (4) (75%), identical with an authentic sample.

The azidoacrylates (2b—d) were similarly prepared from the known 2-alkylindoles via the aldehydes (1b—d), although increasing size of the 2-substituent led to lower yields in the condensation reaction. Decomposition of the azide (2b) in xylene gave two major products in approximately equal amounts. That one compound was the expected β -carboline (5a), m.p. 105—106 °C, was immediately apparent from its ¹H n.m.r. spectrum which contained the low-field singlet for H-4 at δ 8.75, and from its t.l.c. behaviour which exhibited characteristic intense blue fluorescence under u.v. light. Acid cleavage of the N-methoxymethyl group gave the known ethyl harman-3-carboxylate (5b) (95%). The other thermolysis product was assigned the 3,4,5,6-tetrahydroazepino[4,5-b]indole structure (6a) on the basis of its spectral properties: v_{max} 3380 (NH) and 1690 (C=O) cm⁻¹; δ (CDCl₃) 3.29 (2H, t, J 5.1 Hz), 3.48 (2H, t, J 5.1 Hz), and 6.93 (1H, s) in addition to signals for the ester ethyl, N-methoxymethyl, and aromatic protons. Acid hydrolysis of (6a) gave the crystalline azepinoindole (6b) (65%), m.p. 152—154 °C.

The thermolysis of (2b) was also investigated in other solvents. Thus, it was found that in 1,2-dichlorobenzene the β -carboline (5a) was the only isolated product (45%) whereas the formation of the azepinoindole (6a) (60%) was favoured by the use of the more polar dimethylformamide (DMF) as thermolysis solvent, in which no (5a) was formed. When the thermolysis was conducted at a lower temperature in refluxing benzene, a new compound was observed as the major product, the ¹H n.m.r. spectrum of which established the structure as the enamine (7a). The enamine, which was characterised as its N-acetyl derivative, could not be purified since attempted chromatography on, or stirring with, silica gel caused cyclisation to the azepinoindole (6a). On heating in solution, the enamine gave (5a) and/or (6a), the results closely paralleling those obtained from the azide (2b) itself.

In the thermolysis of the azide (2c) almost exclusive azepinoindole formation was observed, with only traces of β -carboline (5c) being detected by t.l.c. Thus heating the azide in xylene or, better, DMF gave the azepinoindole (6c) (50%),



characterised as the N-unsubstituted compound (6d), m.p. 180—182 °C. In benzene, the enamine (7b) was the major product, and although more stable than (7a) it could be cyclised to (6c) by heating in DMF.

Tetrahydroazepino[4,5-b]indoles have not been prepared before, although the 1,2,3,4,5,6-hexahydro-derivatives are quite well known, and indeed have been used as intermediates in alkaloid synthesis.3 The azepinoindole skeleton also occurs in nature, and therefore an attempt was made to extend our method to the synthesis of (8), a compound related to the Iboga alkaloid catharanthine. Therefore, the cyclohexyl substituted azide (2d) was decomposed in benzene to give the expected cyclohexenyl enamine (7c). However, the enamine proved thermally stable, and has not as yet been induced to cyclise to (8), being recovered unchanged from heating in xylene or 1,2-dichlorobenzene. Heating the enamine (7c), or the azide (2d) in refluxing DMF resulted in complex mixtures.

Although the formation of β -carbolines is similar to the preparation of other annelated pyridines from vinyl azides^{2,4,5} the formation of seven-membered rings represents a new type of vinyl azide reaction. The results are best rationalised by the intermediacy of vinyl nitrenes which undergo a 1,6-hydrogen shift to give an imine which can cyclise to give pyridines in the case of azides (2a—c), or undergo a further hydrogen shift to give enamines (7) as the kinetic product, in a mechanistic scheme similar to that proposed by Japanese workers in their studies on the decomposition of vinyl azides derived from benzofuran-2-carbaldehyde.⁵ However, the formation of seven-membered rings from enamines related to (7) was not observed. Although azepinoindole formation can be explained by intramolecular addition of the amino-group to the conjugated system, little is known about such cyclisations, and it

remains to be seen whether seven-membered ring formation is peculiar to vinyl azides derived from indole-3-carbaldehydes.

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