



Kinetic control wins out over thermodynamic control in Friedel–Crafts acyl rearrangements

Tahani Mala'bi, Sergey Pogodin, Israel Agranat*

Organic Chemistry, Institute of Chemistry, The Hebrew University of Jerusalem, Philadelphia Bldg, #201/205, Edmond J. Safra Campus, Jerusalem 91904, Israel

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ABSTRACT

1,5-, 1,8- and 9,10-diacetylanthracenes undergo Friedel–Crafts acyl rearrangements in polyphosphoric acid at 130–150 °C to give 3-methylbenz[de]anthracen-1-one via the kinetically-controlled 1,9-diacetyl-anthracene. The rearrangement mechanism is supported by DFT calculations of diacetylanthracenes, their σ -complexes, *O*-protonates, and *O,O*-diprotonates. The importance of kinetic control versus thermodynamic control in Friedel–Crafts acyl rearrangements is highlighted. Certain features of reversibility are also suggested.

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Friedel–Crafts acylation, in contrast to Friedel–Crafts alkylation, is usually considered an irreversible process, free of rearrangements and isomerizations.^{1–3} However, if the acyl group is tilted out of the plane of the aromatic ring by the neighboring bulky group(s), the resonance stabilization is reduced and the pattern of irreversibility of the Friedel–Crafts acylation may be challenged, allowing deacylations, transacylations, and acyl rearrangements.^{4–6} The concept of reversibility in Friedel–Crafts acylation was put forward in 1955 by Gore, who proposed that ‘the Friedel–Crafts acylation reactions of reactive hydrocarbons is a reversible process’.^{7,8} The incursion of reversibility in the Friedel–Crafts acylation was revealed in the benzylation of naphthalene in polyphosphoric acid (PPA) at elevated temperatures.⁹ Under classical Friedel–Crafts acylation conditions (e.g., AlCl₃ and a trace of H₂O), the pattern of irreversibility (e.g., in the naphthalene series) has been highlighted.^{6,8,10,11} The existing experimental evidence in support of the true reversibility of Friedel–Crafts acylation is limited.^{12–17} Notable cases are the report by Balaban on the reversibility of Friedel–Crafts acetylation of olefins to β -chloroketones,^{12–14} the report by Effenberger of the retro-Fries rearrangement of phenyl benzoates (CF₃SO₃H, 170 °C)¹⁵ and the reversible ArS_E arylation of naphthalene derivatives.¹⁷ Complete reversibility of Friedel–Crafts acylation was established in the intramolecular *para* \rightleftharpoons *ortho* acyl rearrangements of fluorofluorenones in PPA.¹⁸ Friedel–Crafts acylation can be adjusted to give a

kinetically controlled ketone or a thermodynamically controlled ketone.⁴ Acyl rearrangements and reversibility in Friedel–Crafts acylations have been associated with thermodynamic control.^{5,9,18} The contributions of kinetic control versus thermodynamic control in Friedel–Crafts acyl rearrangements remain an open question, in spite of the rich chemistry of the Friedel–Crafts acylation.

Aspects of reversibility and acyl rearrangements of mono-acetylanthracenes and mono-acetylphenanthrenes in PPA have been reported, but complete reversibility has not been realized.^{19,20} The complexity of the Friedel–Crafts acetylation of anthracene is enhanced by diacetylation. Indeed, the disproportionations of 9-acetylanthracene into 1,5-diacetylanthracene and 1,8-diacetylanthracene in an ionic liquid system have been described.¹⁶ The study of the mutual Friedel–Crafts acyl rearrangements of diacetylanthracenes with special emphasis on kinetic versus thermodynamic control seemed an attractive proposition. We provide here experimental and theoretical evidence indicating the importance of kinetic control in the Friedel–Crafts acyl rearrangements in the diacetylanthracene series. We report that surprisingly, the Friedel–Crafts acyl rearrangements of 1,5-diacetylanthracene (**1,5-Ac₂AN**), 1,8-diacetylanthracene (**1,8-Ac₂AN**) and 9,10-diacetylanthracene (**9,10-Ac₂AN**) in PPA gave 3-methylbenz[de]anthracen-1-one (**1**) via the putative kinetically controlled intermediate, 1,9-diacetylanthracene (**1,9-Ac₂AN**).

1,5-Ac₂AN,^{21,22} **1,8-Ac₂AN**²³ and **9,10-Ac₂AN**²⁴ were each subjected to PPA at 130–150 °C for 1–4 h. The results are summarized in Table 1. The constitution of the crude products was established by ¹H NMR spectroscopy. The constitutional isomers **1,5-Ac₂AN**,

* Corresponding author.

E-mail address: isria@vms.huji.ac.il (I. Agranat).

Table 1
The products of the rearrangements of diacetylanthracenes

Substrate	T	t	Products (% relative yield)							
			m-AcAN			m,n-Ac ₂ AN			1	AN
m,n-Ac ₂ AN	(°C)	(h)	1	2	9	1,5	1,8	9,10		
1,5	140	1	0	26	0	14	16	0	44	0
1,5	150	1	0	30	0	15	5	0	50	0
1,5	150	3	0	0	0	5	2	0	93	0
1,8	130	3	0	11	0	0	24	0	65	0
1,8	130	4	0	0	0	0	20	0	80	0
1,8	140	1	0	17	0	0	21	0	62	0
1,8	150	3	0	9	0	0	12	0	79	0
9,10	150	1	3	8	0	0	0	50	8	31
9,10	150	3	0	5	0	0	0	18	0	77

1,8-Ac₂AN, and **9,10-Ac₂AN**, the constitutional isomers 1-acetylanthracene (**1-AcAN**), 2-acetylanthracene (**2-AcAN**), and 9-acetylanthracene (**9-AcAN**) and anthracene (**AN**) were distinguished by the ¹H NMR chemical shifts of the methyl groups, by the low-field chemical shifts of H⁹ and H¹⁰ (singlets) and the chemical shifts of the protons *ortho* to the carbonyl group(s) (H¹ and/or H²) (Table 2).

The major rearrangement product of the reactions of **1,5-Ac₂AN** and **1,8-Ac₂AN** in PPA was **1**. In both cases, **2-Ac₂AN** was also formed. **1,5-Ac₂AN** also rearranged into **1,8-Ac₂AN** (probably via **1-AcAN**), while **1,8-Ac₂AN** did not rearrange into **1,5-Ac₂AN**. Interestingly, **1** was also formed from **9,10-Ac₂AN**. The latter also underwent a rearrangement into **2-AcAN** and deacetylation to give **AN** as the major product. 1,6-Diacetylanthracene²⁵ was not identified among the products of the reactions.

Preparative rearrangement of **1,5-Ac₂AN** in PPA (at 150 °C) for three hours gave **1** in 25% yield. The structure of **1** was verified by 2D ¹H NMR and ¹³C NMR spectroscopy. The very low field double doublet of the bay-region H¹¹ at 10.16 ppm (see Table 2) due to the diamagnetic anisotropy effect of the neighboring C¹=O is striking. The chemical shifts of the ethylenic H² and of the CH₃ group at 6.75 and 2.57 ppm, respectively, are also noteworthy. Compound **1** could be distinguished from its constitutional isomer, 1-methylbenz[de]anthracen-3-one (**2**) by the NOE between the CH₃ and H⁴ (8.01 ppm, dd) in **1**, while no NOE was observed between CH₃ and H¹¹ (which would be expected for **2**). The structure of the highly strained 4-methylcycloocta[defg]anthracen-1-one (**3**) was ruled out by the absence of a singlet due to H¹⁰. (Also, H¹¹ of **3** which is not located in a bay region would not have been expected to be so highly deshielded as H¹¹ of **1**). The DFT calculations also show that **1** is more stable than **2** and **3**: ΔG₂₉₈ = 0.0 (**1**), 31.35 (**2**), 346.39 (**3**) kJ/mol.

Table 2
¹H NMR chemical shifts (500 MHz, CDCl₃, ppm) of characteristic protons in mono- and diacetylanthracenes, and in **1**^a

	CH ₃	H ⁹	H ¹⁰	H ¹	H ²
1-AcAN	2.81, s	9.48, s	8.44, s	—	8.01, d (7.0)
2-AcAN	2.76, s	8.57, s	8.43, s	8.65, s	—
9-AcAN	2.82, s	—	8.47, s	7.86, d (8.5)	7.47–7.55, m
1,5-Ac₂AN	2.82, s	9.57, s	9.57, s	—	8.08, d (7.0, 1.0)
1,8-Ac₂AN	2.83, s	10.17, s	8.47, s	—	8.14, d (8.5)
9,10-Ac₂AN	2.81, s	—	—	7.84–7.88, m	7.53–7.57, m
1,6-Ac₂AN	2.79, s	9.46, s	8.52, s	8.57, ^b s	8.04, d (7.0)
1	2.73, s	—	—	—	—
	2.57, d (1.0)	—	8.73, ^c s	10.16, ^d dd (9.0, 1.0)	8.01, ^e dd (7.3, 1.0)

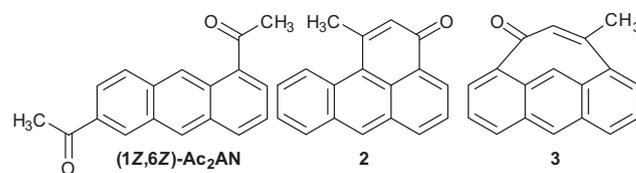
^a Coupling constants (J, Hz) are given in parentheses.

^b H⁵.

^c H⁷.

^d H¹¹.

^e H⁴.



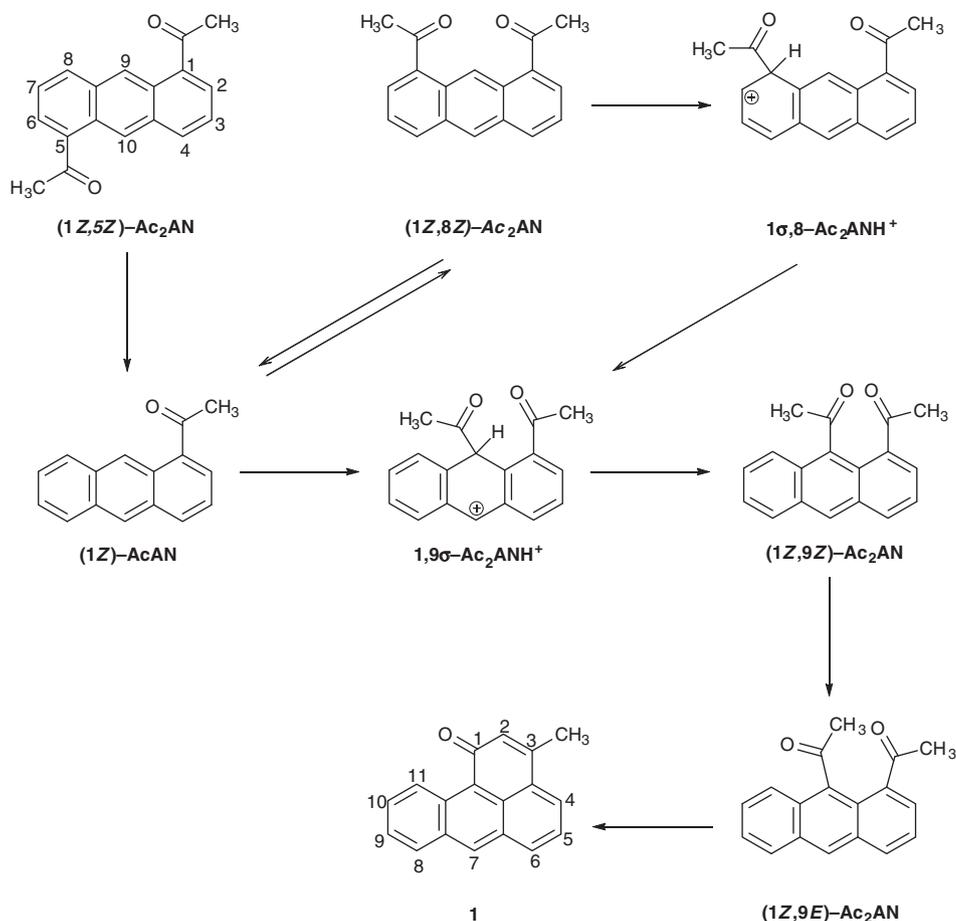
A plausible mechanism for the rearrangements of **1,5-Ac₂AN** and **1,8-Ac₂AN** into **1** is presented in Scheme 1. The pivotal step in this mechanism is the formation of **1,9-Ac₂AN** as an intermediate. **1,5-Ac₂AN** and **1,8-Ac₂AN** each undergoes deacetylation to give **1-AcAN**, followed by reacetylation to give the kinetically controlled **1,9-Ac₂AN** (vide infra). The latter can also be formed by a direct acyl rearrangement of **1,8-Ac₂AN**: **1,8-Ac₂AN** → **1,8σ-Ac₂ANH⁺** → **1,9σ-Ac₂ANH⁺** → **1,9-Ac₂AN**.

The rearrangement of the latter into **1** requires a (1Z,9Z) → (1Z,9E) diastereomerization.²⁶ Figure 1 depicts the DFT-calculated transition state for the rearrangement of **1,9σ-Ac₂AN** into **1,9σ-Ac₂AN** (energy barrier ΔΔG[‡]₂₉₈ = 35.9 kJ/mol, at B3LYP/6-31G(d)). The **1,9-Ac₂AN** intermediate then undergoes an acid-catalyzed irreversible intramolecular aldol condensation to give **1**. **1,9-Ac₂AN** may also be an intermediate in the rearrangement of **9,10-Ac₂AN** into **1** (see Scheme SD1, Supplementary data). The acyl rearrangement mechanism also suggests certain features of reversibility: **1,5-Ac₂AN** → **1-AcAN** = **1,8-Ac₂AN**. The reported formation of **1** from anthracene and diketene (HF, –30 to +60 °C)²⁷ may be rationalized by an initial Friedel–Crafts acylation at C⁹, followed by an intramolecular alkylation at C¹ and dehydration.

The proposed mechanism is supported by the results of DFT calculations of the diacetylanthracenes, their O-protonates, their O,O-diprotonates and their σ-complexes at B3LYP/6-31G(d) (Tables SD1–SD4, Supplementary data). Recently, a computational model for predicting the site for electrophilic aromatic substitution was reported.²⁸ The model was based on DFT calculations of the relative stabilities of the σ-complex intermediates and was applied (inter alia) to Lewis acid protonated Friedel–Crafts acylations. Our calculations (see Supplementary data for the details) give the following orders of relative free energies (ΔG₂₉₈, kJ/mol) of **1,5-Ac₂AN**, **1,8-Ac₂AN**, **1,9-Ac₂AN**, **1,10-Ac₂AN**, and **9,10-Ac₂AN** in each series:

- σ-complexes of diacetylanthracenes: ΔG₂₉₈ = 0.0 (**1,9σ-Ac₂ANH⁺**), 5.68 (**1,10σ-Ac₂ANH⁺**), 22.29 (**9σ,10-Ac₂ANH⁺**), 37.54 (**1σ,5-Ac₂ANH⁺**), 38.26 (**1σ,8-Ac₂ANH⁺**), 58.29 (**1σ,9-Ac₂ANH⁺**), 66.99 (**1σ,10-Ac₂ANH⁺**).
- O-protonated diacetylanthracene monocations: ΔG₂₉₈ = 0.0 [(**1Z,8Z**)-**1H-Ac₂ANH⁺**], 1.79 [(**1Z,5Z**)-**1H-Ac₂ANH⁺**], 30.01 [(**1Z,10E**)-**10H-Ac₂ANH⁺**], 32.34 [(**1Z,10Z**)-**1H-Ac₂ANH⁺**], 51.50 [(**1E,9E**)-**9H-Ac₂ANH⁺**],²⁹ 53.54 [(**1E,9E**)-**1H-Ac₂ANH⁺**], 55.31 [(**9E,10E**)-**9H-Ac₂ANH⁺**].
- O,O-protonated diacetylanthracene dications: ΔG₂₉₈ = 0.0 [(**1Z,5Z**)-**Ac₂ANH₂⁺²**], 11.24 [(**1Z,8Z**)-**Ac₂ANH₂⁺²**], 71.39 [(**1Z,10Z**)-**Ac₂ANH₂⁺²**], 88.44 [(**1Z,9Z**)-**Ac₂ANH₂⁺²**], 151.52 [(**9E,10E**)-**Ac₂ANH₂⁺²**].
- diacetylanthracenes: ΔG₂₉₈ = 0.0 [(**1Z,5Z**)-**Ac₂AN**], 11.20 [(**1Z,8Z**)-**Ac₂AN**], 22.74 [(**1Z,10Z**)-**Ac₂AN**], 42.63 [(**1Z,9Z**)-**Ac₂AN**], 43.87 [(**9E,10E**)-**Ac₂AN**].

Figure 2 depicts the relative energies of the most stable conformations of each of the diacetylanthracene species. The B3LYP/6-31G(d) calculated order of stabilities of the σ-complexes of diacetylanthracenes is **1,9σ-Ac₂ANH⁺** > **1,10σ-Ac₂ANH⁺** > **9σ,10-Ac₂ANH⁺** > **1σ,5-Ac₂ANH⁺** > **1σ,8-Ac₂ANH⁺** > **1σ,9-Ac₂ANH⁺** > **1σ,10-Ac₂ANH⁺**. According to the Hammond–Leffler postulate,³⁰ the



Scheme 1. The mechanism of Friedel-Crafts rearrangement of **1,5-Ac₂AN** and **1,8-Ac₂AN** leading to **1**.

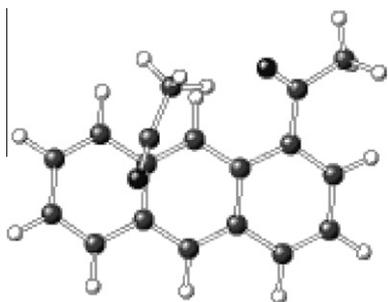


Figure 1. The transition state for **1,8σ-Ac₂AN** → **1,9σ-Ac₂AN** rearrangement.

relative energies of the transition states leading to the σ -complexes resemble those of the σ -complexes: $\Delta G_{298}^{\ddagger}(\mathbf{1,9\sigma-Ac_2ANH}^{+1}) < \Delta G_{298}^{\ddagger}(\mathbf{1,10\sigma-Ac_2ANH}^{+1}) < \Delta G_{298}^{\ddagger}(\mathbf{1\sigma,5-Ac_2ANH}^{+1}) < \Delta G_{298}^{\ddagger}(\mathbf{1\sigma,8-Ac_2ANH}^{+1}) < \Delta G_{298}^{\ddagger}(\mathbf{1\sigma,9-Ac_2ANH}^{+1}) < \Delta G_{298}^{\ddagger}(\mathbf{1\sigma,10-Ac_2ANH}^{+1})$. Thus, the DFT calculations predict that **1,9-Ac₂AN** is the kinetically controlled product. Among the diacetylanthracenes, only **1,9-Ac₂AN** can undergo an irreversible intramolecular aldol condensation to give **1**. By contrast, the calculations show that among the relevant diacetylanthracenes **1,5-Ac₂AN**, **1,8-Ac₂AN**, **1,9-Ac₂AN**, **1,10-Ac₂AN**, and **9,10-Ac₂AN**, **1,5-Ac₂AN** is the most stable. Among *O*-protonated diacetylanthracenes, **1,5-Ac₂ANH⁺¹** and **1,8-Ac₂ANH⁺¹** are the most stable. Thus they could have qualified as the thermodynamically controlled diacetylanthracenes. The results indicate that the kinetically controlled **1,9-Ac₂AN** eventually cyclizes irreversibly to **1**. The Friedel-Crafts acetylation of anthracene under classical conditions

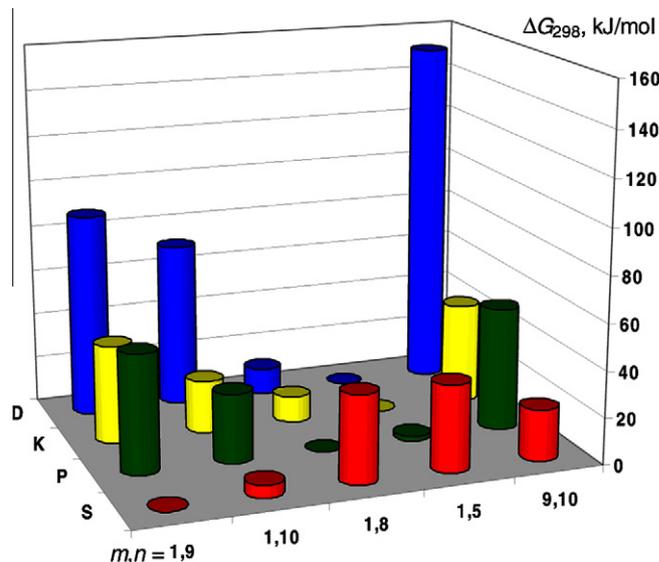


Figure 2. Relative energies (ΔG_{298}) of *m,n*-diacetylanthracene species: σ -complexes (S), *O*-protonated diacetylanthracenes (P), diacetylanthracenes (K), and *O,O*-diprotonated diacetylanthracenes (D).

(**AN**, $\text{AcCl}/\text{AlCl}_3$, CH_2Cl_2) yielded **1,5-Ac₂AN** (30–35 °C, 2 h) and **1,8-Ac₂AN** (23 °C, 14 h), but not **1**. Evidently, the σ -complex of **1,9-Ac₂AN**, the precursor of **1**, could not have been formed due to a steric hindrance during complexation with AlCl_3 .

In conclusion, the formation of **1** via **1,9-Ac₂AN** in the Friedel–Crafts acyl rearrangements of diacetylanthracenes in PPA (vide supra) supports the contention that in these reactions, kinetic control wins out over thermodynamic control. It remains to be seen whether this conclusion applies to other polycyclic aromatic ketones (PAKs) and whether intermolecular and/or intramolecular are essential ingredients of kinetic control in Friedel–Crafts acyl rearrangements of PAKs (Agranat–Gore rearrangement¹⁹).

Experimental

In a 150 mL round-bottomed flask with a magnetic stirrer and anhydrous argon atmosphere, PPA (133 g) was added; after stirring for a few minutes at 150 °C, **1,5-Ac₂AN** (1.0 g, 3.8 mmol) was added. The mixture was stirred at 150 °C for 3 h, and then poured into a mixture of ice and water (500 mL) and stirred overnight. The products were extracted with CH₂Cl₂ (4 × 50 mL), washed with saturated NaHCO₃ solution (2 × 50 mL) and H₂O (2 × 50 mL), and dried over MgSO₄. The organic solvent was evaporated in vacuo to give a crude mixture of **1** and **1,5-Ac₂AN** in an 85:15 ratio. The crude product was purified by repeated column chromatography on silica gel 60, using petroleum ether (40–60 °C)–EtOAc as eluent, from 98:2 to 80:20, followed by recrystallization from EtOAc. Compound **1** was obtained as red crystals, mp 193 °C (lit., mp 182 °C²⁷) in 25% yield; MS, *m/z* = 244 (¹²C₁₈H₁₂O); IR, 1635 cm⁻¹ (C=O); UV/Vis (CHCl₃, 1.4 × 10⁻⁴ M nm): 489 sh (ε 7500), 462 (ε 8350), 442 sh, 370 (ε 7700), 354 sh (ε 7400), 269 (ε 26000), 241 (ε 25800) (lit., 462, 488 sh²⁷). ¹H NMR (500 MHz, CDCl₃, ppm) δ = 10.16 (dd, *J*₁ = 9.0 Hz, *J*₂ = 1.0 Hz, 1H, H¹¹), 8.73 (s, 1H, H⁷), 8.13 (d, *J* = 8.0 Hz, 1H, H⁶), 8.07 (dt, *J*₁ = 8.3 Hz, *J*₂ = 0.5 Hz, *J*₃ = 1.0 Hz, 1H, H⁸), 8.01 (dd, *J*₁ = 7.2, *J*₂ = 1.0 Hz, 1H, H⁴), 7.83 (ddd, *J*₁ = 9.0 Hz, *J*₂ = 7.0 Hz, *J*₃ = 1.5 Hz, 1H, H¹⁰), 7.61 (ddd, *J*₁ = 8.3 Hz, *J*₂ = 6.8 Hz, *J*₃ = 1.0 Hz, 1H, H⁹), 7.57 (dd, *J*₁ = 8.5 Hz, *J*₂ = 7.0 Hz, 1H, H⁵), 6.75 (d, *J* = 1.0 Hz, 1H, H²), 2.57 (d, *J* = 1.0 Hz, 3H, CH₃). ¹³C NMR (125 MHz, CDCl₃, ppm) δ = 187.8 (C¹=O), 145.4 (C³), 137.4 (C⁷), 133.4 (C^{11a}), 133.1 (C⁶), 132.2 (C^{7a}), 131.7 (C¹⁰), 130.9 (C²), 129.8 (C⁴), 129.7 (C^{3a}), 129.6 (C^{6a}), 129.3 (C⁸), 128.3 (C^{11c}), 127.8 (C¹¹), 126.3 (C⁹), 125.0 (C⁵), 122.7 (C^{11b}), 19.3 (CH₃).

Supplementary data

Supplementary data (¹H NMR spectrum of **1**; total energies, relative energies, and geometries of the diacetylanthracenes, their *O*-protonates, their *O,O*-diprotonates and their σ-complexes) associated with this article can be found, in the online version, at doi:10.1016/j.tetlet.2011.02.026.

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