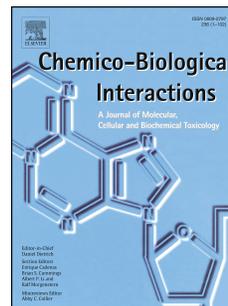


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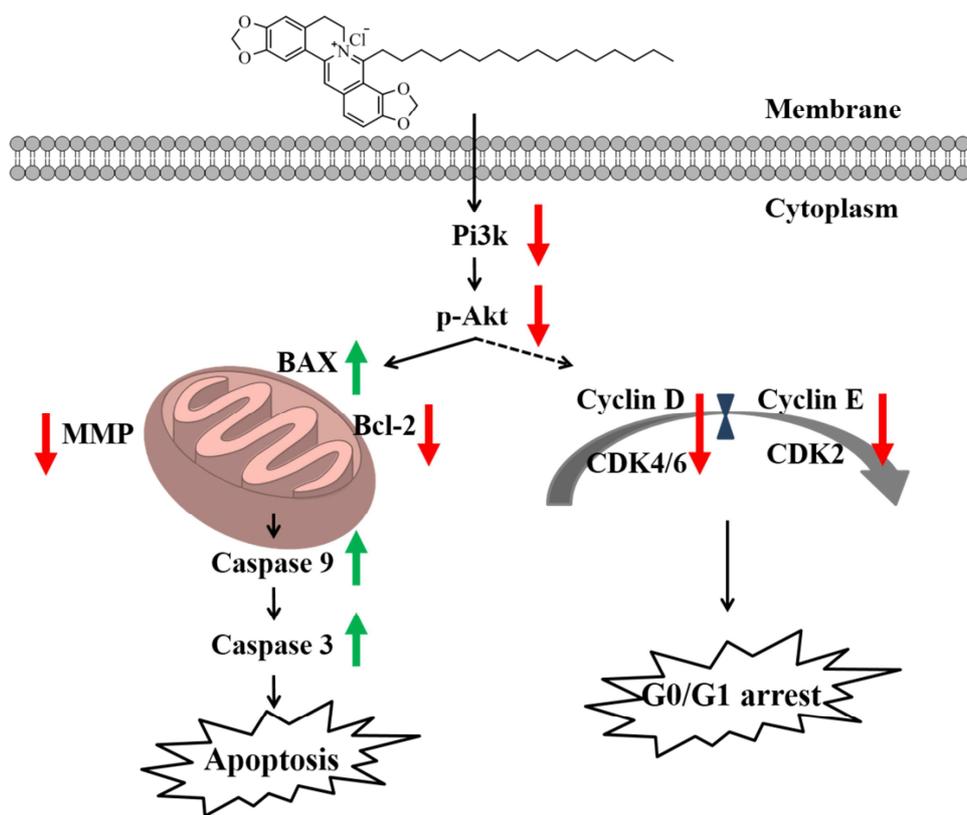
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Graphical abstract



8-Cetylcoptisine, a new coptisine derivative, induces mitochondria-dependent apoptosis and G0/G1 cell cycle arrest in human A549 cells

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1 Abstract

2 Lung cancer is the worldwide leading cause of cancer-related death. Here, we
3 described the synthesis and the anticancer activity of a novel coptisine derivative
4 8-cetylcoptisine (CCOP) on lung carcinoma *in vitro* and *in vivo*. CCOP inhibited the
5 cell viability of A549, BGC-823, MDA-MB-231, HCT-116 and HepG2 cell lines. In
6 A549 cells, CCOP induced apoptosis, G0/G1 cell cycle arrest and decreased
7 mitochondrial membrane potential (MMP) in a dose-dependent manner. Western blot
8 analysis showed that CCOP increased the expression of Bcl-2-associated X protein
9 (Bax), cleaved caspase 3 and 9, while decreased B-cell lymphoma 2 (Bcl-2), cyclins
10 D and E, cyclin dependent kinases (CDKs) 2, 4 and 6, along with the inactivation of
11 the upstream phosphoinositide 3-kinase (Pi3k)/protein kinase B (Akt) signaling.
12 Further *in vivo* studies showed that CCOP (10 mg/kg) significantly delayed tumor
13 growth in A549 xenograft nude mice, which is stronger than that of coptisine (100
14 mg/kg). These data suggested that CCOP could be a candidate for lung cancer
15 therapy.

16 **Keywords:** coptisine derivative; 8-cetylcoptisine; lung cancer; apoptosis; cell cycle

18 Abbreviations

19 Akt, protein kinase B; AO/EB, acridine orange/ethidium bromide; Bak, Bcl-2
20 homologous antagonist/killer; Bax, Bcl-2-associated X protein; Bcl-2, B-cell
21 lymphoma 2; CCOP, 8-cetylcoptisine; CDKs, cyclin dependent kinases; COP,
22 coptisine; DiOC₆(3), 3,3'-dihexyloxacarbocyanine iodide; DMEM, Dulbecco's

23 Modified Eagle's Medium; MMP, mitochondrial membrane potential; MOMP,
24 mitochondrial outer membrane permeabilization; NSCLC, non-small-cell lung cancer;
25 PARP, poly ADP-ribose polymerase; PI, propidium iodide; Pi3k, phosphoinositide
26 3-kinase.

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27 1. Introduction

28 Lung cancer is the leading cause of cancer incidence and mortality worldwide.
29 According to International Agency for Research on Cancer, about 2.1 million new
30 cases and 1.8 million deaths are predicted in 2018 [1]. Non-small-cell lung cancer
31 (NSCLC) accounts for almost 80% of lung cancer cases. In recent years, the
32 combined use of chemotherapy and surgery has led to the improvement of patient's
33 outcomes. However, the 5-year survival rate of lung cancer remains disappointing [2],
34 suggesting the need for the development of novel therapeutic strategies for lung
35 cancer treatment.

36 Apoptosis, also called programmed cell death, plays a critical role in the pathogenesis
37 of lung cancer. Numerous chemotherapeutic agents are reported to induce death in
38 cancer cells by apoptosis [3, 4]. It is well established that caspase proteins are key
39 modulators of apoptosis induction [5]. Upon activation, initiator caspases 8 and 9
40 cleave and activate downstream effector caspases 3, 6, and 7, which further execute
41 apoptosis by cleaving target proteins such as poly ADP-ribose polymerase (PARP) [6].
42 On the other hand, the B-cell lymphoma 2 (Bcl-2) family proteins such as Bcl-2 and
43 Bcl-2-associated X protein (Bax) regulate the mitochondrial outer membrane
44 permeabilization (MOMP) and activate mitochondrial-mediated apoptosis pathway
45 [7]. Dysregulation of phosphoinositide 3-kinase (Pi3k)/protein kinase B (Akt)
46 components promotes pro-apoptotic function of Bax and induces mitochondrial
47 membrane potential (MMP) reduction and reactive oxygen species production [8].
48 Besides, Pi3k/Akt pathway triggers a network positively regulates cell cycle transition

49 which relies on the activation of a series of cyclins and cyclin dependent kinases
50 (CDKs) [9]. Inhibition function of CDKs 2, 4, 6 and cyclins D, E could induce G1/S
51 arrest [10], while down-regulation of CDK 1 and cyclin B1 induces G2/M arrest [11].
52 Thus, the inhibition of Pi3k/Akt signaling may be a target for human cancer treatment
53 [12].
54 Natural products are considered an important source of anticancer agents, for example,
55 paclitaxel from *Taxus brevifolia*, and camptothecin from *Camptotheca acuminata* [13,
56 14]. It has been reported that the antitumor activity of coptisine (COP) from *Coptis*
57 *chinensis* Franch (*Ranunculaceae*) against lung [15], colon [16, 17], liver [18], breast
58 [19] and bone cancer [20]. However, its usage is limited because of poor
59 bioavailability, e.g. the absolute bioavailability of COP is between 1.87% to 0.52%
60 [21]. Alkylation is frequently used for structural modification of natural products
61 which may enhance the bioavailability and activity [22]. Hu *et al.* reported that adding
62 an hexadecyl moiety to the C8 of berberine, an analogue of COP, increased the
63 maximal plasma concentration (C_{max}) by 2.8-fold. The relative bioavailability of
64 berberine to the derivative was 7.7% [23]. In addition, Jiang *et al.* reported that adding
65 C4, C6, C8, C10 and C12 alkyl chain at C8 of COP inhibited proliferation and
66 enhanced the glucose-lowering effect in HepG2 cells [24]. Besides, 8-octylcoptisine
67 exhibited higher antimicrobial activity than COP, especially against gram positive
68 bacteria [25]. Based on this, we synthesized a new COP derivative 8-cetylcoptisine
69 (CCOP) and investigated the potential antitumor effect on lung cancer *in vitro* and *in*
70 *vivo*.

71 2. Material and methods

72 2.1. Compound and reagents

73 CCOP was synthesized as shown in Scheme 1 [22]. Grignard reagents were prepared
74 from Mg ribbon (44 mmol) and hexadecyl bromate (40 mmol) in absolute THF (100
75 ml). To a mixture of Grignard reagents in absolute THF, the suspension of compound
76 1 (30 mmol) was added drop-wisely. The reaction mixture was stirred under N₂ at 0°C
77 for 1 h. After warming at room temperature, the solution was heated to reflux for 1 h.
78 Then the solvent was removed by evaporation, redissolved in ethyl acetate and
79 recrystallized in MeOH to obtain compound 2. To a stirred solution of compound 2
80 (10 mmol) in hot AcOH (100 ml), Br₂ (10 mmol) was added drop-wisely and heated
81 under reflux for 1 h. After cooling down at room temperature, the precipitate was
82 filtered and washed with 10% Na₂S₂O₅ solution, then with H₂O and recrystallized in
83 MeOH to yield compound 3. Then, compound 3 was solvated in hot MeOH, reacted
84 with AgCl and recrystallized in MeOH at -20°C to obtain compound 4. ¹H and ¹³C
85 NMR spectra were detected on a Bruker Ascend 400 spectrometer (Bruker Biospin,
86 Switzerland). Mass spectrum was recorded on LCMS-8030 (Shimadzu, Japan).
87 COP (> 95% by HPLC) was prepared from the rhizome of *Coptis chinensis* Franch
88 according to a previous method [26]. Antibodies against caspase 3, caspase 9, Bcl-2,
89 Bax, CDK 2, CDK 4, CDK 6, Cyclin D, Cyclin E and anti-rabbit IgG
90 HRP-conjugated secondary antibody were purchased from Proteintech Group Inc.,
91 China. Antibodies against Pi3k, Akt and β-actin were purchased from Bioss
92 Biotechnology Inc., China. Antibody against p-Akt was purchased from Cell

93 Signaling Technology Inc., USA.

94 **2.2. Cell culture**

95 Human A549, BGC-823, MDA-MB-231, HCT-116 and HepG2 cells were obtained
96 from Cell Bank of Chinese Academy of Sciences (Shanghai, China). All cells were
97 cultured in Dulbecco's Modified Eagle's Medium (DMEM) containing 1%
98 penicillin/streptomycin (Invitrogen, USA) and 10% fetal bovine serum (BBI life
99 sciences, China). The cells were grown at 37°C in a 95% humidified atmosphere with
100 5% CO₂.

101 **2.3. Cell viability assessment**

102 Cell viability was determined by MTT (Sigma-Aldrich, USA) assay [13]. Briefly,
103 cells were seeded in 96-well plate at 6×10^3 cell/well and incubated overnight. CCOP
104 was dissolved in DMSO solution and added to the wells with the final concentrations
105 of 0–2 µg/mL. After treated for 18 h, 20 µL MTT (0.5 mg/mL) was added to each
106 well and treated for 4 h at 37°C. The supernatant was replaced with DMSO and
107 measured at 490 nm. The cell viability was normalized to untreated cells.

108 **2.4. Flow cytometry**

109 Cells in log-phase growth were seeded at 2×10^5 cells/well. For apoptosis assay, cells
110 were harvested, washed and stained with Annexin-V/propidium iodide (PI) kit
111 (Sigma-Aldrich, USA) according to the manufacturer's instruction. For cell cycle
112 assay, cells were fixed in 70% ethanol at 4°C overnight then stained with 40 µg/mL PI
113 and detected by flow cytometer (BD FACSVerse, USA). The results were analyzed by
114 Flow Jo software (Tree Star Inc., USA) [16].

115 **2.5. Acridine orange/ethidium bromide (AO/EB) staining**

116 For AO/EB staining, cells were seeded at 4×10^4 /well on 12-well culture plate and
117 treated with CCOP. After 24 h incubation, cells were harvest, resuspended and
118 incubated in 4 μ g/mL AO/EB for 1 min in the dark. Cells were imaged under a
119 fluorescence microscope (Nikon Eclipse Ci, Japan). Red fluorescence represents
120 apoptosis cells while green fluorescence represents healthy cells. At least 500 cells
121 were counted and the apoptosis rate was calculated by the percentage of red
122 fluorescence cells in all cells [27].

123 **2.6. MMP assay**

124 The MMP was detected with 3,3'-dihexyloxacarbocyanine iodide (DiOC₆(3),
125 Sigma-Aldrich, USA) as reported previously [28]. Lipophilic cation such as DiOC₆(3)
126 was transported and concentrated within the mitochondrial matrix by the negative
127 membrane potential. After CCOP treatment, cells were incubated with 50 nM
128 DiOC₆(3) for 20 min at 37°C, rinsed with PBS and subsequently detected by flow
129 cytometry (excitation 488 nm; emission 525 nm). The results were analyzed using
130 Flow Jo software. Cells with lower fluorescence indicate loss of MMP.

131 **2.7. Western blot analysis**

132 Total protein was extracted by RIPA buffer (BBI life sciences, China) containing
133 protease inhibitors, separated by 10–15% SDS-PAGE, and transferred into
134 polyvinylidene fluoride membrane. After blocked by 10% nonfat milk for 2 h,
135 antibodies against Pi3k, Akt, p-Akt, caspase 3, 9, Bcl-2, Bax, CDK 2, CDK 4, CDK 6,
136 Cyclin D, Cyclin E and β -actin were incubated at 4°C overnight. Subsequently, the

137 membranes were rinsed with TBST and incubated with anti-rabbit IgG
138 HRP-conjugated secondary antibody at room temperature for 2 h. The results were
139 visualized with ECL reagent (Bio-Rad, USA) and quantified using Image J software.

140 **2.8. Immunohistochemistry studies**

141 Paraffin-embedded tumor sections were dewaxed, rehydrated, antigen retrieval by 10
142 mM sodium citrate buffer (pH 6.0) at 98°C for 15 min and endogenous peroxidase
143 blocked with 3% H₂O₂ for 15 min. The slides were blocked with serum and incubated
144 with Ki-67, cleaved caspase 3 and Bcl-2. After rinsed with PBS, the sections were
145 incubated with IgG HRP-conjugated antibodies for 1 h at room temperature and
146 further stained with 3, 3'-diaminobenzidine and haematoxylin solution. The images
147 were captured under a microscope and analyzed using Image Pro Plus software [29].

148 **2.9. Animal experiments**

149 BALB/c nude mice (4-weeks old) were purchased from Beijing Huafukang
150 Bioscience Co. Inc., China (permit number: SCXK-JING 2014-0004) and housed in
151 sterile filter-topped cages. All animal experiments were in accordance with the
152 Laboratory Animal Care and Use Committee of Southwest University (permit number:
153 SCXK-YU 2014-0002). After 7 days acclimation, A549 cells were subcutaneously
154 inoculated in the right foreleg (5×10^6 cells/mice, n = 5). The mice were treated with
155 5 mg/kg (CCOP-L), 10 mg/kg (CCOP-H) or 100 mg/kg (COP) by oral gavage for 25
156 days. Mice in normal control (NC) and tumor control (TC) groups were administered
157 with saline. Tumor volume was measured using a caliper every two days and
158 calculated as tumor length \times tumor width²/2. At the time of sacrificing, tumors and

159 other tissues were quickly dissected, weighed and stored at -80°C.

160 2.10. Statistical analysis

161 All values were expressed as mean \pm SD. Differences between groups were analyzed
162 by one-way ANOVA using SPSS 20.0 software. Values at or below $p < 0.05$ were
163 considered as significant.

164 3. Results

165 3.1. Synthesis and identification

166 The synthetic process and chemical structure of CCOP is shown in Scheme 1 and Fig.
167 1A. CCOP: C₃₅H₄₆NO₄, yellow powder (> 95% by HPLC, Fig. 1B). ¹³C NMR (400
168 MHz, CD₃OD) δ : 14.41 (C-16'), 23.71 (C-15'), 28.28 (C-5), 29.27 (C-3'), 30.25 (C-4'),
169 30.45 (C-5'), 30.61 (C-6'), 30.69 (C-7'), 30.74 (C8'-C13'), 30.77 (C-14'), 33.06 (C-2'),
170 33.35 (C-1'), 50.97 (C-6), 103.57 (-OCH₂O-), 104.96 (-OCH₂O-), 106.84(C-1),
171 108.68 (C-4), 115.28 (C-8a), 121.47 (C-11), 121.77 (C-13b), 122.91 (C-13), 124.24
172 (C-12), 131.86 (C-4a), 134.07 (C-12a), 139.49 (C-13a), 145.89 (C-9), 149.74 (C-8),
173 149.88 (C-10), 151.88 (C-2), 161.76 (C-3). ¹H NMR (400 MHz, CD₃OD) δ : 0.90 (t, J
174 = 6 Hz, 3 H, 16'-CH₃), 1.29 [m, 24 H, -(CH₂)₁₂-], 1.46 (m, 2 H, 15'-CH₂), 1.65 (m, 2
175 H, 2'-CH₂-), 1.87 (m, 2 H, 6-CH₂-), 3.21 (t, J = 6 Hz, 2 H, 1'-CH₂-), 3.81 (t, J = 8 Hz,
176 2 H, 5-H), 6.09 (s, 2 H, -OCH₂O-), 6.44 (s, 2 H, -OCH₂O-), 6.94 (s, 1 H, 4-Ar-H),
177 7.58 (s, 1 H, 1-Ar-H), 7.84 (2 H, 11-Ar-H, 12-Ar-H), 8.55 (s, 1 H, 13-Ar-H)
178 (Supplementary Fig. S1, S2 and Table 1). Yield: 38.2%. Mass spectrum (ESI):
179 Calculated for C₃₅H₄₇NO₄⁺ ([M+H]⁺): 545.34, Found: 545.60 (Fig. 1C).

180 3.2. CCOP inhibited cancer cell viability

181 The cell viability was determined by MTT assay. Treatment with CCOP resulted in a
182 dose- and time-dependent cytotoxicity in different cancer cells. The IC_{50} values were
183 2.12 $\mu\text{g/mL}$ and 1.05 $\mu\text{g/mL}$ against A549 cells at 24 h and 48 h, respectively. To
184 identify whether the cytotoxicity of CCOP was specific to A549 cells, other cancer
185 cell lines were used. Interestingly, similar results were observed in BGC-823,
186 MDA-MB-231, HCT-116 and HepG2 cells (Fig. 2A). It was further showed that
187 CCOP induced cell morphology shrinkage and detachment under a 2 $\mu\text{g/mL}$ treatment
188 (Fig. 2B). Moreover, the clonogenic assay performed with a continuing treatment of
189 CCOP for 2 weeks showed markedly inhibitory effect on A549 cell growth (Fig. 2C).
190 These data validated that CCOP could inhibit proliferation of cancer cells.

191 **3.3. CCOP induced apoptosis in A549 cells**

192 To identify whether CCOP-induced cytotoxicity was due to apoptosis induction, we
193 employed Annexin-V/PI staining. The results showed that a significant apoptosis rate
194 was induced by CCOP. Especially, the percentage of apoptotic cells was increased to
195 29.58% ($p < 0.01$) in cells treated with 2 $\mu\text{g/mL}$ CCOP (Fig. 3A). In Hoechst 33342
196 staining, CCOP induced apoptotic cells with condensed and fragmented nucleus in
197 A549 cells (Supplementary Fig. S3). Additionally, the AO/EB assay also revealed an
198 increase in the number of red-stained dying cells and decreased number of
199 green-stained healthy cells, confirmed that CCOP could induce apoptosis in A549
200 cells (Fig. 3B). Decreased MMP may be an early event in the process of apoptosis.
201 Therefore, we further investigated the effect of CCOP on MMP. As expected, CCOP
202 decreased the MMP in a dose-dependent manner. Compared with control, CCOP

203 (0.25–2 µg/mL) increased the percentage of cells with low MMP to 19.33%, 22.70%,
204 26.03% ($p < 0.05$) and 34.37% ($p < 0.01$), respectively (Fig. 3C), suggesting
205 CCOP-induced apoptosis in A549 cells is associated with MMP disruption.

206 **3.4. Effect of CCOP on apoptosis-related proteins**

207 The mechanism of CCOP-induced apoptosis was explored by examining the
208 expression of apoptosis-related proteins. Interestingly, western blot analysis showed
209 that CCOP reduced the expression of anti-apoptotic Bcl-2 and increased the level of
210 pro-apoptotic Bax (Fig. 4A). This observation confirmed the MMP disruption in
211 CCOP incubated A549 cells. A modulation of Bcl-2 family proteins could activate
212 caspase-dependent apoptosis [30]. To investigate the participation of caspase 3 and 9
213 in the pro-apoptotic effect of CCOP in the A549 cells, we measured the expression of
214 these proteins after the cells exposed to the CCOP treatment. As shown in Fig. 4A,
215 CCOP incubation increased the expression of cleaved caspases 3 and 9 in a
216 dose-dependent manner. In addition, CCOP reduced the expression of upstream Pi3k
217 and p-Akt signaling (Fig. 4B). These observations suggested that CCOP induced
218 caspase-dependent apoptosis in A549 cells.

219 **3.5. CCOP induced G0/G1 arrest in A549 cells**

220 We also detected the effect of CCOP on cell cycle by flow cytometry. The results
221 showed that CCOP induced G0/G1 arrest in A549 cells. Compared with control,
222 CCOP increased the population of G0/G1 phase by 16.44%, 17.61% and 16.01% ($p <$
223 0.05) at the concentrations of 0.5–2 µg/mL, respectively, accompanied by a decrease
224 in S phase cells ($p < 0.05$). However, CCOP had no influence on G2/M phase (Fig.

225 5A). During G0/G1 phase, CDKs and Cyclin D/E promote DNA replication and
226 initiate G1-to-S transition [10]. Therefore, we further examined the expression of
227 G0/G1 regulatory proteins. Relative to control, CCOP dose-dependently decreased the
228 expression of CDKs 2, 4 and 6, Cyclins D and E (Fig. 5B). The results supported that
229 CCOP could induce G0/G1 cell cycle arrest in A549 cells.

230 **3.6. CCOP inhibited tumor growth *in vivo***

231 We further investigated whether CCOP could inhibit tumor growth in xenograft nude
232 mice. As shown in Table 2, the gain in body weight was significantly decreased
233 between TC and NC group ($p < 0.01$) at the end of the experiment, probably due to
234 the cancer cell inoculation. On the other hand, the body weight of CCOP treated mice
235 was not markedly different from those in TC and COP treated group ($p > 0.05$).
236 Similarly, the organ index among all groups showed no obvious variation, indicated
237 that CCOP is relatively safe *in vivo*. As shown in Fig. 6A and 6B, CCOP inhibited
238 tumor growth in A549 xenograft nude mice. After 25 days, treatment with CCOP
239 significantly decreased tumor weight by 19.0% ($p < 0.05$) and 58.2% ($p < 0.01$) in
240 CCOP-L (5 mg/kg) and CCOP-H (10 mg/kg) groups respectively, as compared to TC.
241 While high dose of COP (100 mg/kg) decreased only 7.9% of tumor weight compared
242 to TC (Fig. 6C). Markedly, western blot analysis revealed that CCOP increased the
243 expression of cleaved caspase 3, Bax and decreased Bcl-2 expression in tumor tissues
244 (Fig. 6D). Immunohistochemical analysis (Fig. 6E) showed that, when compared with
245 TC, CCOP-H decreased Ki-67 and Bcl-2 expression by 73.94% ($p < 0.01$) and 63.11%
246 ($p < 0.05$), while increasing cleaved caspase 3 expression by 184.45% ($p < 0.01$). This

247 result supports the idea of apoptosis activation. However, the effect of COP was not
248 significant ($p > 0.05$). These data indicated that CCOP could effectively block the
249 progression of lung cancer *in vivo* and its anticancer effect was much better than that
250 of COP.

251 **4. Discussion**

252 Lung cancer is the most common malignancy worldwide, with a high risk of
253 metastasis and poor prognoses [31, 32], making the development of effective
254 therapies for lung cancer an urgency. Preliminary results showed that CCOP
255 significantly inhibited the proliferation of A549 and other cancer cell lines *in vitro*.
256 Further studies indicated that the inhibitory effect was due to the apoptosis induction
257 and G0/G1 cell cycle arrest. Rao *et al.* reported that COP inhibited A549 cell growth
258 at IC_{50} value of 18.09 μM and induced G2/M cell cycle arrest [15]. However, the IC_{50}
259 value of CCOP was 1.49–2.56 $\mu\text{g/mL}$, about ten-fold lower than that of COP in other
260 cancer cell lines ($IC_{50} > 27.13 \mu\text{g/mL}$) [15, 16, 19, 33]. These results could be
261 explained by the introduction of a long alkyl chain, which may enhance the compound
262 lipophilicity.

263 Many cellular signals for life and death are regulated by Bcl-2 family proteins [34].
264 For example, Bcl-2 restrains MOMP and suppresses apoptosis [35]. Bax and Bcl-2
265 homologous antagonist/killer (Bak) undergo oligomerization to form a channel that
266 triggers the release of apoptotic factors [36]. In addition, a decrease in MMP causes
267 MOMP and mitochondria-dependent apoptotic pathway [34]. In this study, CCOP
268 treatment significantly decreased MMP and Bcl-2 expression. On the other hand, the

269 treatment activated Bax, caspase 3 and 9 indicated that CCOP could induce
270 mitochondria dysfunction and activate apoptosis in A549 cells.

271 It has been reported that the abnormal activation of Pi3k/Akt pathway is associated
272 with tumorigenesis, apoptosis and metastasis [37], and inhibition of Akt
273 phosphorylation stimulates caspase-mediated apoptosis in malignancies including
274 lung cancer [38]. Our data revealed that CCOP markedly decreased the expression of
275 p-Akt and the upstream regulator Pi3k in a dose-dependent manner, suggesting that
276 CCOP might modulate the Pi3k/Akt signaling in A549 cells. P-Akt also regulates the
277 cell division cycle and initiates G1-to-S phase transition which is regulated by the
278 activation of cyclins (e.g. cyclins D and E) and CDKs (e.g. CDKs 2, 4 and 6) [10]. In
279 this study, CCOP reduced the expression of cyclins D and E, and consistently
280 decreased the expression of CDKs 2, 4 and 6. These data indicated that
281 CCOP-induced apoptosis in A549 cells was associated with the suppression of
282 Pi3k/Akt pathway.

283 Previous results from our lab showed that COP at a dose of 100 mg/kg significantly
284 inhibited colon tumor growth [16, 17]. However, it showed only a 7.9% inhibition in
285 A549 xenograft nude mice, probably due to the different types of cancer cells. In this
286 study, although the dose of CCOP (10 mg/kg) was only one-tenth of COP's dose, it
287 showed 58.2% inhibition rate, almost 7-fold compared to COP. These results further
288 suggested that the anticancer activity of CCOP was more efficient than that of COP *in*
289 *vivo*. In the progression of cancer development, the metastasis causes major death [39].
290 However, because of the tumor model, there was not observed metastasis in this study.

291 Nevertheless, in a mouse 4T1 spontaneous metastasis model, CCOP inhibited lung
292 metastasis *in vivo* (Supplementary Fig. S4). Together, these data suggest that CCOP
293 could block the progression of lung cancer.

294 **5. Conclusion**

295 In conclusion, we demonstrated that CCOP exerted anticancer activity by inducing
296 mitochondria-dependent apoptosis and G0/G1 cell cycle arrest in A549 cells (Fig. 7).
297 CCOP might be a potential candidate for lung cancer treatment. However, further
298 clinical trials are needed to support our viewpoint. Besides, our data also provided
299 evidences for the antitumor structural modification of COP.

300 **Conflict of Interest**

301 The authors declare no conflicts of interest.

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Table 1. ^1H NMR and ^{13}C NMR spectra of CCOP (400 MHz, CD_3OD).

NO.	δ_{H}	δ_{C}
1	7.58 (1 H, s)	106.84
2		151.88
3		161.76
4	6.94 (1 H, s)	108.68
4a		131.86
5	3.81 (2 H, t, $J = 8$ Hz)	28.28
6	1.87 (2 H, m)	50.97
8		149.74
8a		115.28
9		145.89
10		149.88
11	7.84 (1 H, s) (overlap)	121.47
12	7.84 (1 H, s) (overlap)	124.24
12a		134.07
13	8.55 (1 H, s)	122.91
13a		139.49
13b		121.77
-OCH ₂ O-	6.09 (2 H, s)	103.57
-OCH ₂ O-	6.44 (2 H, s)	104.96
1'	3.21 (2 H, t, $J = 6$ Hz)	33.35
2'	1.65 (2 H, m)	33.06
3'	1.29 (2 H, m) (overlap)	29.27
4'	1.29 (2 H, m) (overlap)	30.25
5'	1.29 (2 H, m) (overlap)	30.45
6'	1.29 (2 H, m) (overlap)	30.61
7'	1.29 (2 H, m) (overlap)	30.69
8'-13'	1.29 (12 H, m) (overlap)	30.74 (overlap)
14'	1.29 (2 H, m) (overlap)	30.77
15'	1.46 (2 H, m)	23.71
16'	0.90 (3 H, t, $J = 6$ Hz)	14.41

Data were detected on a Bruker Ascend 400 spectrometer, chemical shifts (δ) were expressed in ppm.

Table 2. Effects of CCOP on body weight and organ index (%) in BALB/c nude mice.

Groups	Body weight (g)		Heart (%)	Liver (%)	Spleen (%)	Lung (%)	Kidneys (%)
	Beginning	End					
NC	14.90 ± 0.59	22.96 ± 1.22	0.62 ± 0.12	6.05 ± 0.70	0.54 ± 0.08	0.70 ± 0.04	1.75 ± 0.11
TC	14.71 ± 0.60	19.64 ± 0.85**	0.64 ± 0.05	6.63 ± 0.26	0.53 ± 0.10	0.74 ± 0.04	1.80 ± 0.19
COP	14.91 ± 0.37	19.12 ± 0.46	0.65 ± 0.08	6.48 ± 0.39	0.58 ± 0.07	0.70 ± 0.06	1.73 ± 0.08
CCOP-L	15.09 ± 0.57	19.05 ± 1.56	0.64 ± 0.03	6.23 ± 0.60	0.60 ± 0.12	0.69 ± 0.04	1.81 ± 0.20
CCOP-H	14.74 ± 0.31	18.64 ± 0.98	0.71 ± 0.10	6.36 ± 0.50	0.58 ± 0.10	0.73 ± 0.07	1.88 ± 0.16

Organ index = weight of organ / body weight × 100%.

Data were presented as mean ± SD, n = 5. ** $p < 0.01$ compared with NC group. NC, normal control; TC, tumor control. COP, coptisine at a dosage of 100 mg/kg; CCOP-L, CCOP at low dosage (5 mg/kg); CCOP-H, CCOP at high dosage (10 mg/kg).

Figure captions

Scheme 1. Synthetic route of CCOP (R = -CH₂-(CH₂)₁₄-CH₃).

Fig. 1. Chemical structure and identification of CCOP. (A) Chemical structure. (B) HPLC analysis. HPLC detection condition: Polaris 5 C18-A column (250 × 10.0 mm); mobile phase, methanol : water (0.05% triethylamine, 0.1% phosphoric acid) = 87:13; flow rate, 1 mL/min; injection volume, 10 µL; detection wavelength, 347 nm; column temperature, 30°C. (C) Mass spectra (ESI). Calculated for C₃₅H₄₇NO₄⁺ ([M+H]⁺): 545.34, Found: 545.60.

Fig. 2. Effects of CCOP on cancer cell viability. (A) Cells were treated with CCOP (0–4 µg/mL) for 24 h or 48 h, and determined by MTT assay. Data were presented as mean ± SD, n = 5. (B) Morphology of A549 cells treated with CCOP (0–2 µg/mL) for 24 h. Scale bar, 200 µm. (C) Colonies of A549 cells treated with CCOP (0–2 µg/mL) for 2 weeks. The picture depicts the crystal violet-stained colonies and the cloning efficiency compared with control. Data were presented as mean ± SD, n = 3. **p* < 0.05 and ***p* < 0.01 compared with control.

Fig. 3. Effects of CCOP on apoptosis in A549 cells. (A) Cells were treated with CCOP (0–2 µg/mL) for 24 h, the apoptotic cells were stained by Annexin-V/PI and analyzed by flow cytometry. (B) Cells were resuspended in PBS and incubated with AO/EB (4 µg/mL) for 1 min in darkness. The arrows indicate typical cell bodies (healthy cell, green-stained; apoptotic cell, red-stained). Scale bar, 100 µm. Data were presented as mean ± SD, n = 3. **p* < 0.05 and ***p* < 0.01 compared with control. (C) Cells were treated with CCOP (0–2 µg/mL) for 24 h, stained with DiOC₆(3) and

measured by flow cytometry. Cells with lower fluorescence indicate loss of MMP.

Data were presented as mean \pm SD, n = 3. ** p < 0.01 compared with control

Fig. 4. Effects of CCOP on apoptosis related proteins in A549 cells. Cells were treated with CCOP (0–2 μ g/mL) for 24 h. The expression of apoptosis related proteins (A) Bcl-2, Bax, cleaved caspase 3 and 9 (B) Pi3k, Akt and p-Akt was determined by western blot. Data were presented as mean \pm SD, n = 3. * p < 0.05 and ** p < 0.01 compared with control.

Fig. 5. Effects of CCOP on cell cycle in A549 cells. (A) Cells were treated with CCOP (0–2 μ g/mL) for 24 h, then, stained with PI and analyzed by flow cytometry. Data were presented as mean \pm SD, n = 3. * p < 0.05 and ** p < 0.01 compared with control. (B) The expression of cell cycle related proteins (CDK 2, CDK 4, CDK 6, Cyclin D and Cyclin E) was determined by western blot. Data were presented as mean \pm SD, n = 3. * p < 0.05 and ** p < 0.01 compared with control.

Fig. 6. Effects of CCOP on tumor growth *in vivo*. BALB/c nude mice were subcutaneously injected with A549 cells into the right armpit and orally administered with CCOP or COP every day. (A) Image of excised tumors. (B) Tumor volume. (C) Tumor weight. Data were presented as mean \pm SD, n = 5. * p < 0.05 and ** p < 0.01 compared with TC group. (D) Western blot and (E) immunohistochemistry analysis of apoptosis related proteins in tumor tissue. Scale bars, 100 μ m. Data were presented as mean \pm SD, n = 3. * p < 0.05 and ** p < 0.01 compared with TC group. TC, tumor control; COP, COP at a dosage of 100 mg/kg; CCOP-L, CCOP at low dosage (5 mg/kg); CCOP-H, CCOP at high dosage (10 mg/kg).

Fig. 7. Proposed mechanism of CCOP in A549 lung cancer. CCOP inactivates Pi3k/Akt signaling pathway, decreases mitochondrial membrane potential and activates caspase-dependent apoptosis. Simultaneously, CCOP suppresses the expression of cyclin D, cyclin E and their corresponding CDK 2, CDK 4, CDK 6, resulting in G0/G1 cell cycle arrest.

Figures

Scheme 1.

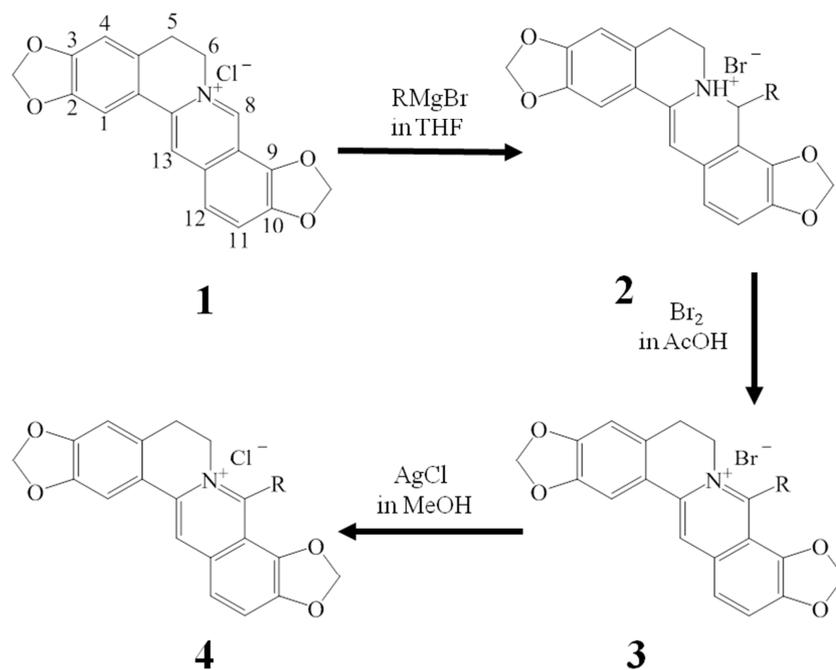


Fig. 1

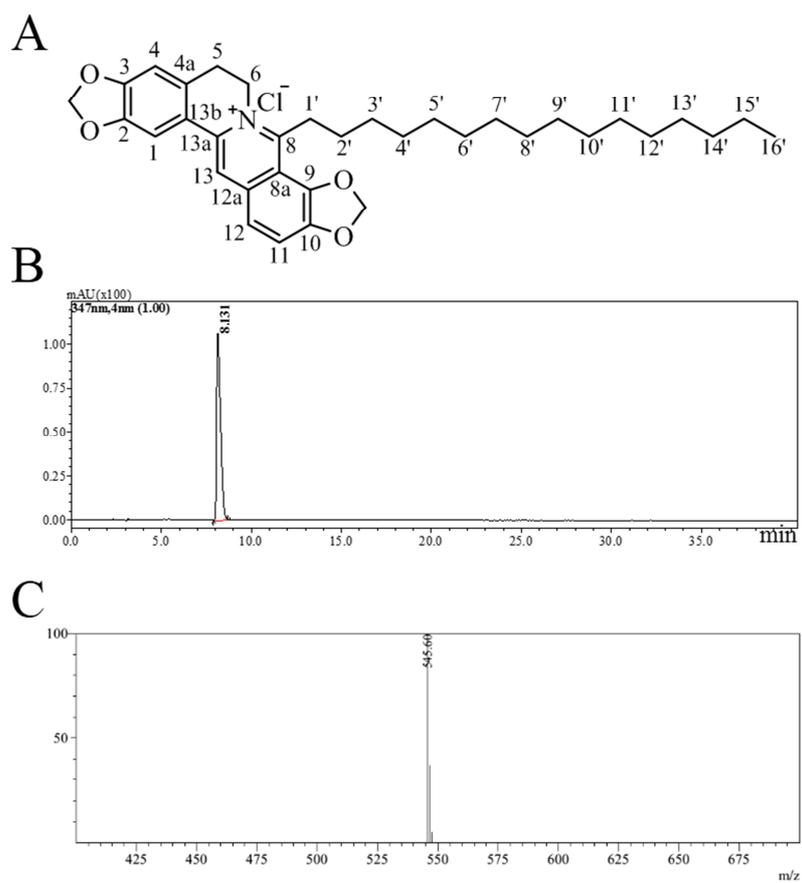


Fig. 2

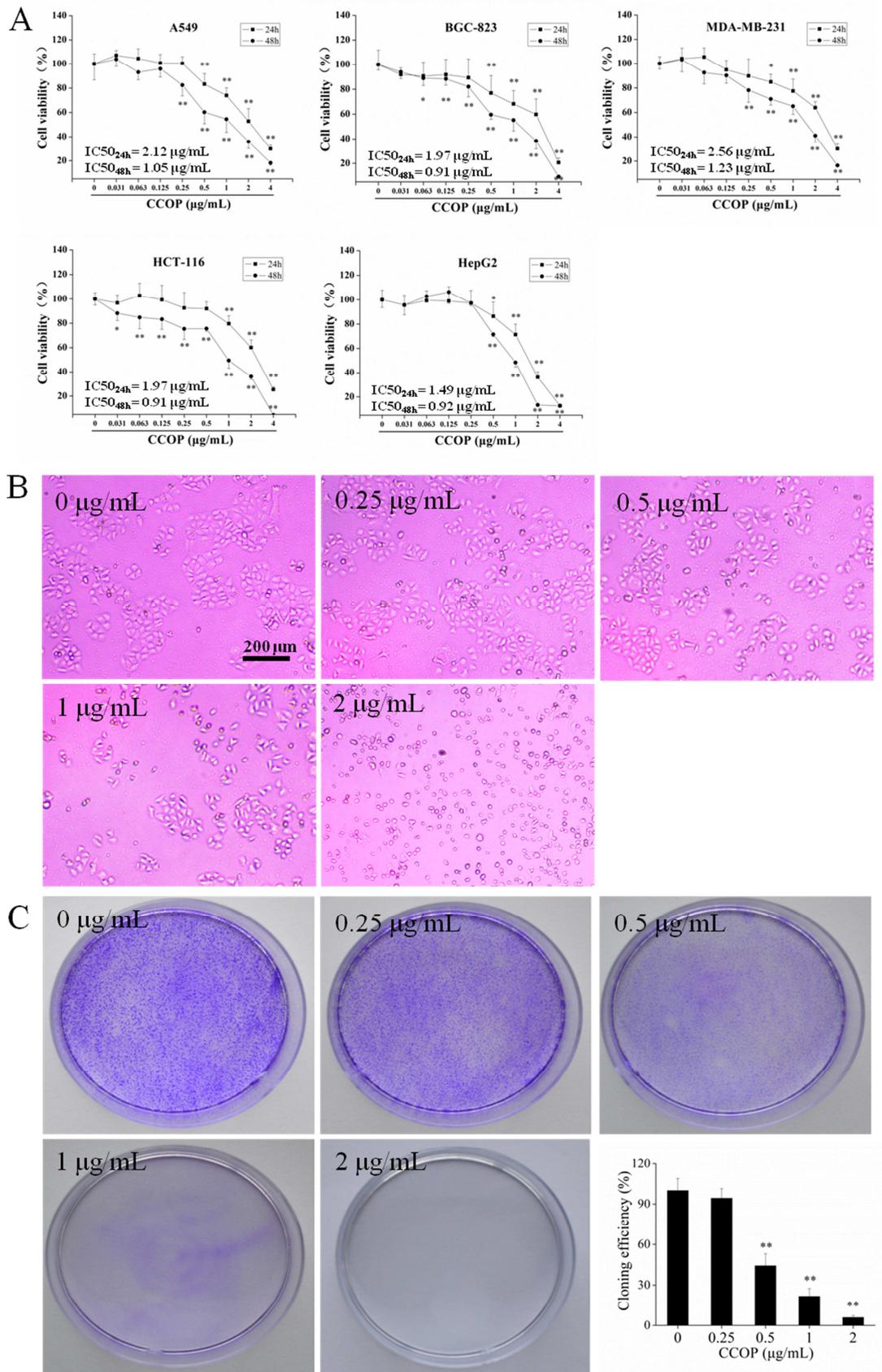


Fig. 3

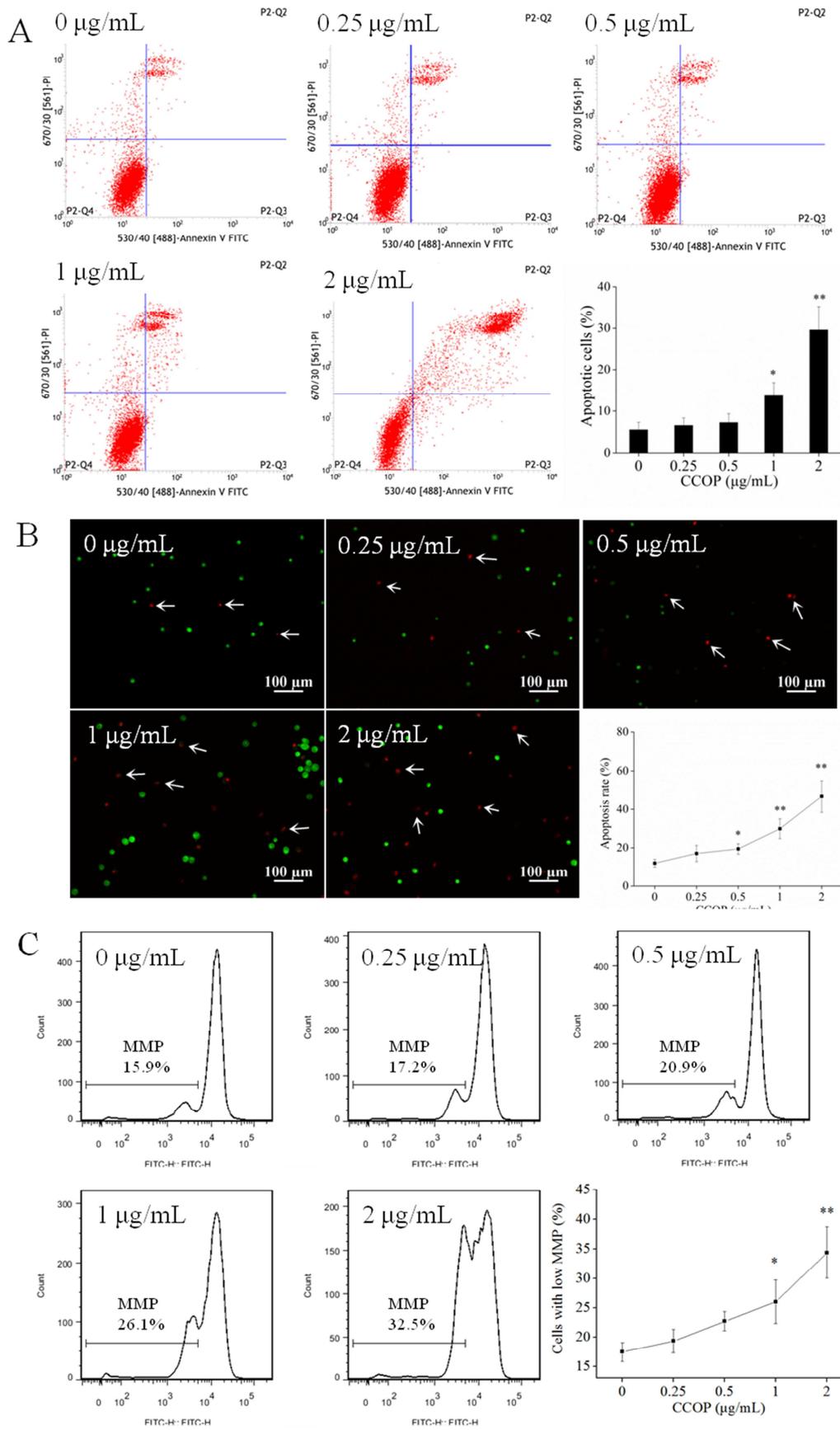


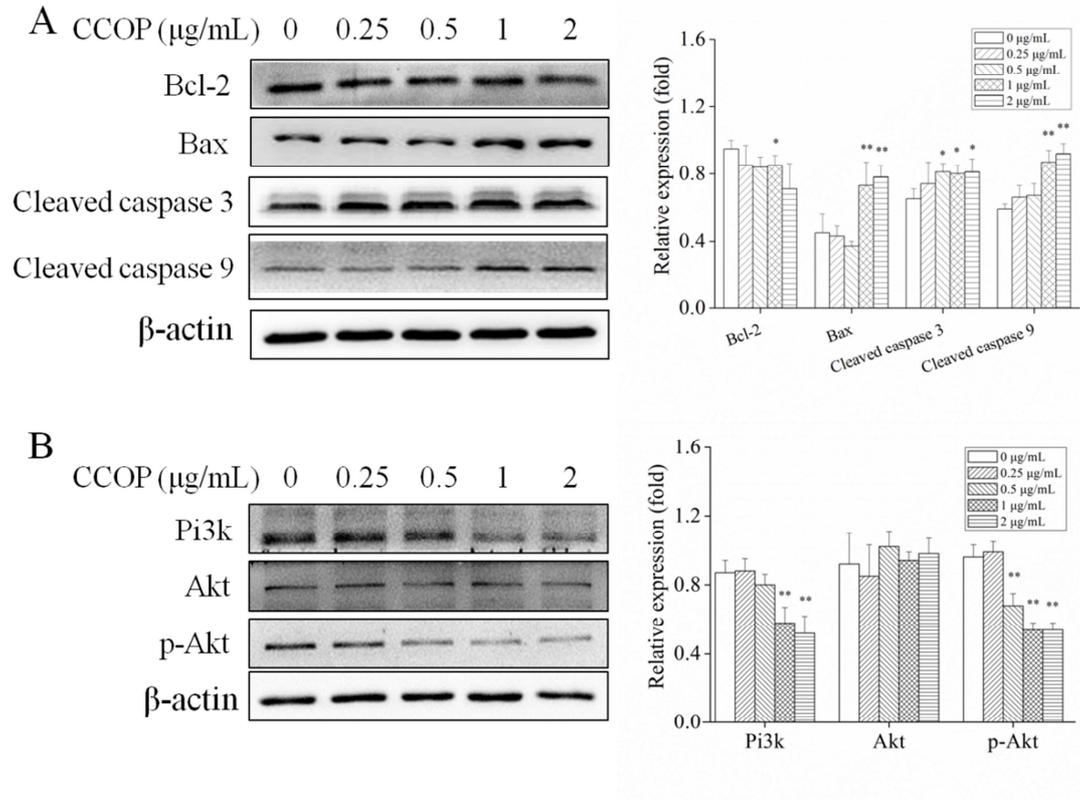
Fig. 4

Fig. 5

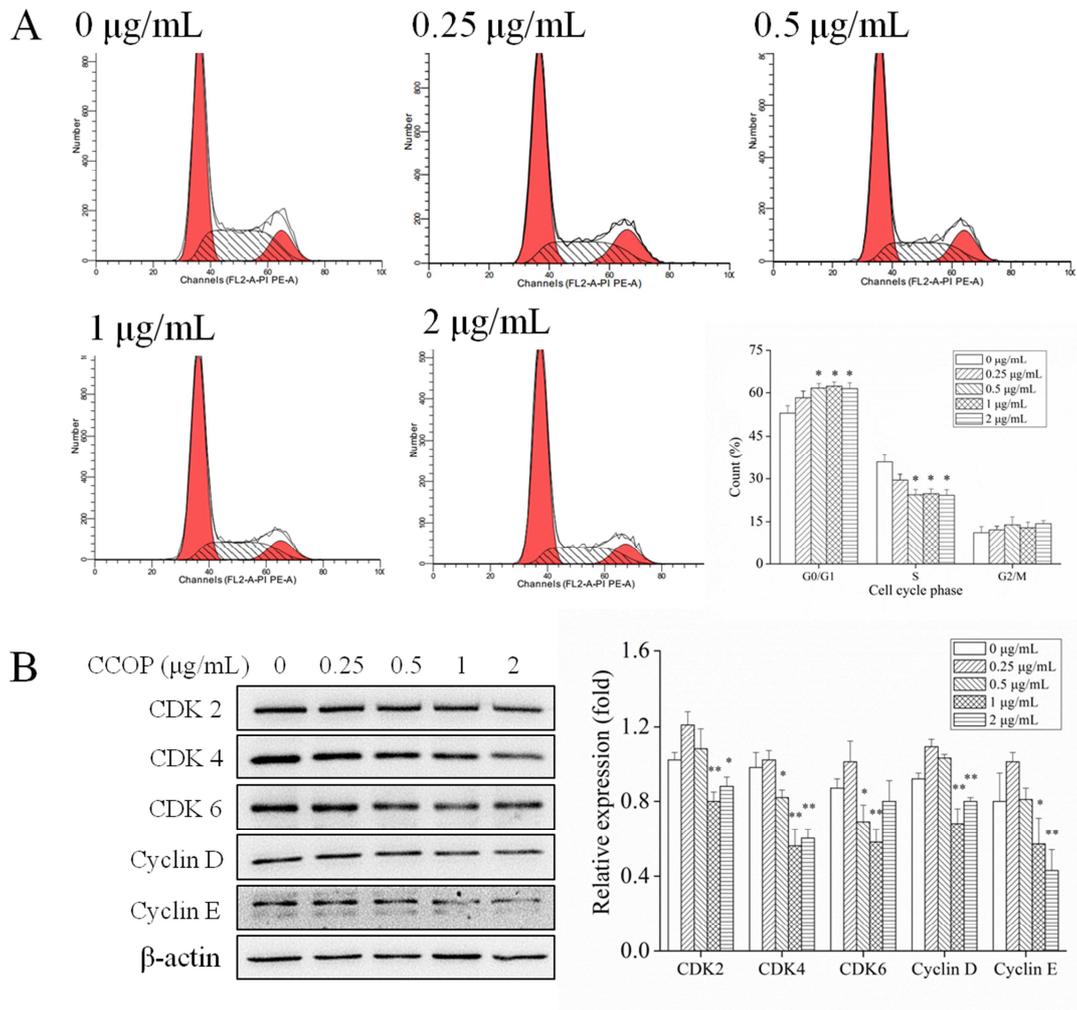


Fig. 6

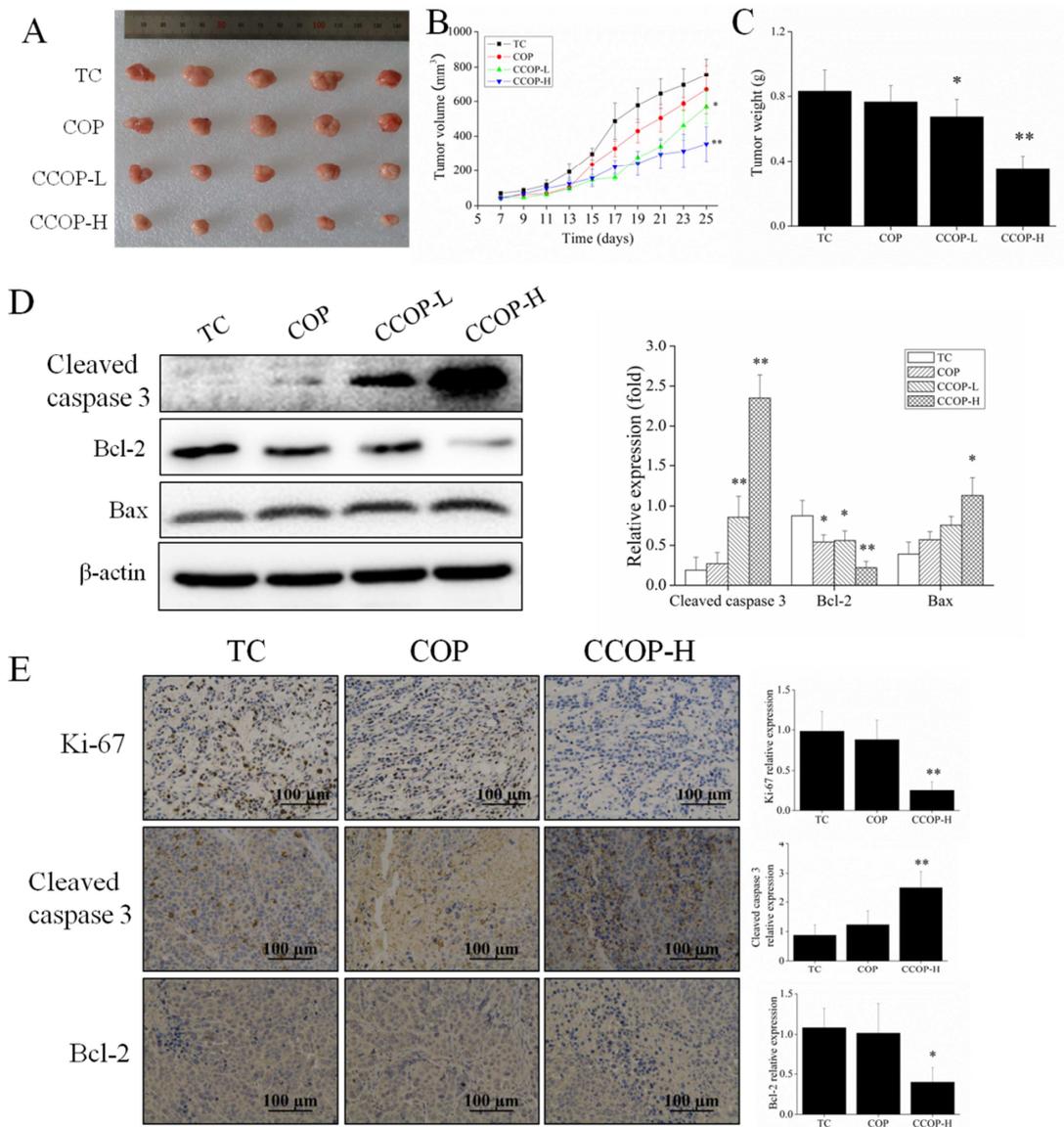
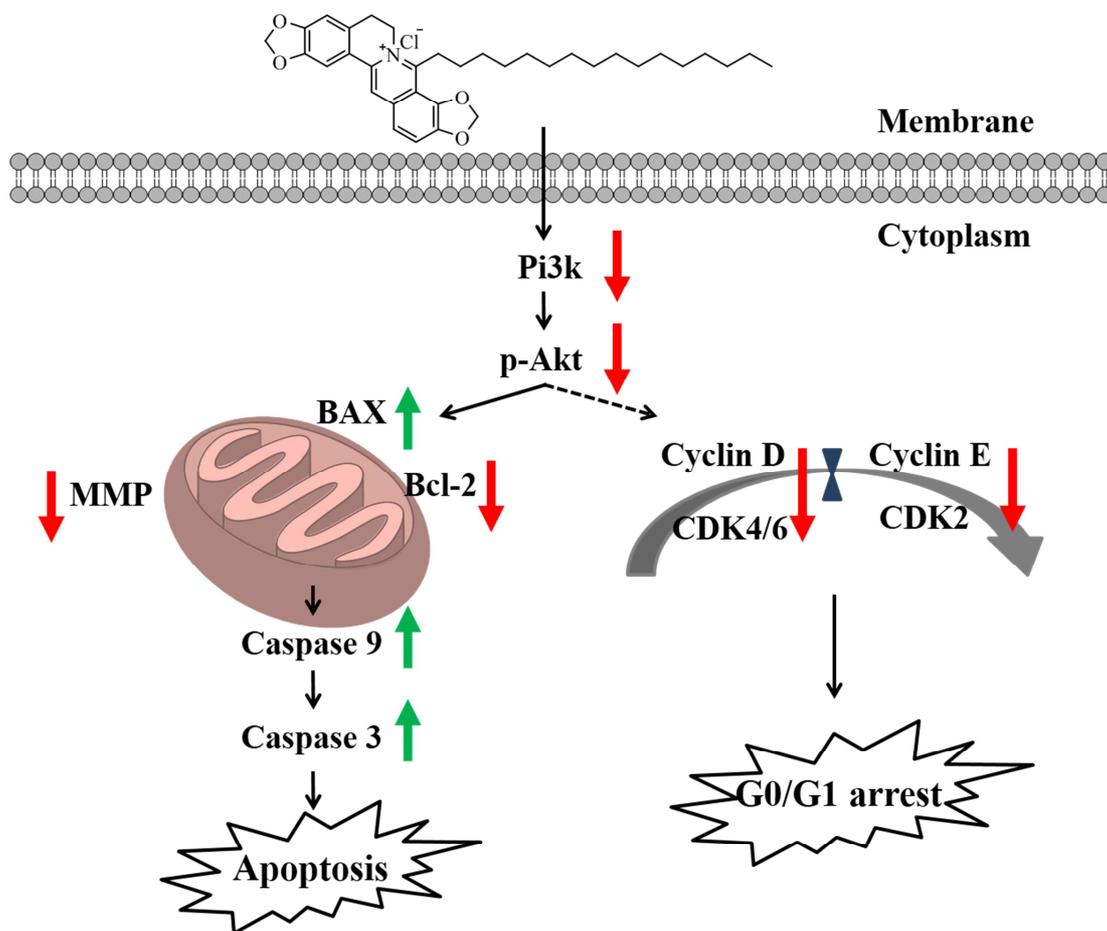


Fig. 7



Highlights

1. A new coptisine derivative 8-cetylcoptisine is synthesized and characterized.
2. CCOP inhibits cell growth in A549 and other cancer cell lines.
3. CCOP induces mitochondria-dependent apoptosis and G0/G1 arrest in A549 cells.
4. CCOP exhibits better antitumor effect than COP in A549 xenograft nude mice.